# Program Verification: Lecture 2

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## **Equational Theories**

Theories in equational logic are called equational theories. In Computer Science they are sometimes referred to as algebraic specifications.

An equational theory is a pair  $(\Sigma, E)$ , where:

- $\Sigma$ , called the signature, describes the syntax of the theory, that is, what types of data and what operation symbols (function symbols) are involved;
- E is a set of equations between expressions (called terms) in the syntax of  $\Sigma$ .

## Unsorted, Many-Sorted, and Order-Sorted Signatures

Our syntax  $\Sigma$  can be more or less expressive, depending on how many types (called sorts) of data it allows, and what relationships between types it supports:

- unsorted (or single-sorted) signatures have only one sort, and operation symbols on it;
- many-sorted signatures allow different sorts, such as Integer, Bool, List, etc., and operation symbols relating these sorts;
- order-sorted signatures are many-sorted signatures that, in addition, allow inclusion relations between sorts, such as Natural < Integer.

#### **Maude Functional Modules**

Maude functional modules are equational theories  $(\Sigma, E)$ , declared with syntax

$$fmod(\Sigma, E)$$
 endfm

Such theories can be unsorted, many-sorted, or order-sorted, or even more general membership equational theories (to be discussed later in the course).

In what follows we will see examples of unsorted, many-sorted and order-sorted equational theories  $(\Sigma, E)$  expressed as Maude functional modules, and of how one can use such theories as functional programs by computing with the equations E.

## **Unsorted Functional Modules**

```
*** prefix syntax
fmod NAT-PREFIX is
  sort Natural .
 op 0 : -> Natural [ctor] .
 op s : Natural -> Natural [ctor] .
 op plus : Natural Natural -> Natural .
 vars N M : Natural .
  eq plus(N,0) = N.
  eq plus(N,s(M)) = s(plus(N,M)).
endfm
Maude> red plus(s(s(0)), s(s(0))).
reduce in NAT-PREFIX: plus(s(s(0)), s(s(0))).
rewrites: 3 in -10ms cpu (0ms real) (~ rewrites/second)
result Natural: s(s(s(s(0))))
Maude>
```

## **Unsorted Functional Modules (II)**

```
fmod NAT-MIXFIX is
                                       *** mixfix syntax
  sort Natural .
  op 0 : -> Natural [ctor] .
  op s_ : Natural -> Natural [ctor] .
  op _+_ : Natural Natural -> Natural .
  op _*_ : Natural Natural -> Natural .
  vars N M : Natural .
  eq N + O = N.
  eq N + s M = s(N + M).
  eq \mathbb{N} * 0 = 0.
  eq N * s M = N + (N * M).
endfm
Maude> red (s s 0) + (s s 0).
reduce in NAT-MIXFIX : s s 0 + s s 0 .
rewrites: 3 in Oms cpu (Oms real) (~ rewrites/second)
result Natural: s s s s 0
Maude>
```

#### Many-Sorted Functional Modules

```
fmod NAT-LIST is
  protecting NAT-MIXFIX .
  sort List .
  op nil : -> List [ctor] .
  op _._ : Natural List -> List [ctor] .
  op length : List -> Natural .
  var N : Natural .
  var L : List .
  eq length(nil) = 0.
  eq length(N \cdot L) = s length(L) .
endfm
Maude> red length(0 . (s 0 . (s s 0 . (0 . nil)))) .
reduce in NAT-LIST: length(0 . s 0 . s s 0 . 0 . nil) .
rewrites: 5 in Oms cpu (Oms real) (~ rewrites/second)
result Natural: s s s s 0
Maude>
```

## Many-Sorted Signatures

The full signature  $\Sigma$  of the NAT-LIST example, that imports NAT-MIXFIX, is then,

```
sorts Natural List .
op 0 : -> Natural .
op s_ : Natural -> Natural .
op _+_ : Natural Natural -> Natural .
op _*_ : Natural Natural -> Natural .
op nil : -> List .
op _._ : Natural List -> List .
op length : List -> Natural .
```

#### Many-Sorted Signatures as Labeled Multigraphs

We can naturally represent a many-sorted signature as a labeled multigraphs whose nodes are the sorts, and whose labeled edges are the operation symbols.

In a normal labeled graph a directed edge links an input node to an outpt node. Instead, in a multigraph an edge links zero, one, or several input nodes to an output node. So, we view an operator like

```
op _._ : Natural List -> List .
```

as a labeled edge having two input nodes and one output node (see Picture 2.1). When all operations are unary, signatures are exactly labeled graphs (see Picture 2.2)

## Many-Sorted Signatures Mathematically

An many-sorted signature is a pair  $\Sigma = (S, F)$ , with:

- S a set whose elements  $s, s', s'', \ldots \in S$  are called sorts, and
- F, called the set of function symbols, is an  $S^* \times S$ -indexed set  $F = \{F_w,\}_{(w,s) \in S^* \times S}$ , where if  $f \in F_{s_1...s_n,s}$  then we display it as  $f:s_1...s_n \longrightarrow s$  and call sequence of sorts  $s_1...s_n \in S^*$  the argument sorts, and  $s \in S$  the result sort. When n = 0, we call  $f \in F_{nil,s}$ , with nil the empty sequence, a constant.

#### Many-Sorted Signatures Mathematically (II)

In full detail, the signature  $\Sigma$  in our NAT-LIST example has: set of sorts  $S = \{\text{Natural}, \text{List}\}$ , and indexed family F of sets of function symbols:

$$\begin{split} F_{nil,\text{Natural}} &= \{\text{O}\}, \ F_{nil,\text{List}} = \{\text{nil}\}, \ F_{\text{Natural},\text{Natural}} = \\ \{\text{s}\_\}, \ F_{\text{Natural Natural}} &= \{\_+\_, \_*\_\}, \ F_{\text{Natural List},\text{List}} = \\ \{\_-\_\}, \ F_{\text{List},\text{Natural}} &= \{\text{length}\}. \end{split}$$

Similarly, the signature  $\Sigma$  in our NAT-PREFIX example has  $S = \{ \text{Natural} \}$  an indexed family G of sets of function symbols:

$$G_{nil,Natural} = \{0\}, G_{Natural,Natural} = \{s\}, G_{Natural,Natural} = \{plus\}.$$

#### The Need for Order-Sorted Signatures

Many-sorted signatures are still too restrictive. The problem is that some operations are partial, and there is no natural way of defining them in just a many-sorted framework.

Consider for example defining a function first that takes the first element of a list of natural numbers, or a predecessor function p that assigns to each natural number its predecessor. What can we do? If we define,

```
op first : List -> Natural .
op p_ : Natural -> Natural .
```

we have then the awkward problem of defining the values of first(nil) and of p 0, which in fact are undefined.

## The Need for Order-Sorted Signatures (II)

A much better solution is to recognize that these functions are partial with the typing just given, but become total on appropriate subsorts NeList < List of nonempty lists, and NzNatural < Natural of nonzero natural numbers. If we define,

```
op s_ : Natural -> NzNatural .
op _._ : Natural List -> NeList .
op first : NeList -> Natural .
op p_ : NzNatural -> Natural .
```

everything is fine. Subsorts also allow us to overload operator symbols. For example, Natural < Integer, and

```
op _+_ : Natural Natural -> Natural .
op _+_ : Integer Integer -> Integer .
```

#### **Order-Sorted Functional Modules**

```
fmod NATURAL is
  sorts Natural NzNatural .
  subsorts NzNatural < Natural .
 op 0 : -> Natural [ctor] .
 op s_ : Natural -> NzNatural [ctor] .
  op p_ : NzNatural -> Natural .
  op _+_ : Natural Natural -> Natural .
  op _+_ : NzNatural NzNatural -> NzNatural .
 vars N M : Natural .
 eq p s N = N.
 eq N + O = N.
 eq N + s M = s(N + M).
endfm
Maude> red p((s s 0) + (s s 0)).
reduce in NATURAL : p (s s 0 + s s 0) .
rewrites: 4 in Oms cpu (Oms real) (~ rewrites/second)
result NzNatural: s s s 0
```

## **Order-Sorted Functional Modules (II)**

```
fmod NAT-LIST-II is
 protecting NATURAL .
  sorts NeList List .
  subsorts NeList < List .
  op nil : -> List [ctor] .
  op _._ : Natural List -> NeList [ctor] .
  op length : List -> Natural .
  op first : NeList -> Natural .
 op rest : NeList -> List .
 var N : Natural .
 var L : List .
  eq length(nil) = 0.
  eq length(N \cdot L) = s length(L) .
  eq first(N \cdot L) = N \cdot L
 eq rest(N . L) = L .
endfm
```

#### **Order-Sorted Signatures Mathematically**

An order-sorted signature  $\Sigma$  is a pair  $\Sigma = ((S, <), F)$  where (S, F) is a many-sorted signature, and where < is a partial order relation on the set S of sorts called subsort inclusion.

That is, < is a binary relation on S that is:

- irreflexive:  $\neg (x < x)$
- transitive: x < y and y < z imply x < z

Any such relation < has an associated  $\le$  relation that is reflexive, antisymmetric, and transitive. We will move back and forth between < and  $\le$  (see STACS 7.4).

**Note**: Unless specified otherwise, by a signature we will always mean an order-sorted signature.

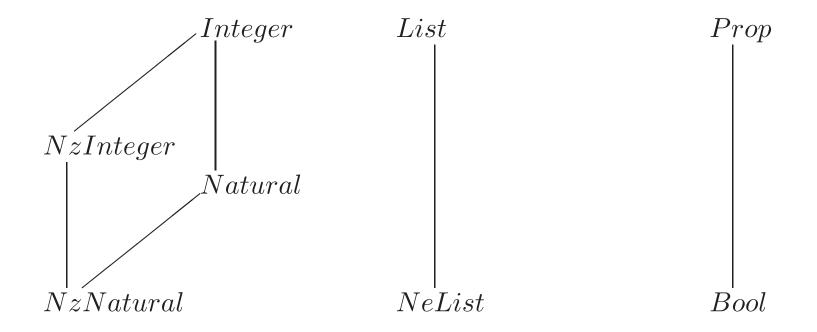
#### **Connected Components of the Poset of Sorts**

Given a signature  $\Sigma$ , we can define an equivalence relation (see STACS 7.6)  $\equiv_{\leq}$  between sorts  $s, s' \in S$  as the smallest relation such that:

- if  $s \le s'$  or  $s' \le s$  then  $s \equiv_{<} s'$
- if  $s \equiv_{\leq} s'$  and  $s' \equiv_{\leq} s''$  then  $s \equiv_{\leq} s''$

We call the equivalence classes modulo  $\equiv_{\leq}$  the connected components of the poset order  $(S, \leq)$ . Intuitively, when we view the poset as a directed acyclic graph, they are the connected components of the graph (see *STACS* 7.6, Exercise 68).

# **Connected Components Example**



 $S/\equiv_{<}=\{\{NzNatural,Natural,NzInteger,Integer\},\{Nelist,List\},\{Bool,Prop\}\}$ 

#### Subsort vs. Ad-hoc Overloading

In general, the same operator name may have different declarations in the same signature  $\Sigma$ . For example, in the NATURAL module we have,

```
op _+_ : Natural Natural -> Natural .
op _+_ : NzNatural NzNatural -> NzNatural .
```

When we have two operator declarations,  $f: w \longrightarrow s$ , and

 $f:w'\longrightarrow s'$ , with w and w' strings of equal length, then: (1) if  $w\equiv_\leq w'$  and  $s\equiv_\leq s'$ , we call them subsort overloaded; (2) otherwise, e.g, \_+\_ for Natural and for exclusive or in Bool, we call them ad-hoc overloaded.

#### Order-Sorted Signatures as Labelled Multigraphs

Since an order-sorted signature is a many-sorted signature whose set of nodes is a poset, we can describe them graphically as labeled multigraphs whose set of nodes is a poset.

We can picture subsort inclusions as usual for partial orders, and operators, as before, as labeled edges in the multigraph. For example, the order-sorted signature of the module NAT-LIST-II is depicted this way in Picture 2.3

# Exercises

# **Ex.**2.1. Define in Maude the following functions on the naturals:

- > and ≥ as Boolean-valued binary functions, either importing the built-in module BOOL with single sort Bool, or, perhaps better, defining your own version of the Booleans (in that case, give it a different name, e.g., BOOLEAN, to avoid clashes with BOOL),
- max and min, that yield the maximum, resp. minimum, of two numbers,
- even and odd as Boolean-valued functions on the naturals,
- factorial, the factorial function.

# Exercises (II)

**Ex.**2.1. Define in Maude the following functions on list of natural numbers:

- append and reverse, which appends two lists, resp. reverses the list,
- max and min that computes the biggest (resp. smallest) number in the list,
- **get.even**, which extracts the lists of even numbers of a list,
- **odd.even**, which, given a lists, produces a pair of list: the first the sublist of its odd numbers and the second the sublist of its even numbers.