

# Language Models as Knowledge Bases?

Fabio Petroni, Tim Rocktäschel, Patrick Lewis, Anton Bakhtin, Yuxiang Wu,  
Alexander H. Miller, Sebastian Riedel

Jon Vincent Medenilla  
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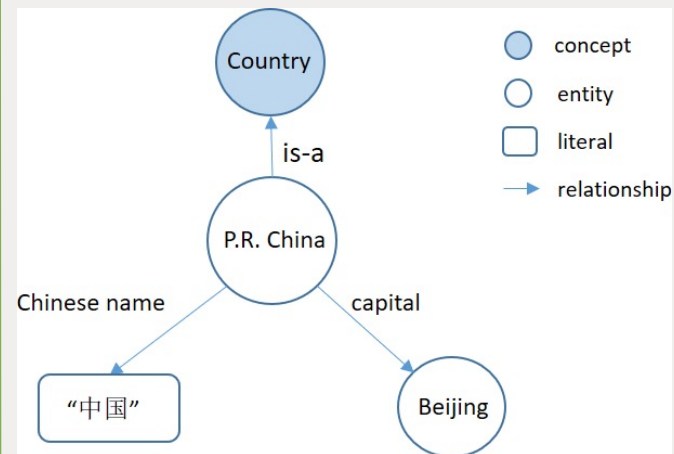
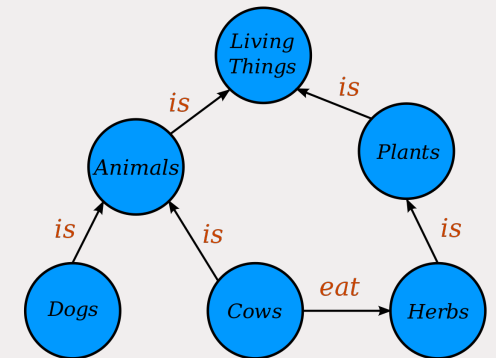


# Knowledge Bases

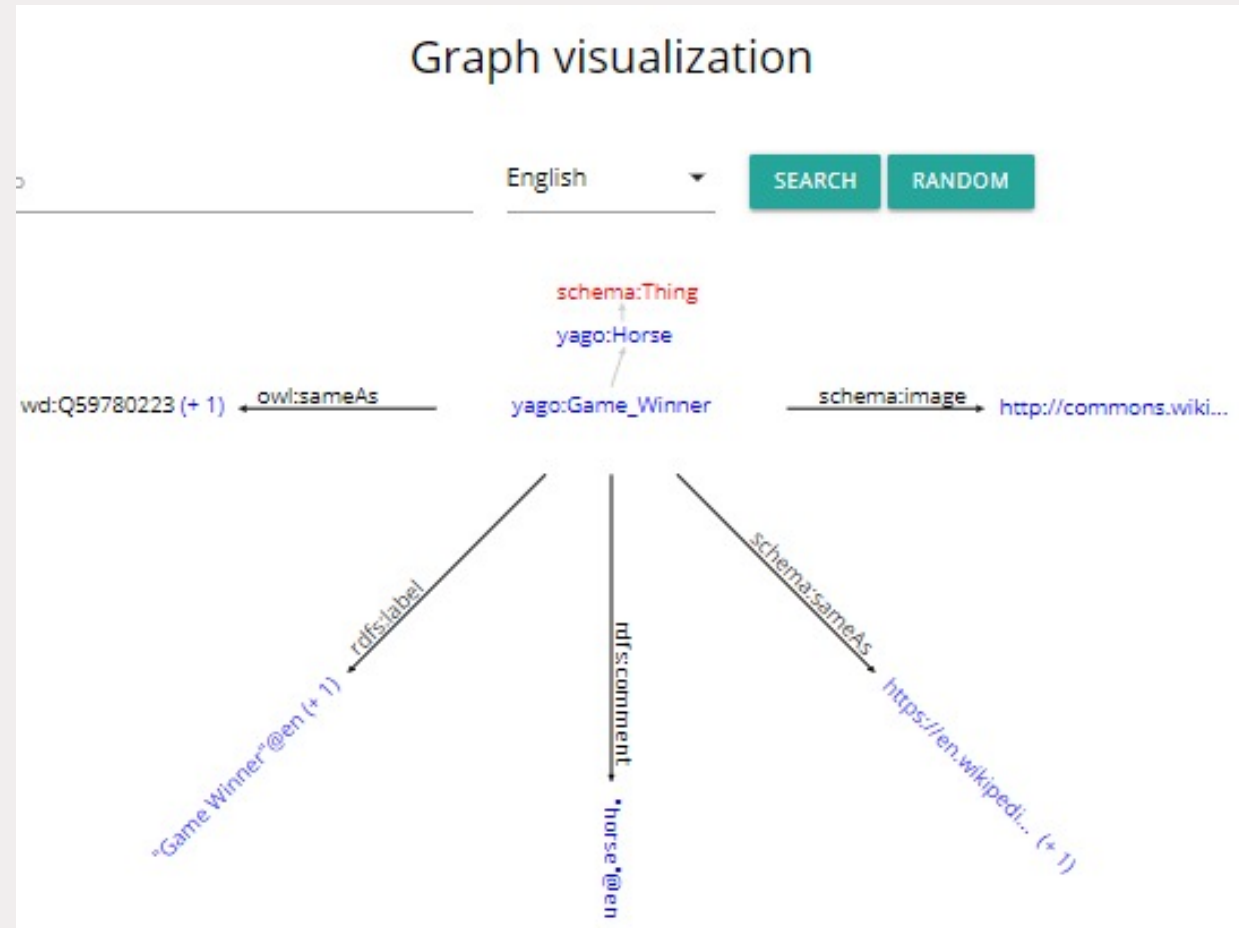
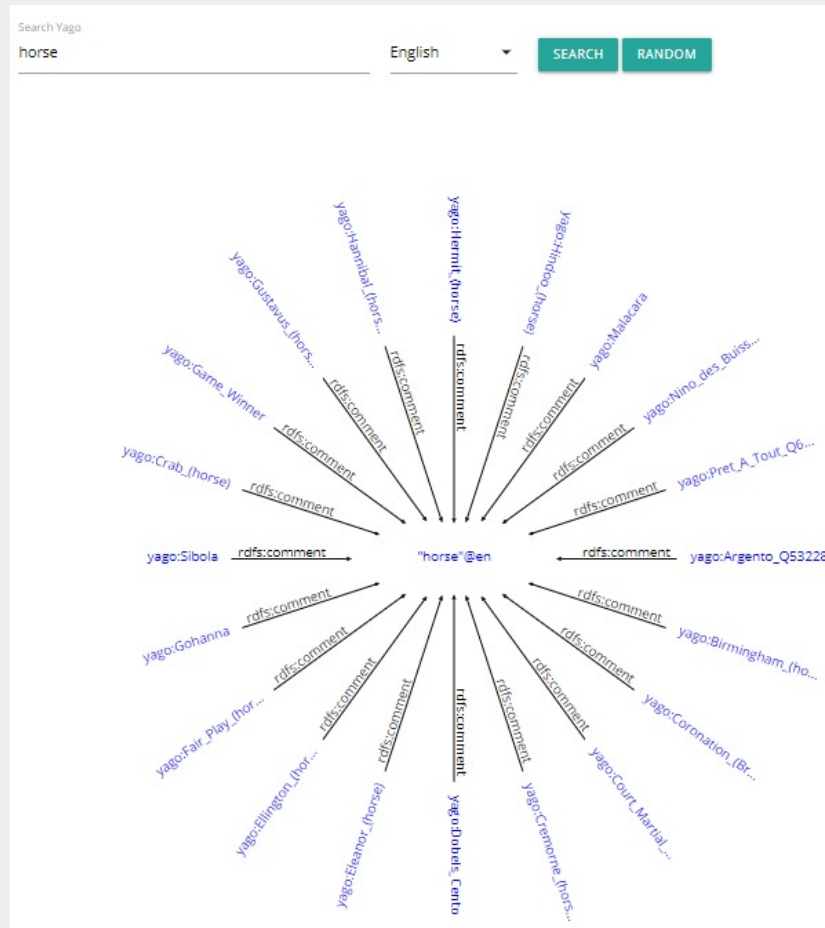
- A knowledge base allows for rapid search, retrieval, and reuse
- Stores information as answers to questions or solutions to problems
- Can be fed into a language model



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# Examples of Knowledge bases



- Concepts like *classes* and *individuals* are modeled as nodes
- *Relations* as edges of graphs
  
- *Classes* - concepts like documents, events, or subjects
- *Individuals* - instances of a class or an object
- *Relations* - capture relationships between classes and individuals
  - *is-type-of, is-instance-of, and has-attribute*

## WordNet Search - 3.1

- [WordNet home page](#) - [Glossary](#) - [Help](#)

Word to search for:

Display Options:

Key: "S:" = Show Synset (semantic) relations, "W:" = Show Word (lexical) relations

Display options for sense: (gloss) "an example sentence"

### Noun

- [S:](#) (n) **smile**, [smiling](#), [grin](#), [grinning](#) (a facial expression characterized by turning up the corners of the mouth; usually shows pleasure or amusement)

### Verb

- [S:](#) (v) **smile** (change one's facial expression by spreading the lips, often to signal pleasure)
- [S:](#) (v) **smile** (express with a smile) "*She smiled her thanks*"

# How knowledge bases are used in NLP models:

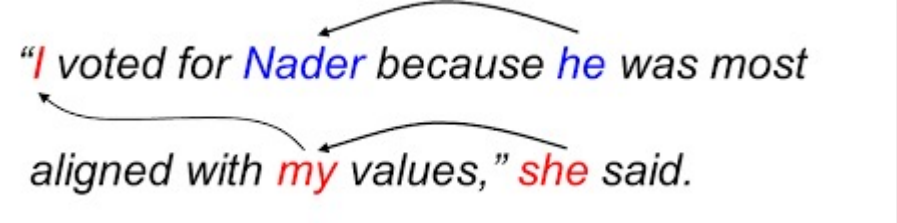
- Entity extraction – replace or augment entity occurrences in text

In fact, the **Chinese** NORP market has the **three** CARDINAL most influential names of the retail and tech space – **Alibaba** GPE, **Baidu** ORG, and **Tencent** PERSON (collectively touted as **BAT** ORG), and is betting big in the global **AI** GPE in retail industry space. The **three** CARDINAL giants which are claimed to have a cut-throat competition with the **U.S.** GPE (in terms of resources and capital) are positioning themselves to become the ‘future **AI** PERSON platforms’. The trio is also expanding in other **Asian** NORP countries and investing heavily in the **U.S.** GPE based **AI** GPE startups to leverage the power of **AI** GPE. Backed by such powerful initiatives and presence of these conglomerates, the market in APAC AI is forecast to be the fastest-growing **one** CARDINAL, with an anticipated **CAGR** PERSON of **45%** PERCENT over **2018 - 2024** DATE.

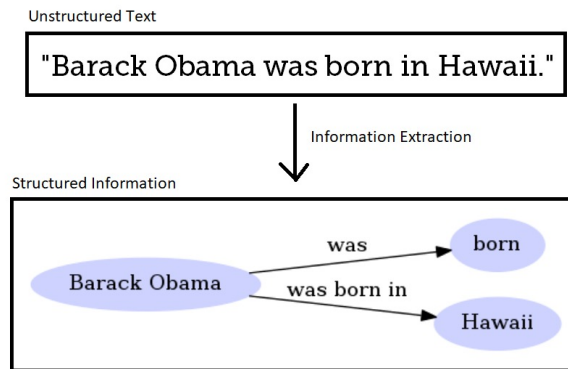
To further elaborate on the geographical trends, **North America** LOC has procured **more than 50%** PERCENT of the global share in **2017** DATE and has been leading the regional landscape of **AI** GPE in the retail market. The **U.S.** GPE has a significant credit in the regional trends with **over 65%** PERCENT of investments (including M&As, private equity, and venture capital) in artificial intelligence technology. Additionally, the region is a huge hub for startups in tandem with the presence of tech titans, such as **Google** ORG, **IBM** ORG, and **Microsoft** ORG.

- Coreference resolution:

*"I voted for Nader because he was most aligned with my values," she said.*



- Entity Linking:



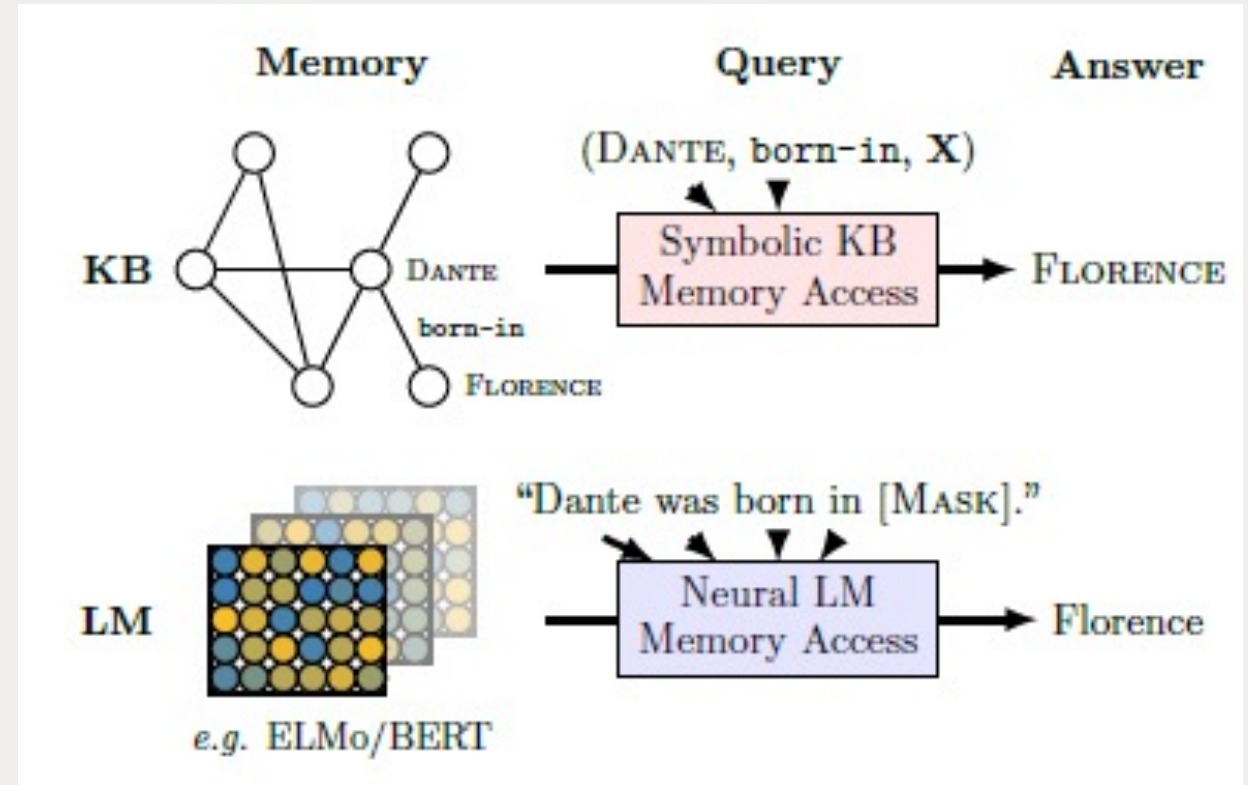
# Proposed

## Solution:

- Ask the model to fill in masked tokens
- "Alex was born in [MASK]"
- Pre-trained high-capacity models such as ELMo and BERT store vast amounts of linguistic knowledge useful for downstream tasks

### The Pros:

- Requires no schema engineering
- No need for human annotations
- Supports a more diverse/open set of inquiries



# Questions this paper addresses:

- How much relational knowledge do they store?
- How does this differ for different types of knowledge such as facts about entities, common sense, and general question answering?
- How does their performance without fine-tuning compare to symbolic knowledge bases automatically extracted from text?



# LAMA (Language Model Analysis) Probe

- consisting of a set of knowledge sources, each comprised of a set of facts (subject, relation, object)
- Success depends on predicting masked objects such as “Dante was born in \_\_\_\_”
- tested for a variety of types of knowledge: relations between entities stored in Wikidata, common sense relations between concepts from ConceptNet, and knowledge necessary to answer natural language questions in SQuAD.
- Key Steps:
  - Query each model for a missing token
  - Evaluate each model based on how highly they rank the ground truth token against every word in a fixed candidate vocabulary

# Knowledge Sources Used:

- Google-RE - contains ~60K facts manually extracted from Wikipedia
  - Only utilized 3 relations: "place of birth", "date of birth" and "place of death"
  - manually defined a template for each considered relation, e.g., "[Adam] was born in [Illinois]" for "place of birth"
- T-Rex - is a subset of Wikidata triples
  - Much larger than Google-RE with broader relations
  - Facts were automatically aligned to Wikipedia (can be noisy)
- SQuAD
  - Question-answering dataset
  - a subset of 305 context-insensitive questions with single token answers
  - rewriting "Who developed the theory of relativity?" as "The theory of relativity was developed by \_\_\_".
- ConceptNet
  - Multilingual knowledge base, initially built on top of Open Mind Common Sense sentences
  - English parts that have single-token objects covering 16 relations

# Language Models evaluated:

- **Unidirectional Language Models:**

- Given a string of input tokens  $w = [w_1, w_2, \dots, w_n]$ , assign probability  $p(w)$

$$p(\mathbf{w}) = \prod_t p(w_t | w_{t-1}, \dots, w_1).$$

- Using neural language models:

$$p(w_t | w_{t-1}, \dots, w_1) = \text{softmax}(\mathbf{W}\mathbf{h}_t + \mathbf{b})$$

- $\mathbf{h}_t$  = output vector at position  $t$
- $\mathbf{W}$  = learned parameter matrix

# Fairseq~fconv

- Multiple layers of gated convolutions
- Trained on the WikiText-103 corpus

# Transformer~XL

- Large-scale LM based on the Transformer
- Takes into account a longer history
- Used relative instead of absolute positional encoding
- Trained on the WikiText-103 corpus

## • Bidirectional Language Models:

### • ELMO:

- Given a string of input tokens  $w = [w_1, w_2, \dots, w_n]$  and position  $1 \leq i \leq N$ , estimate

$$p(w_i) = p(w_i | w_1, \dots, w_{i-1}, w_{i+1}, \dots, w_N)$$

- ELMo: Forward and backward LSTM, resulting in  $\vec{h}_i$  and  $\overleftarrow{h}_i$ 
  - Trained on the Google Billion Word dataset
- ELMo 5.5B
  - Trained on English Wikipedia and monolingual news crawl from WMT 2008-2012

## • BERT:

- Transformer architecture
- Trained on the BookCorpus and English Wikipedia
- **language modelling** (15% of tokens were masked and BERT was trained to predict them from context) and **next sentence prediction** (if a chosen next sentence was probable or not given the first sentence)
- BERT-base (12 encoders with 12 bidirectional self-attention heads)
- BERT-large (24 encoders with 16 bidirectional self-attention heads)

# Methodology

$$p(\mathbf{w}) = \prod_t p(w_t | w_{t-1}, \dots, w_1).$$

$$p(w_t | w_{t-1}, \dots, w_1) = \text{softmax}(\mathbf{W}\mathbf{h}_t + \mathbf{b})$$

$\mathbf{W} = [\text{'compare'}, \text{'language'}, \text{'models'}, \text{'to'}, \text{'canonical'}, \text{'ways'}]$

$$\begin{aligned} p(\text{'ways'}) &= \prod p(\text{'ways'} | \text{'canonical'}, \dots, \text{'compare'}) \\ &= \text{softmax}(\mathbf{W}\mathbf{h}_{\text{ways}} + \mathbf{b}) \end{aligned}$$

Unidirectional:

$h_{t-1}$  = output vector at 'canonical'

Bidirectional:

ELMo : ( $t = 2 \Rightarrow \text{'models'}$ )

$\vec{h}_{t-1}$  = output vector at 'language'

$\vec{h}_{t+1}$  = output vector at 'to'

- ELMo: averaged forward and backward probabilities from the corresponding softmax layers
- BERT: masked the token at position  $t$ , fed output to vector corresponding to masked token ( $h_t$ ) into softmax layer

# Baselines

- **Freq**
  - subject and relation pair, this baseline ranks words based on how frequently they appear as objects for the given relation in the test data
- **Relation Extraction (RE)**
  - extracts relation triples from a given sentence using an LSTM-based encoder and an attention mechanism
  - constructs a knowledge graph of triples
  - At test time, they queried this graph by finding the subject entity and then rank all objects in the correct relation based on the confidence scores by the RE
- **DrQA**
  - a popular system for open-domain question answering
  - Two-step pipeline:
    - First, a TF/IDF information retrieval step is used to find relevant articles from a large store of documents (e.g. Wikipedia)
    - Secondly, on the retrieved top k articles, a neural reading comprehension model then extracts answers



# Metrics

- Rank-based metrics
- For multiple valid objects for Subject-Relation pair, removed all other valid objects from the candidates when ranking at test time other than the ones they were testing
- Mean precision at k (P@k)
  - For a given fact, this value is 1 if the object is ranked among the top k results, 0 otherwise

# Considerations in LAMA

- Manually Define Templates:
  - Manually defined a template that queries for the object slot for each relation
  - For example, for a relation ID “works-for”, and the user asks for “is-working-for”, the accuracy would be 0
  - e.g., “[S] was born in [O]” for “place of birth”.
- Single Token
- Object Slots
  - Only in triples (subject, relation, object)
- Intersection of Vocabularies
  - ELMO uses ~800K tokens compared to BERT’s ~30K tokens
  - Intersection of 2 vocabularies yielding ~21K tokens

# Results

Corpus	Relation	Statistics		Baselines		KB		LM					
		#Facts	#Rel	Freq	DrQA	RE <sub>n</sub>	RE <sub>o</sub>	Fs	Txl	Eb	E5B	Bb	Bl
Google-RE	birth-place	2937	1	4.6	-	3.5	13.8	4.4	2.7	5.5	7.5	14.9	<b>16.1</b>
	birth-date	1825	1	1.9	-	0.0	<b>1.9</b>	0.3	1.1	0.1	0.1	1.5	1.4
	death-place	765	1	6.8	-	0.1	7.2	3.0	0.9	0.3	1.3	13.1	<b>14.0</b>
	Total	5527	3	4.4	-	1.2	7.6	2.6	1.6	2.0	3.0	9.8	<b>10.5</b>
T-REx	1-1	937	2	1.78	-	0.6	10.0	17.0	36.5	10.1	13.1	68.0	<b>74.5</b>
	<i>N</i> -1	20006	23	23.85	-	5.4	<b>33.8</b>	6.1	18.0	3.6	6.5	32.4	34.2
	<i>N</i> - <i>M</i>	13096	16	21.95	-	7.7	<b>36.7</b>	12.0	16.5	5.7	7.4	24.7	24.3
	Total	34039	41	22.03	-	6.1	<b>33.8</b>	8.9	18.3	4.7	7.1	31.1	32.3
ConceptNet	Total	11458	16	4.8	-	-	-	3.6	5.7	6.1	6.2	15.6	<b>19.2</b>
SQuAD	Total	305	-	-	<b>37.5</b>	-	-	3.6	3.9	1.6	4.3	14.1	17.4

Table 2: Mean precision at one (P@1) for a frequency baseline (Freq), DrQA, a relation extraction with naïve entity linking (RE<sub>n</sub>), oracle entity linking (RE<sub>o</sub>), fairseq-fconv (Fs), Transformer-XL large (Txl), ELMo original (Eb), ELMo 5.5B (E5B), BERT-base (Bb) and BERT-large (Bl) across the set of evaluation corpora.

# Discussion of Results

Corpus	Relation	Statistics		Baselines		KB		LM					
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	death-place	765	1	6.8	-	0.1	7.2	3.0	0.9	0.3	1.3	13.1	<b>14.0</b>
	Total	5527	3	4.4	-	1.2	7.6	2.6	1.6	2.0	3.0	9.8	<b>10.5</b>

- From earlier example, "Adam was born in [MASK]"
- BERT-Large (last column) outperformed all models by a substantial margin
- RE<sub>n</sub> - naïve entity linking, i.e. exact string matching
- RE<sub>o</sub> - uses an oracle for entity-linking, i.e. any given (s, r, o) in sentence x, if any other (s', r, o') has been extracted in the same sentence, s will be linked to s', and o to o'

Corpus	Relation	Statistics		Baselines		KB		LM					
		#Facts	#Rel	Freq	DrQA	RE <sub>n</sub>	RE <sub>o</sub>	Fs	Txl	Eb	E5B	Bb	Bl
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	<i>N-1</i>	20006	23	23.85	-	5.4	<b>33.8</b>	6.1	18.0	3.6	6.5	32.4	34.2
	<i>N-M</i>	13096	16	21.95	-	7.7	<b>36.7</b>	12.0	16.5	5.7	7.4	24.7	24.3
	<b>Total</b>	<b>34039</b>	<b>41</b>	<b>22.03</b>	-	<b>6.1</b>	<b>33.8</b>	<b>8.9</b>	<b>18.3</b>	<b>4.7</b>	<b>7.1</b>	<b>31.1</b>	<b>32.3</b>

- More facts and relations than Google-RE
- BERT-Large performed better on 1-to-1 relations, i.e. "capital-of"
- N-1: Multiple valid subjects-relations-> 1 correct object
- N-M relations: multiple objects for a subject-relation pair. i.e. "Brian owns [car, laptop, iPhone,etc]"

Corpus	Relation	Statistics		Baselines		KB		LM					
		#Facts	#Rel	Freq	DrQA	RE <sub>n</sub>	RE <sub>o</sub>	Fs	Txl	Eb	E5B	Bb	Bl
ConceptNet	Total	11458	16	4.8	-	-	-	3.6	5.7	6.1	6.2	15.6	19.2
SQuAD	Total	305	-	-	37.5	-	-	3.6	3.9	1.6	4.3	14.1	17.4

- BERT-Large achieved best performance for ConceptNet
  - Able to retrieve commonsense knowledge at a similar level to factual knowledge

	Relation	Query	Answer	Generation
ConceptNet	AtLocation	You are likely to find a overflow in a ____.	drain	sewer [-3.1], canal [-3.2], toilet [-3.3], stream [-3.6], <b>drain</b> [-3.6]
	CapableOf	Ravens can ____.	fly	<b>fly</b> [-1.5], fight [-1.8], kill [-2.2], die [-3.2], hunt [-3.4]
	CausesDesire	Joke would make you want to ____.	laugh	cry [-1.7], die [-1.7], <b>laugh</b> [-2.0], vomit [-2.6], scream [-2.6]
	Causes	Sometimes virus causes ____.	infection	disease [-1.2], cancer [-2.0], <b>infection</b> [-2.6], plague [-3.3], fever [-3.4]
	HasA	Birds have ____.	feathers	wings [-1.8], nests [-3.1], <b>feathers</b> [-3.2], died [-3.7], eggs [-3.9]
	HasPrerequisite	Typing requires ____.	speed	patience [-3.5], precision [-3.6], registration [-3.8], accuracy [-4.0], <b>speed</b> [-4.1]
	HasProperty	Time is ____.	finite	short [-1.7], passing [-1.8], precious [-2.9], irrelevant [-3.2], gone [-4.0]
	MotivatedByGoal	You would celebrate because you are ____.	alive	happy [-2.4], human [-3.3], <b>alive</b> [-3.3], young [-3.6], free [-3.9]
	ReceivesAction	Skills can be ____.	taught	acquired [-2.5], useful [-2.5], learned [-2.8], combined [-3.9], varied [-3.9]
	UsedFor	A pond is for ____.	fish	swimming [-1.3], fishing [-1.4], bathing [-2.0], <b>fish</b> [-2.8], recreation [-3.1]

Corpus	Relation	Statistics		Baselines		KB		LM					
		#Facts	#Rel	Freq	DrQA	RE <sub>n</sub>	RE <sub>o</sub>	Fs	Txl	Eb	E5B	Bb	Bl
SQuAD	Total	305	-	-	<b>37.5</b>	-	-	3.6	3.9	1.6	4.3	14.1	17.4

- Open domain cloze-style (fill in the blanks)
- Huge performance gap between BERT-Large and supervised DrQA
- Note: BERT and ELMo were both unsupervised and not fine-tuned for this task
- In terms of P@10 (Top-10 best answers), gap is remarkably small (57.1 for Bl and 63.5 for DrQA)

# Conclusions

- For an unsupervised, not fine-tuned, pre-trained model BERT-Large, it is possible to recall knowledge better than its competitors, comparable to that of a knowledge base extracted with an off-the-shelf relation extractor and an oracle-based entity linker from a corpus known to express the relevant knowledge
- factual knowledge can be recovered surprisingly well from pretrained language models, however, for some relations (particularly N-to-M relations) performance is very poor
- This paper focused on the as-is knowledge inherent in the weights of existing pre-trained models which are often used as starting points for most research works
- Language models trained on ever-growing corpora might become a viable alternative to traditional knowledge bases extracted from text in the future



# Limitations

- Only used Single-Token objects as prediction targets
- Chose only query objects in triples
- Still spent time manually defining templates for each relation

Questions/Thoughts?