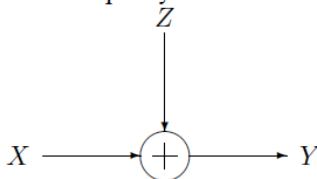


## Problem Solving Session 5

### 7.2

- 2) **An additive noise channel.** Find the channel capacity of the following discrete memoryless channel:



where  $\Pr\{Z = 0\} = \Pr\{Z = a\} = \frac{1}{2}$ . The alphabet for  $x$  is  $\mathbf{X} = \{0, 1\}$ . Assume that  $Z$  is independent of  $X$ . Observe that the channel capacity depends on the value of  $a$ .

- 2) *A sum channel.*

$$Y = X + Z \quad X \in \{0, 1\}, \quad Z \in \{0, a\}$$

We have to distinguish various cases depending on the values of  $a$ .

$a = 0$  In this case,  $Y = X$ , and  $\max I(X; Y) = \max H(X) = 1$ . Hence the capacity is 1 bit per transmission.

$a \neq 0, 1$  In this case,  $Y$  has four possible values  $0, 1, a$  and  $1 + a$ . Knowing  $Y$ , we know the  $X$  which was sent, and hence  $H(X|Y) = 0$ . Hence  $\max I(X; Y) = \max H(X) = 1$ , achieved for an uniform distribution on the input  $X$ .

$a = 1$  In this case  $Y$  has three possible output values,  $0, 1$  and  $2$ , and the channel is identical to the binary erasure channel discussed in class, with  $a = 1/2$ . As derived in class, the capacity of this channel is  $1 - a = 1/2$  bit per transmission.

$a = -1$  This is similar to the case when  $a = 1$  and the capacity here is also  $1/2$  bit per transmission.

### 7.3

- 3) **Channels with memory have higher capacity.** Consider a binary symmetric channel with  $Y_i = X_i \oplus Z_i$ , where  $\oplus$  is mod 2 addition, and  $X_i, Y_i \in \{0, 1\}$ .

Suppose that  $\{Z_i\}$  has constant marginal probabilities  $\Pr\{Z_i = 1\} = p = 1 - \Pr\{Z_i = 0\}$ , but that  $Z_1, Z_2, \dots, Z_n$  are not necessarily independent. Assume that  $Z^n$  is independent of the input  $X^n$ . Let  $C = 1 - H(p, 1 - p)$ . Show that  $\max_{p(x_1, x_2, \dots, x_n)} I(X_1, X_2, \dots, X_n; Y_1, Y_2, \dots, Y_n) \geq nC$ .

3) Channels with memory have a higher capacity.

$$Y_i = X_i \oplus Z_i,$$

where

$$Z_i = \begin{cases} 1 & \text{with probability } p \\ 0 & \text{with probability } 1 - p \end{cases}$$

and  $Z_i$  are not independent.

$$\begin{aligned} I(X_1, X_2, \dots, X_n; Y_1, Y_2, \dots, Y_n) &= H(X_1, X_2, \dots, X_n) - H(X_1, X_2, \dots, X_n | Y_1, Y_2, \dots, Y_n) \\ &= H(X_1, X_2, \dots, X_n) - H(Z_1, Z_2, \dots, Z_n | Y_1, Y_2, \dots, Y_n) \\ &\geq H(X_1, X_2, \dots, X_n) - H(Z_1, Z_2, \dots, Z_n) \\ &\geq H(X_1, X_2, \dots, X_n) - \sum H(Z_i) \\ &= H(X_1, X_2, \dots, X_n) - nH(p) \\ &= n - nH(p), \end{aligned}$$

if  $X_1, X_2, \dots, X_n$  are chosen i.i.d.  $\sim \text{Bern}(\frac{1}{2})$ . The capacity of the channel with memory over  $n$  uses of the channel is

$$\begin{aligned} nC^{(n)} &= \max_{p(x_1, x_2, \dots, x_n)} I(X_1, X_2, \dots, X_n; Y_1, Y_2, \dots, Y_n) \\ &\geq I(X_1, X_2, \dots, X_n; Y_1, Y_2, \dots, Y_n)_{p(x_1, x_2, \dots, x_n) = \text{Bern}(\frac{1}{2})} \\ &\geq n(1 - H(p)) \\ &= nC. \end{aligned}$$

Hence channels with memory have higher capacity. The intuitive explanation for this result is that the correlation between the noise decreases the effective noise; one could use the information from the past samples of the noise to combat the present noise.

## 7.4

4) **Channel capacity.** Consider the discrete memoryless channel  $Y = X + Z \pmod{11}$ , where

$$Z = \begin{pmatrix} 1, & 2, & 3 \\ 1/3, & 1/3, & 1/3 \end{pmatrix}$$

and  $X \in \{0, 1, \dots, 10\}$ . Assume that  $Z$  is independent of  $X$ .

- Find the capacity.
- What is the maximizing  $p^*(x)$ ?

4) *Channel capacity.*

$$Y = X + Z \pmod{11}$$

where

$$Z = \begin{cases} 1 & \text{with probability } 1/3 \\ 2 & \text{with probability } 1/3 \\ 3 & \text{with probability } 1/3 \end{cases}$$

In this case,

$$H(Y|X) = H(Z|X) = H(Z) = \log 3,$$

independent of the distribution of  $X$ , and hence the capacity of the channel is

$$\begin{aligned} C &= \max_{p(x)} I(X;Y) \\ &= \max_{p(x)} H(Y) - H(Y|X) \\ &= \max_{p(x)} H(Y) - \log 3 \\ &= \log 11 - \log 3, \end{aligned}$$

which is attained when  $Y$  has a uniform distribution, which occurs (by symmetry) when  $X$  has a uniform distribution.

- a) The capacity of the channel is  $\log \frac{11}{3}$  bits/transmission.
- b) The capacity is achieved by a uniform distribution on the inputs.  $p(X = i) = \frac{1}{11}$  for  $i = 0, 1, \dots, 10$ .