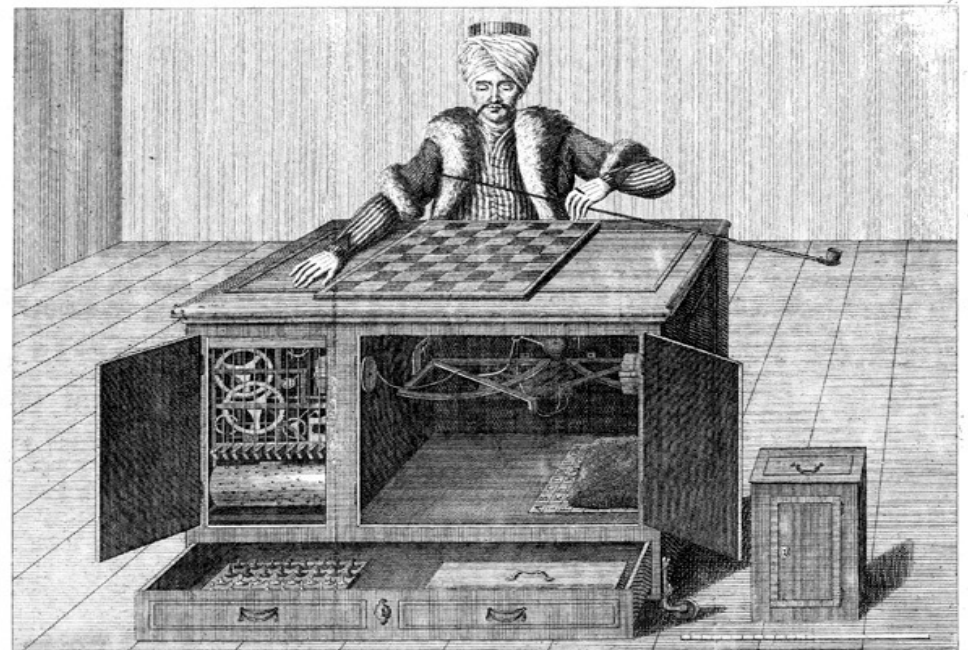


# CS440/ECE448 Lecture 16: Two-Player Games

Mark Hasegawa-Johnson, 2/2023

Includes some slides by Svetlana Lazebnik



*W. de Kempelen del. Che. a Mechel, occid. Bastilia. P. G. Pratz, sc.*  
*Der Schachspieler, wie er vor dem Spiele gezeigt wird von vorne. Le joueur d'Échecs, tel qu'on le montre avant le jeu, par devant.*

By Karl Gottlieb von Windisch - Copper engraving from the book: Karl Gottlieb von Windisch, Briefe über den Schachspieler des Hrn. von Kempelen, nebst drei Kupferstichen die diese berühmte Maschine vorstellen. 1783. Original Uploader was Schaelss (talk) at 11:12, 7. Apr 2004., Public Domain, <https://commons.wikimedia.org/w/index.php?curid=424092>

# Outline

- Alternating two-player zero-sum games
- Minimax search
- Evaluation functions
- Alpha-beta search
- Computational complexity of alpha-beta

# Games vs. single-agent search

- We don't know how the opponent will act
- The solution is not a fixed sequence of actions from start state to goal state, but a ***strategy*** or ***policy***

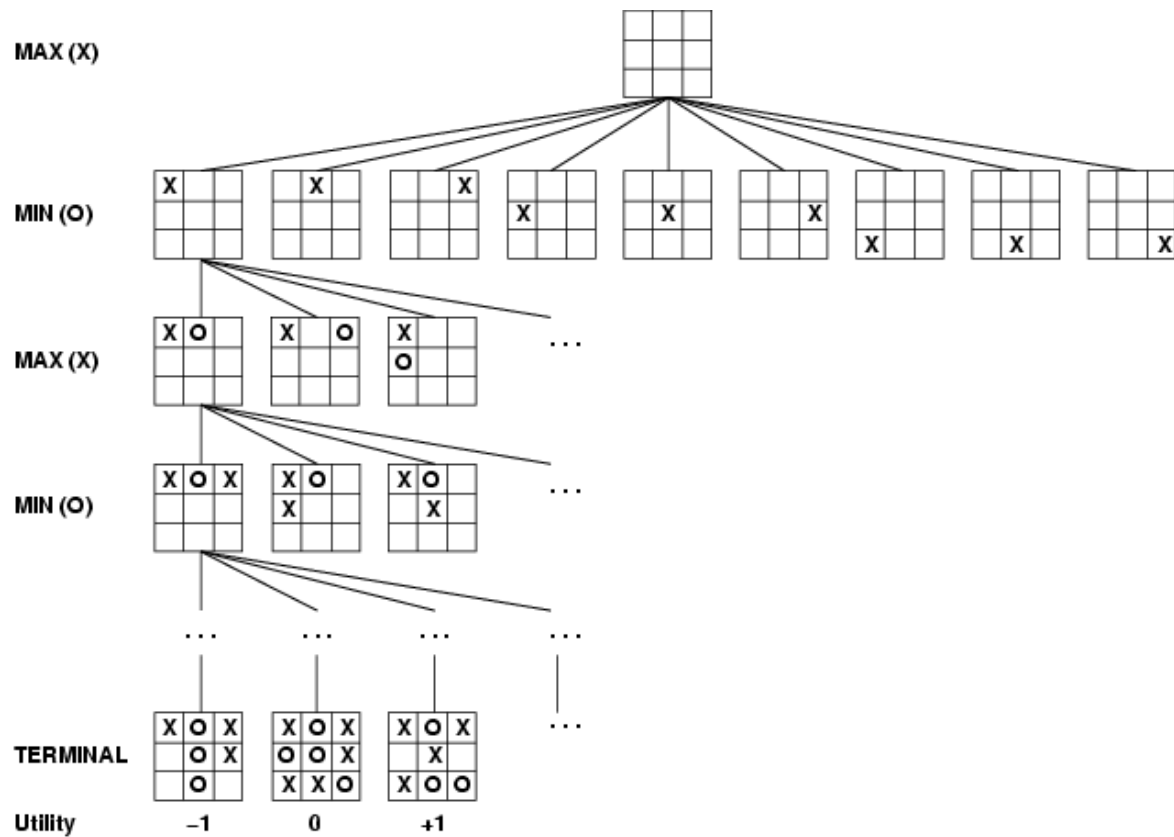
Definition of **policy**: a policy is a function  $\pi: \mathcal{S} \rightarrow \mathcal{A}$  that maps from world states,  $s \in \mathcal{S}$ , to actions,  $a \in \mathcal{A}$ .

# Alternating two-player zero-sum games

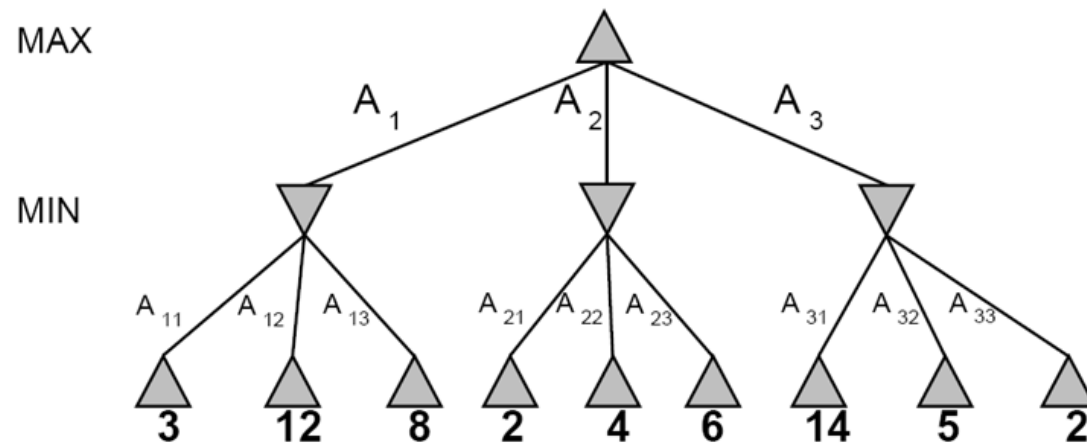
- Players take turns
- Each game outcome or **terminal state** has a **utility** for each player (e.g., 1 for win, 0 for tie, -1 for loss)
- The sum of both players' utilities is a constant, e.g.,  
$$\text{Utility}(\text{player 0}) + \text{Utility}(\text{player 1}) = 0$$
- Player 0 tries to maximize  $\text{Utility}(\text{player 0})$ . Let's call this player "Max"
- Player 1 tries to minimize  $\text{Utility}(\text{player 0})$ . Let's call this player "Min"

# Game tree

A game of tic-tac-toe between two players, "max" and "min"



# A more abstract game tree



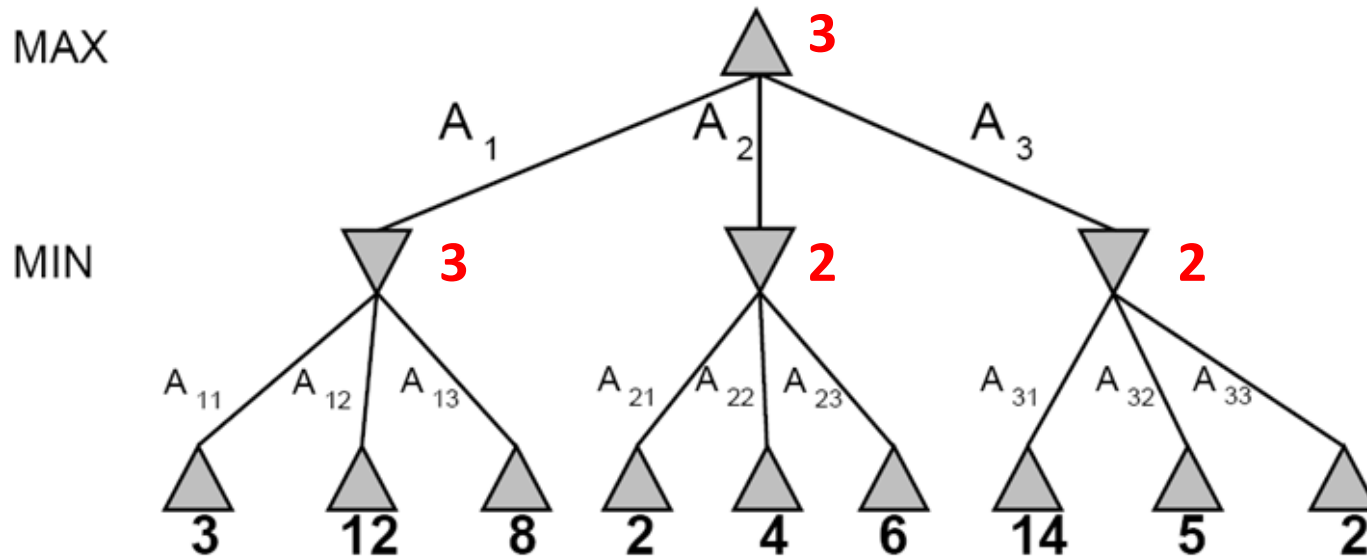
- ▲ = game state from which MAX can play
- ▼ = game state from which MIN can play

number = value of that game state for MAX

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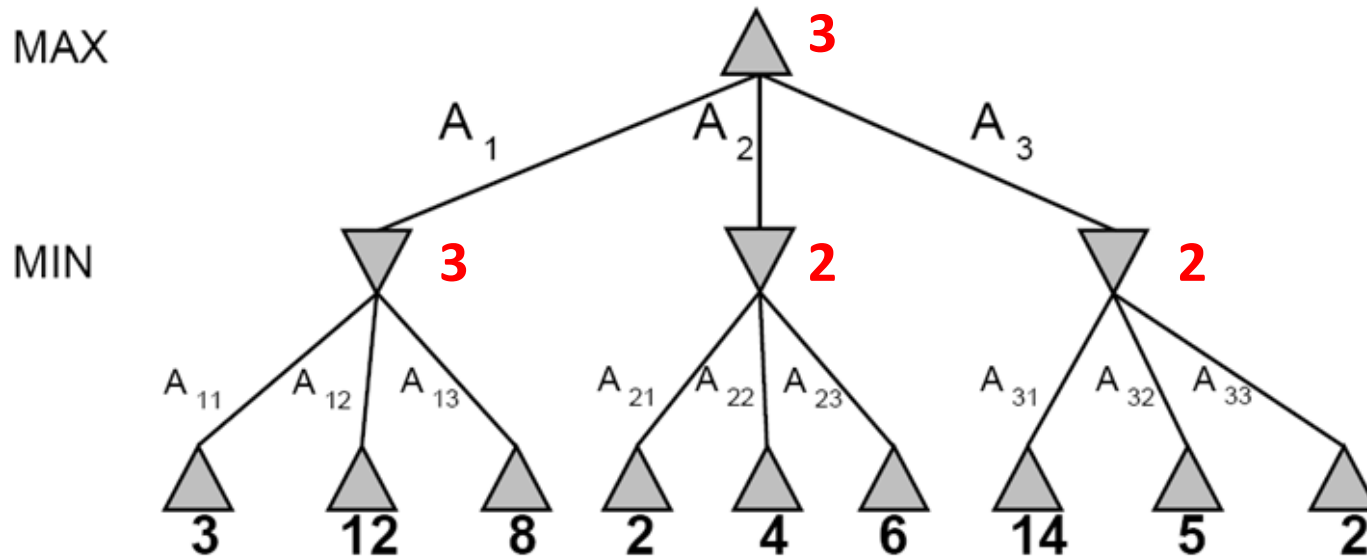
# Game tree search



- **Minimax value of a node:** the utility (for MAX) of being in the corresponding state, assuming perfect play on both sides
- **Minimax strategy:** Choose the move that gives the best worst-case payoff



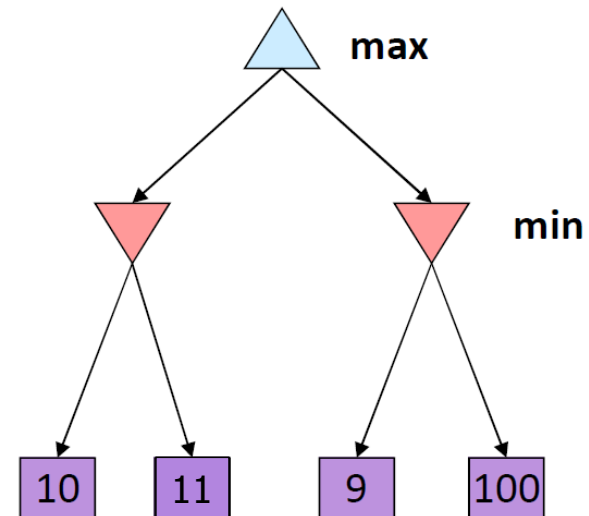
## Computing the minimax value of a node



- **Minimax**(*node*) =
  - Utility(*node*) if *node* is terminal
  - $\max_{action} \text{Minimax}(\text{Succ}(\text{node}, \text{action}))$  if *player* = MAX
  - $\min_{action} \text{Minimax}(\text{Succ}(\text{node}, \text{action}))$  if *player* = MIN







# Optimality of minimax

- The minimax strategy is optimal against an optimal opponent
- What if your opponent is suboptimal?
- If you play using the **minimax-optimal** sequence of moves, then the utility you earn will always be **greater than or equal** to the amount that you predict.

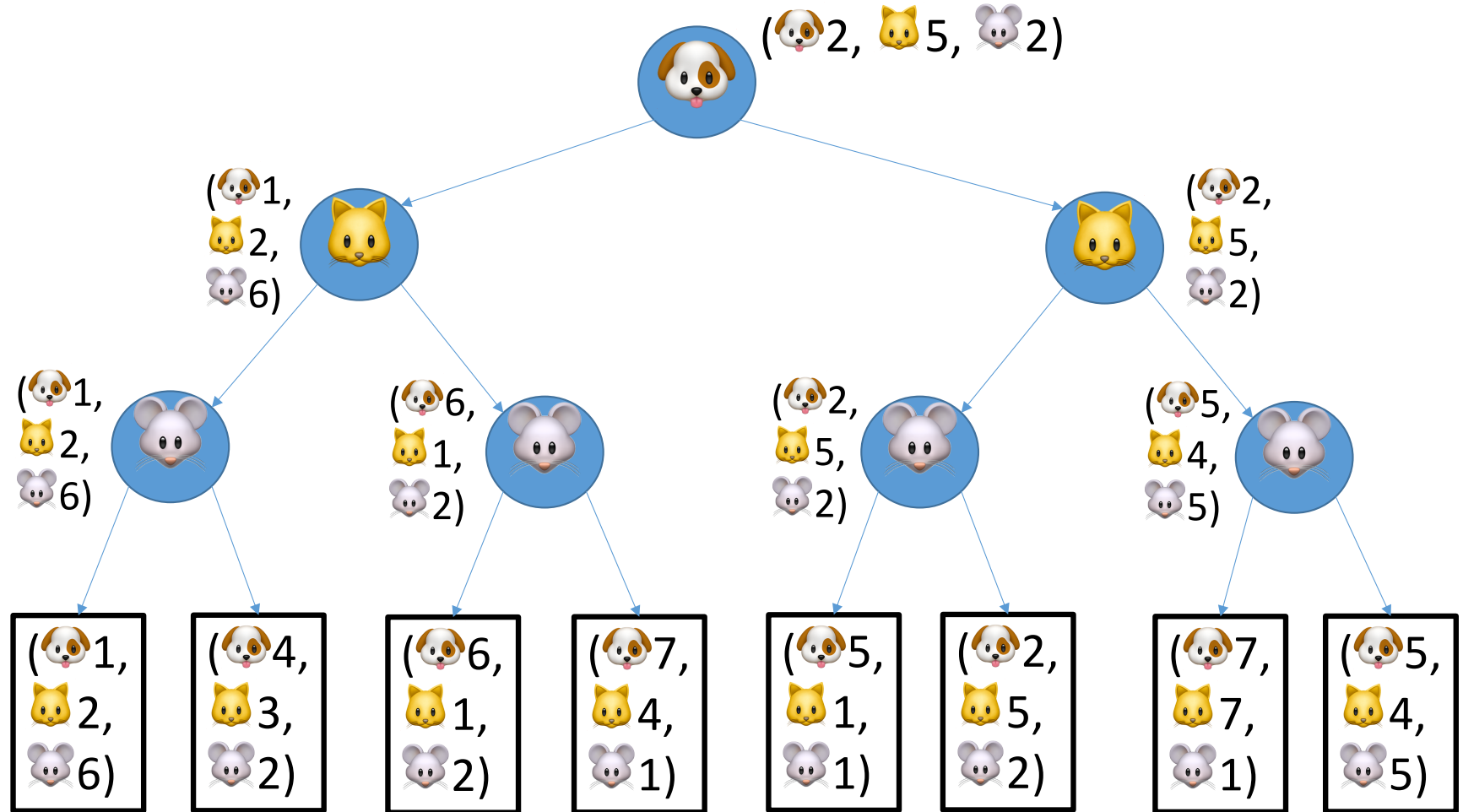


Example from D. Klein and P. Abbeel

# Multi-player games; Non-zero-sum games

- More than two players. For example:
  - Dog () tries to maximize the number of doggie treats
  - Cat () tries to maximize the number of cat treats
  - Mouse () tries to maximize the number of mouse treats
- Non-zero-sum. We can't just assume that Min's score is the opposite of Max's. Instead, utilities are now tuples. For example:
  - (5, 8, 2) = 5 doggie treats, 8 kitty treats, 2 mouse treats
- Each player maximizes their own utility at their node

# Minimax in multi-player & non-zero-sum games



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# Limited-Horizon Search: limited computation

In a practical game, we compute minimax to a limited depth, because we have limited computational ability

- Depth=1: evaluate every possible current move, look at the resulting game state, decide which resulting game state looks the best, and take that action.
  - Computational complexity to choose your next move:  $\mathcal{O}\{b\}$ , if there are  $b$  possible moves.
- Depth=2: evaluate every possible current move, and every move that your opponent might make in response, and then look at resulting game states.
  - Computational complexity to choose your next move:  $\mathcal{O}\{b^2\}$ .
- Depth=3: evaluate every possible sequence of three moves (mine, my opponent's, then mine), and look at the resulting game states.
  - Computational complexity to choose your next move:  $\mathcal{O}\{b^3\}$ .

# Evaluation functions

In order to evaluate the quality of a game state  $s \in \mathcal{S}$ , we need to design an evaluation function  $v(s)$ . It should have the following properties:

- $v(s)$  should be a reasonable estimate of the outcome of the game, but
- It must be possible to compute  $v(s)$  quickly, i.e., typically we desire that its computational complexity is no more than  $\mathcal{O}\{b\}$ . If its complexity was higher, then we might get better results by using a cheaper evaluation function in a deeper minimax search.

Example:  
Depth 1  
search,  
Chess



In chess, traditionally, the black player is MIN.  
What move should MIN choose, from this board position?

Graphics: created by the PyChess community.

Game board shown: game1.txt from the MP5 distribution.



Example:  
Depth 1  
search,  
Chess

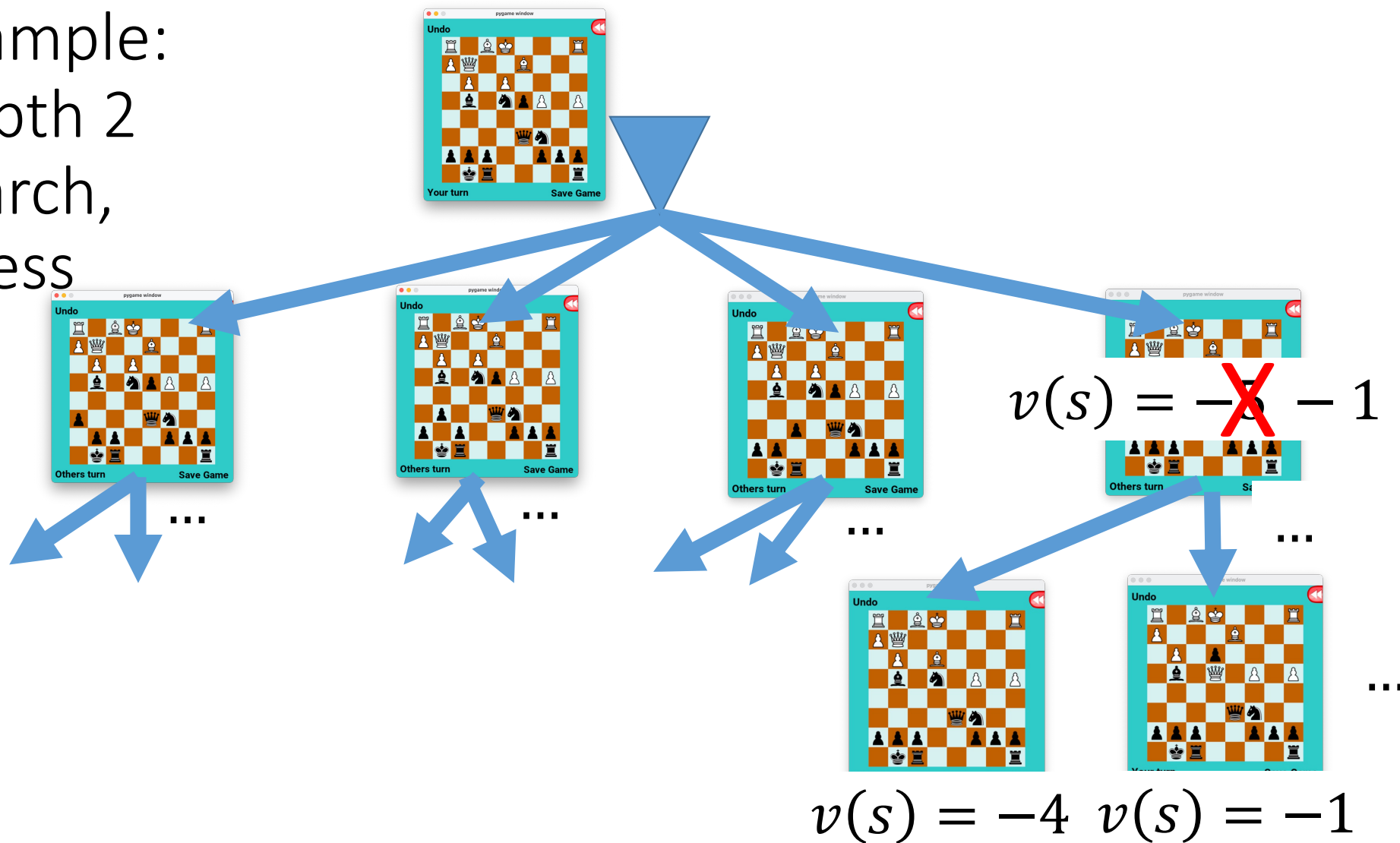


In chess, traditionally, the black player is MIN.

Since one move has a final board value less than the others, MIN will choose that move (in a depth-1 search).








Example:  
Depth 2  
search,  
Chess



# Typical chess evaluation function

Each side receives:

- 9 points per remaining queen 
- 5 points per remaining rook 
- 3 points per remaining bishop 
- 3 points per remaining knight 
- 1 point per remaining pawn 

$v(s)$  = points for white - points for black

The PyChess evaluation function provides extra point depending on the location of each piece on the board.

# Evaluation functions in general

Evaluation function must be reasonably accurate, but computationally simple. Often this means a linear evaluation function:

$$v(s) = w_1 f_1(s) + w_2 f_2(s) + \dots$$

- $f_1(s), f_2(s), \dots$  are features of the game state  $s$
- $w_1, w_2 \dots$  are real-valued weights.

Notice: this is just a one-layer neural net, with input vector  $f(s) = [f_1(s), f_2(s), \dots]$  and weight vector  $w = [w_1, w_2, \dots]$ .

Recently, deeper neural nets are also sometimes used.

# Cutting off search

- **Horizon effect:** you may incorrectly estimate the value of a state by overlooking an event that is just beyond the depth limit
  - For example, a damaging move by the opponent that can be delayed but not avoided
- Remedies: search a small number of possible extensions to depth+1.
  - **Quiescence search:** extend only “unstable” moves, e.g., moves that capture a piece.
  - **Singular extension:** extend only very strong moves.
  - **Stochastic search:** randomly sample a small number of possible future paths.

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# Computational complexity of minimax

- Suppose that, at each game state, there are  $b$  possible moves
- Suppose we search to a depth of  $d$
- Then the computational complexity is  $O\{b^d\}$ !

# Basic idea of alpha-beta pruning

- Computational complexity of minimax is  $O\{b^d\}$
- There is no known algorithm to make it polynomial time
- But... can we reduce the exponent? For example, could we make the complexity  $O\{b^{d/2}\}$ ?
- If we could do that, then it would become possible to search twice as far, using the same amount of computation. This could be the difference between a beginner chess player vs. a grand master.



## Basic idea of alpha-beta pruning

- The basic idea of alpha-beta pruning is to reduce the complexity of minimax from  $O\{b^d\}$  to  $O\{b^{d/2}\}$ .
- We can do this by only evaluating half of the levels.
- How can we "only evaluate half the levels" without losing accuracy?
- Why it works: It is possible to compute the exact minimax decision without expanding every node in the game tree

# The pruning thresholds, alpha and beta

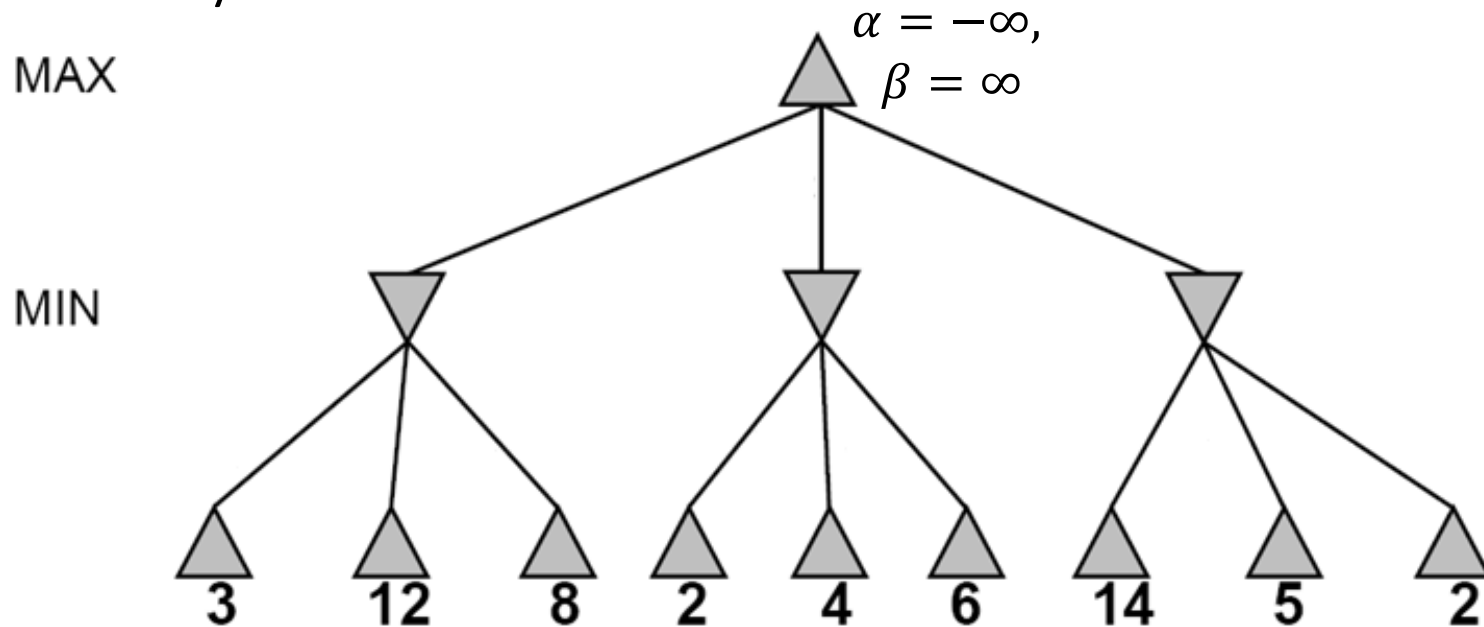
Alpha-beta pruning requires us to keep track of two pruning thresholds, alpha and beta.

- alpha ( $\alpha$ ) is the highest score that MAX knows how to force MIN to accept.
- beta ( $\beta$ ) is the lowest score that MIN knows how to force MAX to accept.
- $\alpha \leq \beta$

# Alpha-beta pruning

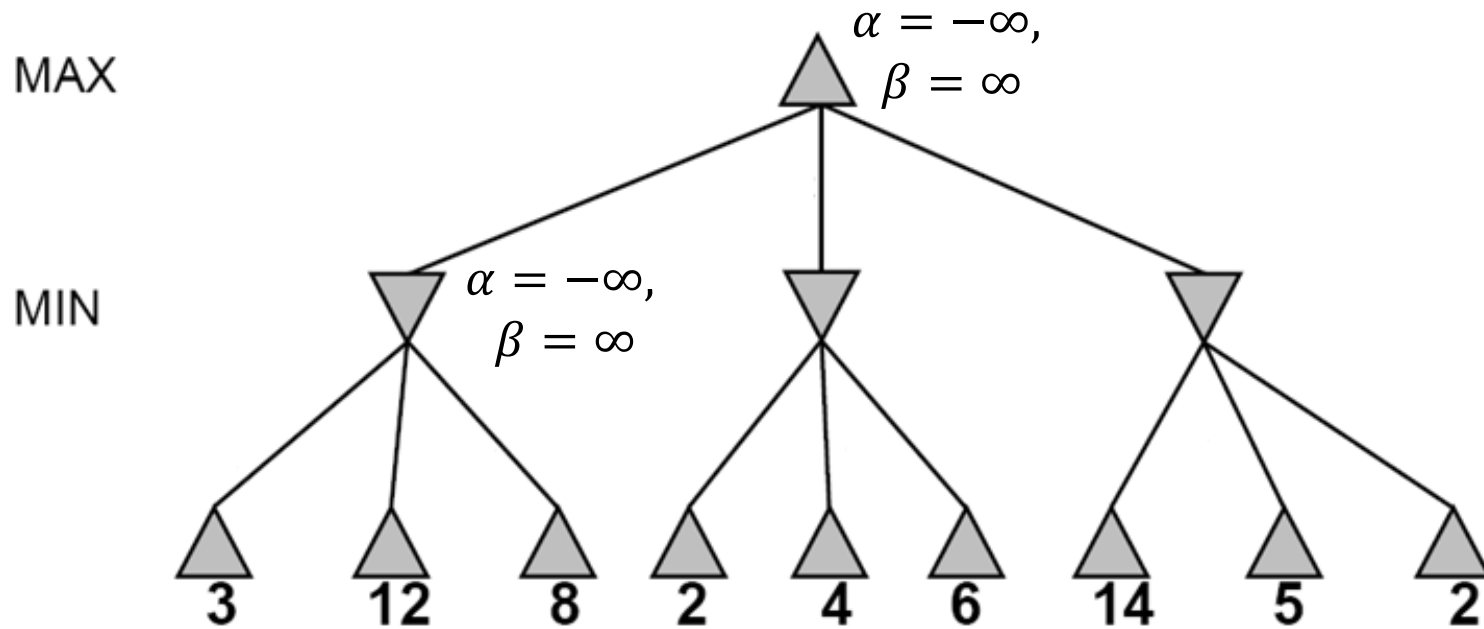
## Initialize:

- alpha ( $\alpha$ ) is the highest score that MAX knows how to force MIN to accept, which is initially  $-\infty$ .
- beta ( $\beta$ ) is the lowest score that MIN knows how to force MAX to accept, which is initially  $\infty$ .



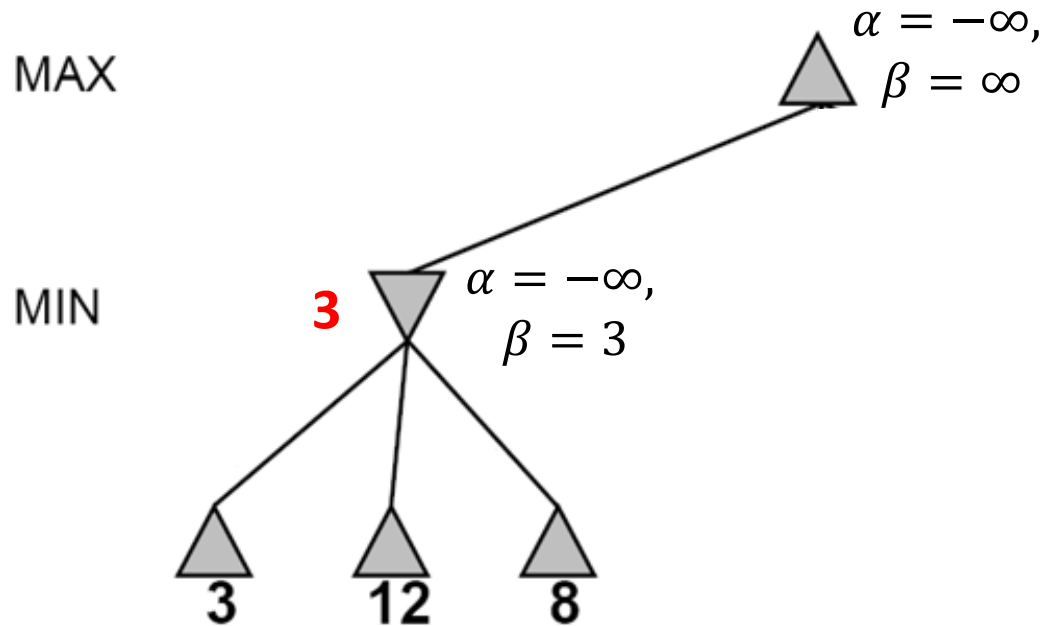
# Alpha-beta pruning

**Inheritance**: Child inherits alpha and beta from its parent



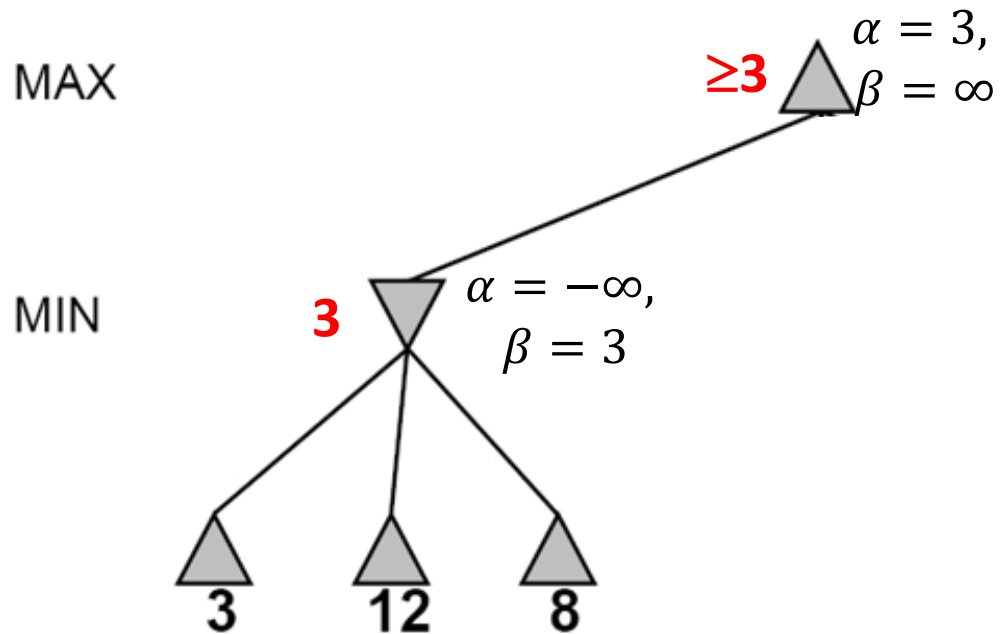
# Alpha-beta pruning

Update: a **min** node can update beta. A max node can update alpha.



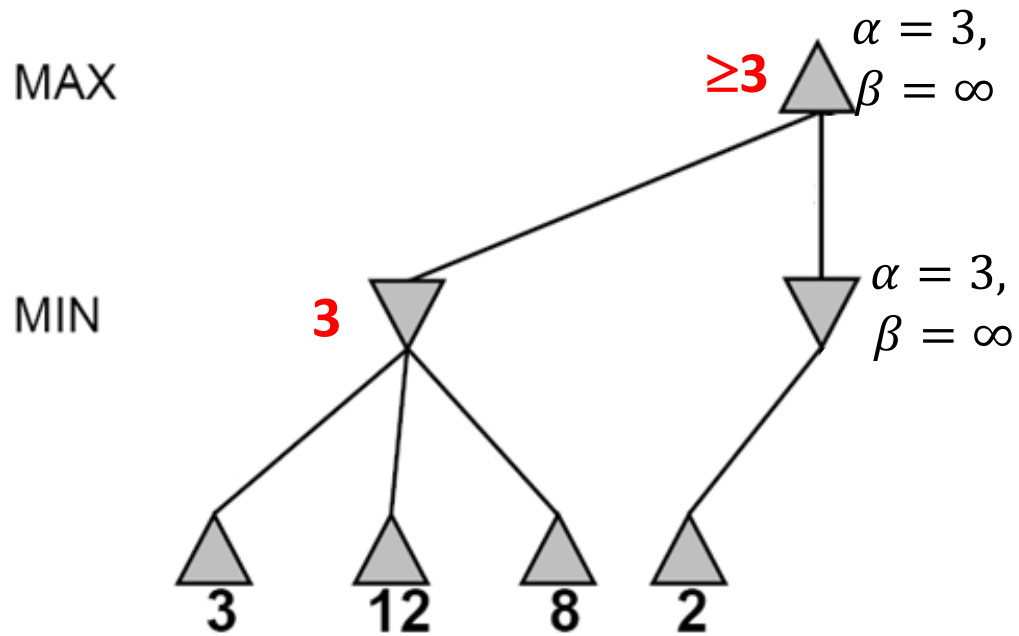
# Alpha-beta pruning

**Update:** a min node can update beta. A **max** node can update alpha.



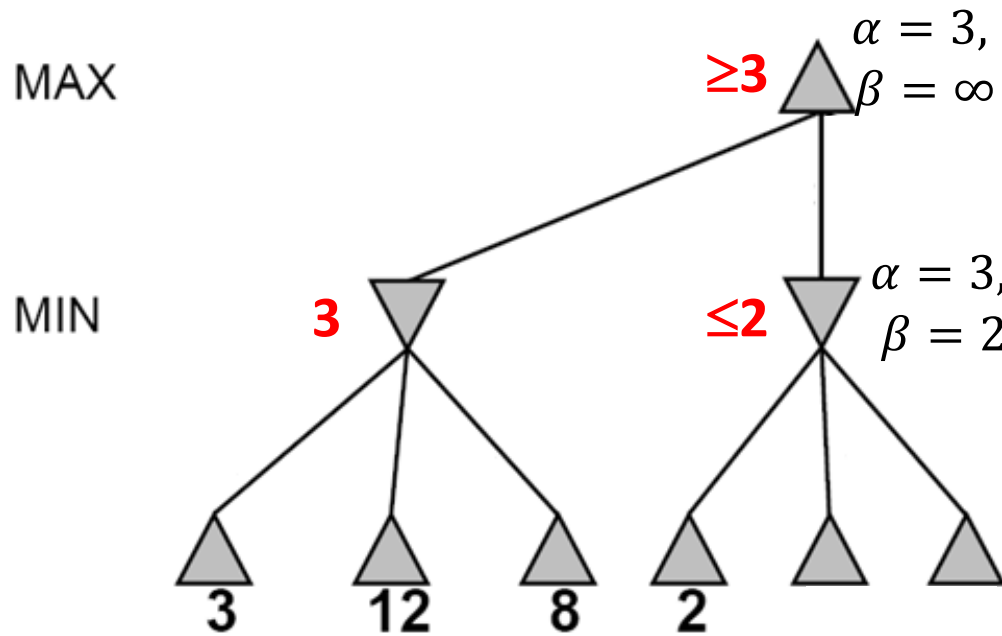
# Alpha-beta pruning

**Inheritance**: Child inherits alpha and beta from its parent



# Alpha-beta pruning

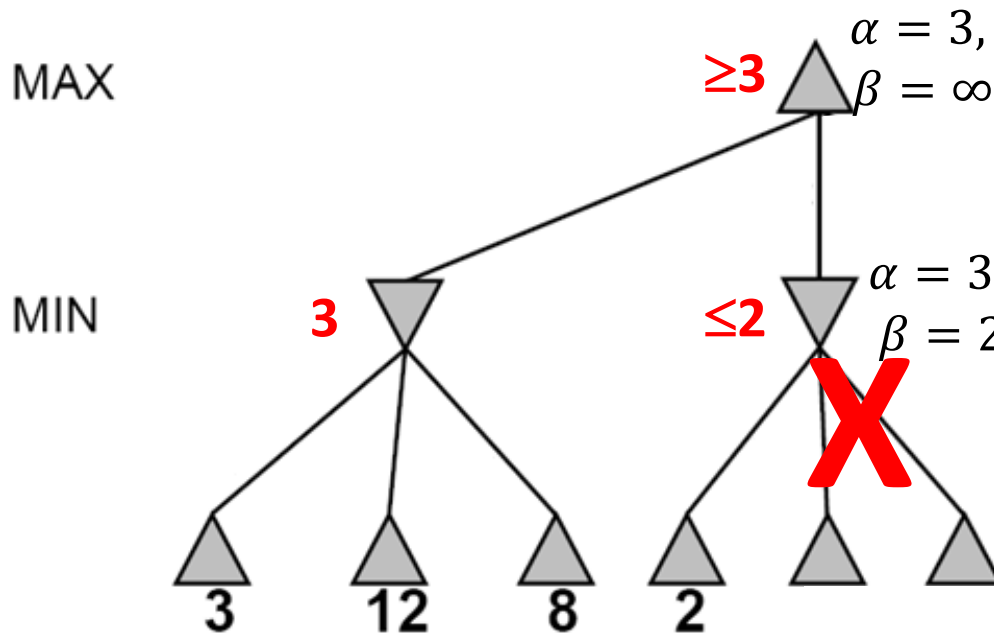
**Update:** a min node can update beta. A max node can update alpha.





# Alpha-beta pruning

**Pruning:** If beta ever falls below alpha, prune any remaining children, and return.

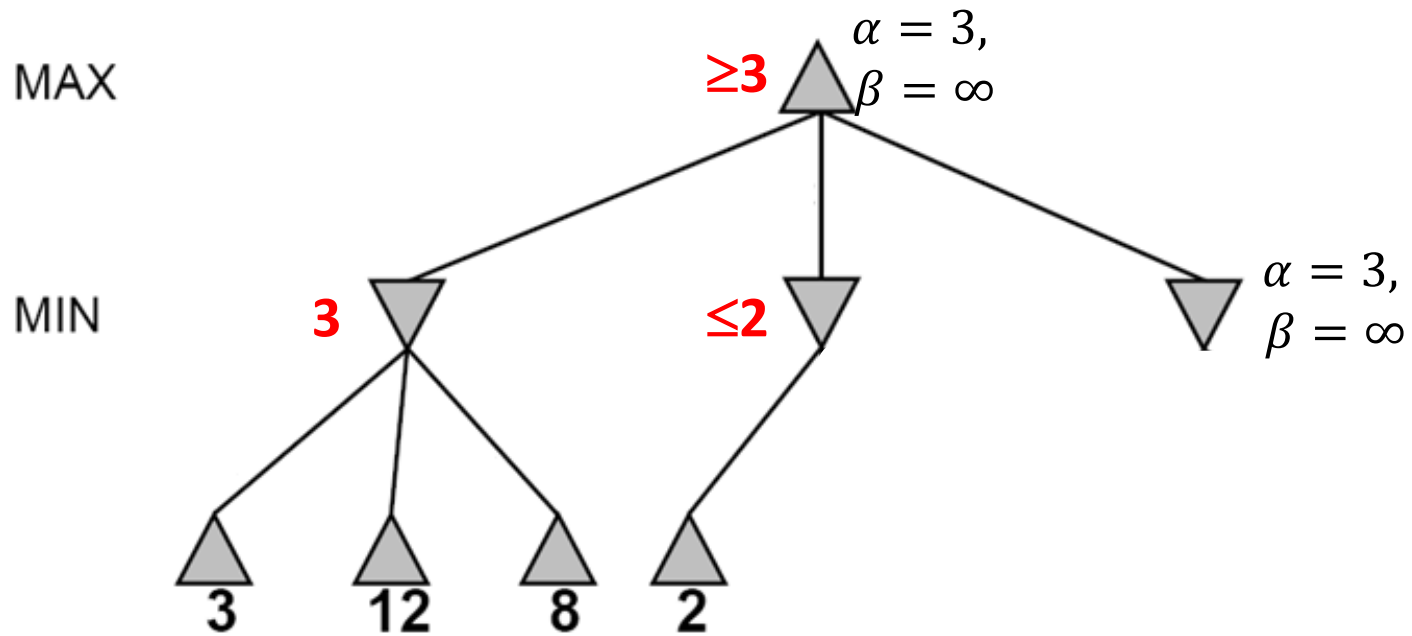


PRUNE!

- If MAX lets us get to this state, then MIN would achieve a final score  $\leq 2$
- Therefore MAX will never let us get to this state!
- Therefore there's no need to score the remaining children of this node.

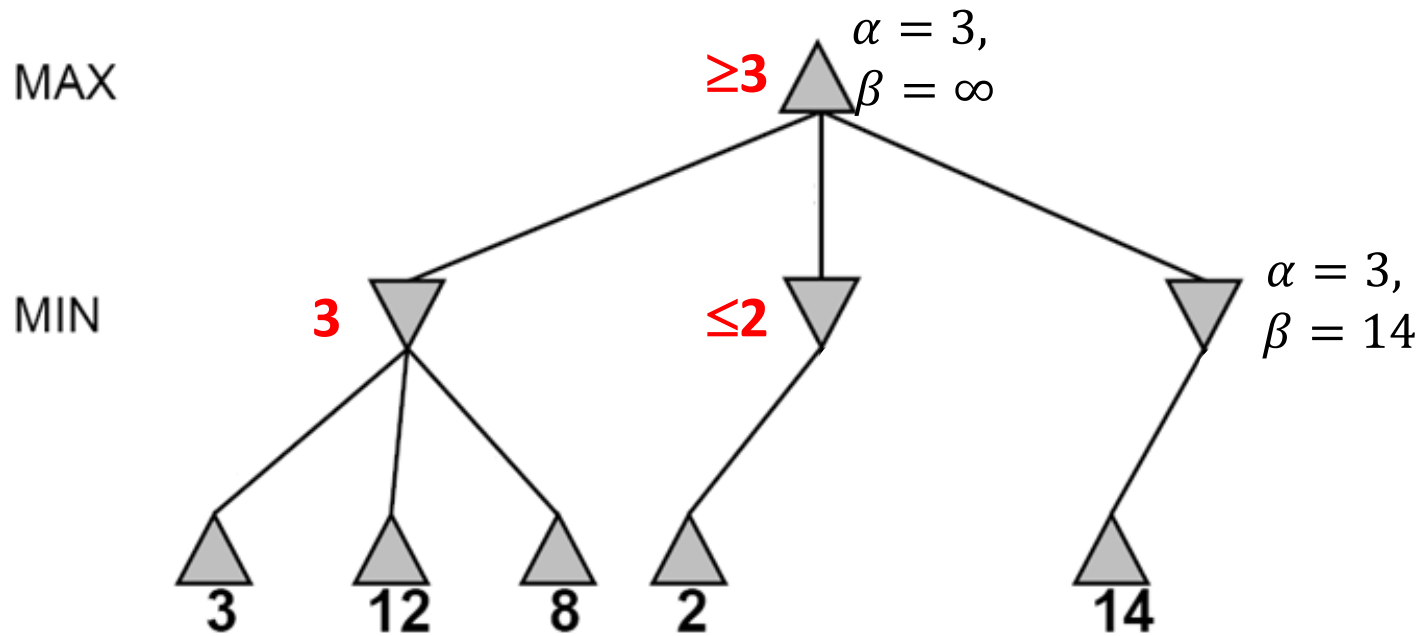
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**Inheritance**: Child inherits alpha and beta from its parent



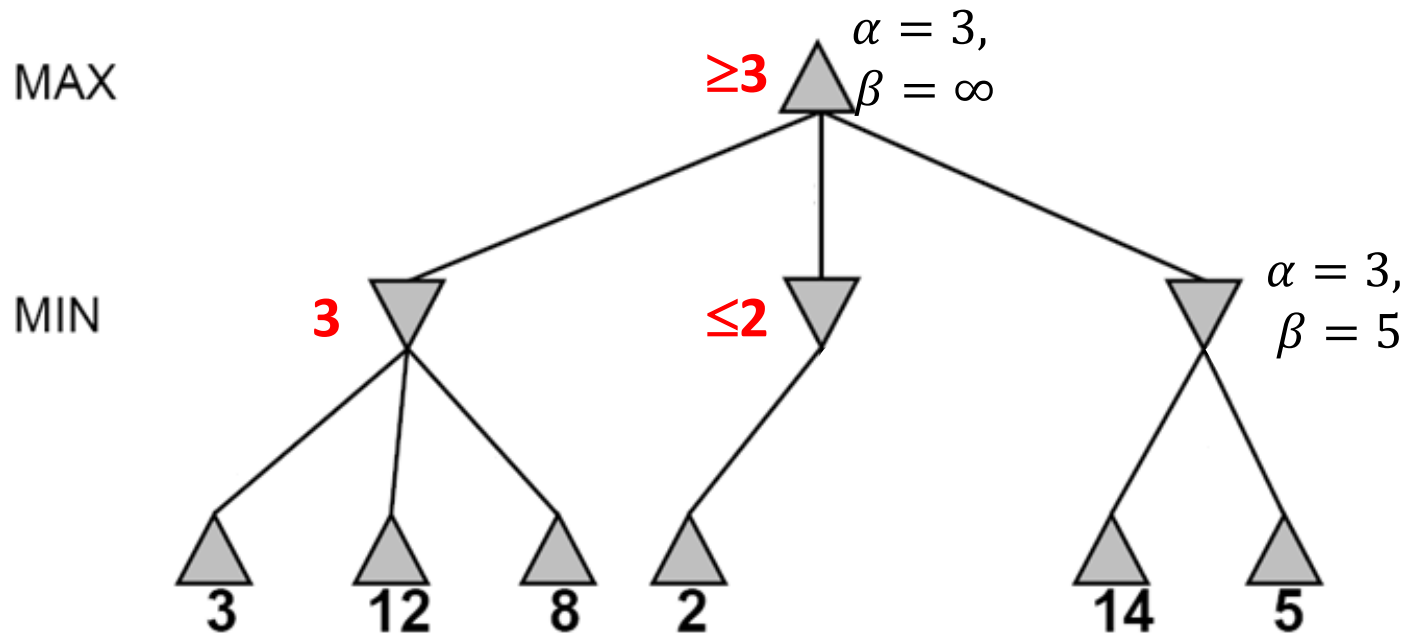
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**Update:** a min node can update beta. A max node can update alpha.



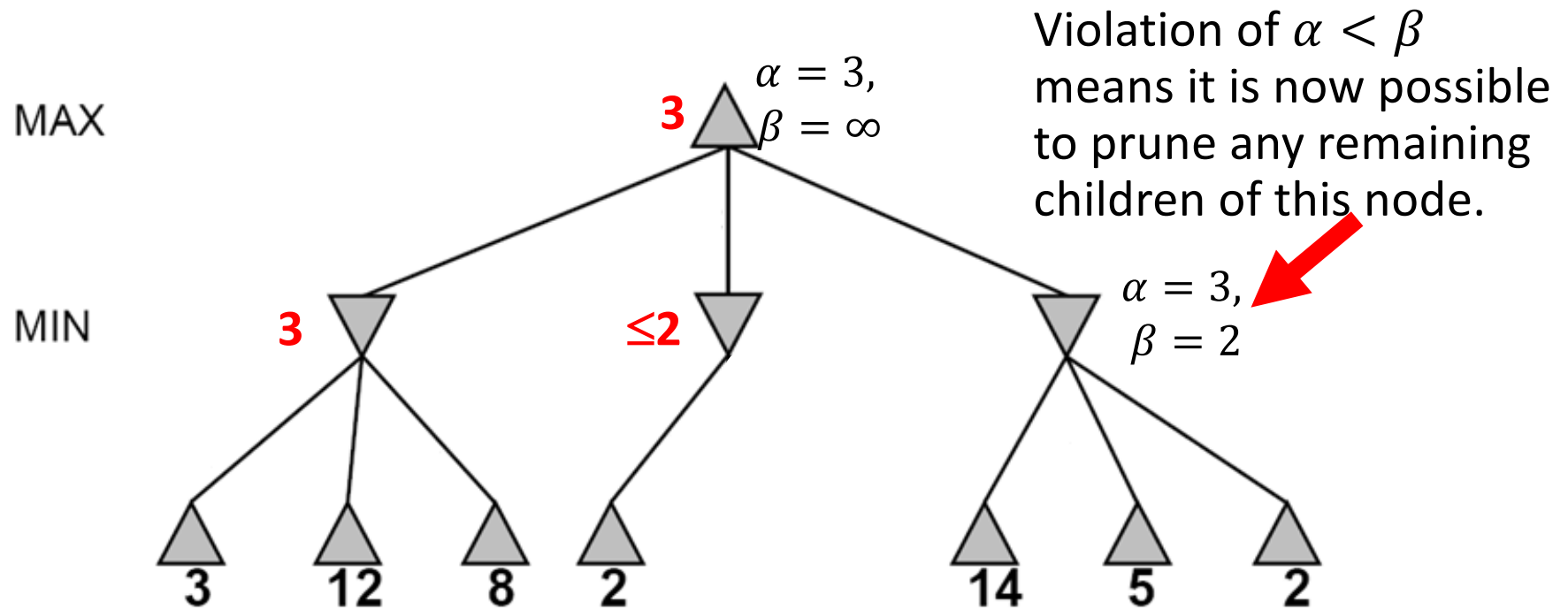
# Alpha-beta pruning

**Update:** a min node can update beta. A max node can update alpha.



# Alpha-beta pruning

**Pruning:** If beta ever falls below alpha, prune any remaining children, and return.



# Quiz

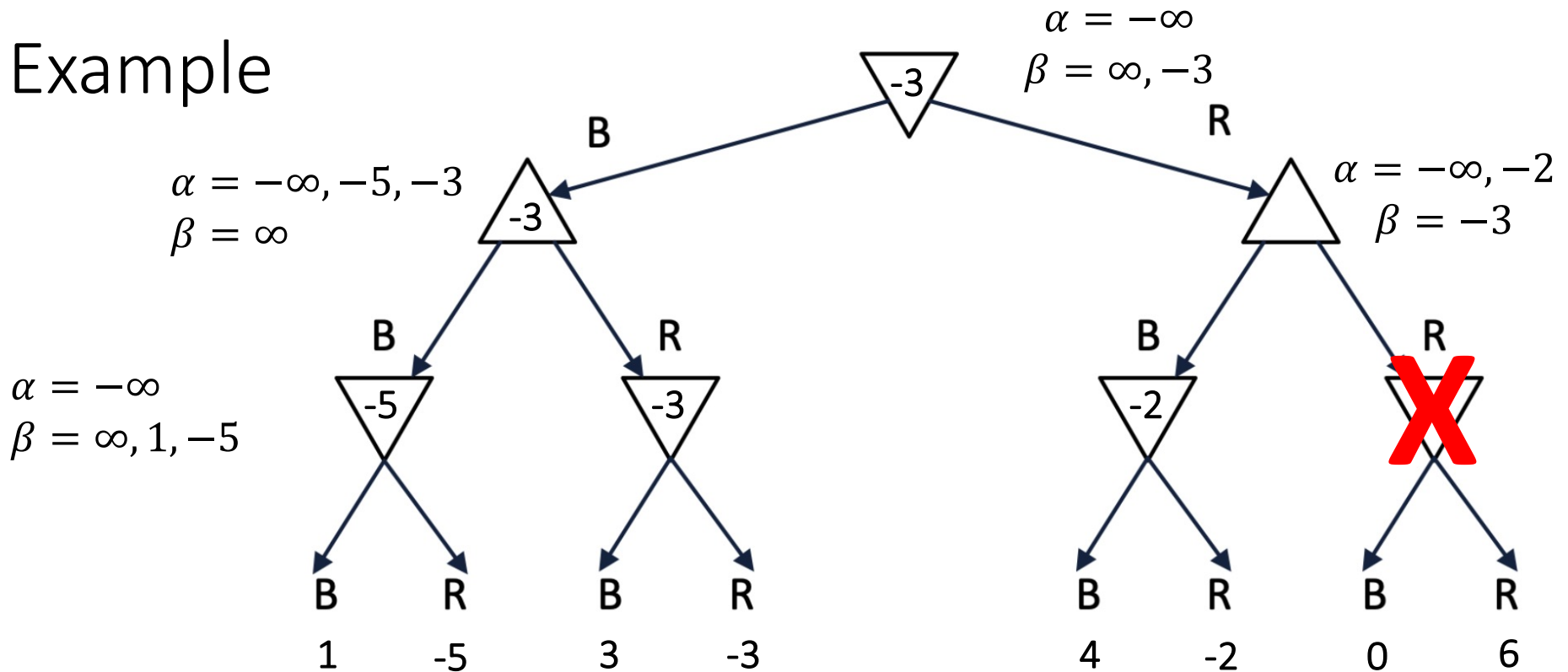
- Try the quiz!

[https://us.prairielearn.com/pl/course\\_instance/129874/assessment/2333579](https://us.prairielearn.com/pl/course_instance/129874/assessment/2333579)

# The alpha-beta algorithm

- Max inherits  $\alpha, \beta$  from parents, sets  $v = -\infty$ , then for each child:
  - Set  $v = \max(v, \text{child's } v)$
  - Set  $\alpha = \max(\alpha, \text{child's } v)$
  - If  $\alpha \geq \beta$ , prune all remaining children
- Min inherits  $\alpha, \beta$  from parents, sets  $v = \infty$ , then for each child:
  - Set  $v = \min(v, \text{child's } v)$
  - Set  $\beta = \min(\beta, \text{child's } v)$
  - If  $\alpha \geq \beta$ , prune all remaining children

# Example



The minimax tree above shows all possible outcomes of the RED-BLUE game. In this game, Min plays first, then Max, then Min. Each player, when it's their turn, chooses either a blue stone (B) or a red stone (R). Leaf nodes have evaluation values  $\{a = 1, b = -5, c = 3, d = -3, e = 4, f = -2, g = 0, h = 6\}$ . Assume that search proceeds from left to right, e.g., leaf node a is evaluated before leaf node b. Assume that we are using alpha-beta pruning. Of the eight leaf nodes, which ones will be pruned using alpha-beta pruning?



# Outline

- Alternating two-player zero-sum games
- Minimax search
- Limited-horizon computation and heuristic evaluation functions
- Alpha-beta search
- **Computational complexity of minimax and alpha-beta**

## Computational complexity of alpha-beta pruning

- The worst-case complexity of alpha-beta is the same as the complexity of minimax:  $O\{b^d\}$
- The best-case complexity is  $O\{b^{d/2}\}$
- It is often possible to achieve results close to the best-case by using a heuristic to sort the nodes before searching them

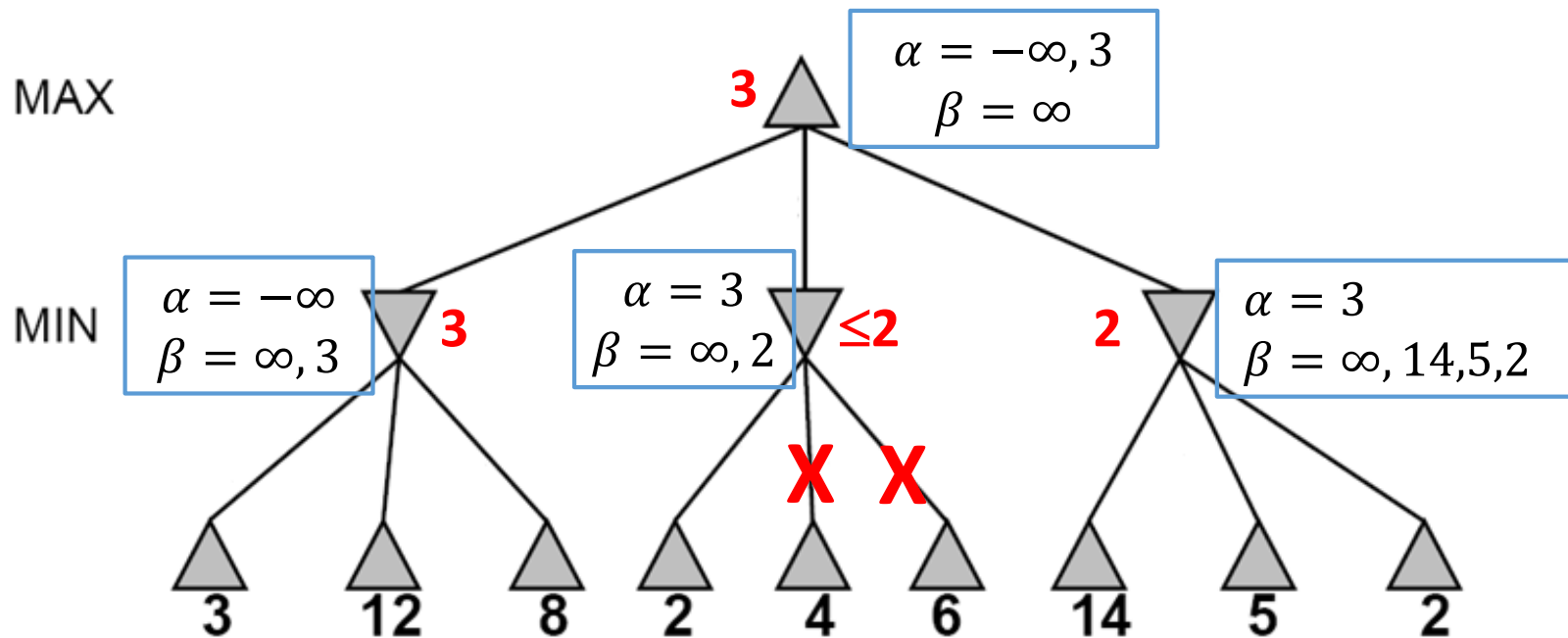
# Optimal ordering

Minimum computational complexity ( $O\{b^{d/2}\}$ ) is only achieved if:

- The children of a MAX node are evaluated, in order, starting with the highest-value child.
- The children of a MIN node are evaluated, in order, starting with the lowest-value child.

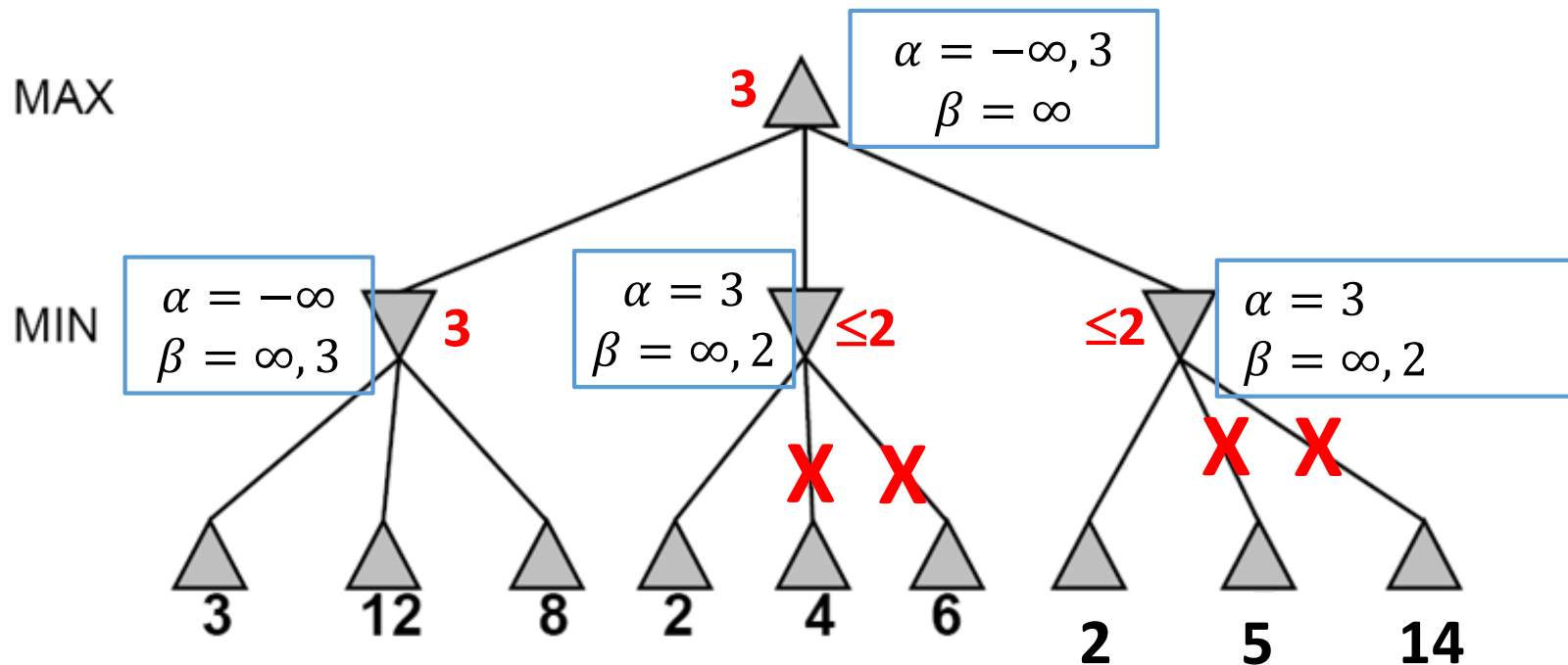
# Non-optimal ordering

In this tree, the moves are not optimally ordered, so we were only able to prune two nodes.

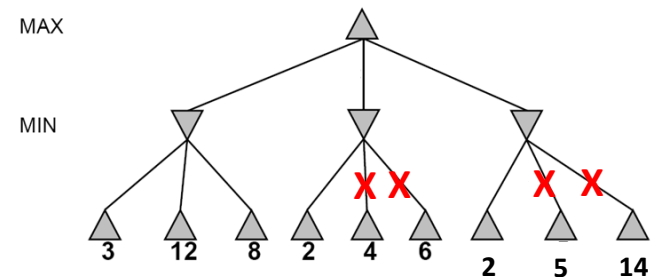


# Optimal ordering

In this tree, the moves ARE optimally ordered, so we are able to prune four nodes (out of nine).



# Computational Complexity

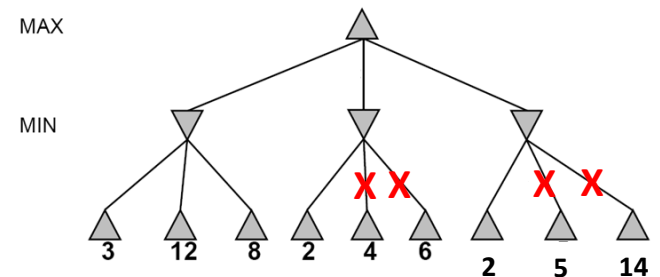


Consider a sequence of two levels, with  $b$  moves per level, and with optimal ordering.

- There are  $b^2$  terminal nodes.
- Alpha-beta will evaluate all the children of the first child:  $b$  nodes.
- Alpha-beta will also evaluate the first child of each non-first child:  $b - 1$  nodes.
- In total, alpha-beta will evaluate  $2b - 1$  out of every  $b^2$  nodes.
- For a tree of depth  $d$ , the number of nodes evaluated by alpha-beta is

$$(2b - 1)^{d/2} = O\{b^{d/2}\}$$

# Computational Complexity



...but wait... this means we need to know, IN ADVANCE, which move has the highest value, and which move has the lowest value!!

- Obviously, it is not possible to know the true value of a move without evaluating it.
- However, heuristics often are pretty good.
- We use the heuristic to decide which move to evaluate first.
- For games like chess, with good heuristics, complexity of alpha-beta is closer to  $O\{b^{d/2}\}$  than to  $O\{b^d\}$ .

# Conclusions

- Alternating two-player zero-sum games

- $\Lambda$  = a max node,  $V$  = a min node

- Minimax search

- $v(s) = \max_a v(\text{child}(s, a))$  or  $v(s) = \min_a v(\text{child}(s, a))$

- Limited-horizon computation and heuristic evaluation functions

$$v(s) = w_1 f_1(s) + w_2 f_2(s) + \dots$$

- Alpha-beta search

- Min node can update beta, Max node can update alpha

- If beta ever falls below alpha, prune the rest of the children

- Computational complexity of minimax and alpha-beta

- Minimax is  $O\{b^d\}$ . With optimal move ordering, alpha-beta is  $O\{b^{d/2}\}$ .