

Lecture 12

Announcements

- HW1 solution posted, grades returned
- HW2 is due 3/6

Recap: Representations of little groups:

$$G \supset G_k :$$

G_k is symmorphic. $\rho(\{\bar{g} | \vec{t}\}) = e^{-ik \cdot \vec{t}} \eta(\bar{g})$
where η is a representation of $\bar{G}_k = G_k / T$ - "little group"

G_k nonsymmorphic - representations ρ of G_k are from
projective representations of \bar{G}_k
 $G_k = \bigcup_{i=0}^{N-1} T\{\bar{g}_i | \vec{d}_i\}$

$$if \{ \bar{g}_1 | \vec{d}_1 \}, \{ \bar{g}_2 | \vec{d}_2 \} \in \bar{G}_k$$

$$\{ \bar{g}_1 | \vec{d}_1 \} \{ \bar{g}_2 | \vec{d}_2 \} = \{ E | \vec{t}_{12} \} \{ \bar{g}_3 | \vec{d}_3 \}$$

$$\eta(\bar{g}_1) \eta(\bar{g}_2) = e^{iC(\bar{g}_1, \bar{g}_2)} \eta(\bar{g}_3)$$

cocycle

$$C(\bar{g}_1, \bar{g}_2) = -\vec{k} \cdot (\bar{g}_1 \vec{d}_2 + \vec{d}_1 - \vec{d}_3) = -\vec{k} \cdot \vec{t}_{12}$$

Example P2,

$$\vec{e}_1 = a_1 \hat{x} + b_1 \hat{y}$$

$$\vec{e}_2 = a_2 \hat{x} + b_2 \hat{y}$$

$$\vec{e}_3 = c \hat{z}$$

$$P2_1 = \langle \vec{e}_1, \vec{e}_2, \vec{e}_3, \{ C_{23} | \frac{1}{c} \vec{e}_3 \} \rangle$$

$$\{ C_{23} | \frac{1}{c} \vec{e}_3 \}^2 = \{ E | \vec{e}_3 \}$$

$$\vec{b}_1 = \frac{2\pi}{a_2 b_1 - a_1 b_2} (-b_2 \hat{x} + a_2 \hat{y})$$

$$\Gamma: (0, 0, 0)$$

$$\vec{b}_2 = \frac{2\pi}{a_1 b_2 - b_2 a_1} (-b_1 \hat{x} + a_1 \hat{y})$$

$$Z: (0, 0, \frac{1}{2})$$

$$\vec{b}_3 = \frac{2\pi}{c} \hat{z}$$

$\boxed{G_\Gamma = PZ_2 = G_Z}$ the whole space group

Irreps of G_Γ : $G_\Gamma \rightarrow \text{nonsymmorphic}$
 But $k_\Gamma = \vec{0}$

$$C(\bar{g}_1, \bar{g}_2) = \cdot k_T \cdot t_{12} = 0$$

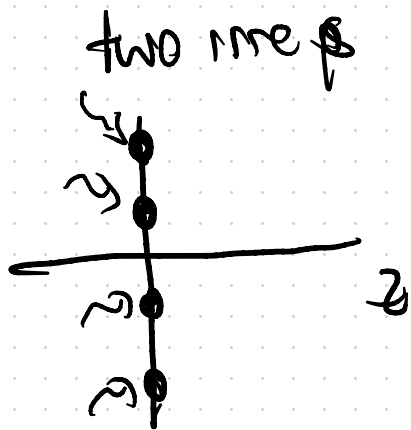
So even for nonsymmorphic G_T , irreps of G_T are inherited from irreps of $\bar{G}_T = \bar{G}$

$$\rho_T(\{E | \vec{t}\}) = e^{-i\vec{0} \cdot \vec{k}} = 1$$

$$\rho_T(\{C_{2z} | \frac{1}{2}\vec{e}_3\}) = \eta(C_{2z}) \quad \eta \text{ is}$$

irreps of $\bar{G} = \langle \underline{E}, \underline{C_{2z}} \rangle$ a point group representation

$$C_{2z}^2 = E$$



	E	z_1	\vec{t}
r_1	1	1	1
r_2	1	-1	1

$$\eta(G_{\vec{r}})^2 = 1$$

$$\eta(G_{\vec{r}}) = \pm 1$$

Next: G_z

if ρ_z is a
mep

$$z: \vec{k} = \frac{1}{z} \vec{b}_3$$

$$\rho_z(\{E|\vec{t}\}) = e^{-i(\frac{1}{z}\vec{b}_3) \cdot \vec{t}}$$

$$\vec{t} = t_1 \vec{e}_1 + t_2 \vec{e}_2 + t_3 \vec{e}_3$$

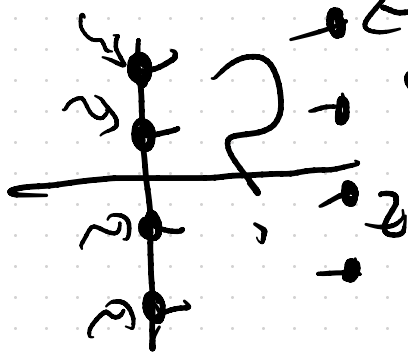
$$= e^{-i\pi t_3}$$

$$\rho_z(\{C_{2t} | \frac{1}{2}\vec{e}_3\}) = \alpha$$

$$\alpha^2 = \rho_z(\{C_{2t} | \frac{1}{2}\vec{e}_3\})^2 = \rho_z(\{E | \vec{e}_3\}) = e^{-i\pi} = -1$$

$$\alpha = \pm i$$

two reps



	E	z_1	\vec{t}
z_1	1	$+i$	$e^{-i\pi t_3}$
z_2	1	$-i$	$e^{-i\pi t_3}$

Schur's Lemma Γ eigenstates $|\Psi_{nT}\rangle$

and $U_{\{C_{2Z} | \frac{1}{2}\vec{e}_3\}} |\Psi_{nT}\rangle = \underbrace{\omega^{\pm}}_{\Gamma_1} \underbrace{1}_{\Gamma_2} |\Psi_{nT}\rangle$

and at Z , eigenstates $|\Psi_{nZ}\rangle$

$$U_{\{C_{2Z} | \frac{1}{2}\vec{e}_3\}} |\Psi_{nZ}\rangle = \underbrace{\omega^{\pm}}_{Z_1} \underbrace{i}_{Z_2} |\Psi_{nZ}\rangle$$

How do we connect little group reps at different points?

Let \vec{k} be some special point w/

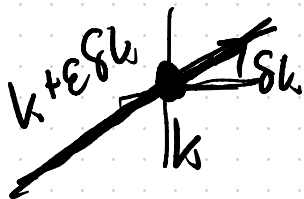
$$G_k > T$$

consider $\vec{k} + \epsilon \delta \vec{k}$

$\vec{k} + \epsilon \delta \vec{k}$ defines a
line in the BZ
as $\epsilon \in [0, 1]$

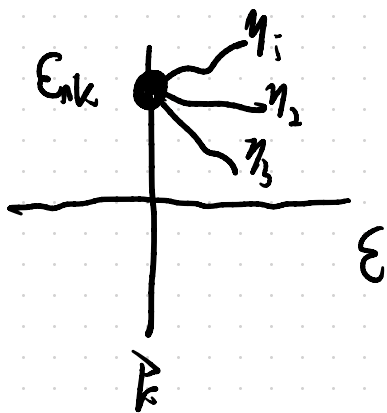
Little group of this line:

$$G_{\vec{k} + \epsilon \delta \vec{k}} = \{g \in G \mid g(\vec{k} + \epsilon \delta \vec{k}) = \vec{k} + \epsilon \delta \vec{k} \text{ for all } \epsilon\}$$



$$G_{k+\epsilon \delta k} < G_k$$

so let's say we have
 irrep ρ_k of G_k



$\{|\Psi_{nk}\rangle\}$ transforming in an

then these states transform
 in a representation $\rho_k \downarrow G_{k+\epsilon \delta k}$

$$= \oplus \eta_i$$

η_i are irreps of
 $G_{k+\epsilon \delta k}$

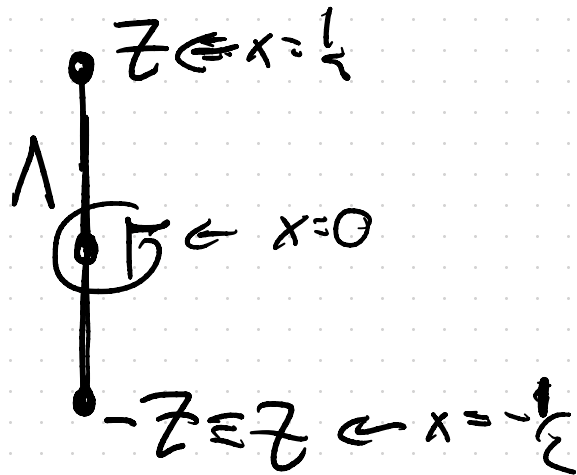
Compatibility relations tell us how irreps change as we change \vec{k}

Lets apply compatibility relations to PZ_1

$$\Gamma: (0,0,0)$$

$$Z_1: (0,0,\frac{1}{2})$$

$$\Lambda: (0,0,x) \quad x \in (-\frac{1}{2}, \frac{1}{2})$$



$G_\Lambda \otimes G$ the full space group

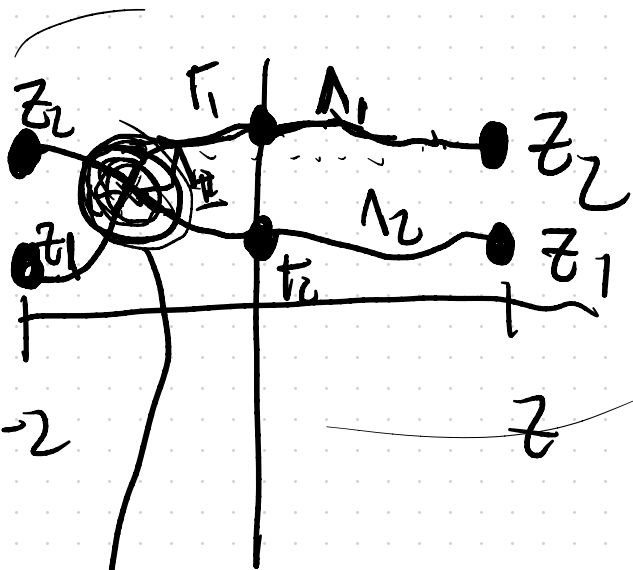
$$\rho_1(\{E|\vec{e}\}) = e^{-2\pi i \times t_3}$$

$$\rho_1(\{C_2|\frac{1}{2}\vec{e}_3\}) = \pm e^{-i\pi x}$$

	E	z_1	t
Λ_1	1	$+e^{-i\pi x}$	$e^{-2\pi i k t_3}$
Λ_2	1	$-e^{-i\pi x}$	$e^{2\pi i k t_3}$

	E	z_1	\vec{t}
Γ_1	1	1	1
Γ_2	1	-1	1

	E	z_1	\vec{t}
z_1	1	$+i$	$e^{-i\pi t_3}$
z_2	1	$-i$	$e^{-i\pi t_3}$

$$\begin{aligned}\Lambda_1 &\leftarrow \Gamma_1 \\ \Lambda_2 &\leftarrow \Gamma_2\end{aligned}$$


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Schur's lemma

$\lambda_1 \quad \lambda_2$

$$z \mapsto \frac{1}{z}$$

$$\lambda_1 \in \mathbb{Z}_2$$

$$\Lambda_2 \in \mathbb{Z}_2$$

$$7 \quad x \rightarrow -\frac{1}{2}$$

$$\lambda_1 \in \mathbb{Z}_1$$

$$\Lambda_L \leftarrow \tau_L$$

$$H(x) \begin{pmatrix} \underbrace{\epsilon_1(x)}_{\text{circle}} & \boxed{\text{circle}} \\ \text{circle} & \underbrace{\epsilon_2(x)}_{\text{circle}} \end{pmatrix} \begin{matrix} \Lambda_1 \\ \Lambda_2 \end{matrix}$$

Bands in PZ_1 come
in connected groups of
2

Lesson: Compatibility
relations for nonsymmorphic
groups cause bands to
be connected \rightarrow Moveable
but nonremovable band crossings

Two last ingredients: ① Spin

② Time-reversal symmetry

Spin: Electrons have spin $-\frac{1}{2}$

So for $G \subset \mathbb{R}^3 \rtimes \text{O}(3)$ in this group
 a 2π rotation is the identity

This is OK if no spin orbit coupling

$$H_{\text{electrons}} = H_0 \otimes \underbrace{\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}}_{\text{spin-independent}} \quad \text{2x2 identity matrix on spin } -\frac{1}{2}$$

for every $g \in \mathbb{R}^3 \rtimes \text{O}(3)$

$$U_g = U_g^{\text{coordinates}} \otimes \underbrace{U_g^{\text{spin}}}_{\text{spin rotation}}$$

$e^i(r, p)$

$$\Theta = [H_{\text{electron}}, U_g]$$

No SOC: $[H_{\text{electron}}, U_g^{\text{coordinates}}]$

If we do have SOC, need to use representations of $SU(2)$ to figure out U_g^{spin}

Reminder $(\hat{n}, \theta) \in SU(2)$

\hat{n} a vector on S^2
 $\theta \in [-2\pi, 2\pi]$

$l = \frac{1}{2}$ representation of $SU(2)$

$$\rho_l((\hat{n}, \theta)) = e^{-i \hat{n} \cdot \vec{\sigma} \frac{\theta}{2}}$$

$\vec{\sigma}$ a vector of 2x2 Pauli matrices

$$\rho_{\frac{1}{2}}(\hat{n}, 2\pi) = \rho_{\frac{1}{2}}(\hat{n}, -2\pi) = \sigma_{\theta}$$

$$\rho_{\frac{1}{2}}(\hat{n}, 0) = \sigma_{\theta}$$

$$(\hat{n}, \theta \pm 2\pi) \equiv \bar{E} \in SU(2)$$

$$\bar{E}^2 = E$$

To encode this we will take $E(3)$ and extend it with \bar{E}

$$\rho(\bar{E}) = \text{Id} \quad \text{for } l \in \mathbb{Z} \text{ integer span}$$

$$\rho(\bar{E}) = -\text{Id} \quad \text{for } l \in \mathbb{Z} + \frac{1}{2}$$

in any representation ρ

$$\text{SU}(2) / \{E, \bar{E}\} = \text{SO}(3)$$

For groups only containing rotations:

$$G < \mathbb{R}^3 \rtimes \text{SO}(3)$$

$$G^d < \mathbb{R}^3 \rtimes \text{SU}(2)$$

$$G^d / \{E, \bar{E}\} = G$$

Ex: Point group $D_2 < \text{SO}(3) = \{E, C_{2x}, C_{2y}, C_{2z}\}$

$$C_{2x} C_{2y} = C_{2y} C_{2x} = C_{2z}$$

$$C_{zx}^2 = C_{zy}^2 = C_{zz}^2 = \mathbb{E}$$

In $SU(2)$ we can look in the defining $\ell = \frac{1}{2}$ rep

$$\rho_{\frac{1}{2}}(C_{2i}) = e^{-i\pi\sigma_i/2} = \cancel{\cos\frac{\pi}{2}} - i\sin\frac{\pi}{2}\sigma_i = -i\sigma_i$$

$$\begin{aligned} \rho_{\frac{1}{2}}(C_{zx}) \rho_{\frac{1}{2}}(C_{zy}) &= (-i\sigma_x)(-i\sigma_y) = i\sigma_z = \rho_{\frac{1}{2}}(C_{zz}) \\ &= \rho_{\frac{1}{2}}(\mathbb{E}) \rho_{\frac{1}{2}}(C_{zy}) \rho_{\frac{1}{2}}(C_{zx}) \end{aligned}$$

so in $SU(2)$: $C_{zx}C_{zy} = \mathbb{E}C_{zy}C_{zx}$

$$C_{zx}^2 = C_{zy}^2 = C_{zz}^2 = \mathbb{E}$$

Double group $D_2^d = \{E, C_{2x}, C_{2y}, C_{2z}, \bar{E}, \bar{E}C_{2x}, \bar{E}C_{2y}, \bar{E}C_{2z}\} = Q$

$$\begin{array}{c} \text{SU}(2) \supset Q \\ \swarrow \searrow \\ \{E, \bar{E}\} = D_2 \\ \uparrow \\ \text{SU}(2) \supset \{E, \bar{E}\} = \text{SO}(3) \end{array}$$

For rotations: view double group as a subgroup of SU(2)