

# Interactive Proofs

Lecture 18

AM

# Interactive Proofs

# Interactive Proofs

- $IP[k]$

# Interactive Proofs

- $IP[k]$ 
  - $IP[\text{poly}] = PSPACE$

# Interactive Proofs

- $IP[k]$ 
  - $IP[\text{poly}] = PSPACE$ 
    - IP protocol for TQBF using arithmetization

# Interactive Proofs

- $IP[k]$ 
  - $IP[\text{poly}] = PSPACE$ 
    - IP protocol for TQBF using arithmetization
      - We saw IP protocol for sum-check

# Interactive Proofs

- $IP[k]$ 
  - $IP[\text{poly}] = PSPACE$ 
    - IP protocol for TQBF using arithmetization
      - We saw IP protocol for sum-check
  - $IP[\text{const}] = AM[\text{const}]$

# Interactive Proofs

- $IP[k]$ 
  - $IP[\text{poly}] = PSPACE$ 
    - IP protocol for TQBF using arithmetization
      - We saw IP protocol for sum-check
  - $IP[\text{const}] = AM[\text{const}]$ 
    - We saw public coin protocol for Graph Non-Isomorphism



# Interactive Proofs

- $IP[k]$ 
  - $IP[\text{poly}] = PSPACE$ 
    - IP protocol for TQBF using arithmetization
      - We saw IP protocol for sum-check
  - $IP[\text{const}] = AM[\text{const}]$ 
    - We saw public coin protocol for Graph Non-Isomorphism
      - Using 2-universal hash functions

# Interactive Proofs

- $IP[k]$ 
  - $IP[\text{poly}] = PSPACE$ 
    - IP protocol for TQBF using arithmetization
      - We saw IP protocol for sum-check
  - $IP[\text{const}] = AM[\text{const}]$ 
    - We saw public coin protocol for Graph Non-Isomorphism
      - Using 2-universal hash functions
- Today: Collapse of the AM hierarchy

# Interactive Proofs

- $IP[k]$ 
  - $IP[\text{poly}] = PSPACE$ 
    - IP protocol for TQBF using arithmetization
      - We saw IP protocol for sum-check
  - $IP[\text{const}] = AM[\text{const}]$ 
    - We saw public coin protocol for Graph Non-Isomorphism
      - Using 2-universal hash functions
- Today: Collapse of the AM hierarchy
  - $AM[\text{const}] = AM[2]$

# Recall AM

# Recall AM



# Recall AM

- AM[2] (or simply AM)



# Recall AM

- AM[2] (or simply AM)
  - Input  $x$



# Recall AM

- AM[2] (or simply AM)
  - Input  $x$
  - Random coins  $r$  come from a **beacon**





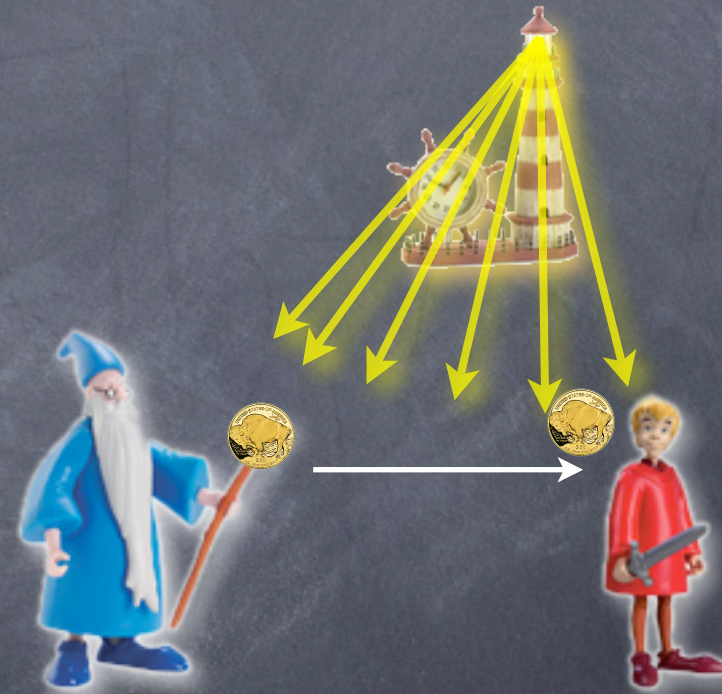
# Recall AM

- AM[2] (or simply AM)
  - Input  $x$
  - Random coins  $r$  come from a **beacon**



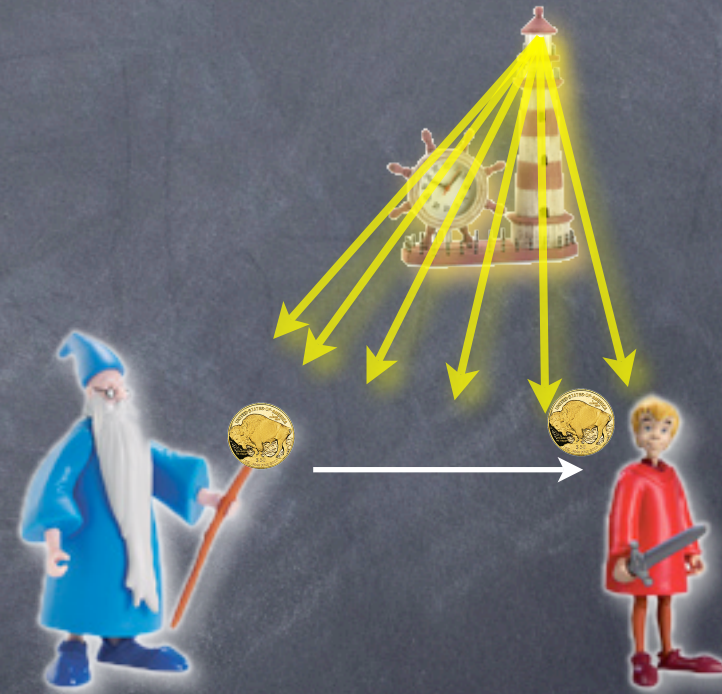
# Recall AM

- AM[2] (or simply AM)
  - Input  $x$
  - Random coins  $r$  come from a **beacon**
  - Unbounded prover **Merlin** sends a “proof” message  $a$



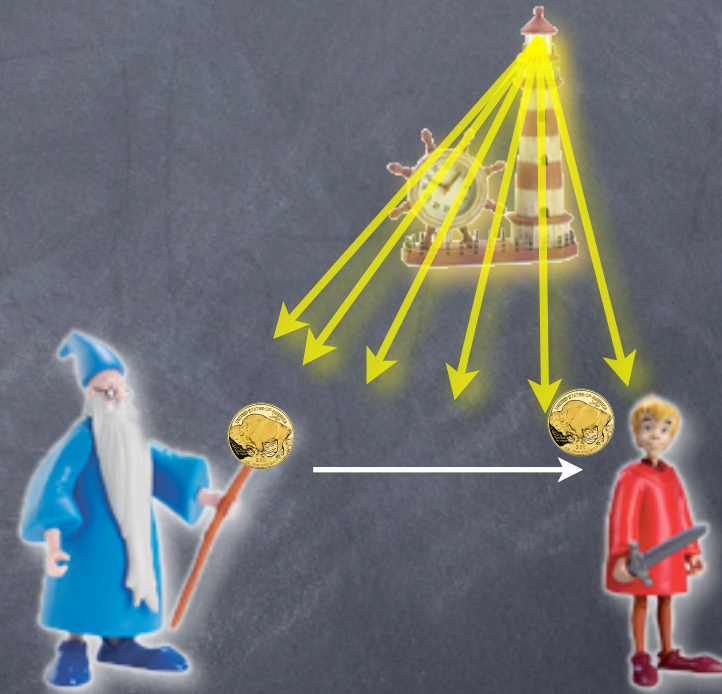
# Recall AM

- AM[2] (or simply AM)
  - Input  $x$
  - Random coins  $r$  come from a **beacon**
  - Unbounded prover **Merlin** sends a “proof” message  $a$
  - Polynomial time verifier **Arthur** runs a deterministic verification procedure  $R(x;r,a)$ , and outputs Yes or No



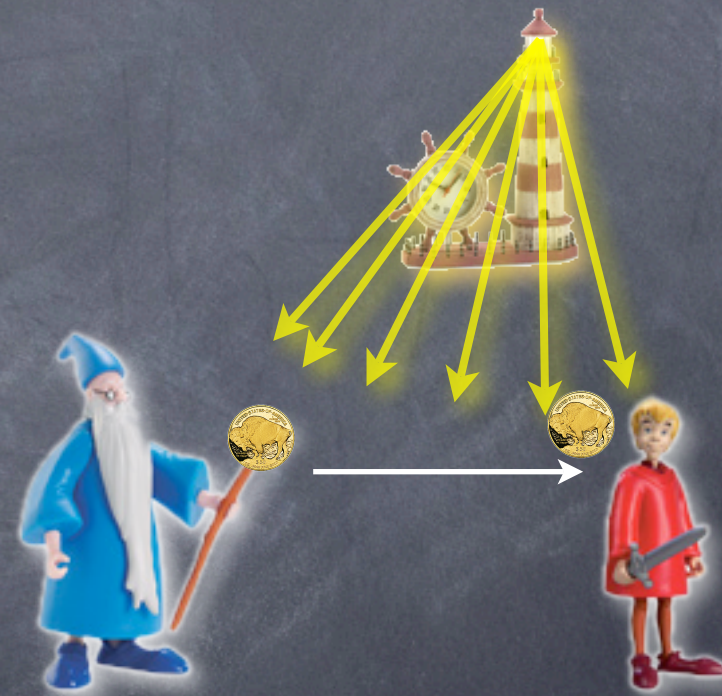
# Recall AM

- AM[2] (or simply AM)
  - Input  $x$
  - Random coins  $r$  come from a **beacon**
  - Unbounded prover **Merlin** sends a “proof” message  $a$
  - Polynomial time verifier **Arthur** runs a deterministic verification procedure  $R(x;r,a)$ , and outputs Yes or No
- $L$  is said to **have** an AM protocol if



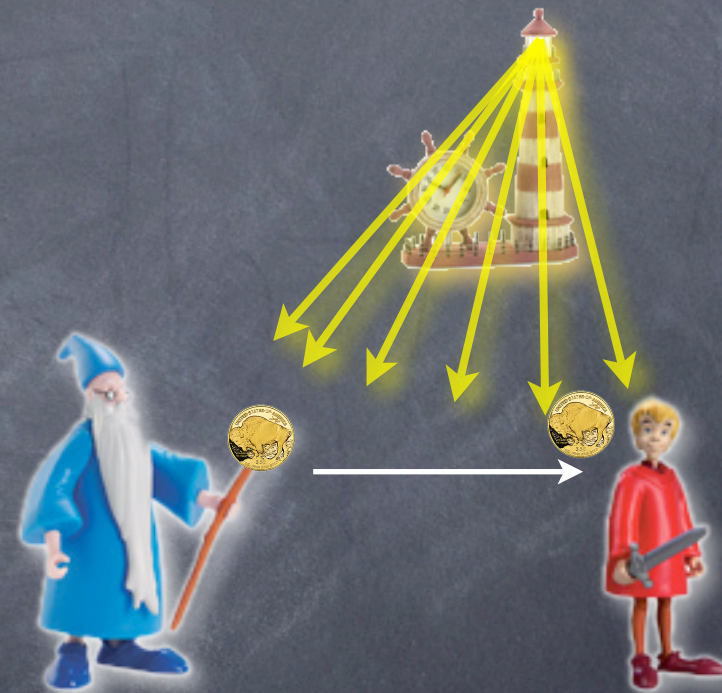
# Recall AM

- AM[2] (or simply AM)
  - Input  $x$
  - Random coins  $r$  come from a **beacon**
  - Unbounded prover **Merlin** sends a “proof” message  $a$
  - Polynomial time verifier **Arthur** runs a deterministic verification procedure  $R(x;r,a)$ , and outputs Yes or No
- $L$  is said to **have** an AM protocol if
  - $x \in L \Leftrightarrow \max \Pr[\text{Yes}] > 2/3$



# Recall AM

- AM[2] (or simply AM)
  - Input  $x$
  - Random coins  $r$  come from a **beacon**
  - Unbounded prover **Merlin** sends a “proof” message  $a$
  - Polynomial time verifier **Arthur** runs a deterministic verification procedure  $R(x;r,a)$ , and outputs Yes or No
- $L$  is said to **have** an AM protocol if
  - $x \in L \Leftrightarrow \max \Pr[\text{Yes}] > 2/3$
  - $x \notin L \Leftrightarrow \max \Pr[\text{Yes}] < 1/3$



$\max \Pr[\text{Yes}]$

# max Pr[Yes]

- Quantity of interest



# max Pr[Yes]

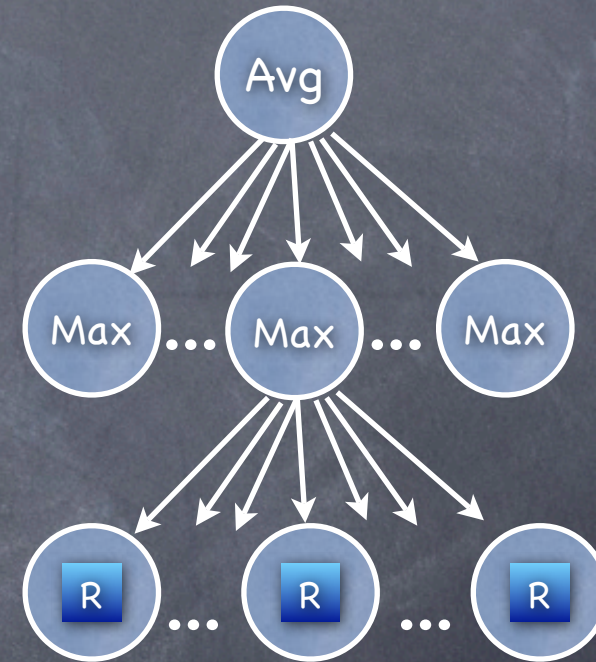
- Quantity of interest
  - **Maximum** (over prover strategies)  
**probability** (over coins from the beacon)  
of Arthur saying yes

# max Pr[Yes]

- Quantity of interest
  - **Maximum** (over prover strategies)  
**probability** (over coins from the beacon)  
of Arthur saying yes
- Evaluate the "Avg-Max tree"

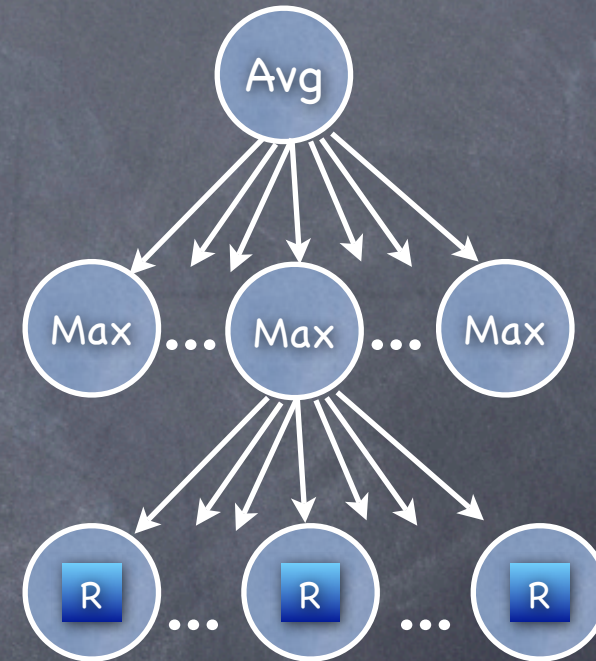
# max Pr[Yes]

- Quantity of interest
  - Maximum** (over prover strategies)  
**probability** (over coins from the beacon)  
of Arthur saying yes
- Evaluate the "Avg-Max tree"



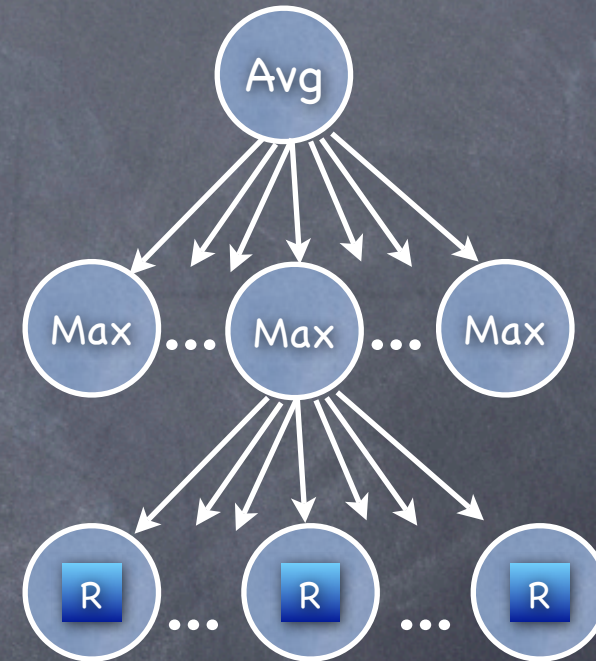
# max Pr[Yes]

- Quantity of interest
  - Maximum** (over prover strategies)  
**probability** (over coins from the beacon)  
of Arthur saying yes
- Evaluate the "Avg-Max tree"
  - Leaves:  $\Pr[\text{yes}] = 0$  or  $1$ , as determined  
by Arthur's program



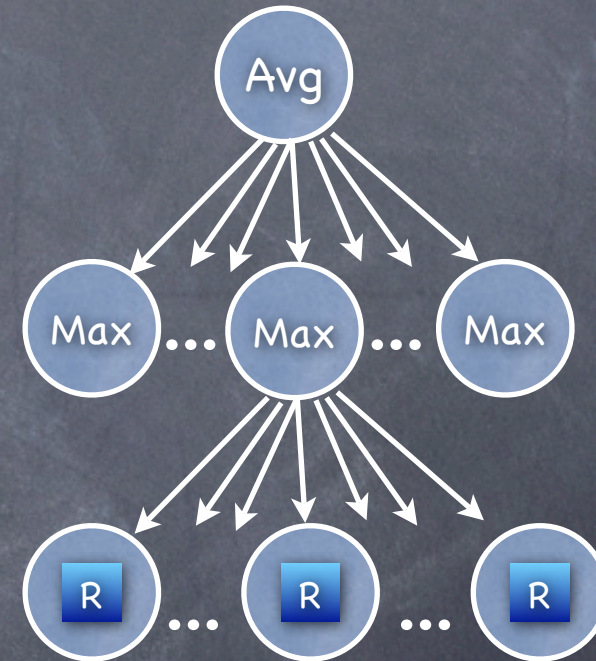
# max Pr[Yes]

- Quantity of interest
  - Maximum** (over prover strategies)  
**probability** (over coins from the beacon)  
of Arthur saying yes
- Evaluate the "Avg-Max tree"
  - Leaves:  $\Pr[\text{yes}] = 0$  or  $1$ , as determined by Arthur's program
  - Max nodes: maximum of children



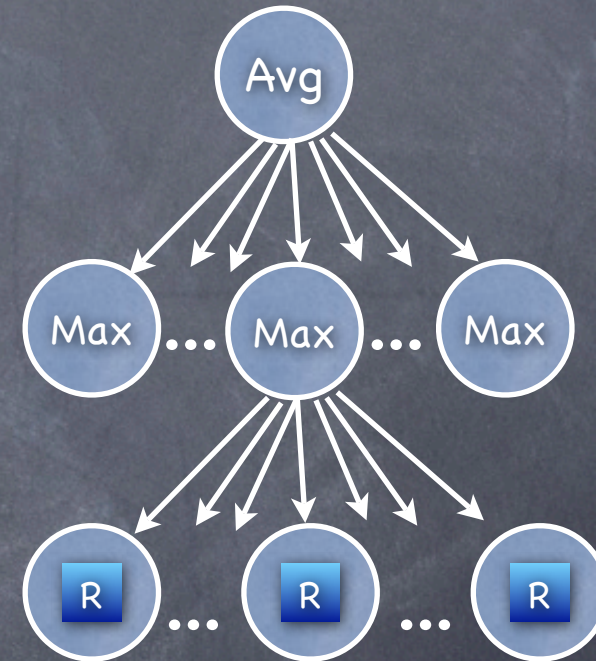
# max Pr[Yes]

- Quantity of interest
  - Maximum** (over prover strategies)  
**probability** (over coins from the beacon)  
of Arthur saying yes
- Evaluate the "Avg-Max tree"
  - Leaves:  $\text{Pr}[\text{yes}] = 0$  or  $1$ , as determined by Arthur's program
  - Max nodes: maximum of children
  - Avg node: average of children



# max Pr[Yes]

- Quantity of interest
  - Maximum** (over prover strategies)  
**probability** (over coins from the beacon)  
of Arthur saying yes
- Evaluate the "Avg-Max tree"
  - Leaves:  $\Pr[\text{yes}] = 0$  or  $1$ , as determined by Arthur's program
  - Max nodes: maximum of children
  - Avg node: average of children
- Extends to **AM[k]**, with  $k$  alternating levels



# Soundness Amplification



# Soundness Amplification

- Recall error reduction in BPP algorithms

# Soundness Amplification

- Recall error reduction in BPP algorithms
  - By repeating and taking majority

# Soundness Amplification

- Recall error reduction in BPP algorithms
  - By **repeating and taking majority**
  - Exponential error reduction (by Chernoff bound)

# Soundness Amplification

- Recall error reduction in BPP algorithms
  - By **repeating and taking majority**
  - Exponential error reduction (by Chernoff bound)
- Extends to MA

# Soundness Amplification

- Recall error reduction in BPP algorithms
  - By **repeating and taking majority**
  - Exponential error reduction (by Chernoff bound)
- Extends to MA
  - Given input and any answer from Merlin, to determine  $\Pr[\text{Yes}]$

# Soundness Amplification

- Recall error reduction in BPP algorithms
  - By **repeating and taking majority**
  - Exponential error reduction (by Chernoff bound)
- Extends to MA
  - Given input and any answer from Merlin, to determine  $\Pr[\text{Yes}]$
  - Run many independent verifications (using independent random strings from the beacon). Chernoff bound holds.

# Soundness Amplification

- Recall error reduction in BPP algorithms
  - By **repeating and taking majority**
  - Exponential error reduction (by Chernoff bound)
- Extends to MA
  - Given input and any answer from Merlin, to determine  $\Pr[\text{Yes}]$
  - Run many independent verifications (using independent random strings from the beacon). Chernoff bound holds.
    - Increased the length of the second message

# Parallel Repetition for AM[k]



# Parallel Repetition for AM[k]

- Soundness amplification by **sequential repetition/majority**

# Parallel Repetition for AM[k]

- Soundness amplification by **sequential repetition/majority**
- Exponential amplification, just like in MA. **But be careful!**  
Not independent executions (Merlin can adapt strategy over the repetitions.) But not a problem!

# Parallel Repetition for AM[k]

- Soundness amplification by **sequential repetition/majority**
  - Exponential amplification, just like in MA. **But be careful!**  
Not independent executions (Merlin can adapt strategy over the repetitions.) But not a problem!
  - But increases rounds

# Parallel Repetition for AM[k]

- Soundness amplification by **sequential repetition/majority**
  - Exponential amplification, just like in MA. **But be careful!**  
Not independent executions (Merlin can adapt strategy over the repetitions.) But not a problem!
  - But increases rounds
- Soundness amplification without increasing rounds

# Parallel Repetition for AM[k]

- Soundness amplification by **sequential repetition/majority**
  - Exponential amplification, just like in MA. **But be careful!**  
Not independent executions (Merlin can adapt strategy over the repetitions.) But not a problem!
  - But increases rounds
- Soundness amplification without increasing rounds
  - **Parallel repetition**

# Parallel Repetition for AM[k]

- Soundness amplification by **sequential repetition/majority**
  - Exponential amplification, just like in MA. **But be careful!**  
Not independent executions (Merlin can adapt strategy over the repetitions.) But not a problem!
  - But increases rounds
- Soundness amplification without increasing rounds
  - **Parallel repetition**
  - **More careful!** Merlin's answers (and probability of proof being rejected) in the parallel sessions could be correlated

# Parallel Repetition for AM[k]

- Soundness amplification by **sequential repetition/majority**
  - Exponential amplification, just like in MA. **But be careful!**  
Not independent executions (Merlin can adapt strategy over the repetitions.) But not a problem!
  - But increases rounds
- Soundness amplification without increasing rounds
  - **Parallel repetition**
  - **More careful!** Merlin's answers (and probability of proof being rejected) in the parallel sessions could be correlated
  - Still turns out to give exponential amplification

$$MA \subseteq AM$$



$$MA \subseteq AM$$

- Publishing random test before receiving proof

$$MA \subseteq AM$$

- Publishing random test before receiving proof
  - Completeness is no worse

$$MA \subseteq AM$$

- Publishing random test before receiving proof
  - Completeness is no worse
  - If MA soundness error was sufficiently small, can use **union bound over all Merlin messages** to get that the AM soundness error is still small

# MA $\subseteq$ AM

- Publishing random test before receiving proof
  - Completeness is no worse
  - If MA soundness error was sufficiently small, can use **union bound over all Merlin messages** to get that the AM soundness error is still small
    - If MA soundness error  $< 1/2^{m+2}$ , where  $m$  is the length of Merlin's message, AM soundness error  $< 1/4$

# MA $\subseteq$ AM

- Publishing random test before receiving proof
  - Completeness is no worse
  - If MA soundness error was sufficiently small, can use **union bound over all Merlin messages** to get that the AM soundness error is still small
    - If MA soundness error  $< 1/2^{m+2}$ , where  $m$  is the length of Merlin's message, AM soundness error  $< 1/4$
- Note: Argument similar to why  $BPP \subseteq P/poly$

# MA $\subseteq$ AM

- Publishing random test before receiving proof
  - Completeness is no worse
  - If MA soundness error was sufficiently small, can use **union bound over all Merlin messages** to get that the AM soundness error is still small
    - If MA soundness error  $< 1/2^{m+2}$ , where  $m$  is the length of Merlin's message, AM soundness error  $< 1/4$
- Note: Argument similar to why  $BPP \subseteq P/poly$
- Extends to  $MAM \subseteq AM$

# MA $\subseteq$ AM

- Publishing random test before receiving proof
  - Completeness is no worse
  - If MA soundness error was sufficiently small, can use **union bound over all Merlin messages** to get that the AM soundness error is still small
    - If MA soundness error  $< 1/2^{m+2}$ , where  $m$  is the length of Merlin's message, AM soundness error  $< 1/4$
- Note: Argument similar to why  $BPP \subseteq P/poly$
- Extends to  $MAM \subseteq AM$ 
  - So **MAM = AM**

# Collapse of the AM hierarchy



# Collapse of the AM hierarchy

- Intuition: Can change any MA sequence to an AM sequence

# Collapse of the AM hierarchy

- Intuition: Can change any MA sequence to an AM sequence
  - Need a notion of soundness error in each round

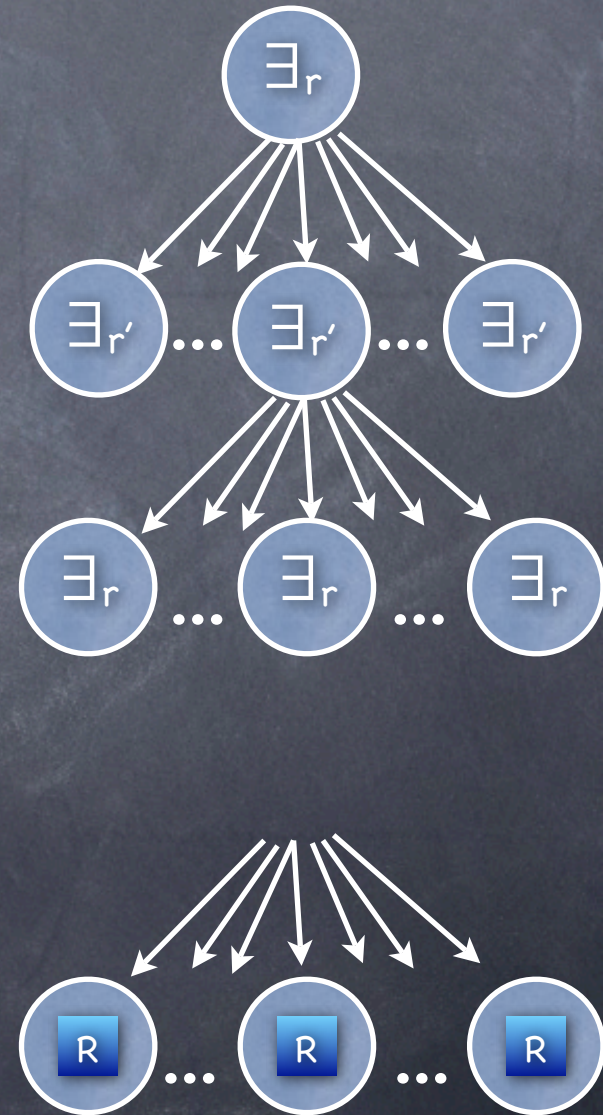
# Alternating Threshold TM

# Alternating Threshold TM

- A generalization of ATM, with two thresholds instead of  $\exists$  and  $\forall$

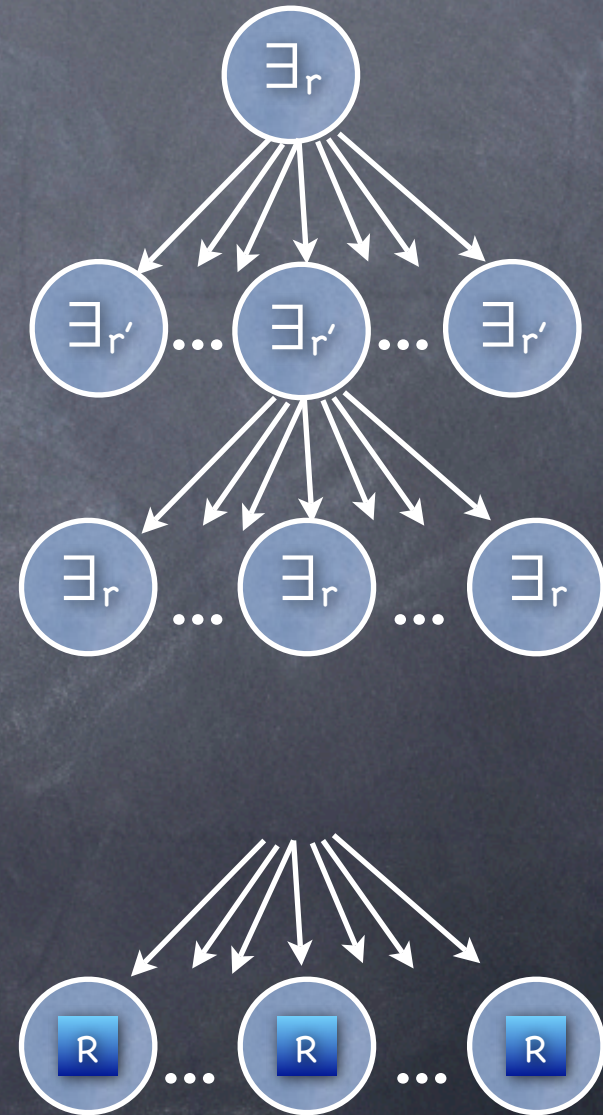
# Alternating Threshold TM

- A generalization of ATM, with two thresholds instead of  $\exists$  and  $\forall$



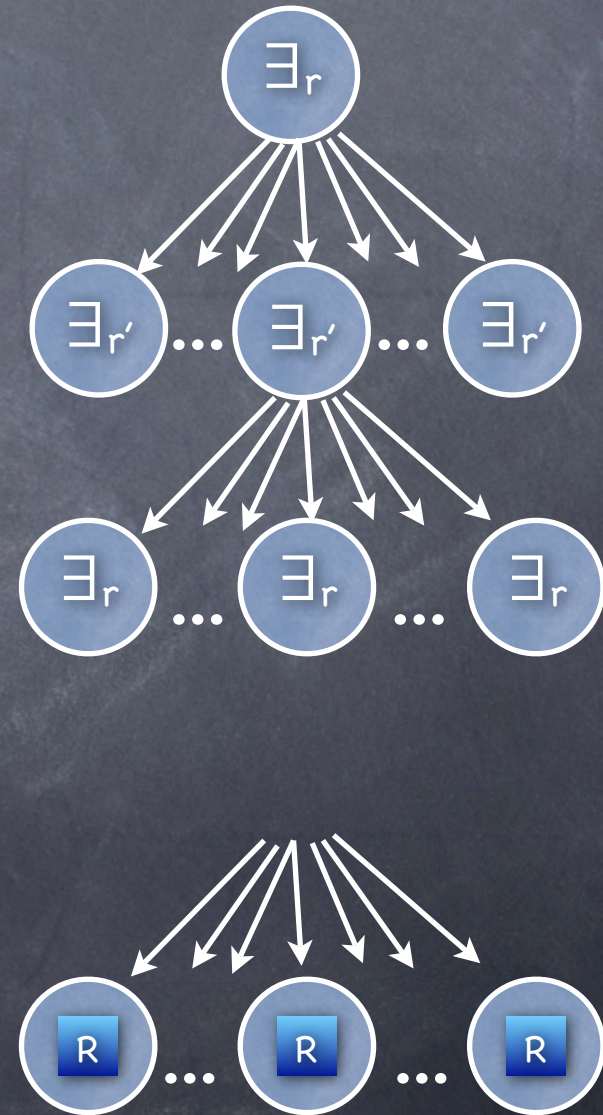
# Alternating Threshold TM

- A generalization of ATM, with two thresholds instead of  $\exists$  and  $\forall$
- $\exists_r$ :  $\geq$  (or  $>$ )  $r$  fraction of children are 1?



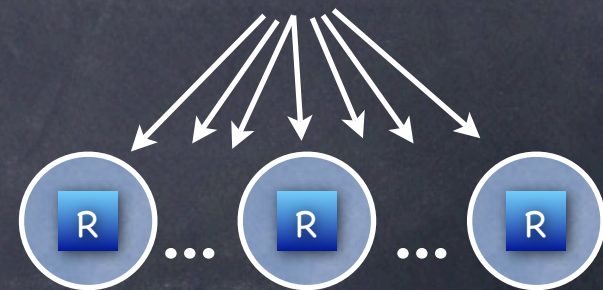
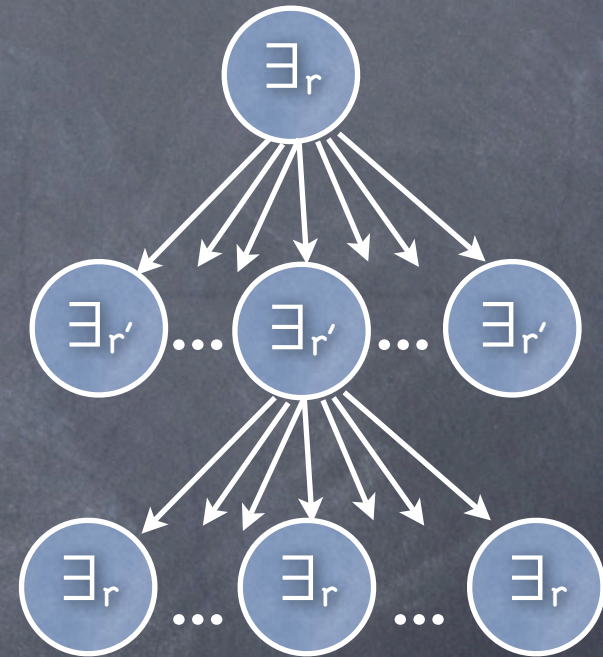
# Alternating Threshold TM

- A generalization of ATM, with two thresholds instead of  $\exists$  and  $\forall$
- $\exists_r$ :  $\geq$  (or  $>$ )  $r$  fraction of children are 1?
  - $\exists_0$  is  $\exists$ , and  $\exists_1$  is  $\forall$



# Alternating Threshold TM

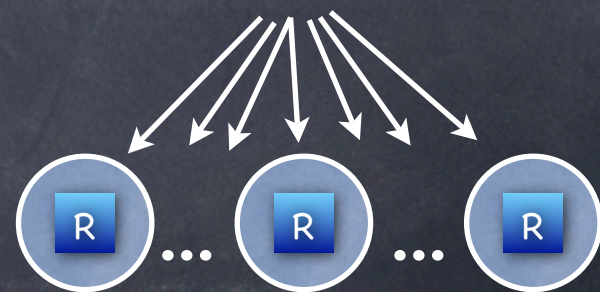
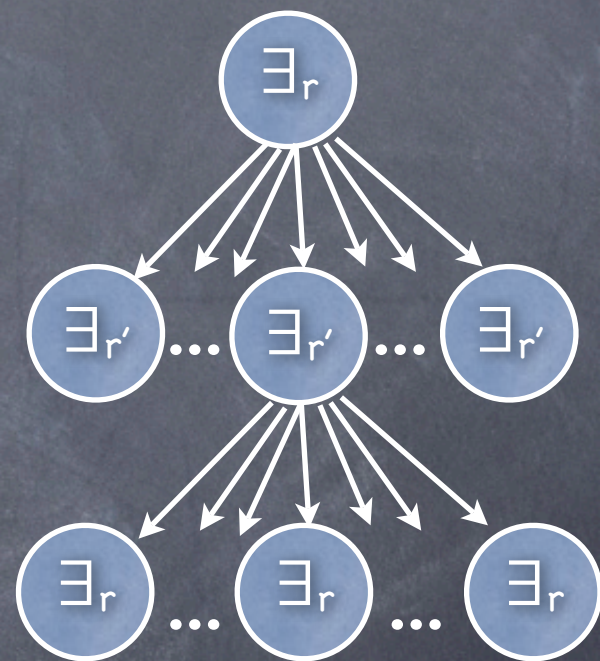
- A generalization of ATM, with two thresholds instead of  $\exists$  and  $\forall$
- $\exists_r$ :  $\geq$  (or  $>$ )  $r$  fraction of children are 1?
  - $\exists_0$  is  $\exists$ , and  $\exists_1$  is  $\forall$
- Leaves  $R(x; \text{path}) = 0$  or  $1$





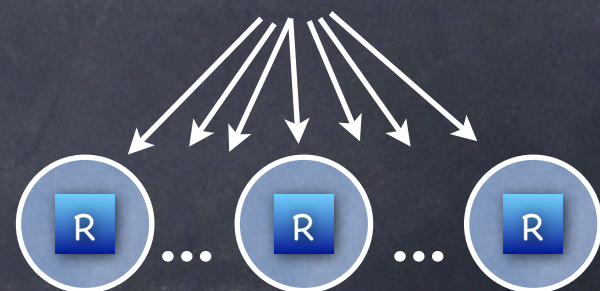
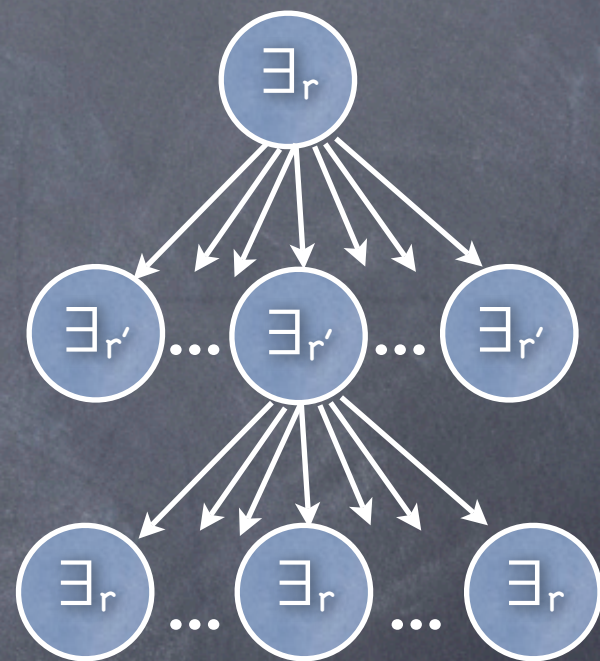
# Alternating Threshold TM

- A generalization of ATM, with two thresholds instead of  $\exists$  and  $\forall$ 
  - $\exists_r$ :  $\geq$  (or  $>$ )  $r$  fraction of children are 1?
    - $\exists_0$  is  $\exists$ , and  $\exists_1$  is  $\forall$
  - Leaves  $R(x; \text{path}) = 0$  or  $1$
- Parameters: **depth** (number of alternations) and **size** =  $\log(\# \text{leaves})$  (= total length of the "messages")



# Alternating Threshold TM

- A generalization of ATM, with two thresholds instead of  $\exists$  and  $\forall$ 
  - $\exists_r$ :  $\geq$  (or  $>$ )  $r$  fraction of children are 1?
    - $\exists_0$  is  $\exists$ , and  $\exists_1$  is  $\forall$
  - Leaves  $R(x; \text{path}) = 0$  or  $1$
- Parameters: **depth** (number of alternations) and **size** =  $\log(\# \text{leaves})$  (= total length of the "messages")
  - Will denote as  $\text{ATTM}[k, (r, r'), R]$  (size and individual degrees implicit)



# Alternating Threshold TM

# Alternating Threshold TM

- We will be interested in  $ATTM[k,(r,r'),R]$  where

# Alternating Threshold TM

- We will be interested in  $ATTM[k, (r, r'), R]$  where
  - One of  $r, r'$  is a fraction  $> 1/2$  (called the threshold), and the other is 0 or 1

# Alternating Threshold TM

- We will be interested in  $ATTM[k,(r,r'),R]$  where
  - One of  $r, r'$  is a fraction  $> 1/2$  (called the threshold), and the other is 0 or 1
  - $k$  is constant, size is polynomial and  $R$  is a polynomial time relation

# Alternating Threshold TM

- We will be interested in  $ATTM[k,(r,r'),R]$  where
  - One of  $r, r'$  is a fraction  $> 1/2$  (called the threshold), and the other is 0 or 1
  - $k$  is constant, size is polynomial and  $R$  is a polynomial time relation
- $ATTM$  threshold can also be amplified using “parallel repetition”!

# Alternating Threshold TM

- We will be interested in  $ATTM[k,(r,r'),R]$  where
  - One of  $r, r'$  is a fraction  $> 1/2$  (called the threshold), and the other is 0 or 1
  - $k$  is constant, size is polynomial and  $R$  is a polynomial time relation
- $ATTM$  threshold can also be amplified using “parallel repetition”!
  - Takes threshold from  $(1/2 + c)$  to  $(1 - 1/2^n)$



# Alternating Threshold TM

- We will be interested in  $ATTM[k,(r,r'),R]$  where
  - One of  $r, r'$  is a fraction  $> 1/2$  (called the threshold), and the other is 0 or 1
  - $k$  is constant, size is polynomial and  $R$  is a polynomial time relation
- $ATTM$  threshold can also be amplified using “parallel repetition”!
  - Takes threshold from  $(1/2 + c)$  to  $(1 - 1/2^n)$
  - $k$  unchanged, size increases by a polynomial factor

# A Pair of Complementary ATTMs

# A Pair of Complementary ATTMs

- Consider  $M_+$  and  $M_-$  of the form  $ATTM[k,(r,0),R]$  and  $ATTM[k,(r,1),R^c]$  (where  $r > 1/2$ )

# A Pair of Complementary ATTMs

- Consider  $M_+$  and  $M_-$  of the form  $ATTM[k,(r,0),R]$  and  $ATTM[k,(r,1),R^c]$  (where  $r > 1/2$ )
- We'll call it a pair of complementary  $(k,r)$  ATTMs

# A Pair of Complementary ATTMs

- Consider  $M_+$  and  $M_-$  of the form  $ATTM[k,(r,0),R]$  and  $ATTM[k,(r,1),R^c]$  (where  $r > 1/2$ )
- We'll call it a **pair of complementary (k,r) ATTMs**
- For any  $r > 1/2$ ,  $\{x \mid M_+(x)=1\}$  and  $\{x \mid M_-(x)=1\}$  are **disjoint**

# A Pair of Complementary ATTMs

- Consider  $M_+$  and  $M_-$  of the form  $ATTM[k,(r,0),R]$  and  $ATTM[k,(r,1),R^c]$  (where  $r > 1/2$ )
- We'll call it a pair of complementary  $(k,r)$  ATTMs
- For any  $r > 1/2$ ,  $\{x \mid M_+(x)=1\}$  and  $\{x \mid M_-(x)=1\}$  are disjoint
  - $M = ATT M[k,(1-r,1),R^c]$  is the complement of  $M_+$ :  
 $\{x \mid M_+(x)=0\} = \{x \mid M(x)=1\}$

# A Pair of Complementary ATTMs

- Consider  $M_+$  and  $M_-$  of the form  $ATTM[k,(r,0),R]$  and  $ATTM[k,(r,1),R^c]$  (where  $r > 1/2$ )
- We'll call it a **pair of complementary  $(k,r)$  ATTMs**
- For any  $r > 1/2$ ,  $\{x \mid M_+(x)=1\}$  and  $\{x \mid M_-(x)=1\}$  are **disjoint**
  - $M = ATT M[k,(1-r,1),R^c]$  is the complement of  $M_+$ :  
 $\{x \mid M_+(x)=0\} = \{x \mid M(x)=1\}$
  - If  $r > 1-r$ ,  $M_-$  stricter than  $M$ :  $\{x \mid M_-(x)=1\} \subseteq \{x \mid M(x)=1\}$

# A Pair of Complementary ATTMs



# A Pair of Complementary ATTMs

# A Pair of Complementary ATTMs

- L is said to **have** a pair of complementary ATTMs  $(M_+, M_-)$  if

# A Pair of Complementary ATTMs

- L is said to **have** a pair of complementary ATTMs  $(M_+, M_-)$  if
  - $x \in L \Leftrightarrow M_+(x)=1$  and  $M_-(x)=0$

# A Pair of Complementary ATTMs

- L is said to **have** a pair of complementary ATTMs  $(M_+, M_-)$  if
  - $x \in L \Leftrightarrow M_+(x)=1$  and  $M_-(x)=0$
  - $x \notin L \Leftrightarrow M_+(x)=0$  and  $M_-(x)=1$

# A Pair of Complementary ATTMs

- L is said to **have** a pair of complementary ATTMs  $(M_+, M_-)$  if
  - $x \in L \Leftrightarrow M_+(x)=1$  and  $M_-(x)=0$
  - $x \notin L \Leftrightarrow M_+(x)=0$  and  $M_-(x)=1$
- Exact threshold not critical

# A Pair of Complementary ATTMs

- L is said to **have** a pair of complementary ATTMs  $(M_+, M_-)$  if
  - $x \in L \Leftrightarrow M_+(x)=1$  and  $M_-(x)=0$
  - $x \notin L \Leftrightarrow M_+(x)=0$  and  $M_-(x)=1$
- Exact threshold not critical
  - Threshold of  $(M_+, M_-)$  can be **reduced** to any  $r > 1/2$

# A Pair of Complementary ATTMs

- L is said to **have** a pair of complementary ATTMs  $(M_+, M_-)$  if
  - $x \in L \Leftrightarrow M_+(x)=1$  and  $M_-(x)=0$
  - $x \notin L \Leftrightarrow M_+(x)=0$  and  $M_-(x)=1$
- Exact threshold not critical
  - Threshold of  $(M_+, M_-)$  can be **reduced** to any  $r > 1/2$ 
    - Reducing threshold enlarges  $\{x \mid M_+(x)=1\}$  and  $\{x \mid M_-(x)=1\}$

# A Pair of Complementary ATTMs

- L is said to **have** a pair of complementary ATTMs  $(M_+, M_-)$  if
  - $x \in L \Leftrightarrow M_+(x)=1$  and  $M_-(x)=0$
  - $x \notin L \Leftrightarrow M_+(x)=0$  and  $M_-(x)=1$
- Exact threshold not critical
  - Threshold of  $(M_+, M_-)$  can be **reduced** to any  $r > 1/2$ 
    - Reducing threshold enlarges  $\{x \mid M_+(x)=1\}$  and  $\{x \mid M_-(x)=1\}$
    - And they stay disjoint



# A Pair of Complementary ATTMs

- L is said to **have** a pair of complementary ATTMs  $(M_+, M_-)$  if
  - $x \in L \Leftrightarrow M_+(x)=1$  and  $M_-(x)=0$
  - $x \notin L \Leftrightarrow M_+(x)=0$  and  $M_-(x)=1$
- Exact threshold not critical
  - Threshold of  $(M_+, M_-)$  can be **reduced** to any  $r > 1/2$ 
    - Reducing threshold enlarges  $\{x \mid M_+(x)=1\}$  and  $\{x \mid M_-(x)=1\}$
    - And they stay disjoint
    - So they do not change (as they were already a partitioning)

# A Pair of Complementary ATTMs

- L is said to **have** a pair of complementary ATTMs  $(M_+, M_-)$  if
  - $x \in L \Leftrightarrow M_+(x)=1$  and  $M_-(x)=0$
  - $x \notin L \Leftrightarrow M_+(x)=0$  and  $M_-(x)=1$
- Exact threshold not critical
  - Threshold of  $(M_+, M_-)$  can be **reduced** to any  $r > 1/2$ 
    - Reducing threshold enlarges  $\{x \mid M_+(x)=1\}$  and  $\{x \mid M_-(x)=1\}$
    - And they stay disjoint
    - So they do not change (as they were already a partitioning)
  - By parallel repetition, can **increase** threshold to exponentially close to 1, starting from  $1/2 + c$

# AM and ATTM-pairs

# AM and ATTM-pairs

- A language  $L$  has an  $AM[k,r]$  protocol iff  $L$  has a pair of complementary  $(k,r)$  ATTMs for  $r > 1/2 + c$

# AM and ATTM-pairs

- A language  $L$  has an  $AM[k,r]$  protocol iff  $L$  has a pair of complementary  $(k,r)$  ATTMs for  $r > 1/2 + c$
- Guarantees on probability of acceptance translated to threshold guarantees, and vice versa

# AM and ATTM-pairs

- A language  $L$  has an  $AM[k,r]$  protocol iff  $L$  has a pair of complementary  $(k,r)$  ATTMs for  $r > 1/2 + c$
- Guarantees on probability of acceptance translated to threshold guarantees, and vice versa
  - $AM[k,r]$  protocol  $\rightarrow (k,r')$  ATTM pair: natural conversion works if  $r > 1 - 2^{-2k}$  and  $r' = 3/4$  [Exercise]

# AM and ATTM-pairs

- A language  $L$  has an  $AM[k,r]$  protocol iff  $L$  has a pair of complementary  $(k,r)$  ATTMs for  $r > 1/2 + c$
- Guarantees on probability of acceptance translated to threshold guarantees, and vice versa
  - $AM[k,r]$  protocol  $\rightarrow (k,r')$  ATTM pair: natural conversion works if  $r > 1 - 2^{-2k}$  and  $r' = 3/4$  [Exercise]
  - $(k,r')$  ATTM pair  $\rightarrow AM[k,r]$  protocol: natural conversion works if  $r' > 1 - 1/4k$  and  $r = 3/4$  [Exercise]

# AM and ATTM-pairs

- A language  $L$  has an  $AM[k,r]$  protocol iff  $L$  has a pair of complementary  $(k,r)$  ATTMs for  $r > 1/2 + c$
- Guarantees on probability of acceptance translated to threshold guarantees, and vice versa
  - $AM[k,r]$  protocol  $\rightarrow (k,r')$  ATTM pair: natural conversion works if  $r > 1 - 2^{-2k}$  and  $r' = 3/4$  [Exercise]
  - $(k,r')$  ATTM pair  $\rightarrow AM[k,r]$  protocol: natural conversion works if  $r' > 1 - 1/4k$  and  $r = 3/4$  [Exercise]
- Enough, because we can reduce error (increase thresholds) for both AM protocols and ATTMs



$$AM[k] = AM$$

$$AM[k] = AM$$

- In terms of ATTM-pairs

$$AM[k] = AM$$

- In terms of ATTM-pairs
  - Flipping MA to AM: reduces depth, does not change size, but requires threshold to be reduced from  $1 - 1/2^{m+2}$  to  $3/4$

$$AM[k] = AM$$

- In terms of ATTM-pairs
  - Flipping MA to AM: reduces depth, does not change size, but requires threshold to be reduced from  $1 - 1/2^{m+2}$  to  $3/4$
  - Amplifying again: Threshold increased to  $1 - 1/2^{m+2}$ , but size increased by a polynomial factor

$$AM[k] = AM$$

- In terms of ATTM-pairs
  - Flipping MA to AM: reduces depth, does not change size, but requires threshold to be reduced from  $1 - 1/2^{m+2}$  to  $3/4$
  - Amplifying again: Threshold increased to  $1 - 1/2^{m+2}$ , but size increased by a polynomial factor
  - Repeat  $\sim k/2$  times to reduce to AM[2]

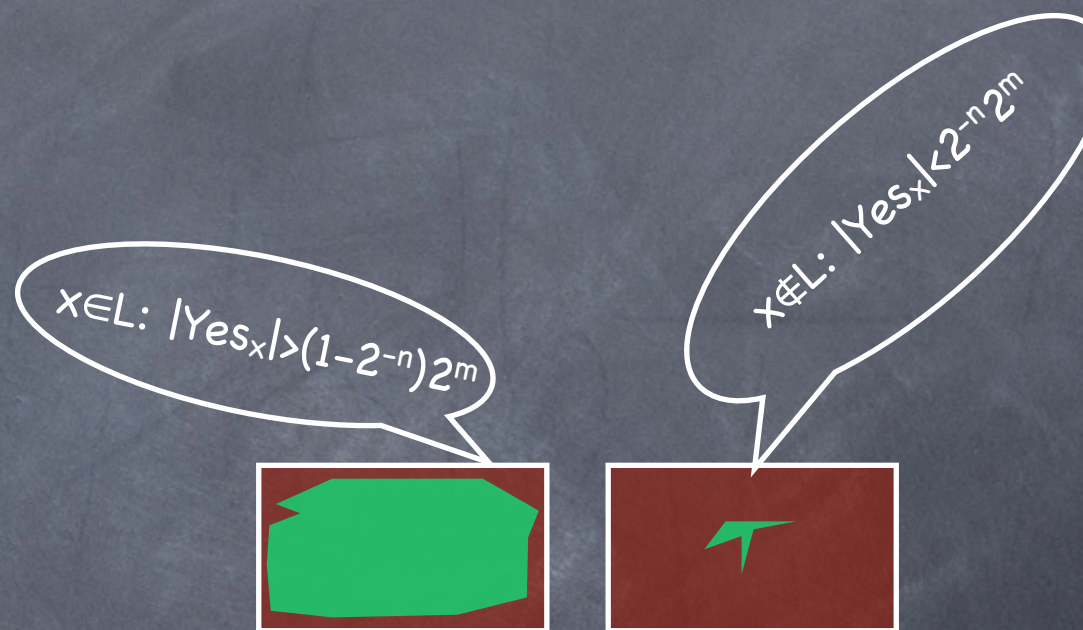
# One-Sided Error

# One-Sided Error

- Recall  $BPP \subseteq \Sigma_2^P$

# One-Sided Error

- Recall  $BPP \subseteq \Sigma_2^P$



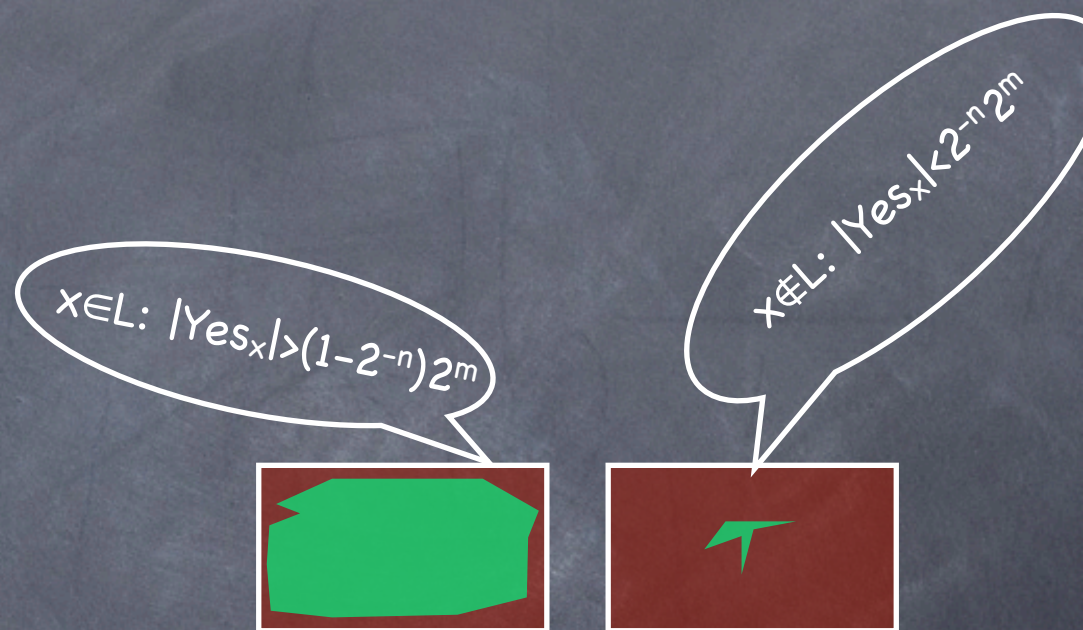
Space of random strings =  $\{0,1\}^m$

$Yes_x = \{r \mid M(x,r)=yes\}$



# One-Sided Error

- Recall  $BPP \subseteq \Sigma_2^P$
- Using "shifts" of random tapes

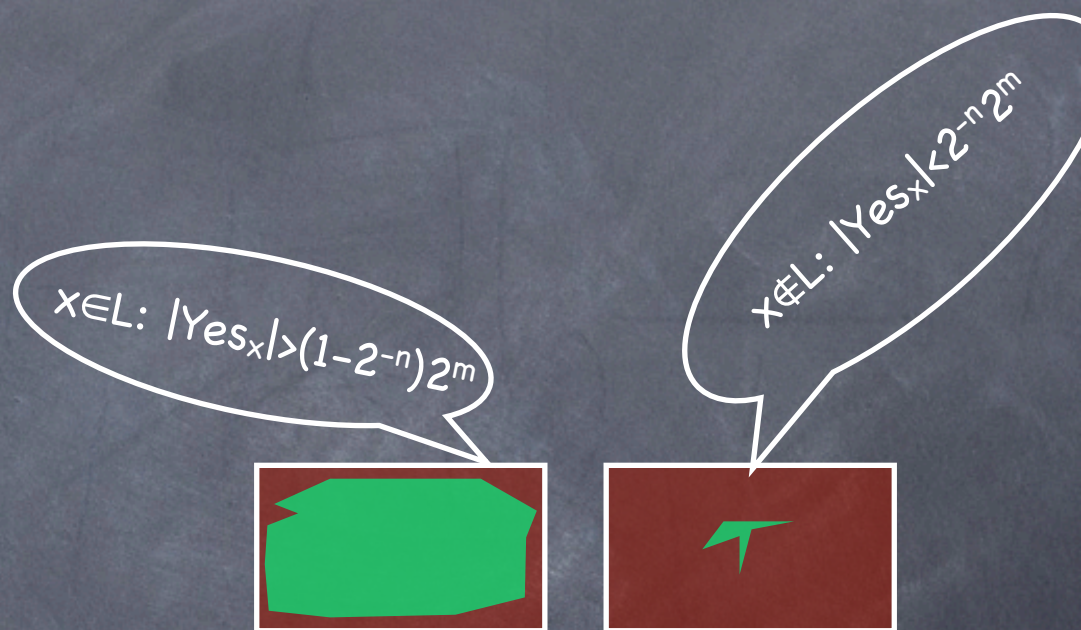


Space of random strings =  $\{0,1\}^m$

$Yes_x = \{r \mid M(x,r) = \text{yes}\}$

# One-Sided Error

- Recall  $BPP \subseteq \Sigma_2^P$
- Using "shifts" of random tapes
- $x \in L \Rightarrow \exists P \ P(\text{Yes}_x) = \{0,1\}^m$



$x \in L: |\text{Yes}_x| > (1 - 2^{-n})2^m$

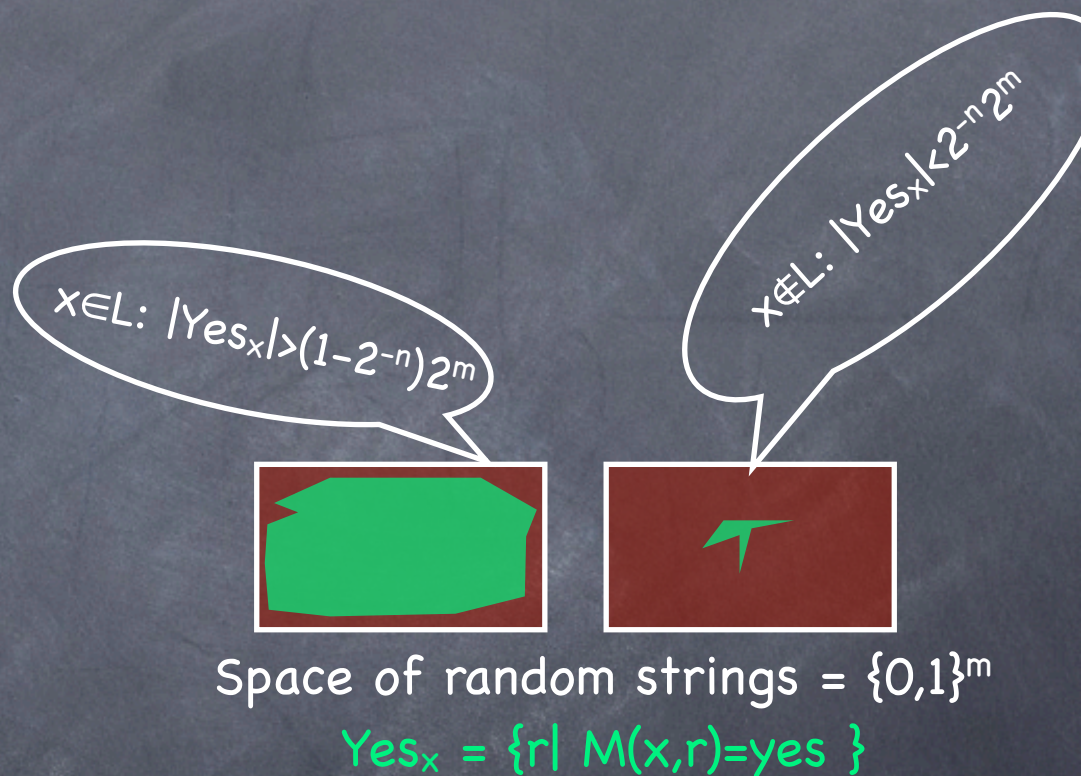
$x \notin L: |\text{Yes}_x| < 2^{-n}2^m$

Space of random strings =  $\{0,1\}^m$

$\text{Yes}_x = \{r \mid M(x,r) = \text{yes}\}$

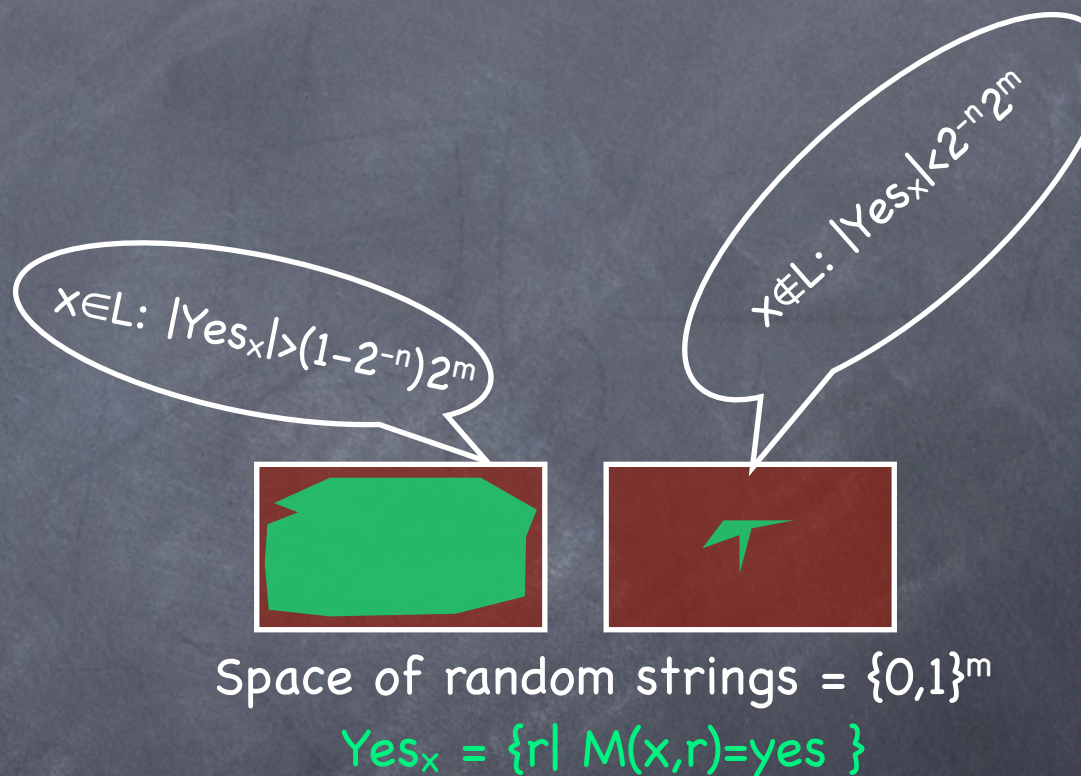
# One-Sided Error

- Recall  $BPP \subseteq \Sigma_2^P$ 
  - Using "shifts" of random tapes
  - $x \in L \Rightarrow \exists P \ P(\text{Yes}_x) = \{0,1\}^m$
  - $x \notin L \Rightarrow \forall P \ |P(\text{Yes}_x)| < 2^m/4$



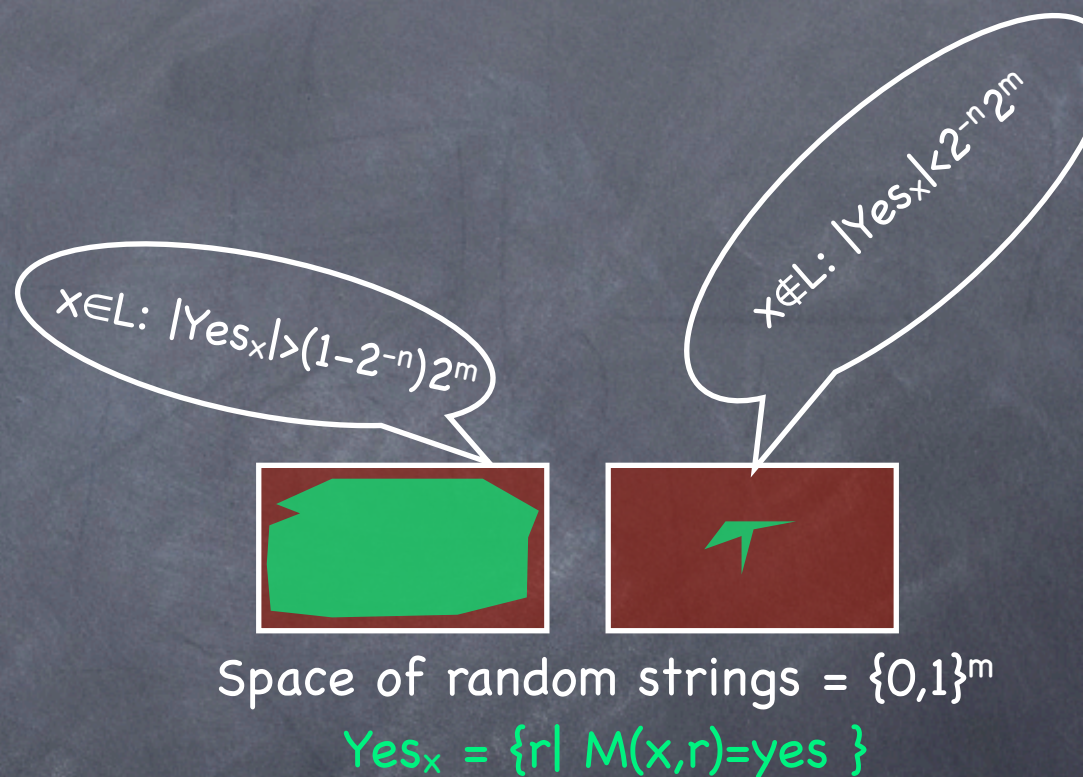
# One-Sided Error

- Recall  $BPP \subseteq \Sigma_2^P$ 
  - Using "shifts" of random tapes
  - $x \in L \Rightarrow \exists P \ P(\text{Yes}_x) = \{0,1\}^m$
  - $x \notin L \Rightarrow \forall P \ |P(\text{Yes}_x)| < 2^m/4$
- As an MA protocol



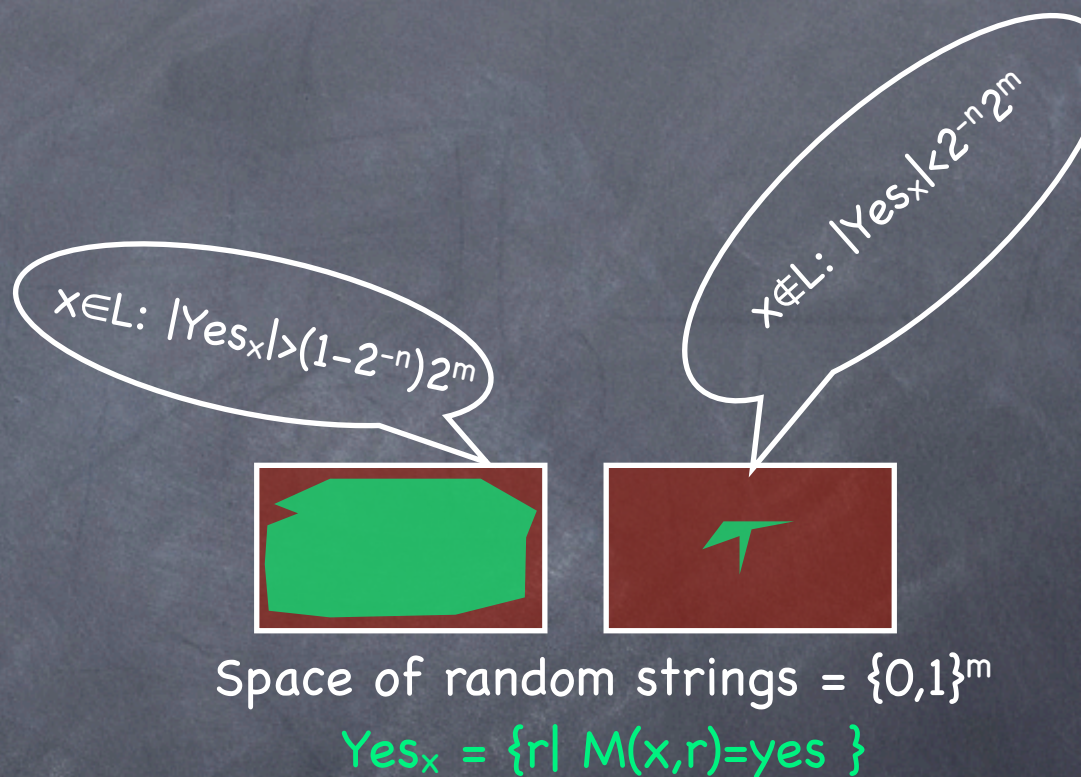
# One-Sided Error

- Recall  $BPP \subseteq \Sigma_2^P$ 
  - Using "shifts" of random tapes
  - $x \in L \Rightarrow \exists P \ P(\text{Yes}_x) = \{0,1\}^m$
  - $x \notin L \Rightarrow \forall P \ |P(\text{Yes}_x)| < 2^m/4$
- As an MA protocol
  - Merlin sends  $P$



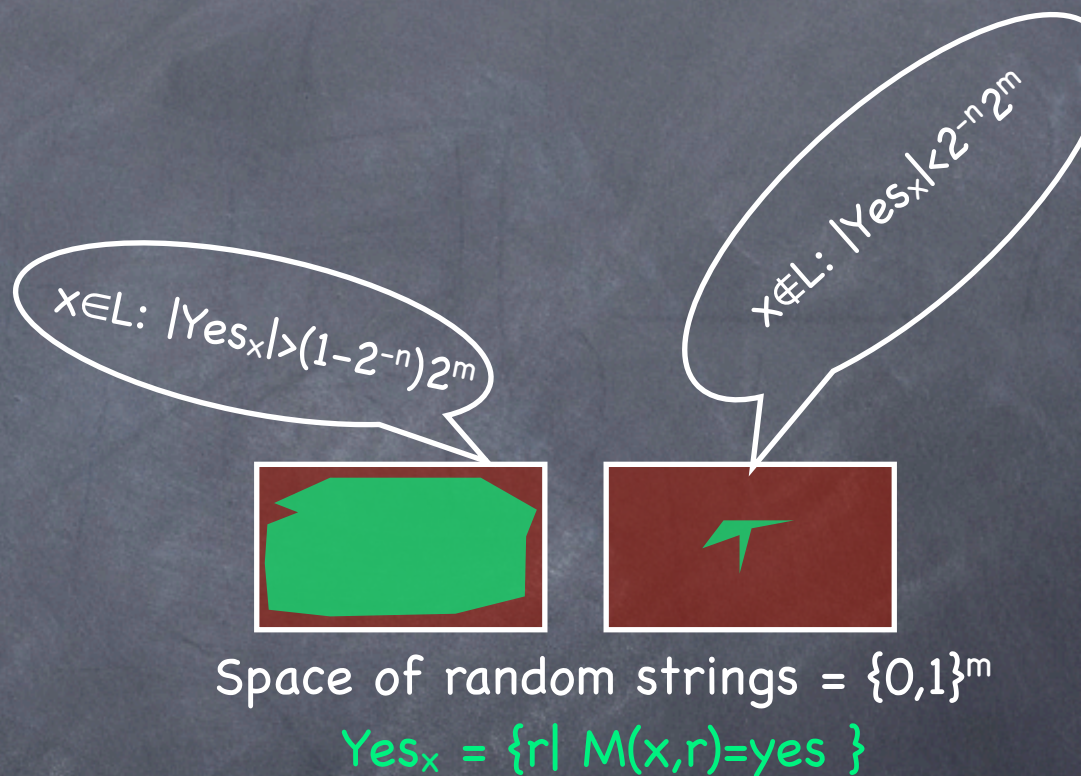
# One-Sided Error

- Recall  $BPP \subseteq \Sigma_2^P$ 
  - Using "shifts" of random tapes
  - $x \in L \Rightarrow \exists P \Pr(\text{Yes}_x) = \{0,1\}^m$
  - $x \notin L \Rightarrow \forall P |\Pr(\text{Yes}_x)| < 2^m/4$
- As an MA protocol
  - Merlin sends  $P$
  - Arthur picks  $r \leftarrow \{0,1\}^m$



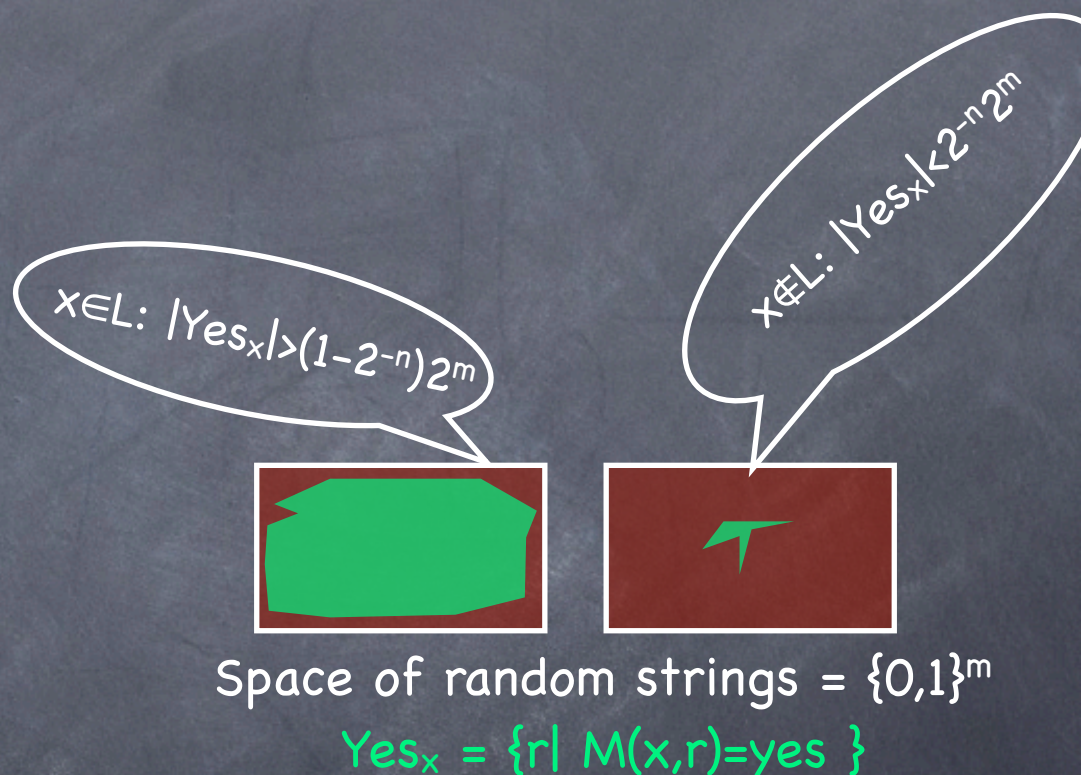
# One-Sided Error

- Recall  $BPP \subseteq \Sigma_2^P$ 
  - Using "shifts" of random tapes
  - $x \in L \Rightarrow \exists P \ P(\text{Yes}_x) = \{0,1\}^m$
  - $x \notin L \Rightarrow \forall P \ |P(\text{Yes}_x)| < 2^m/4$
- As an MA protocol
  - Merlin sends  $P$
  - Arthur picks  $r \leftarrow \{0,1\}^m$
  - Merlin sends  $s \in \text{Yes}_x$  s.t.  $r \in P(s)$



# One-Sided Error

- Recall  $BPP \subseteq \Sigma_2^P$ 
  - Using "shifts" of random tapes
  - $x \in L \Rightarrow \exists P \ P(\text{Yes}_x) = \{0,1\}^m$
  - $x \notin L \Rightarrow \forall P \ |P(\text{Yes}_x)| < 2^m/4$
- As an MA protocol
  - Merlin sends  $P$
  - Arthur picks  $r \leftarrow \{0,1\}^m$
  - Merlin sends  $s \in \text{Yes}_x$  s.t.  $r \in P(s)$
- One-sided error





# Perfect Completeness

# Perfect Completeness

- Converting MA protocol to perfectly complete MA

# Perfect Completeness

- Converting MA protocol to perfectly complete MA
  - Consider  $\text{Yes}_{x,a}$  where  $a$  is the message from Merlin

# Perfect Completeness

- Converting MA protocol to perfectly complete MA
  - Consider  $\text{Yes}_{x,a}$  where  $a$  is the message from Merlin
  - $x \in L \Rightarrow \exists a, P \quad P(\text{Yes}_{x,a}) = \{0,1\}^m$

# Perfect Completeness

- Converting MA protocol to perfectly complete MA
  - Consider  $\text{Yes}_{x,a}$  where  $a$  is the message from Merlin
  - $x \in L \Rightarrow \exists a, P \quad P(\text{Yes}_{x,a}) = \{0,1\}^m$
  - $x \notin L \Rightarrow \forall a, P \quad |P(\text{Yes}_{x,a})| < 2^m/4$

# Perfect Completeness

- Converting MA protocol to perfectly complete MA
  - Consider  $\text{Yes}_{x,a}$  where  $a$  is the message from Merlin
  - $x \in L \Rightarrow \exists a, P \quad P(\text{Yes}_{x,a}) = \{0,1\}^m$
  - $x \notin L \Rightarrow \forall a, P \quad |P(\text{Yes}_{x,a})| < 2^m/4$
- Perfectly complete MA protocol

# Perfect Completeness

- Converting MA protocol to perfectly complete MA
  - Consider  $\text{Yes}_{x,a}$  where  $a$  is the message from Merlin
  - $x \in L \Rightarrow \exists a, P \quad P(\text{Yes}_{x,a}) = \{0,1\}^m$
  - $x \notin L \Rightarrow \forall a, P \quad |P(\text{Yes}_{x,a})| < 2^m/4$
- Perfectly complete MA protocol
  - Merlin sends  $a, P$

# Perfect Completeness

- Converting MA protocol to perfectly complete MA
  - Consider  $\text{Yes}_{x,a}$  where  $a$  is the message from Merlin
  - $x \in L \Rightarrow \exists a, P \quad P(\text{Yes}_{x,a}) = \{0,1\}^m$
  - $x \notin L \Rightarrow \forall a, P \quad |P(\text{Yes}_{x,a})| < 2^m/4$
- Perfectly complete MA protocol
  - Merlin sends  $a, P$
  - Arthur picks  $r \leftarrow \{0,1\}^m$



# Perfect Completeness

- Converting MA protocol to perfectly complete MA
  - Consider  $\text{Yes}_{x,a}$  where  $a$  is the message from Merlin
  - $x \in L \Rightarrow \exists a, P \quad P(\text{Yes}_{x,a}) = \{0,1\}^m$
  - $x \notin L \Rightarrow \forall a, P \quad |P(\text{Yes}_{x,a})| < 2^m/4$
- Perfectly complete MA protocol
  - Merlin sends  $a, P$
  - Arthur picks  $r \leftarrow \{0,1\}^m$ 
    - Checks if there exists  $s \in P^{-1}(r)$  s.t.  $s \in \text{Yes}_{x,a}$

# Perfect Completeness

- Converting MA protocol to perfectly complete MA
  - Consider  $\text{Yes}_{x,a}$  where  $a$  is the message from Merlin
  - $x \in L \Rightarrow \exists a, P \quad P(\text{Yes}_{x,a}) = \{0,1\}^m$
  - $x \notin L \Rightarrow \forall a, P \quad |P(\text{Yes}_{x,a})| < 2^m/4$
- Perfectly complete MA protocol
  - Merlin sends  $a, P$
  - Arthur picks  $r \leftarrow \{0,1\}^m$ 
    - Checks if there exists  $s \in P^{-1}(r)$  s.t.  $s \in \text{Yes}_{x,a}$
- Converting AM protocols

# Perfect Completeness

- Converting MA protocol to perfectly complete MA
  - Consider  $\text{Yes}_{x,a}$  where  $a$  is the message from Merlin
  - $x \in L \Rightarrow \exists a, P \quad P(\text{Yes}_{x,a}) = \{0,1\}^m$
  - $x \notin L \Rightarrow \forall a, P \quad |P(\text{Yes}_{x,a})| < 2^m/4$
- Perfectly complete MA protocol
  - Merlin sends  $a, P$
  - Arthur picks  $r \leftarrow \{0,1\}^m$ 
    - Checks if there exists  $s \in P^{-1}(r)$  s.t.  $s \in \text{Yes}_{x,a}$
- Converting AM protocols
  - $\text{Yes}_x = \{r \mid \exists a \text{ s.t. Arthur accepts } x \text{ on transcript } (r,a) \}$

# Perfect Completeness

- Converting MA protocol to perfectly complete MA
  - Consider  $\text{Yes}_{x,a}$  where  $a$  is the message from Merlin
  - $x \in L \Rightarrow \exists a, P \quad P(\text{Yes}_{x,a}) = \{0,1\}^m$
  - $x \notin L \Rightarrow \forall a, P \quad |P(\text{Yes}_{x,a})| < 2^m/4$
- Perfectly complete MA protocol
  - Merlin sends  $a, P$
  - Arthur picks  $r \leftarrow \{0,1\}^m$ 
    - Checks if there exists  $s \in P^{-1}(r)$  s.t.  $s \in \text{Yes}_{x,a}$
- Converting AM protocols
  - $\text{Yes}_x = \{r \mid \exists a \text{ s.t. Arthur accepts } x \text{ on transcript } (r,a) \}$
  - A one-sided error MAM protocol:  $(P, r, a)$

# Perfect Completeness

- Converting MA protocol to perfectly complete MA
  - Consider  $\text{Yes}_{x,a}$  where  $a$  is the message from Merlin
  - $x \in L \Rightarrow \exists a, P \quad P(\text{Yes}_{x,a}) = \{0,1\}^m$
  - $x \notin L \Rightarrow \forall a, P \quad |P(\text{Yes}_{x,a})| < 2^m/4$
- Perfectly complete MA protocol
  - Merlin sends  $a, P$
  - Arthur picks  $r \leftarrow \{0,1\}^m$ 
    - Checks if there exists  $s \in P^{-1}(r)$  s.t.  $s \in \text{Yes}_{x,a}$
- Converting AM protocols
  - $\text{Yes}_x = \{r \mid \exists a \text{ s.t. Arthur accepts } x \text{ on transcript } (r,a) \}$
  - A one-sided error MAM protocol:  $(P, r, a)$
  - But  $\text{MAM} = \text{AM}$  (and preserves completeness)

# Perfect Completeness

# Perfect Completeness

- Therefore requiring perfect completeness does not change the classes MA or AM

# Perfect Completeness

- Therefore requiring perfect completeness does not change the classes MA or AM
  - Contrast with RP vs. BPP



Today

# Today

- $MA \subseteq AM$ .  $MAM = AM$ .

# Today

- $MA \subseteq AM$ .  $MAM = AM$ .
- $AM[k] = AM$  for  $k \geq 2$

# Today

- $MA \subseteq AM$ .  $MAM = AM$ .
- $AM[k] = AM$  for  $k \geq 2$ 
  - Using alternate characterization in terms of pairs of complementary ATTMs

# Today

- $MA \subseteq AM$ .  $MAM = AM$ .
- $AM[k] = AM$  for  $k \geq 2$ 
  - Using alternate characterization in terms of pairs of complementary ATTMs
- $\text{one-sided-error-AM} = AM$

# Today

- $MA \subseteq AM$ .  $MAM = AM$ .
- $AM[k] = AM$  for  $k \geq 2$ 
  - Using alternate characterization in terms of pairs of complementary ATTMs
- one-sided-error-AM = AM
- Coming up:

# Today

- $MA \subseteq AM$ .  $MAM = AM$ .
- $AM[k] = AM$  for  $k \geq 2$ 
  - Using alternate characterization in terms of pairs of complementary ATTMs
- one-sided-error-AM = AM
- Coming up:
  - A little more of AM (and where it fits into the zoo)

# Today

- $MA \subseteq AM$ .  $MAM = AM$ .
- $AM[k] = AM$  for  $k \geq 2$ 
  - Using alternate characterization in terms of pairs of complementary ATTMs
- one-sided-error-AM = AM
- Coming up:
  - A little more of AM (and where it fits into the zoo)
  - Some other concepts in interactive proofs