Lecture 16
Some tools for electronic-voting (and other things)

Originally proposed by Chaum (1981) for anonymous communication

- Originally proposed by Chaum (1981) for anonymous communication
- Input: a vector of ciphertexts under a "threshold encryption scheme"

- Originally proposed by Chaum (1981) for anonymous communication
- Input: a vector of ciphertexts under a "threshold encryption scheme"
- Mix-servers take turns to perform "verifiable shuffles"

- Originally proposed by Chaum (1981) for anonymous communication
- Input: a vector of ciphertexts under a "threshold encryption scheme"
- Mix-servers take turns to perform "verifiable shuffles"
- Final shuffled vector decrypted by decryption-servers

- Originally proposed by Chaum (1981) for anonymous communication
- Input: a vector of ciphertexts under a "threshold encryption scheme"
- Mix-servers take turns to perform "verifiable shuffles"
- Final shuffled vector decrypted by decryption-servers
  - (Omitted: Decryption mix-nets, which combine shuffling and decryption. Here: Re-encryption mix-nets)

- Originally proposed by Chaum (1981) for anonymous communication
- Input: a vector of ciphertexts under a "threshold encryption scheme"
- Mix-servers take turns to perform "verifiable shuffles"
- Final shuffled vector decrypted by decryption-servers
  - (Omitted: Decryption mix-nets, which combine shuffling and decryption. Here: Re-encryption mix-nets)
- Ideal functionality: input a vector of private messages from senders, and a permutation from each mix server; output the messages permuted using the composed permutation

- Originally proposed by Chaum (1981) for anonymous communication
- Input: a vector of ciphertexts under a "threshold encryption scheme"
- Mix-servers take turns to perform "verifiable shuffles"
- Final shuffled vector decrypted by decryption-servers
  - (Omitted: Decryption mix-nets, which combine shuffling and decryption. Here: Re-encryption mix-nets)
- Ideal functionality: input a vector of private messages from senders, and a permutation from each mix server; output the messages permuted using the composed permutation
- Corruption model: Active adversary can corrupt a limited number of servers

Key pairs (SK<sub>i</sub>,PK<sub>i</sub>) generated by a set of servers (separate from sender/receiver). (Receiver may set up parameters.)

- Key pairs (SK<sub>i</sub>,PK<sub>i</sub>) generated by a set of servers (separate from sender/receiver). (Receiver may set up parameters.)
- Ciphertexts generated by honest player (not CCA security)

- Key pairs (SK<sub>i</sub>,PK<sub>i</sub>) generated by a set of servers (separate from sender/receiver). (Receiver may set up parameters.)
- Ciphertexts generated by honest player (not CCA security)
- Decryption by public discussion among servers and receiver (all the servers and the receiver see all the messages)

- Key pairs (SK<sub>i</sub>,PK<sub>i</sub>) generated by a set of servers (separate from sender/receiver). (Receiver may set up parameters.)
- Ciphertexts generated by honest player (not CCA security)
- Decryption by public discussion among servers and receiver (all the servers and the receiver see all the messages)
- Active adversary can corrupt a limited number of servers

- Key pairs (SK<sub>i</sub>,PK<sub>i</sub>) generated by a set of servers (separate from sender/receiver). (Receiver may set up parameters.)
- Ciphertexts generated by honest player (not CCA security)
- Decryption by public discussion among servers and receiver (all the servers and the receiver see all the messages)
- Active adversary can corrupt a limited number of servers
- Ideal: Same as for SIM-CPA, but with servers also getting the message (if the receiver decides to get it); if number of corrupted servers above threshold, adversary can block (but not substitute) output to others

E.g. Threshold El Gamal for threshold n out of n

- E.g. Threshold El Gamal for threshold n out of n
- $\otimes$  KeyGen:  $(SK_i,PK_i) = (y_i,Y_i:=g^{y_i})$  (group, g are system parameters)

- E.g. Threshold El Gamal for threshold n out of n
- $\otimes$  KeyGen:  $(SK_i,PK_i) = (y_i,Y_i:=g^{y_i})$  (group, g are system parameters)
- **Encryption**: El Gamal, with PK (g,Y) where  $Y = \Pi_i g^{yi}$

- E.g. Threshold El Gamal for threshold n out of n
- $\bullet$  KeyGen:  $(SK_i,PK_i) = (y_i,Y_i:=g^{y_i})$  (group, g are system parameters)
- $\odot$  Encryption: El Gamal, with PK (g,Y) where Y =  $\Pi_i$  g<sup>yi</sup>
- Decryption: Given (A,B) :=  $(g^r, mY^r)$ ,  $i^{th}$  server outputs  $A_i := (g^r)^{yi}$  and proves (to the receiver) equality of discrete log for  $(g,Y_i)$  and  $(A,A_i)$ . Receiver recovers m as  $B/Π_i$   $A_i$

- E.g. Threshold El Gamal for threshold n out of n
- $\otimes$  KeyGen:  $(SK_i,PK_i) = (y_i,Y_i:=g^{y_i})$  (group, g are system parameters)
- **Encryption**: El Gamal, with PK (g,Y) where  $Y = \Pi_i g^{yi}$
- Decryption: Given (A,B) :=  $(g^r, mY^r)$ ,  $i^{th}$  server outputs  $A_i := (g^r)^{yi}$  and proves (to the receiver) equality of discrete log for  $(g,Y_i)$  and  $(A,A_i)$ . Receiver recovers m as  $B/\Pi_i$   $A_i$ 
  - Proof using an Honest-Verifier ZK proof

- E.g. Threshold El Gamal for threshold n out of n
- $\otimes$  KeyGen:  $(SK_i,PK_i) = (y_i,Y_i:=g^{y_i})$  (group, g are system parameters)
- **Solution** El Gamal, with PK (g,Y) where  $Y = \Pi_i g^{yi}$
- Decryption: Given (A,B) :=  $(g^r, mY^r)$ ,  $i^{th}$  server outputs  $A_i := (g^r)^{yi}$  and proves (to the receiver) equality of discrete log for  $(g,Y_i)$  and  $(A,A_i)$ . Receiver recovers m as  $B/\Pi_i$   $A_i$ 
  - Proof using an Honest-Verifier ZK proof
    - Using a special purpose proof (Chaum-Pederson), rather than ZK for general NP statements

ZK Proof of knowledge of discrete log of A=g<sup>r</sup>

- ZK Proof of knowledge of discrete log of A=g<sup>r</sup>
  - This can be used to prove knowledge of the message in an El Gamal encryption (A,B) = (g<sup>r</sup>, m Y<sup>r</sup>)

- ZK Proof of knowledge of discrete log of A=g<sup>r</sup>
  - This can be used to prove knowledge of the message in an El Gamal encryption (A,B) = (g<sup>r</sup>, m Y<sup>r</sup>)
  - $P \rightarrow V$ :  $U := g^u ; V \rightarrow P$ :  $v ; P \rightarrow V$ : w := rv + u ; $V \text{ checks: } g^w = A^vU$

- ZK Proof of knowledge of discrete log of A=g<sup>r</sup>
  - This can be used to prove knowledge of the message in an El Gamal encryption (A,B) = (g<sup>r</sup>, m Y<sup>r</sup>)
  - $P \rightarrow V$ :  $U := g^u ; V \rightarrow P$ :  $v ; P \rightarrow V$ : w := rv + u ;  $V \text{ checks: } g^w = A^vU$
  - Proof of Knowledge:

- ZK Proof of knowledge of discrete log of A=g<sup>r</sup>
  - This can be used to prove knowledge of the message in an El Gamal encryption (A,B) = (g<sup>r</sup>, m Y<sup>r</sup>)
  - $P \rightarrow V$ :  $U := g^u ; V \rightarrow P$ :  $v ; P \rightarrow V$ : w := rv + u ;  $V \text{ checks: } g^w = A^vU$
  - Proof of Knowledge:
    - Firstly,  $g^w = A^vU \Rightarrow w = rv+u$ , where  $U = g^u$

- ZK Proof of knowledge of discrete log of A=g<sup>r</sup>
  - This can be used to prove knowledge of the message in an El Gamal encryption (A,B) = (g<sup>r</sup>, m Y<sup>r</sup>)
  - $P \rightarrow V$ :  $U := g^u ; V \rightarrow P$ :  $v ; P \rightarrow V$ : w := rv + u ;  $V \text{ checks: } g^w = A^vU$
  - Proof of Knowledge:
    - Firstly,  $g^w = A^vU \Rightarrow w = rv+u$ , where  $U = g^u$
    - If after sending U, P could respond to two different values of v:  $w_1 = rv_1 + u$  and  $w_2 = rv_2 + u$ , then can solve for r

- ZK Proof of knowledge of discrete log of A=g<sup>r</sup>
  - This can be used to prove knowledge of the message in an El Gamal encryption (A,B) = (g<sup>r</sup>, m Y<sup>r</sup>)
  - $P \rightarrow V$ :  $U := g^u ; V \rightarrow P$ :  $v ; P \rightarrow V$ : w := rv + u ;  $V \text{ checks: } g^w = A^vU$
  - Proof of Knowledge:
    - Firstly,  $g^w = A^vU \Rightarrow w = rv+u$ , where  $U = g^u$
    - If after sending U, P could respond to two different values of v:  $w_1 = rv_1 + u$  and  $w_2 = rv_2 + u$ , then can solve for r
  - $\odot$  ZK: simulation picks w, v first and sets U =  $g^w/A^v$

HVZK: Simulation for honest (passively corrupt) verifier

- HVZK: Simulation for honest (passively corrupt) verifier
  - e.g. in PoK of discrete log, simulator picks (v,w) first and computes U (without knowing u). Relies on verifier to pick v independent of U.

- HVZK: Simulation for honest (passively corrupt) verifier
  - e.g. in PoK of discrete log, simulator picks (v,w) first and computes U (without knowing u). Relies on verifier to pick v independent of U.
- Special soundness: given (U,v,w) and (U,v',w') s.t. v≠v' and both accepted by verifier, can derive a witness (in stand-alone setting)

- HVZK: Simulation for honest (passively corrupt) verifier
  - e.g. in PoK of discrete log, simulator picks (v,w) first and computes U (without knowing u). Relies on verifier to pick v independent of U.
- Special soundness: given (U,v,w) and (U,v',w') s.t. v≠v' and both accepted by verifier, can derive a witness (in stand-alone setting)
  - e.g. solve r from w=rv+u and w'=rv'+u (given v,w,v',w')

- HVZK: Simulation for honest (passively corrupt) verifier
  - e.g. in PoK of discrete log, simulator picks (v,w) first and computes U (without knowing u). Relies on verifier to pick v independent of U.
- Special soundness: given (U,v,w) and (U,v',w') s.t. v≠v' and both accepted by verifier, can derive a witness (in stand-alone setting)
  - e.g. solve r from w=rv+u and w'=rv'+u (given v,w,v',w')
  - Implies soundness: for each U s.t. prover has significant probability of being able to convince, can extract r from the prover with comparable probability (using "rewinding")

## HVZK and Special Soundness

- HVZK: Simulation for honest (passively corrupt) verifier
  - e.g. in PoK of discrete log, simulator picks (v,w) first and computes U (without knowing u). Relies on verifier to pick v independent of U.
- Special soundness: given (U,v,w) and (U,v',w') s.t. v≠v' and both accepted by verifier, can derive a witness (in stand-alone setting)
  - e.g. solve r from w=rv+u and w'=rv'+u (given v,w,v',w')
  - Implies soundness: for each U s.t. prover has significant probability of being able to convince, can extract r from the prover with comparable probability (using "rewinding")
  - Can amplify soundness using parallel repetition: still 3 rounds

ZK PoK to prove equality of discrete logs for ((g,Y),(C,D)), i.e.,  $Y = g^r$  and  $D = C^r$  [Chaum-Pederson]

- ZK PoK to prove equality of discrete logs for ((g,Y),(C,D)), i.e.,  $Y = g^r$  and  $D = C^r$  [Chaum-Pederson]
  - Can be used to prove equality of two El Gamal encryptions (A,B) & (A',B') w.r.t public-key (g,Y): set (C,D) := (A/A',B/B')

- ZK PoK to prove equality of discrete logs for ((g,Y),(C,D)), i.e.,  $Y = g^r$  and  $D = C^r$  [Chaum-Pederson]
  - © Can be used to prove equality of two El Gamal encryptions (A,B) & (A',B') w.r.t public-key (g,Y): set (C,D) := (A/A',B/B')
  - $P \rightarrow V$ :  $(U,M) := (g^u,C^u); V \rightarrow P$ :  $V : P \rightarrow V$ : W := rv + u : V checks:  $g^w = Y^vU$  and  $C^w = D^vM$

- ZK PoK to prove equality of discrete logs for ((g,Y),(C,D)), i.e.,  $Y = g^r$  and  $D = C^r$  [Chaum-Pederson]
  - © Can be used to prove equality of two El Gamal encryptions (A,B) & (A',B') w.r.t public-key (g,Y): set (C,D) := (A/A',B/B')
  - $P \rightarrow V$ : (U,M) := (g<sup>u</sup>,C<sup>u</sup>);  $V \rightarrow P$ : V ;  $P \rightarrow V$ : W := rv+u ; V checks:  $g^w = Y^vU$  and  $C^w = D^vM$
  - Proof of Knowledge:

- ZK PoK to prove equality of discrete logs for ((g,Y),(C,D)), i.e.,  $Y = g^r$  and  $D = C^r$  [Chaum-Pederson]
  - Can be used to prove equality of two El Gamal encryptions (A,B) & (A',B') w.r.t public-key (g,Y): set (C,D) := (A/A',B/B')
  - $P \rightarrow V$ :  $(U,M) := (g^u,C^u); V \rightarrow P$ :  $V : P \rightarrow V$ : W := rv + u : V : Checks:  $g^w = Y^vU$  and  $C^w = D^vM$
  - Proof of Knowledge:
    - $g^w=Y^vU$ ,  $C^w=D^vM \Rightarrow w = rv+u = r'v+u'$ where  $U=g^u$ ,  $M=g^{u'}$  and  $Y=g^r$ ,  $D=C^{r'}$

- ZK PoK to prove equality of discrete logs for ((g,Y),(C,D)), i.e.,  $Y = g^r$  and  $D = C^r$  [Chaum-Pederson]
  - © Can be used to prove equality of two El Gamal encryptions (A,B) & (A',B') w.r.t public-key (g,Y): set (C,D) := (A/A',B/B')
  - $P \rightarrow V$ : (U,M) := (g<sup>u</sup>,C<sup>u</sup>);  $V \rightarrow P$ : V ;  $P \rightarrow V$ : W := rv + u ; V checks:  $g^w = Y^vU$  and  $C^w = D^vM$
  - Proof of Knowledge:
    - $g^w=Y^vU$ ,  $C^w=D^vM \Rightarrow w = rv+u = r'v+u'$ where  $U=g^u$ ,  $M=g^{u'}$  and  $Y=g^r$ ,  $D=C^{r'}$
    - If after sending (U,M) P could respond to two different values of v:  $rv_1 + u = r'v_1 + u'$  and  $rv_2 + u = r'v_2 + u'$ , then r=r'

- ZK PoK to prove equality of discrete logs for ((g,Y),(C,D)), i.e.,  $Y = g^r$  and  $D = C^r$  [Chaum-Pederson]
  - © Can be used to prove equality of two El Gamal encryptions (A,B) & (A',B') w.r.t public-key (g,Y): set (C,D) := (A/A',B/B')
  - $P \rightarrow V$ : (U,M) := (g<sup>u</sup>,C<sup>u</sup>);  $V \rightarrow P$ : V ;  $P \rightarrow V$ : W := rv + u ; V checks:  $g^w = Y^v U$  and  $C^w = D^v M$
  - Proof of Knowledge:
    - $g^w=Y^vU$ ,  $C^w=D^vM \Rightarrow w = rv+u = r'v+u'$ where  $U=g^u$ ,  $M=g^{u'}$  and  $Y=g^r$ ,  $D=C^{r'}$
    - If after sending (U,M) P could respond to two different values of v:  $rv_1 + u = r'v_1 + u'$  and  $rv_2 + u = r'v_2 + u'$ , then r=r'
  - $\odot$  ZK: simulation picks w, v first and sets U=g<sup>w</sup>/A<sup>v</sup>, M=C<sup>w</sup>/D<sup>v</sup>

Limitation: Honest-Verifier ZK does not guarantee ZK when verifier is actively corrupt

- Limitation: Honest-Verifier ZK does not guarantee ZK when verifier is actively corrupt
  - Can be fixed by implementing the verifier using MPC

- Limitation: Honest-Verifier ZK does not guarantee ZK when verifier is actively corrupt
  - Can be fixed by implementing the verifier using MPC
    - If verifier is a public-coin protocol -- i.e., only picks random elements publicly -- then MPC only to generate random coins

- Limitation: Honest-Verifier ZK does not guarantee ZK when verifier is actively corrupt
  - Can be fixed by implementing the verifier using MPC
    - If verifier is a public-coin protocol -- i.e., only picks random elements publicly -- then MPC only to generate random coins
    - Fiat-Shamir Heuristic: random coins from verifier defined as R(trans), where R is a random oracle and trans is the transcript of the proof so far

- Limitation: Honest-Verifier ZK does not guarantee ZK when verifier is actively corrupt
  - Can be fixed by implementing the verifier using MPC
    - If verifier is a public-coin protocol -- i.e., only picks random elements publicly -- then MPC only to generate random coins
    - Fiat-Shamir Heuristic: random coins from verifier defined as R(trans), where R is a random oracle and trans is the transcript of the proof so far
      - Removes need for interaction!

(Not so) ideal functionality: takes as input encrypted messages from a sender, and a permutation and randomness from a mixer; outputs rerandomized encryptions of permuted messages to a receiver. (Mixer gets encryptions, then picks its inputs.)

- (Not so) ideal functionality: takes as input encrypted messages from a sender, and a permutation and randomness from a mixer; outputs rerandomized encryptions of permuted messages to a receiver. (Mixer gets encryptions, then picks its inputs.)
- Will settle for stand-alone security, and restrict to active corruption of mixer and passive corruption of sender/receiver

- (Not so) ideal functionality: takes as input encrypted messages from a sender, and a permutation and randomness from a mixer; outputs rerandomized encryptions of permuted messages to a receiver. (Mixer gets encryptions, then picks its inputs.)
- Will settle for stand-alone security, and restrict to active corruption of mixer and passive corruption of sender/receiver
  - Security against active corruption will be enforced separately (say using the Fiat-Shamir heuristic for receivers; audits/physical means for senders in voting)

- (Not so) ideal functionality: takes as input encrypted messages from a sender, and a permutation and randomness from a mixer; outputs rerandomized encryptions of permuted messages to a receiver. (Mixer gets encryptions, then picks its inputs.)
- Will settle for stand-alone security, and restrict to active corruption of mixer and passive corruption of sender/receiver
  - Security against active corruption will be enforced separately (say using the Fiat-Shamir heuristic for receivers; audits/physical means for senders in voting)
- We shall consider El Gamal encryption

- (Not so) ideal functionality: takes as input encrypted messages from a sender, and a permutation and randomness from a mixer; outputs rerandomized encryptions of permuted messages to a receiver. (Mixer gets encryptions, then picks its inputs.)
- Will settle for stand-alone security, and restrict to active corruption of mixer and passive corruption of sender/receiver
  - Security against active corruption will be enforced separately (say using the Fiat-Shamir heuristic for receivers; audits/physical means for senders in voting)
- We shall consider El Gamal encryption
  - Mixer will be given encrypted messages and it will perform the permutation and reencryptions

On input (C1,C2), produce (D1,D2) by shuffling and rerandomizing

- $\odot$  On input  $(C_1,C_2)$ , produce  $(D_1,D_2)$  by shuffling and rerandomizing
- $\bullet$  HVZK proofs that  $[(C_1 \rightarrow D_1) \text{ or } (C_1 \rightarrow D_2)]$  and  $[(C_2 \rightarrow D_1) \text{ or } (C_2 \rightarrow D_2)]$

- $\odot$  On input  $(C_1,C_2)$ , produce  $(D_1,D_2)$  by shuffling and rerandomizing
- $\bullet$  HVZK proofs that  $[(C_1 \rightarrow D_1) \text{ or } (C_1 \rightarrow D_2)]$  and  $[(C_2 \rightarrow D_1) \text{ or } (C_2 \rightarrow D_2)]$ 
  - To prove [ stmnt1 or stmnt2 ], given an HVZK/SS proof system for a single statement (here: equality of El Gamal encryptions)

- $\odot$  On input  $(C_1,C_2)$ , produce  $(D_1,D_2)$  by shuffling and rerandomizing
- $\bullet$  HVZK proofs that  $[(C_1 \rightarrow D_1) \text{ or } (C_1 \rightarrow D_2)]$  and  $[(C_2 \rightarrow D_1) \text{ or } (C_2 \rightarrow D_2)]$ 
  - To prove [ stmnt1 or stmnt2 ], given an HVZK/SS proof system for a single statement (here: equality of El Gamal encryptions)
  - Denote the messages in the original system by (U,v,w)

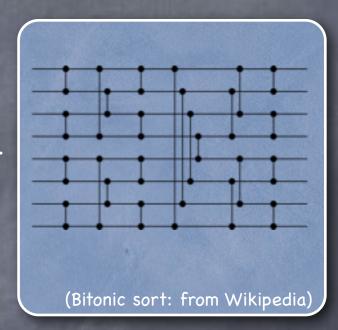
- $\odot$  On input  $(C_1,C_2)$ , produce  $(D_1,D_2)$  by shuffling and rerandomizing
- $\bullet$  HVZK proofs that  $[(C_1 \rightarrow D_1) \text{ or } (C_1 \rightarrow D_2)]$  and  $[(C_2 \rightarrow D_1) \text{ or } (C_2 \rightarrow D_2)]$ 
  - To prove [stmnt1 or stmnt2], given an HVZK/SS proof system for a single statement (here: equality of El Gamal encryptions)
  - Denote the messages in the original system by (U,v,w)
  - P: Run simulator to get  $(U_{1-b}, v_{1-b}, w_{1-b})$  when stmnt<sub>b</sub> true  $P \rightarrow V$ :  $(U_1, U_2)$ ;  $V \rightarrow P$ : v;  $P \rightarrow V$ :  $(v_1, v_2, w_1, w_2)$  where  $v_b = v v_{1-b}$  Verifier checks:  $v_1 + v_2 = v$  and verifies  $(U_1, v_1, w_1)$  and  $(U_2, v_2, w_2)$

- $\odot$  On input  $(C_1,C_2)$ , produce  $(D_1,D_2)$  by shuffling and rerandomizing
- $\odot$  HVZK proofs that  $[(C_1 \rightarrow D_1) \text{ or } (C_1 \rightarrow D_2)]$  and  $[(C_2 \rightarrow D_1) \text{ or } (C_2 \rightarrow D_2)]$ 
  - To prove [ stmnt₁ or stmnt₂ ], given an HVZK/SS proof system for a single statement (here: equality of El Gamal encryptions)
  - Denote the messages in the original system by (U,v,w)
  - P: Run simulator to get  $(U_{1-b}, v_{1-b}, w_{1-b})$  when stmnt<sub>b</sub> true  $P \rightarrow V$ :  $(U_1, U_2)$ ;  $V \rightarrow P$ : v;  $P \rightarrow V$ :  $(v_1, v_2, w_1, w_2)$  where  $v_b = v v_{1-b}$  Verifier checks:  $v_1 + v_2 = v$  and verifies  $(U_1, v_1, w_1)$  and  $(U_2, v_2, w_2)$
- Special soundness: given answers for  $v \neq v'$  either  $v_1 \neq v_1'$  or  $v_2 \neq v_2'$ . By special soundness, extract witness for stmnt<sub>1</sub> or stmnt<sub>2</sub>

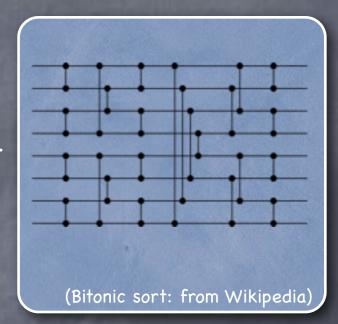
Using a sorting network

- Using a sorting network
  - A circuit with "comparison gates" such that for inputs in any order the output is sorted

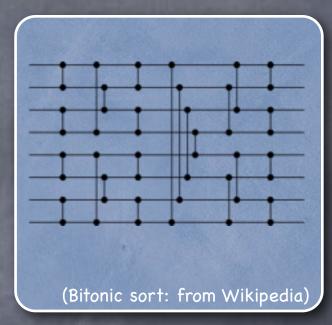
- Using a sorting network
  - A circuit with "comparison gates" such that for inputs in any order the output is sorted



- Using a sorting network
  - A circuit with "comparison gates" such that for inputs in any order the output is sorted
  - Simple O(n log²n) size networks known

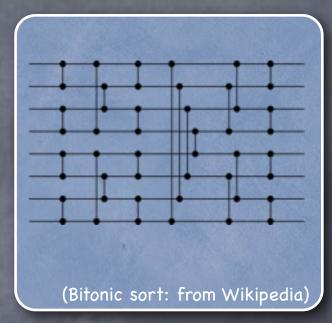


- Using a sorting network
  - A circuit with "comparison gates" such that for inputs in any order the output is sorted
  - Simple O(n log²n) size networks known



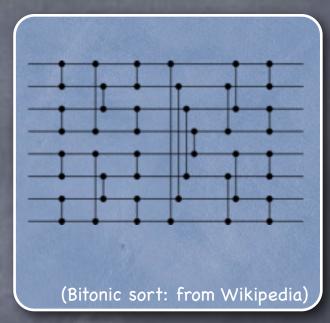
Fix a sorting network, and use a 2x2 verifiable shuffle at each comparison gate

- Using a <u>sorting network</u>
  - A circuit with "comparison gates" such that for inputs in any order the output is sorted
  - Simple O(n log²n) size networks known



- Fix a sorting network, and use a 2x2 verifiable shuffle at each comparison gate
  - Permutations at the comparison gates chosen so as to implement the overall permutation

- Using a sorting network
  - A circuit with "comparison gates" such that for inputs in any order the output is sorted
  - Simple O(n log²n) size networks known



- Fix a sorting network, and use a 2x2 verifiable shuffle at each comparison gate
  - Permutations at the comparison gates chosen so as to implement the overall permutation
  - 3 rounds: Parallel composition of HVZK proofs

More efficient (w.r.t. communication/computation) protocols known:

- More efficient (w.r.t. communication/computation) protocols known:
  - 3 rounds, using "permutation matrices"

- More efficient (w.r.t. communication/computation) protocols known:
  - 3 rounds, using "permutation matrices"
    - With linear communication

- More efficient (w.r.t. communication/computation) protocols known:
  - 3 rounds, using "permutation matrices"
    - With linear communication
  - 7 rounds, using <u>homomorphic commitments</u>

- More efficient (w.r.t. communication/computation) protocols known:
  - 3 rounds, using "permutation matrices"
    - With linear communication
  - 7 rounds, using <u>homomorphic commitments</u>
    - Possible with sub-linear communication for the proof

A commitment scheme over a group

- A commitment scheme over a group
  - com(x;r) = c, where x, r, c are from their respective groups

- A commitment scheme over a group
  - com(x;r) = c, where x, r, c are from their respective
    groups
- Hiding and binding

- A commitment scheme over a group
  - com(x;r) = c, where x, r, c are from their respective groups
- Hiding and binding
- $\bullet$  Homomorphism: com(x;r) \* com(x';r') = com(x+x';r+r')

- A commitment scheme over a group
  - com(x;r) = c, where x, r, c are from their respective groups
- Hiding and binding
- $\bullet$  Homomorphism: com(x;r) \* com(x';r') = com(x+x';r+r')
  - Operations in respective groups)

 $\odot$  Let H be a CRHF s.t.  $H_K(x,r)$  is uniformly random for a random r, for any x and any K

- Det H be a CRHF s.t.  $H_K(x,r)$  is uniformly random for a random r, for any x and any K
- © Commitment: Receiver sends a random key K for H, and sender sends  $Com_K(x;r) := H_K(x,r)$

- $\bullet$  Let H be a CRHF s.t.  $H_K(x,r)$  is uniformly random for a random r, for any x and any K
- © Commitment: Receiver sends a random key K for H, and sender sends  $Com_K(x;r) := H_K(x,r)$ 
  - Perfectly hiding, because r will be chosen at random by the committer

- $\bullet$  Let H be a CRHF s.t.  $H_K(x,r)$  is uniformly random for a random r, for any x and any K
- Commitment: Receiver sends a random key K for H, and sender sends Com<sub>K</sub>(x;r) := H<sub>K</sub>(x,r)
  - Perfectly hiding, because r will be chosen at random by the committer
- Reveal: send (x,r)

- $\bullet$  Let H be a CRHF s.t.  $H_K(x,r)$  is uniformly random for a random r, for any x and any K
- Commitment: Receiver sends a random key K for H, and sender sends Com<sub>K</sub>(x;r) := H<sub>K</sub>(x,r)
  - Perfectly hiding, because r will be chosen at random by the committer
- Reveal: send (x,r)
  - Binding, because of collision resistance when K picked at random

Recall CRHF  $H_{g,h}(x,r) = g^x h^r$  (collision resistant under Discrete Log assumption)

- Recall CRHF  $H_{g,h}(x,r) = g^x h^r$  (collision resistant under Discrete Log assumption)
  - Binding by collision-resistance: receiver picks (g,h)

- Recall CRHF H<sub>g,h</sub>(x,r) = g<sup>x</sup>h<sup>r</sup> (collision resistant under Discrete Log assumption)
  - Binding by collision-resistance: receiver picks (g,h)
  - Perfectly Hiding in a prime order group

- Recall CRHF  $H_{g,h}(x,r) = g^x h^r$  (collision resistant under Discrete Log assumption)
  - Binding by collision-resistance: receiver picks (g,h)
  - Perfectly Hiding in a prime order group
    - If group is prime order, then all h are generators

- Recall CRHF  $H_{g,h}(x,r) = g^x h^r$  (collision resistant under Discrete Log assumption)
  - Binding by collision-resistance: receiver picks (g,h)
  - Perfectly Hiding in a prime order group
    - If group is prime order, then all h are generators
    - Then for all x, H<sub>g,h</sub>(x,r) is random if r random

- Recall CRHF H<sub>g,h</sub>(x,r) = g<sup>x</sup>h<sup>r</sup> (collision resistant under Discrete Log assumption)
  - Binding by collision-resistance: receiver picks (g,h)
  - Perfectly Hiding in a prime order group
    - If group is prime order, then all h are generators
    - Then for all x, H<sub>g,h</sub>(x,r) is random if r random
- Homomorphism:  $Com_{g,h}(x;r)$  \*  $Com_{g,h}(x';r') = Com_{g,h}(x+x';r+r')$

- Recall CRHF  $H_{g,h}(x,r) = g^x h^r$  (collision resistant under Discrete Log assumption)
  - Binding by collision-resistance: receiver picks (g,h)
  - Perfectly Hiding in a prime order group
    - If group is prime order, then all h are generators
    - Then for all x,  $H_{g,h}(x,r)$  is random if r random
- Homomorphism:  $Com_{g,h}(x;r) * Com_{g,h}(x';r') = Com_{g,h}(x+x';r+r')$
- The HVZK PoK of (x,r): Send  $Com_{g,h}(u_1;u_2)$ , and on challenge v, send  $(xv+u_1)$  and  $(rv+u_2)$

- Recall CRHF  $H_{g,h}(x,r) = g^x h^r$  (collision resistant under Discrete Log assumption)
  - Binding by collision-resistance: receiver picks (g,h)
  - Perfectly Hiding in a prime order group
    - If group is prime order, then all h are generators
    - Then for all x,  $H_{g,h}(x,r)$  is random if r random
- Homomorphism:  $Com_{g,h}(x;r) * Com_{g,h}(x';r') = Com_{g,h}(x+x';r+r')$
- HVZK PoK of (x,r): Send Com<sub>g,h</sub>(u<sub>1</sub>;u<sub>2</sub>), and on challenge v, send (xv+u<sub>1</sub>) and (rv+u<sub>2</sub>)
- Timproved efficiency:  $H_{g1,..,gn,h}(x_1,...,x_n,r) = g_1^{x_1}...g_n^{x_n}h^r$

Sub-problem: given a <u>plaintext</u> vector (m<sub>1</sub>,...,m<sub>n</sub>), verifiably commit to a permutation of it (using a vector commitment)

- Sub-problem: given a <u>plaintext</u> vector (m<sub>1</sub>,...,m<sub>n</sub>), verifiably commit to a permutation of it (using a vector commitment)
- Idea:  $(z_1,...,z_n)$  is a permutation of  $(m_1,...,m_n)$  iff the polynomials  $f(X) := \Pi_i (X-m_i)$  and  $h(X) := \Pi_i (X-z_i)$  are the same

- Sub-problem: given a <u>plaintext</u> vector (m<sub>1</sub>,...,m<sub>n</sub>), verifiably commit to a permutation of it (using a vector commitment)
- Idea:  $(z_1,...,z_n)$  is a permutation of  $(m_1,...,m_n)$  iff the polynomials  $f(X) := \Pi_i (X-m_i)$  and  $h(X) := \Pi_i (X-z_i)$  are the same
  - Probabilistically verified by assigning a random value x to X

- Sub-problem: given a <u>plaintext</u> vector (m<sub>1</sub>,...,m<sub>n</sub>), verifiably commit to a permutation of it (using a vector commitment)
- Idea:  $(z_1,...,z_n)$  is a permutation of  $(m_1,...,m_n)$  iff the polynomials  $f(X) := \Pi_i (X-m_i)$  and  $h(X) := \Pi_i (X-z_i)$  are the same
  - Probabilistically verified by assigning a random value x to X
  - If the field is large (super-polynomial), soundness error is negligible: if not identically 0, f(X)-h(X) has at most n roots

- Sub-problem: given a <u>plaintext</u> vector (m<sub>1</sub>,...,m<sub>n</sub>), verifiably commit to a permutation of it (using a vector commitment)
- Idea:  $(z_1,...,z_n)$  is a permutation of  $(m_1,...,m_n)$  iff the polynomials  $f(X) := \Pi_i (X-m_i)$  and  $h(X) := \Pi_i (X-z_i)$  are the same
  - Probabilistically verified by assigning a random value x to X
  - If the field is large (super-polynomial), soundness error is negligible: if not identically 0, f(X)-h(X) has at most n roots
- Use homomorphic commitments to carry out the polynomial evaluation and check equality (details omitted)

Sub-problem: given a <u>plaintext</u> vector (m<sub>1</sub>,...,m<sub>n</sub>), verifiably commit to a permutation of it (using a vector commitment)

- Sub-problem: given a <u>plaintext</u> vector (m<sub>1</sub>,...,m<sub>n</sub>), verifiably commit to a permutation of it (using a vector commitment)
- For shuffling ciphertexts:

- Sub-problem: given a plaintext vector (m<sub>1</sub>,...,m<sub>n</sub>), verifiably commit to a permutation of it (using a vector commitment)
- For shuffling ciphertexts:
  - Suppose verifier knew the permutation. Then task reduces to proving equality of messages in ciphertext pairs

# Using Homomorphic Commitments

- Sub-problem: given a plaintext vector (m<sub>1</sub>,...,m<sub>n</sub>), verifiably commit to a permutation of it (using a vector commitment)
- For shuffling ciphertexts:
  - Suppose verifier knew the permutation. Then task reduces to proving equality of messages in ciphertext pairs
  - © Can't reveal the permutation: instead commit to a permutation of (1,2,...,n)

# Using Homomorphic Commitments

- Sub-problem: given a <u>plaintext</u> vector (m<sub>1</sub>,...,m<sub>n</sub>), verifiably commit to a permutation of it (using a vector commitment)
- For shuffling ciphertexts:
  - Suppose verifier knew the permutation. Then task reduces to proving equality of messages in ciphertext pairs
  - © Can't reveal the permutation: instead commit to a permutation of (1,2,...,n)
    - Use the sub-protocol to do this verifiably

# Using Homomorphic Commitments

- Sub-problem: given a <u>plaintext</u> vector (m<sub>1</sub>,...,m<sub>n</sub>), verifiably commit to a permutation of it (using a vector commitment)
- For shuffling ciphertexts:
  - Suppose verifier knew the permutation. Then task reduces to proving equality of messages in ciphertext pairs
  - © Can't reveal the permutation: instead commit to a permutation of (1,2,...,n)
    - Use the sub-protocol to do this verifiably
    - Use homomorphic properties of the commitments to carry out equality proofs w.r.t committed permutation (omitted)

Mix-Nets

- Mix-Nets
- Verifiable shuffles for El Gamal encryption

- Mix-Nets
- Verifiable shuffles for El Gamal encryption
  - Also known for Paillier encryption

- Mix-Nets
- Verifiable shuffles for El Gamal encryption
  - Also known for Paillier encryption
- Useful in the "back-end" of voting schemes

- Mix-Nets
- Verifiable shuffles for El Gamal encryption
  - Also known for Paillier encryption
- Useful in the "back-end" of voting schemes
  - In principle, general MPC would work

- Mix-Nets
- Verifiable shuffles for El Gamal encryption
  - Also known for Paillier encryption
- Useful in the "back-end" of voting schemes
  - In principle, general MPC would work
  - Special constructions with better efficiency

- Mix-Nets
- Verifiable shuffles for El Gamal encryption
  - Also known for Paillier encryption
- Useful in the "back-end" of voting schemes
  - In principle, general MPC would work
  - Special constructions with better efficiency
- Next: Voting

- Mix-Nets
- Verifiable shuffles for El Gamal encryption
  - Also known for Paillier encryption
- Useful in the "back-end" of voting schemes
  - In principle, general MPC would work
  - Special constructions with better efficiency
- Next: Voting
  - Several subtleties (especially in the "front-end")