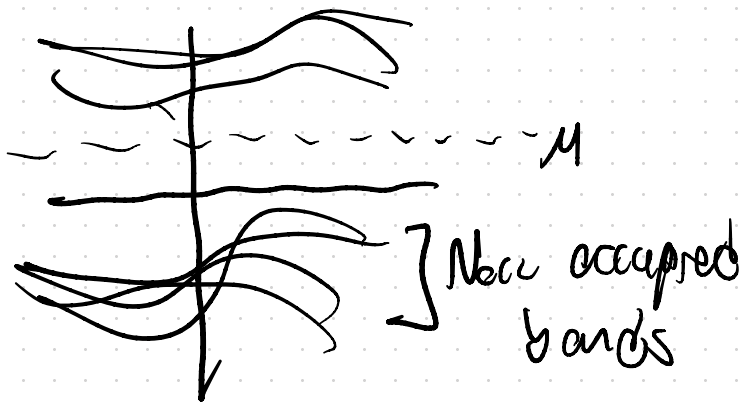


Lecture 16

Recap



$$P = \frac{v}{(2\pi)^3} \int d^3k \sum_{a=1}^{N_{occ}} |\Psi_{ak}\rangle \langle \Psi_{ak}|$$

$$|\Psi_{ak}\rangle = \sum_{b,c=1}^{N_{occ}} |\Psi_{bk}\rangle W_{k_i \leftarrow k_o}^{bc}(k_{\perp}) e^{-i\varphi_a(k_{\perp})} g_a^c(k_{\perp}, k_o)$$

Wilson
line

$$\rightarrow W_{k_i \leftarrow k_o}(k_{\perp}) = \mathcal{P} e^{i \int_{k_o}^{k_i} dk_i' A_i(k_i', \vec{k}_{\perp})}$$

$g_a^c(k_{\perp}, k_o) \leftarrow$ an eigenvalue of

The Wilson loop $W_{\text{Wilson}}(k_{\perp})$ w/ eigenvalue $e^{i\varphi_a(k_{\perp})}$

$$|W_{\text{na}k_{\perp}}\rangle = \frac{1}{2\pi} \int_0^{2\pi} dk_i |\tilde{\Psi}_{\text{a}k}\rangle e^{-ik_i n} \quad \leftarrow \text{Hybrid Wannier functions}$$

$$P_{x_i} P |W_{\text{na}k_{\perp}}\rangle = \left(n + \frac{\varphi_a(k_{\perp})}{2\pi} \right) |W_{\text{na}k_{\perp}}\rangle$$

↑
unit cell index

(non-abelian) Berry phase
displacement relative to origin

How to compute $W_{k_i \leftarrow k_0}(k_{\perp}) = \text{Pe}^{i \int_{k_0}^{k_i} dk'_i A_i(k'_i, k_{\perp})}$

Defined as solution

$$i \frac{\partial}{\partial k_i} W_{k_i \leftarrow k_0}(k_{\perp}) = -A_i W_{k_i \leftarrow k_0}(k_{\perp})$$

$$W_{k_0 \leftarrow k_0}(k_{\perp}) = Id = "1"$$

① Dyson series: Rewrite as

$$i \int_{k_0}^{k_i} dk'_i \frac{\partial}{\partial k'_i} W_{k'_i \leftarrow k_0}(k_{\perp}) = - \int_{k_0}^{k'_i} dk'_i A_i(k'_i, k_{\perp}) W_{k'_i \leftarrow k_0}(k_{\perp})$$

$$i [W_{k_i \leftarrow k_0}(k_{\perp}) - \mathbb{1}]$$

$$W_{k_i \leftarrow k_0}(k_{\perp}) = \mathbb{1} + i \int_{k_0}^{k_i} dk'_i A_i(k'_i, k_{\perp}) W_{k'_i \leftarrow k_0}(k_{\perp})$$

Plug into itself iteratively

$$W_{k, \leftarrow k_0}(k_{\perp}) = 1 + i \int_{k_{\alpha}}^{k_i} dk'_i A_i(k'_i, k_{\perp}) (1) + (i)^2 \int_{k_{\alpha}}^{k_i} dk'_i \int_{k_{\alpha}}^{k'_i} dk''_i A_i(k'_i, k_{\perp}) A_i(k''_i, k_{\perp})$$

↓ ↓ ↓

② "Product of Projectors"

$$A_i^{ab}(k_i, k_{\perp}) = \left\langle u_{ak} \left| \frac{\partial u_{bk}}{\partial k_i} \right. \right\rangle$$

$$= \lim_{\Delta \rightarrow 0} \frac{1}{\Delta} \left\langle u_{ak} \left| (u_{b, k_i + \Delta, k_{\perp}} - u_{bk_i}) \right. \right\rangle$$

$$\langle u_{ak} | u_{bk_i + \Delta, k_\perp} \rangle = \delta_{ab} - i \Delta A_i^{ab}(k) + O(\Delta^2)$$

$$\approx e^{-i \Delta A_i(k_i)}$$

$$\underline{P e^{i \int_{k_0}^{k_i} dk A_i(k', k_0)}} \approx e^{i \Delta A_i(k_i, k_\perp)} \dots e^{i \Delta A_i(k_0 + \Delta k_\perp)} e^{i \Delta A_i(k_0, k_\perp)} \underline{1}$$

"Trotter decomposition"

$$P e^{i \int_{k_0}^{k_i} dk A_i(k', k_0)} = \lim_{\Delta \rightarrow 0} \langle u_{ak_i} | \left[u_{bk_i - \Delta, k_\perp} \cancel{u_{bk_i - \Delta, k_\perp}} u_{bk_i - 2\Delta, k_\perp} \right] u_{bk_i - 2\Delta, k_\perp} \rangle$$

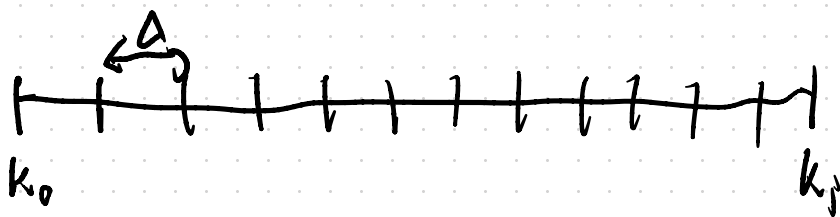
~ ~ ~ $\langle u_{n k_0 + \Delta} | u_{m k_0} \rangle$

$$\tilde{P}(k) = \sum_{n=1}^{N_{occ}} |u_n k\rangle \langle u_n k|$$

$$\begin{aligned} & \lim_{\Delta \rightarrow 0} \langle u_a k_i, k_\perp | \tilde{P}(k_i, k_\perp) \tilde{P}(k_i - \Delta, k_\perp) \dots \tilde{P}(k_0, k_\perp) | u_b k_0, k_\perp \rangle \\ & = \langle u_a k_i, k_\perp | \prod_{k'_i \leftarrow k_0} \tilde{P}(k'_i, k_\perp) | u_b k_0, k_\perp \rangle \end{aligned}$$

Advantages: ① No need to approximate
derivates

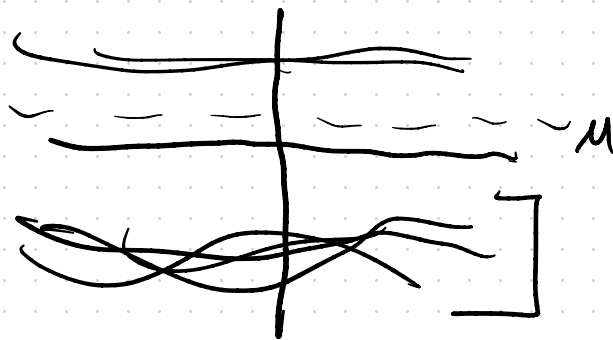
② Converges very fast for Δ small



Connection to observables

$$\sum_i P x_i P \vec{t}_i = P \vec{x} P$$

$P x_i \vec{t}_i P$ has eigenvalues $n t_i + \frac{\varphi_a(k_i)}{2\pi} \vec{t}_i$



ground state at $T=0$ has all
New bands filled

We can try to compute $\langle \vec{x} \rangle_0$ in this

ground state

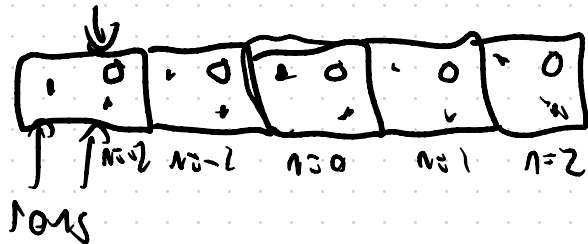
$$\langle \hat{x} \rangle_a = \sum_i \langle x_i \rangle t_i = \frac{1}{(2\pi)^3} \int dk_{\parallel} dk_{\perp} \sum_{a=1}^{N_{occ}} \langle \psi_{a\mathbf{k}} | x_i | \psi_{a\mathbf{k}} \rangle t_i$$

in the Hybrid Wannier basis

$$\langle x_i \rangle = \frac{1}{(2\pi)^2} \int dk_{\perp} \sum_{a=1}^{N_{occ}} \sum_{n=-\infty}^{\infty} \langle w_{a\mathbf{n}k_{\perp}} | x_i | w_{a\mathbf{n}k_{\perp}} \rangle$$

$$= \frac{1}{(2\pi)^2} \int dk_{\perp} \sum_{a=1}^{N_{occ}} \sum_{n=-\infty}^{\infty} \left(n + \frac{\varphi_a(k_{\perp})}{2\pi} \right)$$

Wannier functions



Average dipole moment

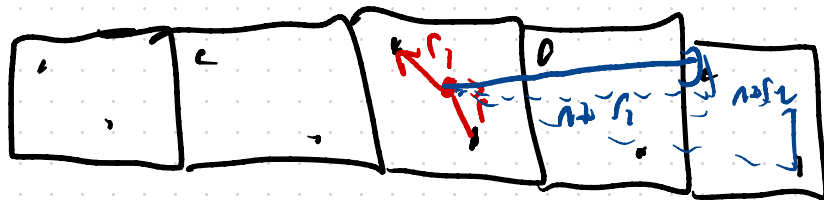
$$d_i = -e \langle x_i \rangle_0 + \sum_{\text{ions}} q_\alpha R_{\alpha,i}$$

↑
indexes
ions in the
unit cell

N_{occ} electrons/
unit cell

Charge neutrality $\sum_\alpha q_\alpha = N_{occ} e$

$$R_{\alpha,i} = n + r_{\alpha}$$



$$n < 0$$

$$d_i = -e \langle x_i \rangle + \sum_{\alpha} q_{\alpha} R_{\alpha,i}$$

$$\sum_{i=-\infty}^{\infty} \left(\frac{-e}{(2\pi)^2} \int_{-\pi}^{\pi} dk \sum_{\substack{N_{occ} \\ q < 1}} \left(n + \frac{q_{\alpha}(k_{\alpha})}{2\pi} \right) + \sum_{\substack{\alpha \text{ in} \\ \text{unit cell}}} q_{\alpha} (n + r_{\alpha}) \right)$$

n -dependent terms:

$$\sum_{n=-\infty}^{\infty} \left(-e N_{bg} n + \sum_{\alpha} q_{\alpha} n \right) = \sum_{n=-\infty}^{\infty} \left(-e N_{occ} n + e N_{bcc} n \right)$$

the rest

$$\sum_{n=-\infty}^{\infty} \left[\left(\frac{-e}{(2\pi)^2} \sum_{\alpha=1}^{N_{occ}} \int d\mathbf{k}_{\perp} \frac{\phi_{\alpha}(\mathbf{k}_{\perp})}{2\pi} + \sum_{\alpha} q_{\alpha} r_{\alpha} \right) \right]$$

ρ_i - electric dipole moment density per unit cell

$$d_i = N \rho_i$$

$$\frac{1}{q_{\text{tot}}} \sum_a q_a r_a = X_{0i} - \text{center of ionic charge in the unit cell}$$

$$q_{\text{tot}} = N_{\text{occ}} e$$

$$\rho_i \approx N_{\text{occ}} e X_{0i} - e \sum_{a=1}^{N_{\text{occ}}} \int d^2 k_{\perp} \frac{1}{(2\pi)^3} \varphi_a(k_{\perp})$$

Remember - $e^{i\varphi_a(k_{\perp})}$ eigenvalue of Wilson loop

$$W_{2\pi\vec{c}\cdot\vec{0}}(k_{\perp})$$

$$\sum_{a=1}^{N_{occ}} \varphi_a(k_{\perp}) = \text{Im} \log \left(e^{i \sum_{a=1}^{N_{occ}} \varphi_a(k_{\perp})} \right)$$

$$= \text{Im} \log \prod_{a=1}^{N_{occ}} e^{i \varphi_a(k_{\perp})}$$

$$= \text{Im} \log \det W_{\mathbf{k}_{\perp} < 0}(k_{\perp})$$

$$= \text{Im} \log e^{i \int_0^{2\pi} dk_i \text{tr} A_i(k_i, k_{\perp})}$$

$$= \int_0^{2\pi} dk_i \text{tr} A_i(k_i, k_{\perp})$$

$$\rho_i = N_{occ} e \chi_{0i} - \frac{e}{(2\pi)^3} \int d^3k \operatorname{tr} A_i(k_i, k_\perp)$$

$$\vec{\rho} = N_{occ} e \vec{\chi}_0 - \frac{e}{(2\pi)^3} \int d^3k \operatorname{tr} \vec{A}(k_i, k_\perp)$$

dipole moment density per unit volume

$$\vec{\rho}_v = \vec{\rho}/v$$

But what about gauge transformations

$$\vec{A} \rightarrow U^\dagger \vec{A} U + i U^\dagger \nabla_k U$$

$$\text{tr} A \rightarrow \text{tr} A + i \text{tr} (U^\dagger \nabla_k U)$$

$$\text{tr} A \rightarrow \text{tr} A - \nabla_k \theta(k)$$

$$\vec{p} \rightarrow \vec{p} - \frac{e}{(2\pi)^d} \int d^3 k \nabla_k \theta(k)$$

↓

$$\vec{p} = \sum_i e M_i \vec{t}_i$$

U - $N_{occ} \times N_{occ}$
unitary matrix

eigenvalues of U
 $e^{i\theta_j(k)}$

$$\theta(k) = \sum_j \theta_j(k)$$

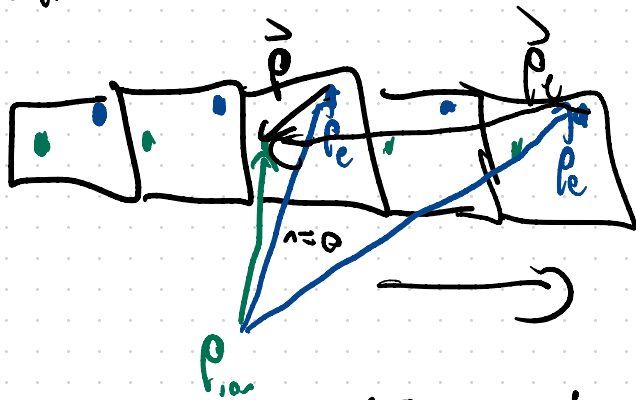
$\det U$ is periodic

$$\det U(k+G) = e^{i\theta(k+G)} = e^{i\theta(k)} = e^{i\theta(k)}$$

$$\Rightarrow \theta(k+G) = \theta(k) + 2\pi M_i$$

$\vec{p}$ is not gauge invariant

$\vec{p}$ is only well defined mod bravais lattice translations

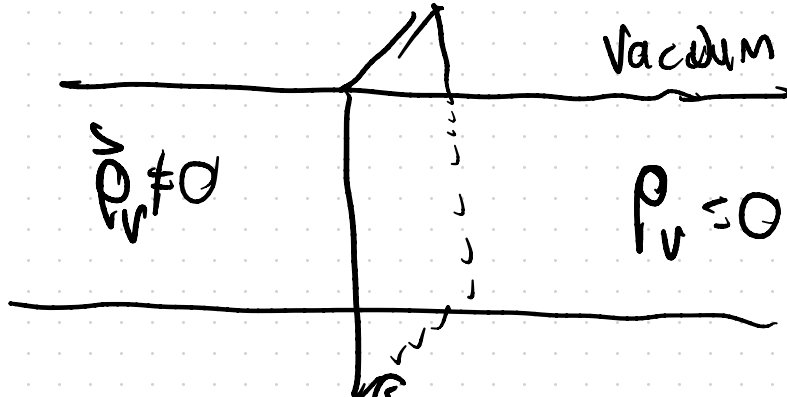


- center of ionic charge
- center of electronic charge

$$|W_{\mathbf{a}\mathbf{k}}\rangle = \frac{1}{2\pi i} \int_0^{2\pi} d\mathbf{k}_i e^{-i\mathbf{k}_i \cdot \mathbf{n}} |\Psi_{\mathbf{a}\mathbf{k}}\rangle \times e^{-i\mathbf{k}_i \cdot \mathbf{m}_i}$$

How do we detect any of this

Maxwell's equations: $\rho_v = -\nabla \cdot \vec{p}_v$



$$\sigma_b = -\Delta \rho_v = -\frac{\Delta \rho}{v} = \frac{\vec{p} \cdot \hat{n}}{v}$$

$$\vec{p} = \underbrace{\frac{-e}{(2\pi)^3} \int d^3k \operatorname{tr} \vec{A}(k)}_{\text{contributes to a fractional } \sigma_6/e} + \underbrace{e \vec{t}}_{\text{corresponds to adding electrons on the boundary}}$$

Summary

$$\vec{p} = -e \vec{t} N - \frac{e}{(2\pi)^3} \int d^3k \operatorname{tr} \vec{A}(k) + \cancel{N_{\text{occ}}} e \vec{x}_0$$

can choose origin @ $\vec{x}_0$ to set N_{occ} of this

- $\vec{p} \bmod e\vec{t}$ is intrinsic
- contributes a fractional # of e/unit cell
to σ_b
- cannot be changed by adding e^- to the
boundary