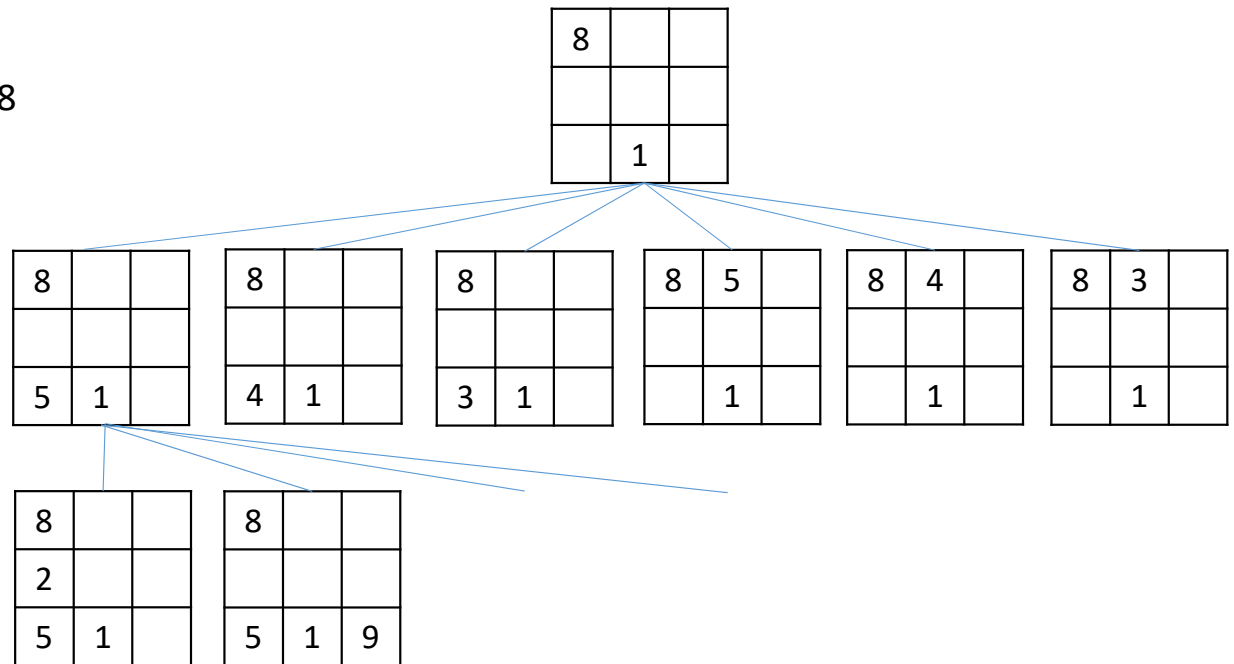


# CS440/ECE 448, Lecture 6: Constraint Satisfaction Problems

Slides by Svetlana Lazebnik, 9/2016

Modified by Mark Hasegawa-Johnson, 1/2018

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		1						
3			9		2			5



# Content

- What is a CSP? Why is it search? Why is it special?
- Examples: Map Task, N-Queens, Cryptarithmic, Classroom Assignment
- Formulation as a standard search
- Backtracking Search
- Heuristics to improve backtracking search
- Tree-structured CSPs
- NP-completeness of CSP in general; the SAT problem
- Local search, e.g., hill-climbing

# What is search for?

- Assumptions: single agent, deterministic, fully observable, discrete environment
- **Search for *planning***
  - The path to the goal is the important thing
  - Paths have various costs, depths
- **Search for *assignment***
  - Assign values to variables while respecting certain constraints
  - The goal (complete, consistent assignment) is the important thing



8			4	6		7
					4	
	1				6	5
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				7		
	4	8		2	1	3
	5	2				9
		1				
3			9	2		5

# Constraint satisfaction problems (CSPs)

- Definition:
  - **State** is defined by **variables**  $X_i$  with **values** from **domain**  $D_i$
  - **Goal test** is a set of **constraints** specifying allowable combinations of values for subsets of variables
  - **Solution** is a **complete, consistent** assignment
- How does this compare to the “generic” tree search formulation?
  - A more structured representation for states, expressed in a formal representation language
  - Allows useful general-purpose algorithms with more power than standard search algorithms

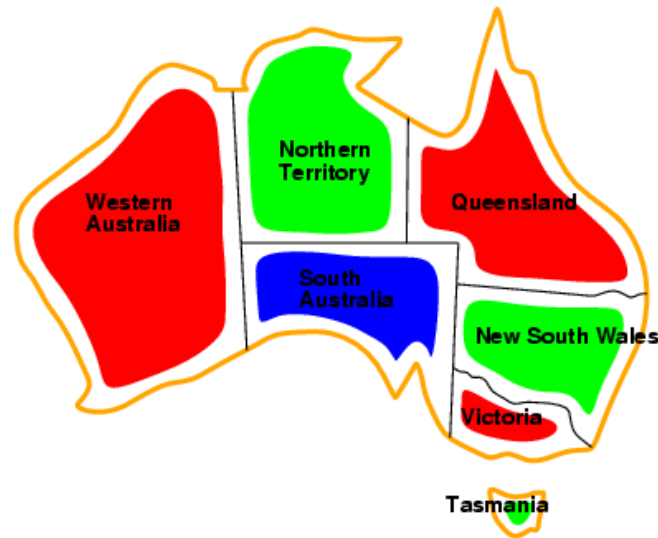
Examples

# Example: Map Coloring



- **Variables:** WA, NT, Q, NSW, V, SA, T
- **Domains:** {red, green, blue}
- **Constraints:** adjacent regions must have different colors
  - Logical representation:  $WA \neq NT$
  - Set representation: (WA, NT) in {(red, green), (red, blue), (green, red), (green, blue), (blue, red), (blue, green)}

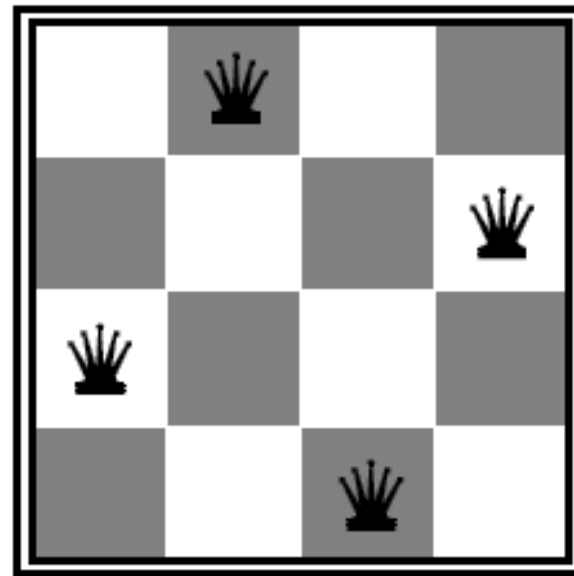
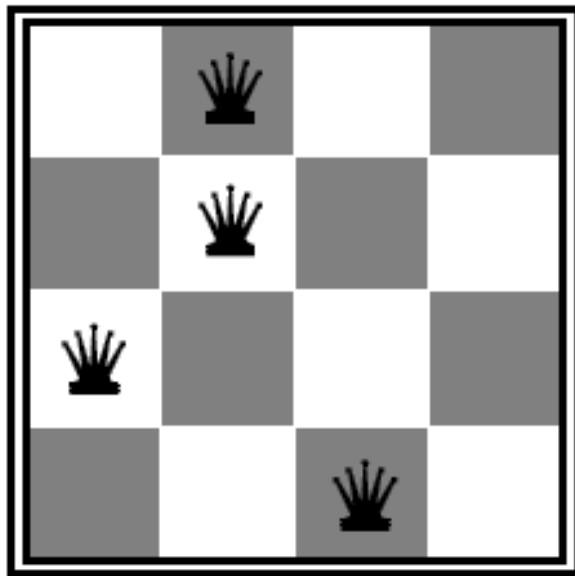
# Example: Map Coloring



- **Solutions** are *complete* and *consistent* assignments, e.g.,  
WA = red, NT = green, Q = red, NSW = green,  
V = red, SA = blue, T = green

## Example: $n$ -queens problem

- Put  $n$  queens on an  $n \times n$  board with no two queens on the same row, column, or diagonal





# Example: N-Queens

- **Variables:**  $X_{ij}$
- **Domains:**  $\{0, 1\}$
- **Constraints:**

## Logic

$$\sum_{i,j} X_{ij} = N$$

$$X_{ij} \wedge X_{ik} = 0$$

$$X_{ij} \wedge X_{kj} = 0$$

$$X_{ij} \wedge X_{i+k,j+k} = 0$$

$$X_{ij} \wedge X_{i+k,j-k} = 0$$

## Set

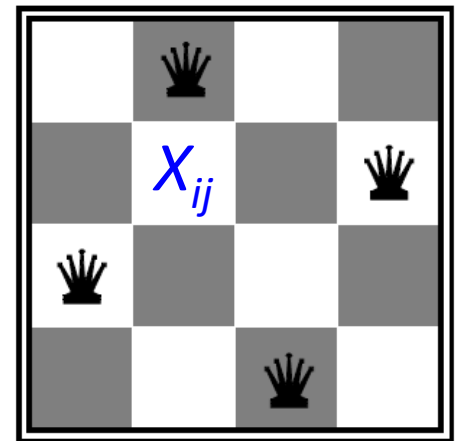
(??)

$$(X_{ij}, X_{ik}) \in \{(0, 0), (0, 1), (1, 0)\}$$

$$(X_{ij}, X_{kj}) \in \{(0, 0), (0, 1), (1, 0)\}$$

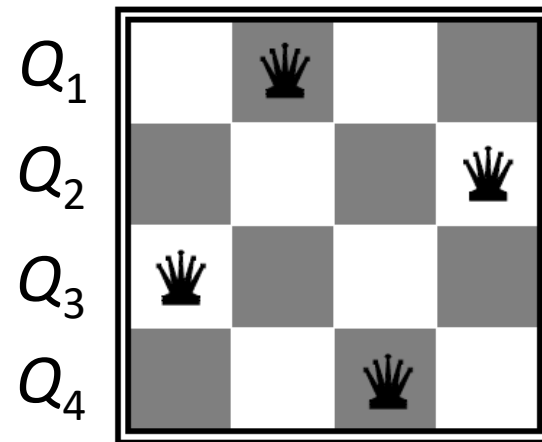
$$(X_{ij}, X_{i+k,j+k}) \in \{(0, 0), (0, 1), (1, 0)\}$$

$$(X_{ij}, X_{i+k,j-k}) \in \{(0, 0), (0, 1), (1, 0)\}$$



# N-Queens: Alternative formulation

- **Variables:**  $Q_i$
- **Domains:**  $\{1, \dots, N\}$
- **Constraints:**  
 $\forall i, j$  non-threatening  $(Q_i, Q_j)$



# Example: Cryptarithmic

- **Variables:** T, W, O, F, U, R, X, Y
- **Domains:** {0, 1, 2, ..., 9}
- **Constraints:**
  - $O + O = R + 10 * Y$
  - $W + W + Y = U + 10 * X$
  - $T + T + X = 10 * F$
  - $\text{Alldiff}(T, W, O, F, U, R, X, Y)$
  - $T \neq 0, F \neq 0, X \neq 0$

$$\begin{array}{r} X \ Y \\ T \ W \ O \\ + \ T \ W \ O \\ \hline F \ O \ U \ R \end{array}$$

# Example: Sudoku

- **Variables:**  $X_{ij}$
- **Domains:**  $\{1, 2, \dots, 9\}$
- **Constraints:**  
Alldiff( $X_{ij}$  in the same *unit*)

					8			4
	8	4		1	6			
			5			1		
1		3	8			9		
6		8		$X_{ij}$		4		3
		2			9	5		1
		7			2			
			7	8		2	6	
2			3					

# Real-world CSPs

- Assignment problems
  - e.g., who teaches what class
- Timetable problems
  - e.g., which class is offered when and where?
- Transportation scheduling
- Factory scheduling
- More examples of CSPs: <http://www.csplib.org/>

Formulation as a standard  
search

# Standard search formulation (incremental)

- **States:**
  - Variables and values assigned so far
- **Initial state:**
  - The empty assignment
- **Action:**
  - Choose any unassigned variable and assign to it a value that does not violate any constraints
    - Fail if no legal assignments
- **Goal test:**
  - The current assignment is complete and satisfies all constraints

# Standard search formulation (incremental)

- What is the depth of any solution (assuming  $n$  variables)?  
 $n$  (this is good)
- Given that there are  $m$  possible values for any variable, how many paths are there in the search tree?  
 $n! \cdot m^n$  (this is bad)
- All paths have the same depth, so complexity of DFS and BFS are the same (both  $O\{n! \cdot m^n\}$ )
- Other reasons to use DFS:
  - Maybe many possible solutions (at least  $n!$ )
  - Often, if a path fails, we can detect this early
- Today's goal: develop heuristics to reduce the branching factor



Backtracking search

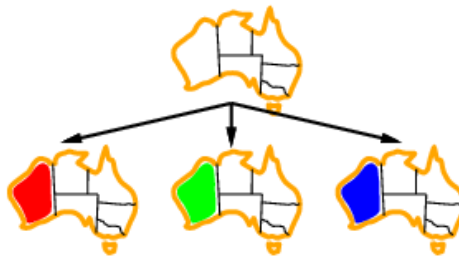
# Backtracking search

- In CSP's, variable assignments are **commutative**
  - For example,  $[WA = \text{red then } NT = \text{green}]$  is the same as  $[NT = \text{green then } WA = \text{red}]$
- We only need to consider assignments to a single variable at each level (i.e., we fix the order of assignments)
  - Then there are only  $m^n$  leaves
- Depth-first search for CSPs with single-variable assignments is called **backtracking search**

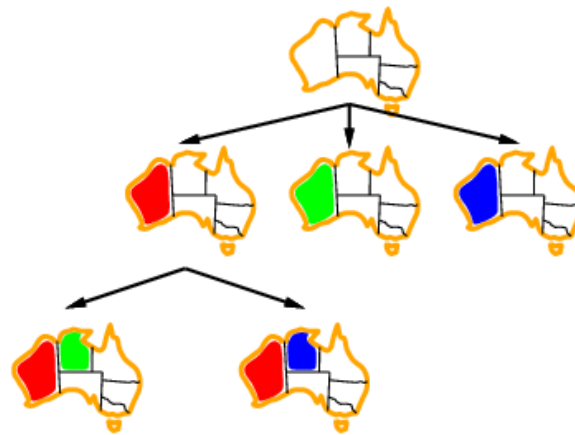
# Example



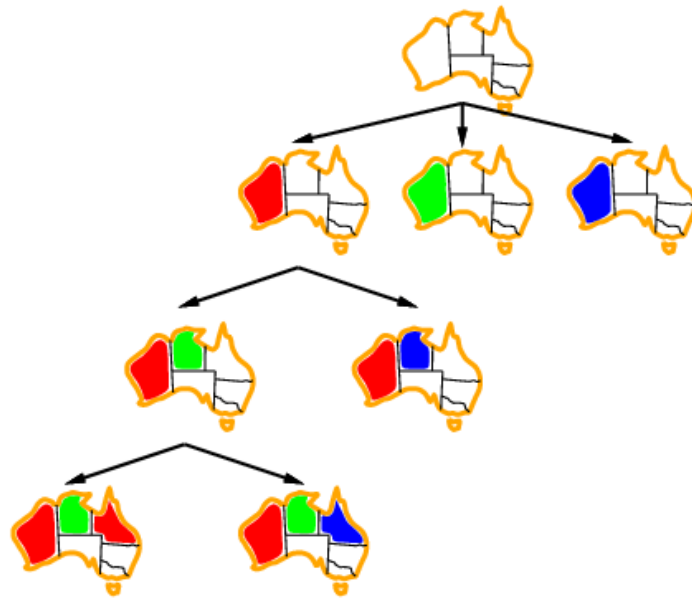
# Example



# Example



# Example



# Backtracking search algorithm

```
function RECURSIVE-BACKTRACKING(assignment, csp)  
  if assignment is complete then return assignment  
  var ← SELECT-UNASSIGNED-VARIABLE(VARIABLES[csp], assignment, csp)  
  for each value in ORDER-DOMAIN-VALUES(var, assignment, csp)  
    if value is consistent with assignment given CONSTRAINTS[csp]  
      add {var = value} to assignment  
      result ← RECURSIVE-BACKTRACKING(assignment, csp)  
      if result ≠ failure then return result  
      remove {var = value} from assignment  
  return failure
```

- Making backtracking search efficient:
  - Which variable should be assigned next?
  - In what order should its values be tried?
  - Can we detect inevitable failure early?

Heuristics for making  
backtracking search more  
efficient



# Heuristics for making backtracking search more efficient

Still DFS, but we use heuristics to decide which child to expand first. You could call it GDFS...

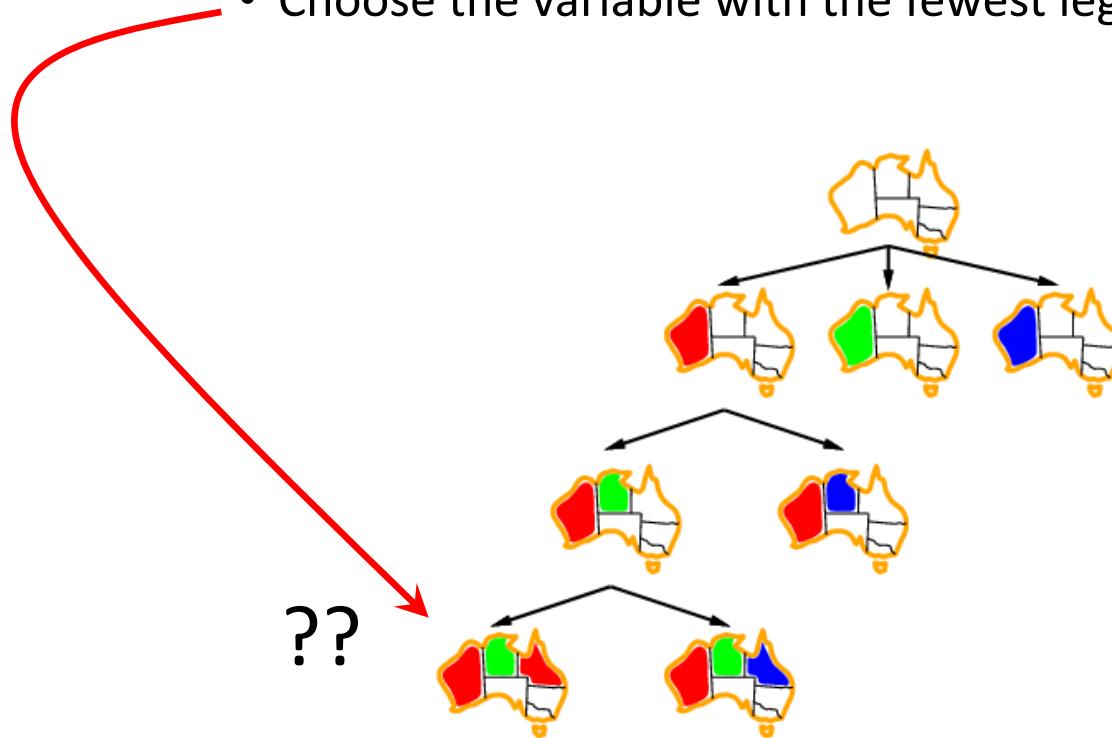
- Heuristics that choose the next variable to assign:
  - Minimum Remaining Values (MRV)
  - Most Constraining Variable (MCV)
- Heuristic that chooses a value for that variable:
  - Least Constraining Assignment (LCA)
- Early detection of failure:
  - Forward Checking
  - Arc Consistency

# Which variable should be assigned next?

- **Minimum Remaining Values (MRV) Heuristic:**
  - Choose the variable with the fewest legal values

Which variable should be assigned next?

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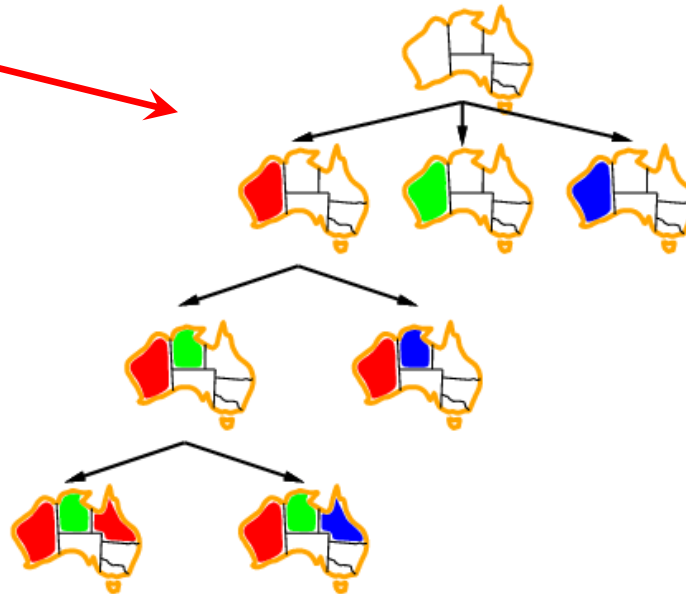
- **Most Constraining Variable (MCV) Heuristic:**
  - Choose the variable that imposes the most constraints on the remaining variables
  - Tie-breaker among variables that have equal numbers of MRV

# Which variable should be assigned next?

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??

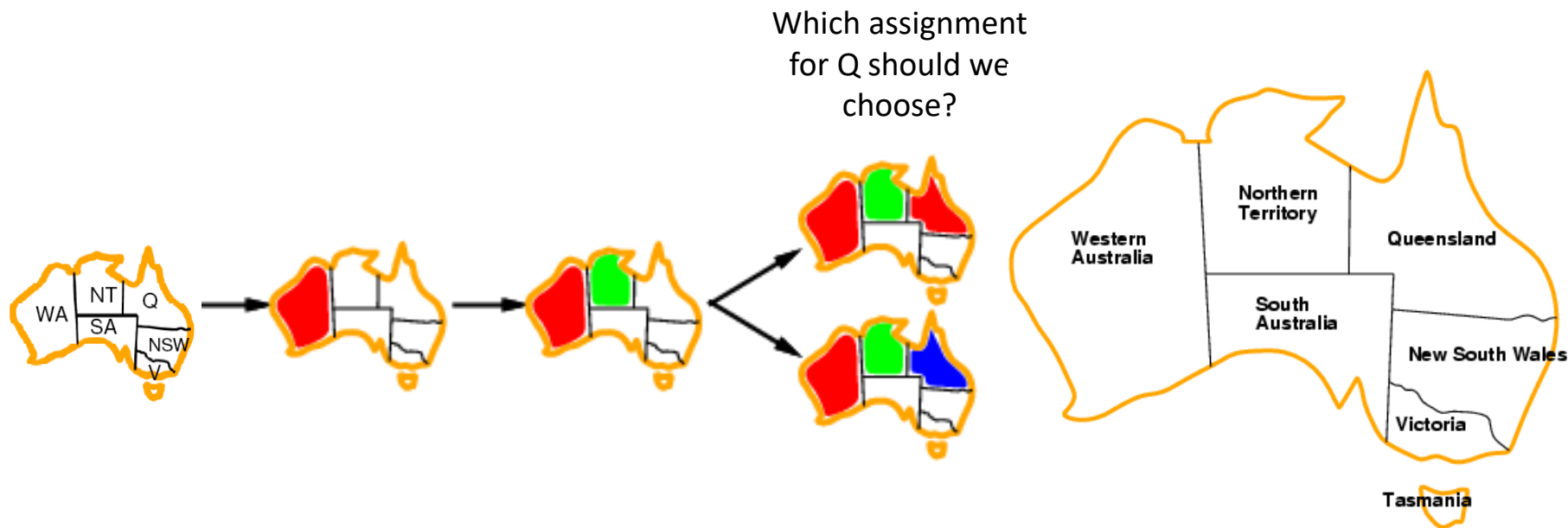


Given a variable, in which order should its values be tried?

- **Least Constraining Assignment (LCA) Heuristic:**
  - Try the following assignment first: to the variable you're studying, the value that rules out the fewest values in the remaining variables

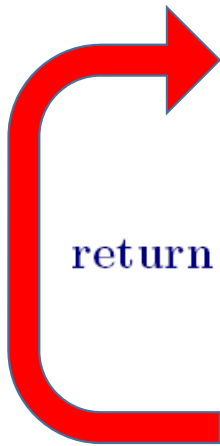
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- **Least Constraining Assignment (LCA) Heuristic:**
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# Early detection of failure

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  var ← SELECT-UNASSIGNED-VARIABLE(VARIABLES[csp], assignment, csp)  
  for each value in ORDER-DOMAIN-VALUES(var, assignment, csp)  
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```



Apply *inference* to reduce the space of possible assignments and detect failure early

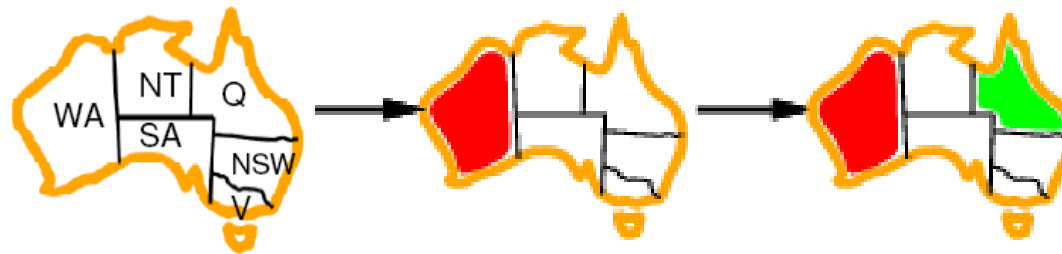


# Early detection of failure

- **Forward Checking:**

- Check to make sure that every variable still has at least one possible assignment

# Early detection of failure



Apply *inference* to reduce the space of possible assignments and detect failure early

(Reminder: there are only three colors, RGB...)

# Early detection of failure: Forward checking

- Keep track of remaining legal values for unassigned variables
- Terminate search when any variable has no legal values

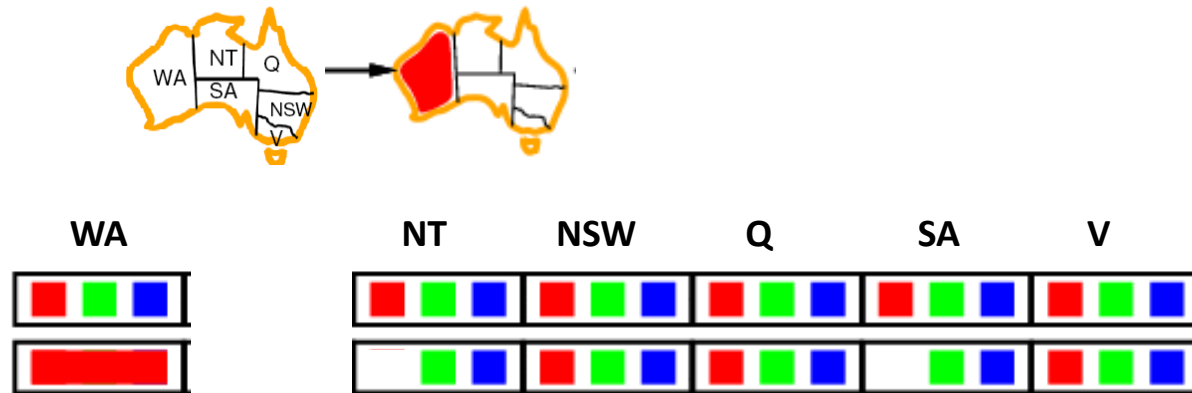
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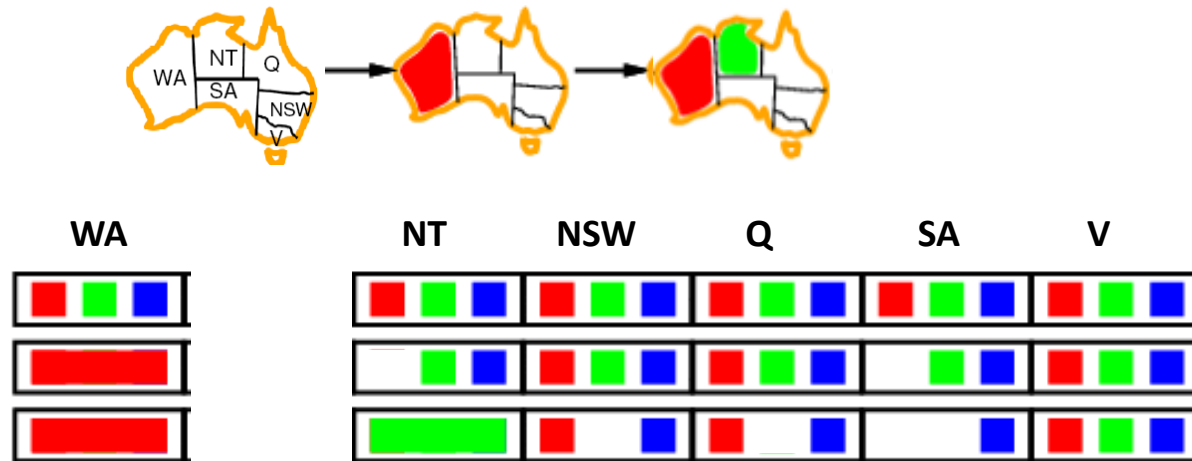
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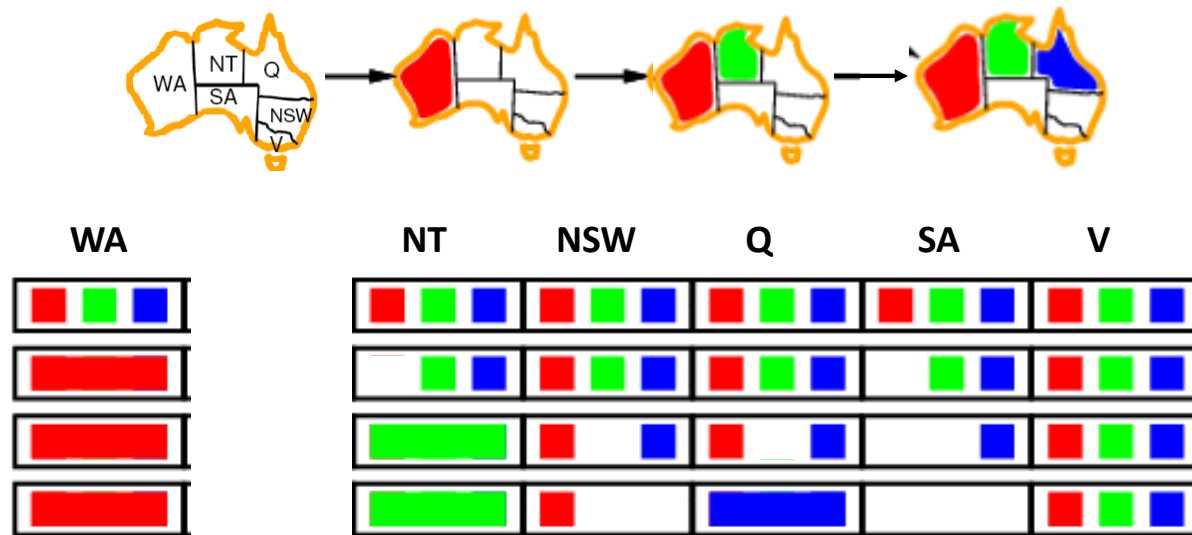
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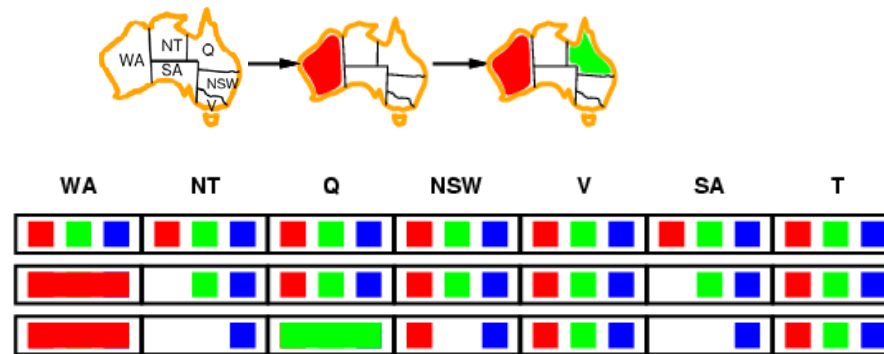
# Early detection of failure

- **Constraint propagation:**
  - Check to make sure that every PAIR of variables still has a pair-wise assignment that satisfies all constraints



# Constraint propagation

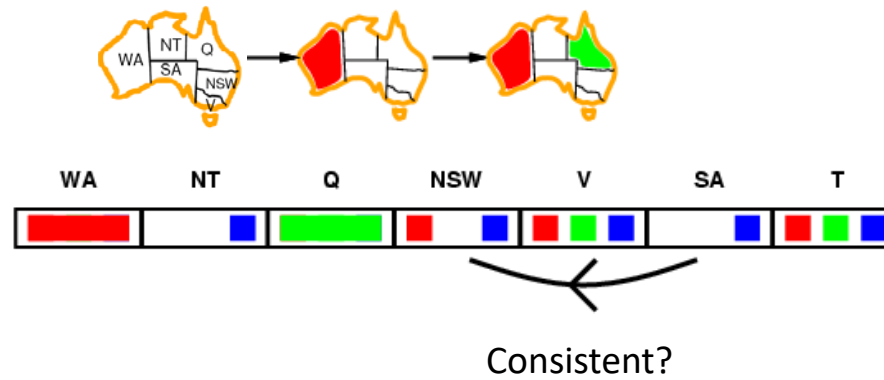
- Forward checking propagates information from assigned to unassigned variables, but doesn't provide early detection for all failures



- NT and SA cannot both be blue!
- **Constraint propagation** repeatedly enforces constraints *locally*

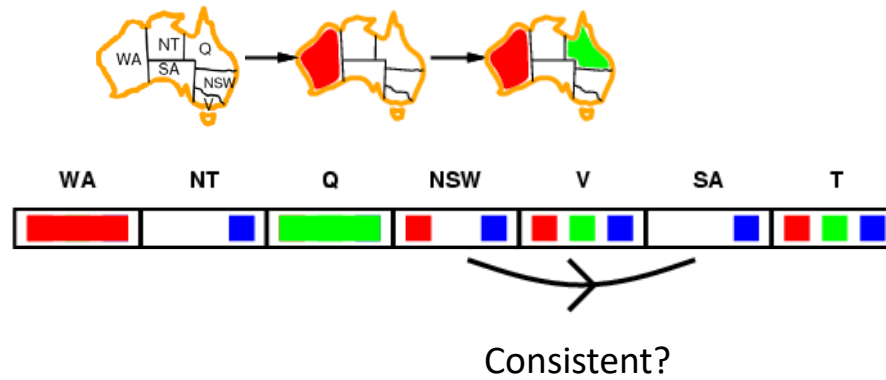
# Arc consistency

- Simplest form of propagation makes each pair of variables **consistent**:
  - $X \rightarrow Y$  is consistent iff for **every** value of  $X$  there is **some** allowed value of  $Y$



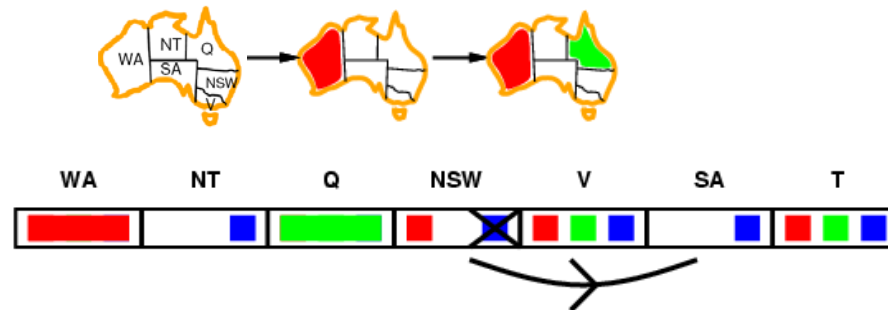
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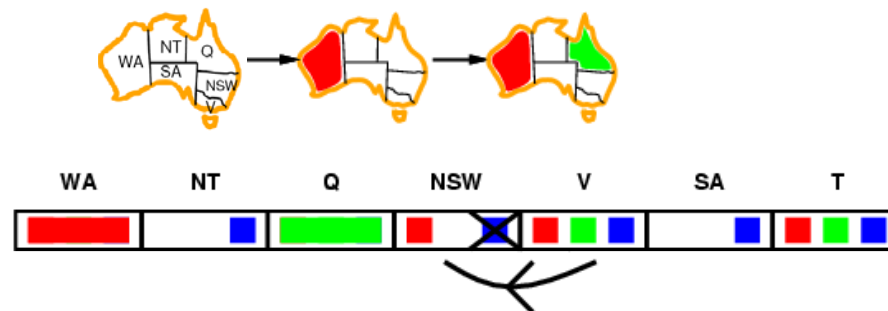
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  - When checking  $X \rightarrow Y$ , throw out any values of  $X$  for which there isn't an allowed value of  $Y$



- If  $X$  loses a value, all pairs  $Z \rightarrow X$  need to be rechecked

# Arc consistency

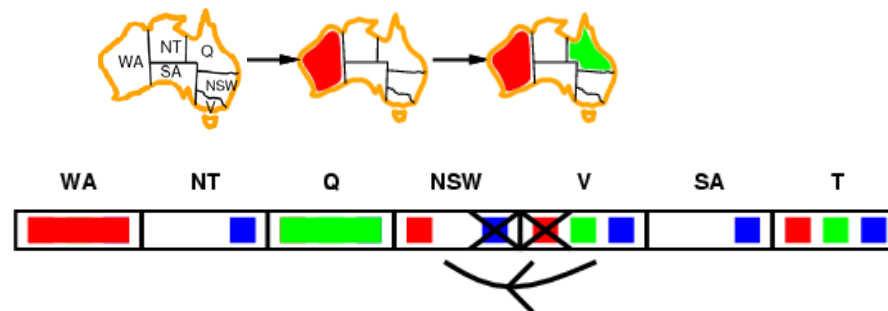
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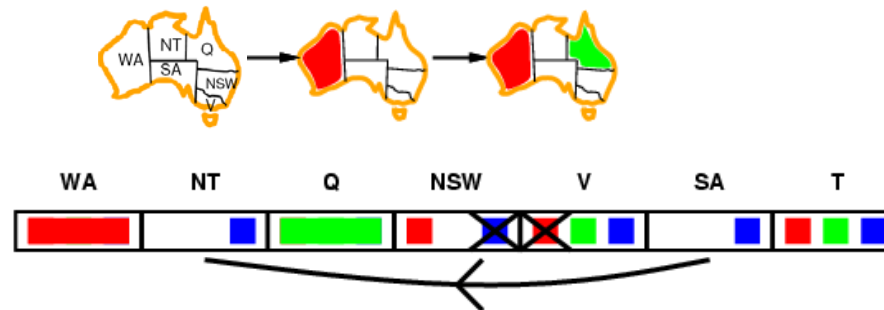
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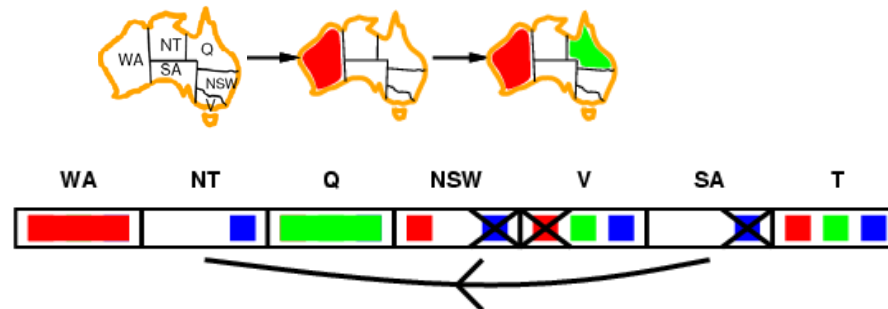
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- Arc consistency detects failure earlier than forward checking
- Can be run before or after each assignment



# Arc consistency algorithm AC-3

**function** **AC-3**( *csp*) **returns** the CSP, possibly with reduced domains

**inputs:** *csp*, a binary CSP with variables  $\{X_1, X_2, \dots, X_n\}$

**local variables:** *queue*, a queue of arcs, initially all the arcs in *csp*

**while** *queue* is not empty

$(X_i, X_j) \leftarrow \text{REMOVE-FIRST}(\textit{queue})$

**if** **REMOVE-INCONSISTENT-VALUES**( $X_i, X_j$ ) **then**

**for each**  $X_k$  **in** **NEIGHBORS**[ $X_i$ ] **do**

            add  $(X_k, X_i)$  to *queue*

---

**function** **REMOVE-INCONSISTENT-VALUES**(  $X_i, X_j$ ) **returns** true iff succeeds

*removed*  $\leftarrow$  *false*

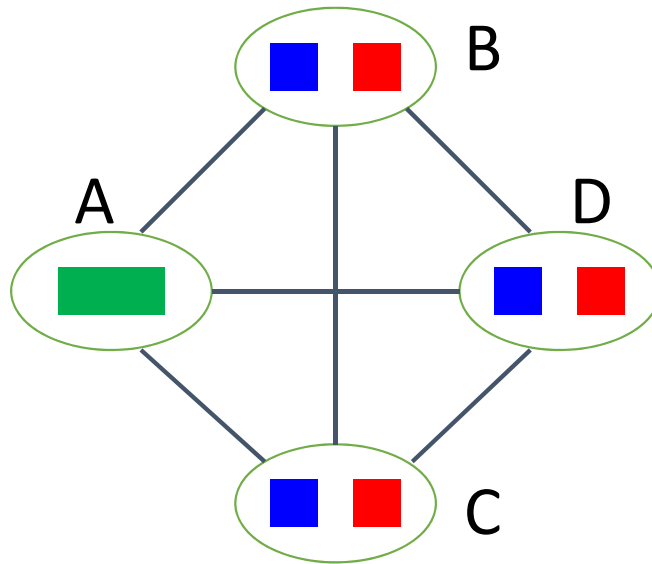
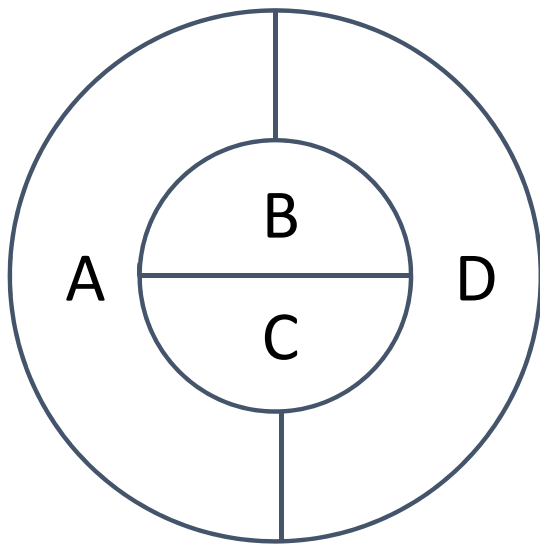
**for each**  $x$  **in** **DOMAIN**[ $X_i$ ]

**if** no value  $y$  in **DOMAIN**[ $X_j$ ] allows  $(x, y)$  to satisfy the constraint  $X_i \leftrightarrow X_j$

**then** delete  $x$  from **DOMAIN**[ $X_i$ ]; *removed*  $\leftarrow$  *true*

**return** *removed*

Does arc consistency always detect the lack of a solution?

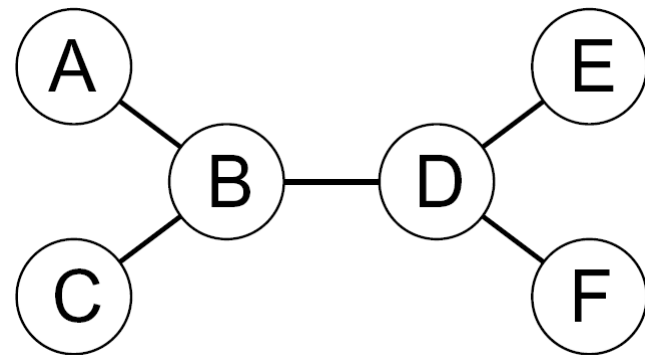


- There exist stronger notions of consistency (path consistency, k-consistency), but we won't worry about them

# Tree-structured CSPs

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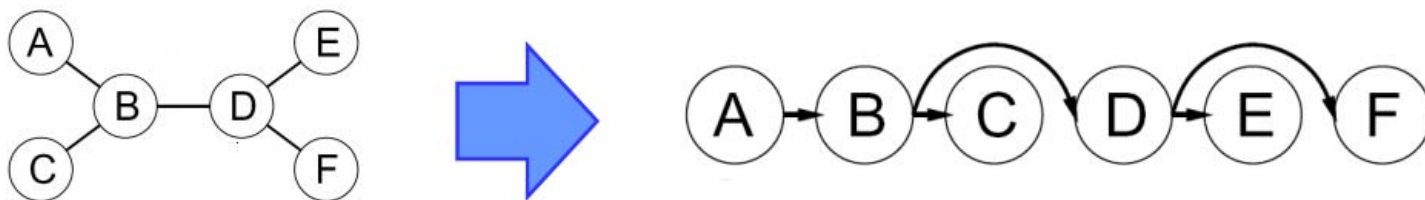
- Certain kinds of CSPs can be solved without resorting to backtracking search!
- *Tree-structured CSP*: constraint graph does not have any loops



Source: P. Abbeel, D. Klein, L. Zettlemoyer

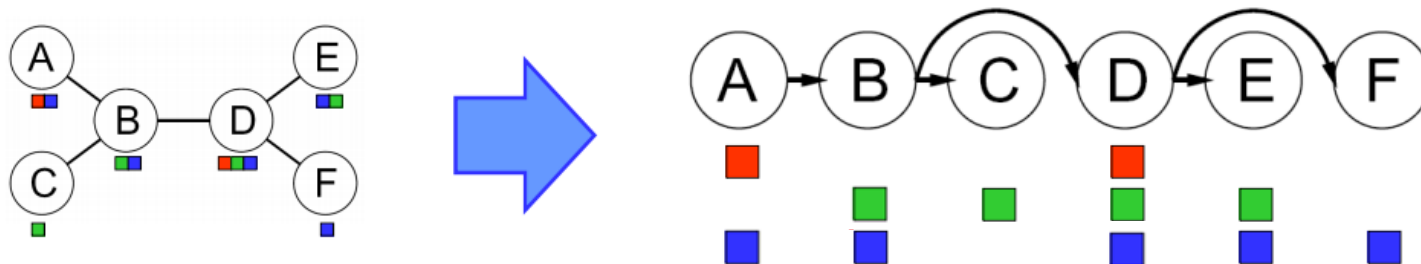
# Algorithm for tree-structured CSPs

- Choose one variable as root, order variables from root to leaves such that every node's parent precedes it in the ordering



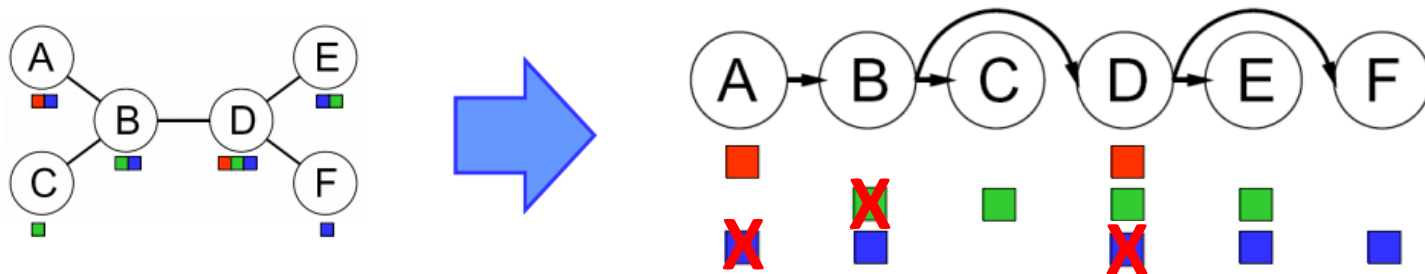
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- Choose one variable as root, order variables from root to leaves such that every node's parent precedes it in the ordering
- Create a graph listing all of the values that can be assigned to each variable.



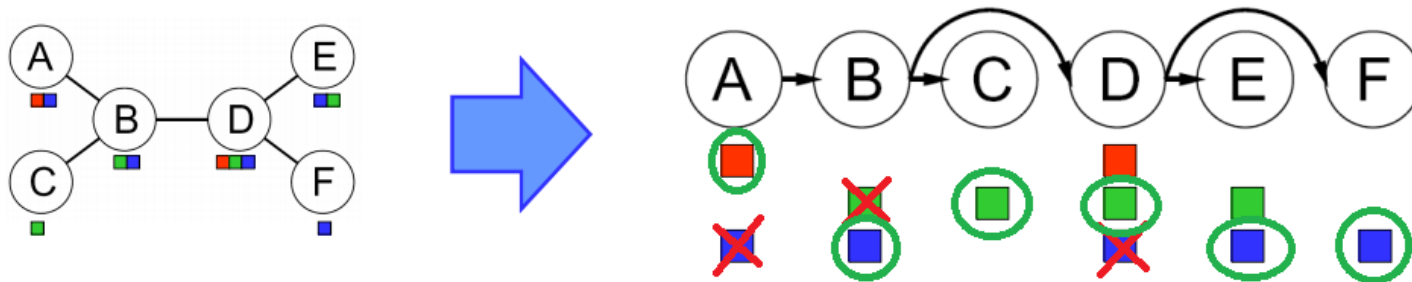
# Algorithm for tree-structured CSPs

- Choose one variable as root, order variables from root to leaves such that every node's parent precedes it in the ordering
- Create a graph listing all of the values that can be assigned to each variable.
- **BACKWARD ARC CONSISTENCY:** check arc consistency starting from the rightmost node and going backwards



# Algorithm for tree-structured CSPs

- Choose one variable as root, order variables from root to leaves such that every node's parent precedes it in the ordering
- Create a graph listing all of the values that can be assigned to each variable.
- BACKWARD ARC CONSISTENCY: check arc consistency starting from the rightmost node and going backwards
- FORWARD ASSIGNMENT PHASE: select an element from the domain of each variable going left to right. We are guaranteed that there will be a valid assignment because each arc is consistent

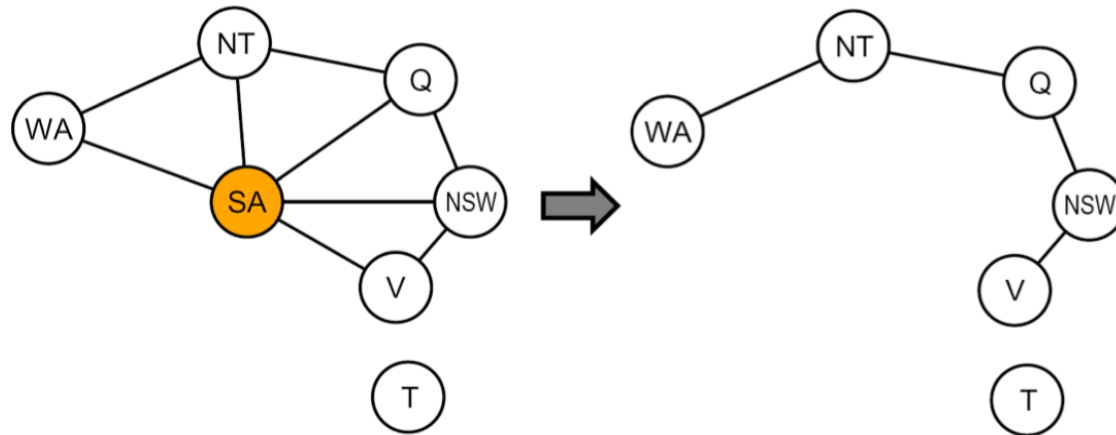




# Algorithm for tree-structured CSPs

- If  $n$  is the number of variables and  $m$  is the domain size, what is the running time of this algorithm?
  - $O(nm^2)$ : we have to check arc consistency once for every node in the graph (every node has one parent), which involves looking at pairs of domain values

# Nearly tree-structured CSPs



- **Cutset conditioning:** find a subset of variables whose removal makes the graph a tree, instantiate that set in all possible ways, prune the domains of the remaining variables and try to solve the resulting tree-structured CSP
- Cutset size  $c$  gives runtime  $O(m^c (n - c)m^2)$

# NP-Completeness and the SAT Problem

# Algorithm for tree-structured CSPs

- Running time is  $O(nm^2)$   
( $n$  is the number of variables,  $m$  is the domain size)
  - We have to check arc consistency once for every node in the graph (every node has one parent), which involves looking at pairs of domain values
- What about backtracking search for general CSPs?
  - Worst case  $O(m^n)$
- Can we do better?

# Computational complexity of CSPs

- The satisfiability (SAT) problem:

- Given a Boolean formula, is there an assignment of the variables that makes it evaluate to true?

$$(X_1 \vee \bar{X}_7 \vee X_{13}) \wedge (\bar{X}_2 \vee X_{12} \vee X_{25}) \wedge \dots$$

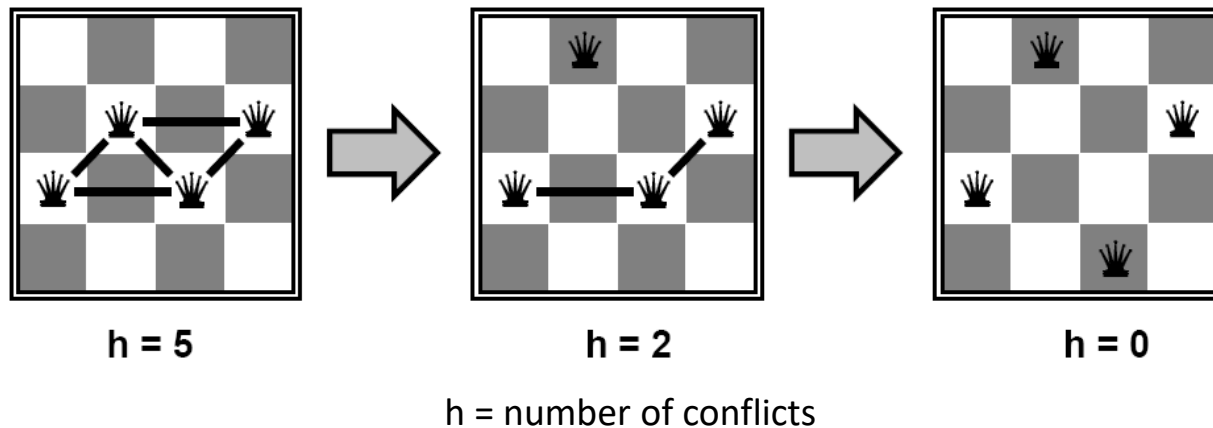
- SAT is NP-complete

- **NP**: a class of decision problems for which
  - the “yes” answer can be verified in polynomial time
  - no known algorithm can find a “yes” answer, from scratch, in polynomial time
- An **NP-complete** problem is in NP and every other problem in NP can be efficiently reduced to it (Cook, 1971)
- Other NP-complete problems: graph coloring, n-puzzle, generalized sudoku
- It is not known whether  $P = NP$ , i.e., no efficient algorithms for solving SAT in general are known

Local search, e.g., hill  
climbing

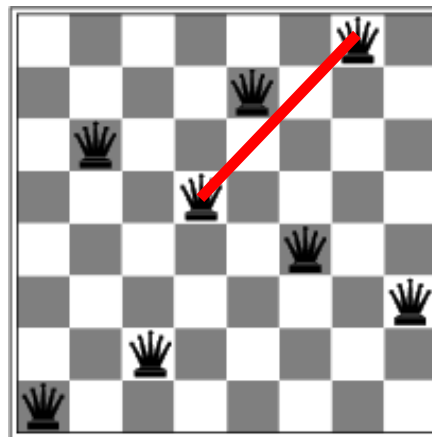
# Local search for CSPs

- Start with “complete” states, i.e., all variables assigned
- Allow states with unsatisfied constraints
- Attempt to **improve** states by reassigning variable values
- Hill-climbing search:
  - In each iteration, randomly select any conflicted variable and choose value that violates the fewest constraints
  - I.e., attempt to greedily minimize total number of violated constraints



# Local search for CSPs

- Start with “complete” states, i.e., all variables assigned
- Allow states with unsatisfied constraints
- Attempt to **improve** states by reassigning variable values
- Hill-climbing search:
  - In each iteration, randomly select any conflicted variable and choose value that violates the fewest constraints
  - I.e., attempt to greedily minimize total number of violated constraints
  - Problem: *local minima*



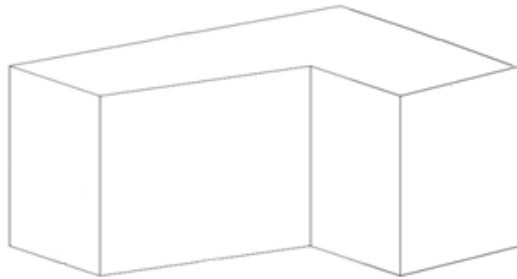
$h = 1$



Applications that look a lot  
like intelligence...

# CSP in computer vision: Line drawing interpretation

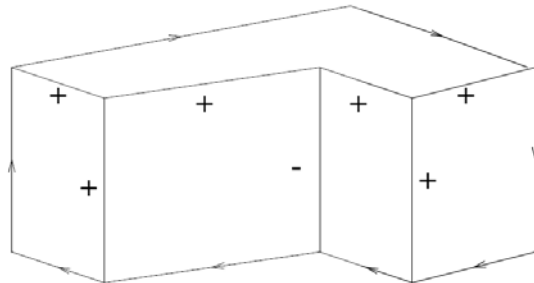
An example polyhedron:



**Variables:** edges

**Domains:** +, -,  $\rightarrow$ ,  $\leftarrow$

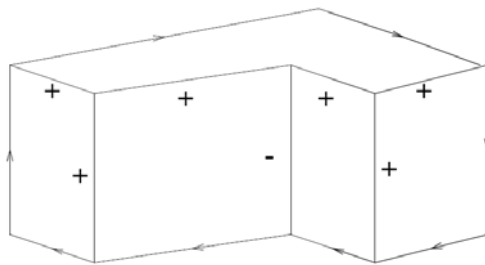
Desired output:



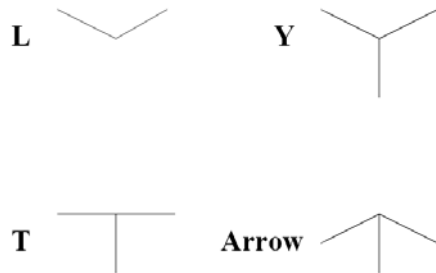
[David Waltz](#), 1975

# CSP in computer vision:

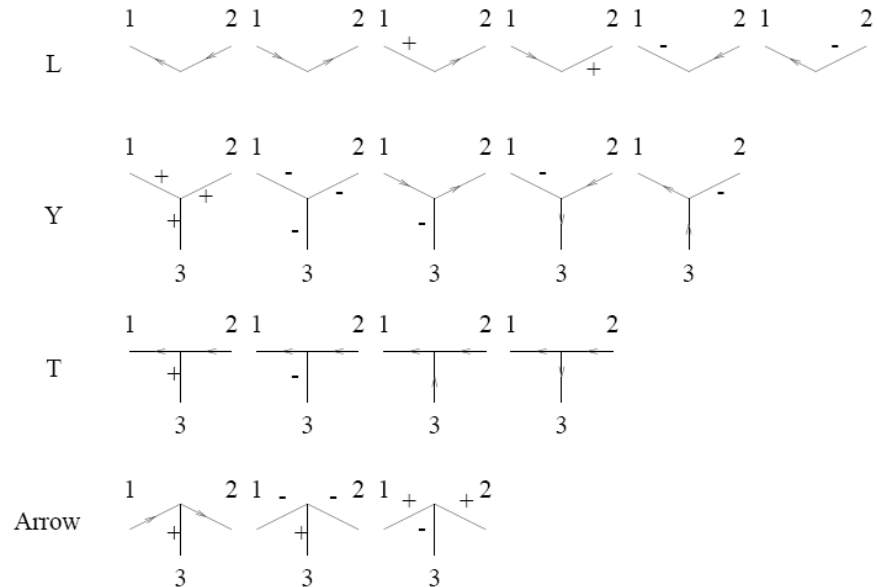
## Line drawing interpretation



Four vertex types:



Constraints imposed by each vertex type:



# CSP in computer vision: 4D Cities

1. When was each photograph taken?
2. When did each building first appear?
3. When was each building removed?

**Set of Photographs:**



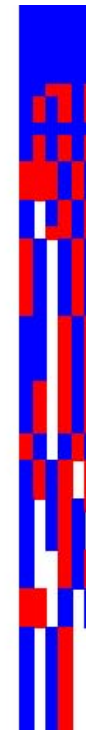
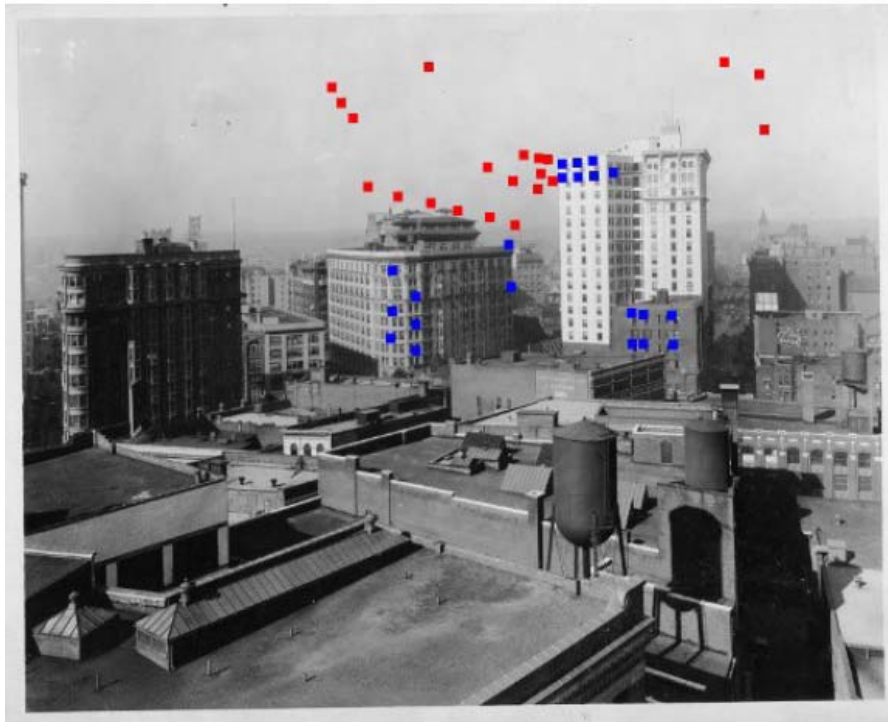
**Set of Objects:  
Buildings**

G. Schindler, F. Dellaert, and S.B. Kang, [Inferring Temporal Order of Images From 3D Structure](#), IEEE Computer Society Conference on Computer Vision and Pattern Recognition (CVPR), 2007.

<http://www.cc.gatech.edu/~phlosoft/>

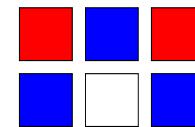
# CSP in computer vision: 4D Cities

■ observed   ■ missing   □ occluded

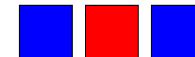


Columns: images  
Rows: points

Satisfies constraints:



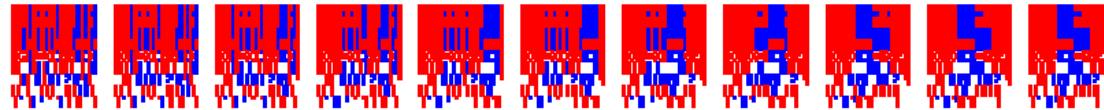
Violates constraints:



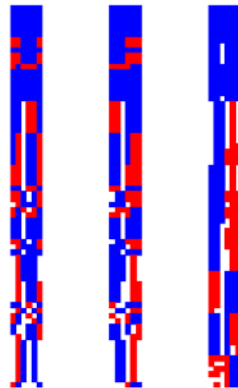
- Goal: reorder images (columns) to have as few violations as possible

# CSP in computer vision: 4D Cities

- **Goal:** reorder images (columns) to have as few violations as possible
- **Local search:** start with random ordering of columns, swap columns or groups of columns to reduce the number of conflicts



- Can also reorder the rows to group together points that appear and disappear at the same time – that gives you buildings



# Summary

- CSPs are a special kind of search problem:
  - States defined by values of a fixed set of variables
  - Goal test defined by constraints on variable values
- **Backtracking** = depth-first search where successor states are generated by considering assignments to a single variable
  - **Variable ordering** and **value selection** heuristics can help significantly
  - **Forward checking** prevents assignments that guarantee later failure
  - **Constraint propagation** (e.g., arc consistency) does additional work to constrain values and detect inconsistencies
- Complexity of CSPs
  - NP-complete in general (exponential worst-case running time)
  - Efficient solutions possible for special cases (e.g., tree-structured CSPs)
- Alternatives to backtracking search: local search