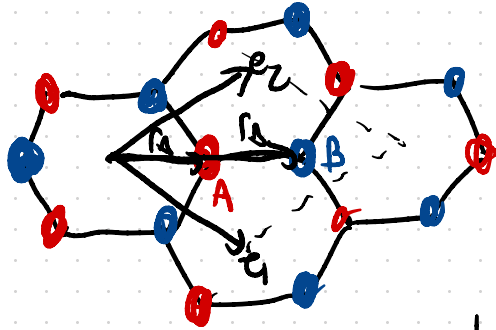


Lecture 24

Fractional Chern Insulator Workshop @ ICMT 4/18, 4/19

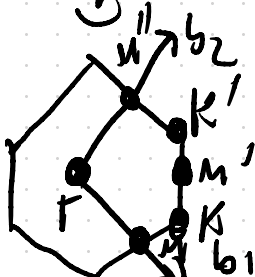
$p6/mmm'$



$$\vec{r}_A = \frac{1}{3}(\vec{e}_1 + \vec{e}_2)$$

$$\vec{r}_B = \frac{2}{3}(\vec{e}_1 + \vec{e}_2)$$

Reciprocal lattice



TRIMS: Γ, M, M', M''

$$K = \frac{2}{3}\vec{b}_1 + \frac{1}{3}\vec{b}_2 \quad K' = \frac{2}{3}\vec{b}_2 + \frac{1}{3}\vec{b}_1$$

Tight binding basis functions: $|A, B, \tau, \sigma, \vec{R}\rangle$

A, B $\rightarrow$ transform like p_z orbitals
 τ, σ $\rightarrow$ unit cell index
 τ, σ $\rightarrow$ electron spin

$$\langle \tau \sigma \vec{R} | \hat{x} | \tau \sigma \vec{R} \rangle = \vec{R} + \vec{r}_\tau$$

Band representation:

Inversion symmetry: $U_I |A \sigma \vec{R}\rangle = |B \sigma -R -e_1 -e_2\rangle (-1)$

$I(\vec{R} + \vec{r}_A) = -\vec{R} - \vec{r}_A$
 $= -\vec{R} + \vec{r}_B - \vec{e}_1 - \vec{e}_2$

$$U_I |B \sigma \vec{R}\rangle = |A \sigma -R -e_1 -e_2\rangle (-1)$$

$\uparrow$
from p_z transformation

$$B(I) = (-1) \tau_x \otimes \sigma_z$$

$$\begin{matrix} & & 1 \\ A & \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \\ B & & \\ & A & B \end{matrix}$$

Spin
rotation
↓

$$U_{C_6} |A\sigma R\rangle = |B\sigma' C_6 R - e_1\rangle \left(e^{-\frac{i\pi}{6}\sigma_z} \right) e^{-\frac{2\pi i}{6}} \sigma_\sigma$$

$$U_{C_6} |B\sigma R\rangle = |A\sigma' C_6 R - e_1 + e_2\rangle \left(e^{-\frac{i\pi}{6}\sigma_z} \right) e^{-\frac{2\pi i}{6}} \sigma_\sigma$$

Rotation
on σ_z

$$B(C_6) = e^{-\frac{2\pi i}{6}} \tau_x \otimes e^{-\frac{i\pi}{6}\sigma_z}$$

$$B(C_3) = B(C_6)^2 = e^{-\frac{2\pi i}{3}} \tau_0 \otimes e^{-\frac{i\pi}{3}\sigma_z}$$

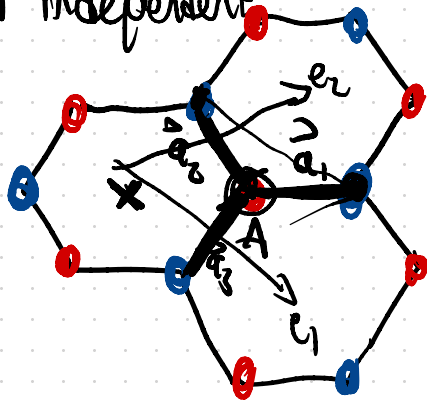
$$B(C_2) = B(C_6)^3 = e^{-i\pi} \tau_x \otimes e^{-i\pi/2\sigma_z} = i\tau_x \otimes \sigma_z$$

$$\text{TRS: } T|\tau\sigma\vec{R}\rangle = |\tau\sigma'\vec{R}\rangle (-i\sigma_y)_{\sigma\sigma'}$$

$$\underline{B(\tau) = -i\tau_0 \otimes \sigma_y \mathcal{K}}$$

Nearest-Neighbor tight-binding model

Spin independent



$$\vec{a}_1 = \vec{r}_B - \vec{r}_A = \frac{1}{3}(\vec{e}_1 + \vec{e}_2)$$

$$\vec{a}_2 = C_3 \vec{a}_1 = \frac{1}{3}(\vec{e}_2 - 2\vec{e}_1) = \vec{a}_1 - \vec{e}_1$$

$$\vec{a}_3 = C_3 \vec{a}_2 = \vec{a}_1 - \vec{e}_2 = \frac{1}{3}(\vec{e}_1 - 2\vec{e}_2)$$

$$t = \langle B\sigma\vec{R} | H | A\sigma\vec{R} \rangle$$

3fold rotation symmetry $\{C_3 | \vec{e}_1\}$ $\leftarrow$ 120° rotation about $\vec{r}_A$

$$\begin{aligned} t &= \langle B\sigma 0 | H | A\sigma 0 \rangle \\ &= \langle B\sigma -e_1 | H | A\sigma 0 \rangle \\ &= \langle B\sigma -\vec{e}_1 | H | A\sigma 0 \rangle \end{aligned}$$

TRS: $t = t^*$

Inversion symmetry: $\langle A\sigma 0 | H | B\sigma 0 \rangle = \langle B\sigma 0 | H | A\sigma 0 \rangle$
 $= t$

Momentum space Hamiltonian

$$h_{\sigma\sigma'}(\vec{k}) = \sum_R e^{-ik \cdot (R + r_\sigma - r_{\sigma'})} \langle \tau \sigma R | H | \tau' \sigma' \vec{0} \rangle$$

$$= \delta_{\sigma\sigma'} \begin{pmatrix} 0 & t \sum_{i=1}^3 e^{ik \cdot \vec{a}_i} \\ t \sum_{i=1}^3 e^{-ik \cdot \vec{a}_i} & 0 \end{pmatrix}$$

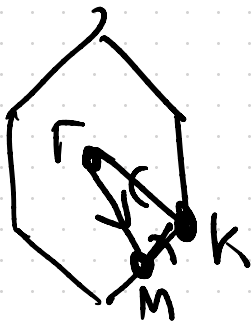
$$\Rightarrow h(k) = \begin{pmatrix} 0 & q(k) \\ q^\dagger(k) & 0 \end{pmatrix} \otimes \sigma_0$$

$$q(k) = t \sum_i e^{ik \cdot \vec{a}_i}$$

$$k = k_1 \vec{b}_1 + k_2 \vec{b}_2$$

$$q(k) = t \left(e^{\frac{2\pi i}{3}(k_1+k_2)} + e^{\frac{2\pi i}{3}(k_2-2k_1)} + e^{\frac{2\pi i}{3}(k_1-2k_2)} \right)$$

$$\epsilon_{\pm\sigma}(k) = \pm |q(k)|$$



$$\Gamma: (0,0)$$

$$q(r) = 3t$$

$$h(r) = 3t \tau_x \otimes \sigma_0$$

$$\epsilon_{\pm\sigma}(k) = \pm 3t$$

$$M: (k_1 = \frac{1}{2}, k_2 = 0)$$

$$q(M) = t \left(e^{\frac{i\pi}{3}} + e^{\frac{2i\pi}{3}} + e^{-\frac{i\pi}{3}} \right)$$

$$= t \left(\frac{1}{2} + \frac{i\sqrt{3}}{2} \right)$$

$$h(M) = t \begin{pmatrix} 0 & \frac{1}{2} + \frac{i\sqrt{3}}{2} \\ \frac{1}{2} - \frac{i\sqrt{3}}{2} & 0 \end{pmatrix} \sigma_0$$

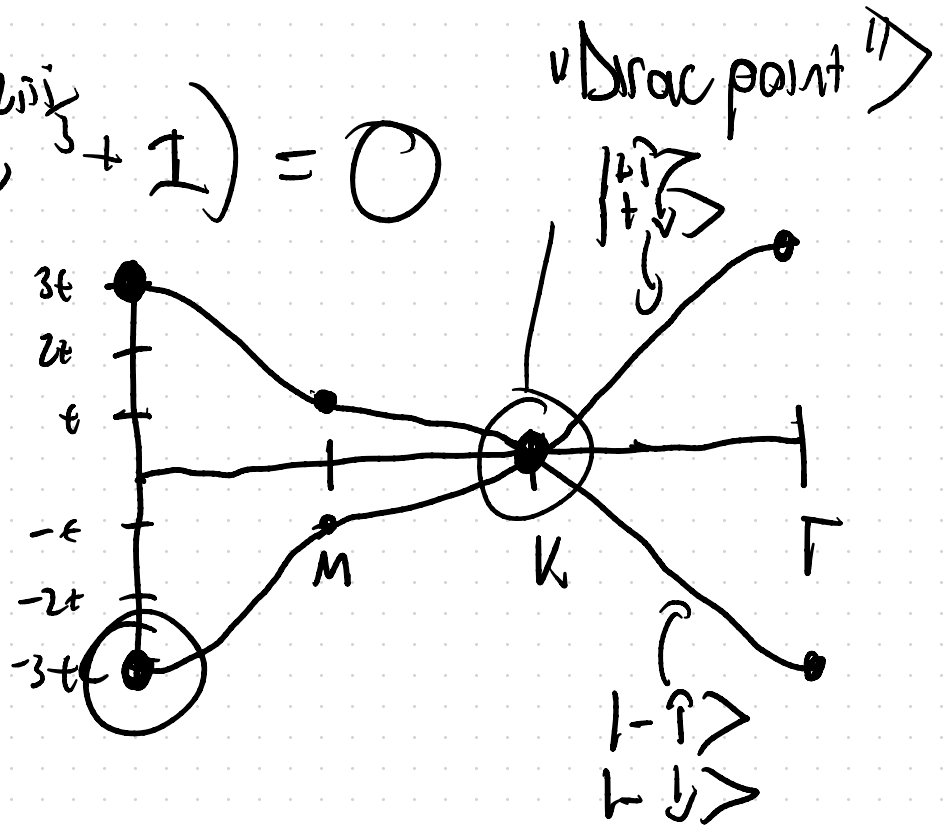
$$\epsilon_{\pm\sigma}(M) = \pm t$$

$$K = (k_1 = \frac{2}{3}, k_2 = \frac{1}{3})$$

$$q(K) = t(e^{\frac{2\pi i}{3}} + e^{-\frac{2\pi i}{3}} + 1) = 0$$

$$h(K) = 0$$

$$\epsilon_{\pm 0}(K) = 0$$



Inversion & TRS & Wilson loops

Embedding matrix $V(\vec{b}) = \begin{pmatrix} e^{i\vec{b} \cdot \vec{r}_A} & \\ & e^{i\vec{b} \cdot \vec{r}_B} \end{pmatrix}$

$$h(k + \vec{b}) = V^\dagger(\vec{b}) h(k) V(\vec{b})$$

$$B(I) = -\tau_x \otimes \sigma_0$$

$$B^\dagger(I) h(k) B(I) = \tau_x \begin{pmatrix} 0 & q(k) \\ q^*(k) & 0 \end{pmatrix} \tau_x \sigma_0$$

$$\boxed{t > 0}$$

$$= \tau_x (\text{Re } q \tau_x - \text{Im } q \tau_y) \tau_x \sigma_0$$

$$= \begin{pmatrix} 0 & q^*(k) \\ q(k) & 0 \end{pmatrix} = h(-k)$$

$$\text{TRS} \quad -i\tau_0\sigma_y \mathcal{K} h(k) (-i\tau_0\sigma_y)^{-1} = h^*(k) = h(-k)$$

$$\Gamma: \quad h(\Gamma) = h(0) = 3 + \tau_x \otimes \sigma_0 = -3 + B(I)$$

$$[B(I), h(\Gamma)] = 0$$

$|-\sigma k=0\rangle$ have
inversion eigenvalues ± 1

$$M: k = \frac{1}{2}b_1$$

$$\begin{aligned} B(I) h\left(\frac{1}{2}b_1\right) B^\dagger(I) &= h\left(-\frac{1}{2}b_1\right) \\ &= h\left(\frac{1}{2}\vec{b}_1 - \vec{b}_1\right) \\ &= V(b_1) h\left(\frac{1}{2}b_1\right) V^\dagger(b_1) \end{aligned}$$

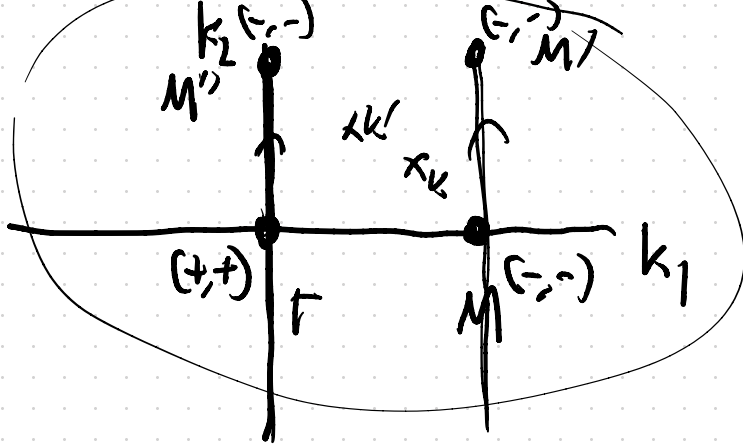
Little group representative matrix $V^\dagger(b_1)B(\mathbf{I})$

$$[V^\dagger(b_1)B(\mathbf{I}), h(\frac{1}{2}b_1)] = 0$$

$$V^\dagger(b_1)B(\mathbf{I}) = \frac{1}{\hbar} \begin{pmatrix} e^{-ib_1 \cdot \mathbf{r}_A} & 0 \\ 0 & e^{-ib_1 \cdot \mathbf{r}_B} \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \otimes \sigma_0$$

$$= \begin{pmatrix} 0 & -e^{-2\pi i/3} \\ e^{2\pi i/3} & 0 \end{pmatrix} \otimes \sigma_0 = \begin{pmatrix} 0 & e^{i\pi/3} \\ e^{-i\pi/3} & 0 \end{pmatrix} \otimes \sigma_0 = \frac{h(\frac{1}{2}b_1)}{\hbar} \tau$$

$|-, \sigma, k=M\rangle$ have inversion eigenvalue -1



$$W_{1 \in \mathcal{O}}^{\sigma\sigma'}(k_1) = \sum_{-(k_1, k_2 \in \mathcal{I})} \sigma^+ \cdot \prod_{k_2}^{\mathcal{I} \in \mathcal{O}} P(k_1, k_2) \cdot u_{-(k_1, 0)}^{\sigma'}$$

When $k_1 = 0$ or $\frac{1}{2} \in k_1^*$

$$W_{1 \in \mathcal{O}}^{\sigma\sigma'}(k_1^*) = B_{(k_1^*, 0)}^{--}(\mathcal{I}) W_{\frac{1}{2} \in \mathcal{O}}^{\dagger}(k_1^*) B_{(k_1^*, \frac{1}{2})}^{--}(\mathcal{I}) W_{\frac{1}{2} \in \mathcal{O}}(k_1^*)$$

Proportional
to σ_0

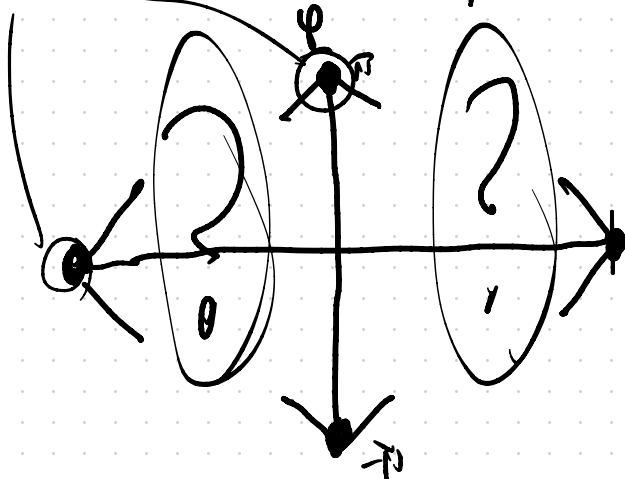
$\frac{1}{2}\pi$
 $\downarrow$

$$\begin{cases} -\sigma_0, k_1=0 \\ +\sigma_0, k_1=\frac{1}{2} \end{cases}$$

$\uparrow$
 e^{i0}

Protected
by TRS

$$\equiv B_{(k_1^+, 0)}^-(I) B_{(k_1^+, \pi)}^-(I) =$$



To say more about topology,
need to open a gap @ K & k'