

1. Translating Models Along Bijections

Up to isomorphism the specific details of a model's data do not matter, although they may matter algorithmically: for example, a binary representation of a natural number will be much more efficient than its representation by means of a Gödel number as a product of powers of primes. This means that we can translate the entire structure of a model to another family of sets to obtain an isomorphic model there. Specifically:

Definition Let $\Sigma = (S, \leq, F, P)$ be an OS-FO-signature, and let $M = (M, -_M)$ be a Σ -model.

For each connected component $[s] \in S/\equiv_\leq$ define

$$M_{[s]} = \bigcup_{s' \in [s]} M_{s'}. \text{ Let } h = \{ h_{[s]} : M_{[s]} \rightarrow M'_{[s]} \}_{[s] \in S/\equiv_\leq}$$

be an $S/\equiv_\leq$ -sorted family of bijections to the

$S/\equiv_\leq$ -sorted set $\{M'_{[s]}\}_{[s] \in S/\equiv_\leq}$. We then define the

Σ -model M_h , called the translation of M along h

as follows: $M_h = (M', -_{M_h})$, where:

$$1. M'_s = h_{[s]}[M_s], \quad s \in S \quad (\text{so that } h_{[s]}[M_s] \in M'_{[s]})$$

2. For each $f: s_1 \dots s_n \rightarrow s$ in F

$$\mathcal{F}_{M_h} : M'_{s_1} \times \dots \times M'_{s_n} \ni (b_1, \dots, b_n) \mapsto h_{[s]} \left(f_{[s]}^{-1} \left(h_{[s_1]}^{-1}(b_1), \dots, h_{[s_n]}^{-1}(b_n) \right) \right) \in M'_s$$

3. for each $p: s_1 \dots s_n$ in P , $(b_1, \dots, b_n) \in M'_{s_1} \times \dots \times M'_{s_n}$

$$(b_1, \dots, b_n) \in \mathcal{P}_{M_h} \iff (h_{[s_1]}^{-1}(b_1), \dots, h_{[s_n]}^{-1}(b_n)) \in \mathcal{P}'_M.$$

It is then easy to check that for each $s \in S$ $h_{[s]}$ restricts to a bijective function $h_s: M_s \rightarrow M'_s$, $s \in S$, and that $h: M \rightarrow M_h$ is a Σ -isomorphism.

2. Amalgamations up to Isomorphism

The $M \mapsto M_h$ construction can be useful to tackle the following problem. Suppose Σ_1 and Σ_2 are nicely or optimally intersectable. Then, if $M_i \in \text{Mod}(\Sigma_i)$, $1 \leq i \leq 2$, and $M_1|_{\Sigma_0} = M_2|_{\Sigma_0}$, for $\Sigma_0 = \Sigma_1 \cap \Sigma_2$, we get an amalgamation $[M_1, M_2] \in \text{Mod}(\Sigma_1 \cup \Sigma_2)$. But what can we do if we only have an isomorphism $M_1|_{\Sigma_0} \cong M_2|_{\Sigma_0}$?

We can build isomorphic models $M'_i \cong M_i$, $1 \leq i \leq 2$ such that $M'_1|_{\Sigma_0} = M'_2|_{\Sigma_0}$. Although a more general theorem can be proved, the following theorem will suffice for our present purposes:

Theorem 1 (Amalgamations up to Isomorphism). Let T_1, T_2 be

OS-FO theories with $\Sigma_i = \text{sig}(T_i)$, $1 \leq i \leq 2$ such that

Σ_1, Σ_2 are optimally intersectable in the broader sense of Lecture 28

and $\Sigma_0 = \Sigma_1 \cap \Sigma_2$ is many-sorted and has no function or predicate symbols, just sorts $s_1, \dots, s_n$, each as a separate singleton connected component $\{s_i\}$. Let $M_i \in \text{Mod}(T_i)$, $1 \leq i \leq 2$

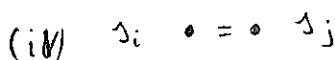
be such that $|M_{1,s_j}| = |M_{2,s_j}|$, $1 \leq j \leq n$ (which of

course means $M_1|_{\Sigma_0} \cong M_2|_{\Sigma_0}$). Then there exist

M'_i such that: (i) $M'_i \cong M_i$, $1 \leq i \leq 2$, and (ii) $M'_1|_{\Sigma_0} = M'_2|_{\Sigma_0}$,

so that $[M'_1, M'_2] \in \text{Mod}(T_1 \cup T_2)$.

Proof. First of all note that if $[s_i]_i \cap [s_j]_j \neq \emptyset$, $\{i, j\} = \{1, 2\}$, since Σ_1 and Σ_2 are optimally intersectable and $[s_i]_i \cap [s_j]_j = \{s_l\}$, $1 \leq l \leq n$, the only possible relationships between $[s_i]_i$ and $[s_j]_j$ are as follows:



Where each subsequent case is a special case of the previous one, and where in cases (ii) - (iv) we have actual containments $[s_i] \subseteq [s_j]$, but $[s_i] = \{s_\ell\}$. Define

$h = \{h_{s_\ell}\}_{1 \leq \ell \leq n}$ as follows:

In cases (i) - (iii) as a bijection $h_{s_\ell} : M_{j,s_\ell} \rightarrow M_{i,s_\ell}$

In case (iv) chose one direction $h_{s_\ell} : M_{j,s_\ell} \rightarrow M_{i,s_\ell}$.

By abuse of language, call also h_{s_ℓ} to the translation

$$h_{s_\ell} = \{h_{s_\ell[s]_j} : M_{[s]_j} \rightarrow M'_{[s]_j}\}_{[s]_j \in S_j / \equiv_{\leq_j}} \quad \text{where:}$$

(i) if $[s]_j = [s_\ell]_j$ then $M'_{[s]_j} = M_{i,s_\ell}$ and

$h_{s_\ell[s]_j} = h_{s_\ell}$; (ii) otherwise $M_{[s]_j} = M'_{[s]_j}$ and

$h_{s_\ell[s]_j} = 1_{M_{[s]_j}}$. That is, only connected component

$[s_\ell]_j$ is translated.

Call such translations single-kind translations. Note that the notation still makes sense after having performed several such single-kind translations.

That is, if $[s]_i \cap [s']_i = \emptyset$ with s , resp. s'
 the top element of $([s]_i, \leq_i)$, resp. $([s']_i, \leq_i)$

we can perform translations:

$$M_i \cong M_{i h_s} \cong M_{i h_s h_{s'}}$$

Now note that the maps $\{h_{s_\ell}\}_{1 \leq \ell \leq n}$

split into maps

$$h_{s_{i_1}}, \dots, h_{s_{i_{k_1}}} \quad i_1 < i_2 < \dots < i_{k_1} \quad \text{for } M_1$$

$$h_{s_{j_1}}, \dots, h_{s_{j_{k_2}}} \quad j_1 < j_2 < \dots < j_{k_2} \quad \text{for } M_2$$

with $k_1 + k_2 = n$. Then observe that

$$M_1 \cong M_{1 h_{s_{i_1}} \dots h_{s_{i_{k_1}}}}, \quad M_2 \cong M_{2 h_{s_{j_1}} \dots h_{s_{j_{k_2}}}}$$

and we have

$$M_{1 h_{s_{i_1}} \dots h_{s_{i_{k_1}}}}|_{\Sigma_0} = M_{2 h_{s_{j_1}} \dots h_{s_{j_{k_2}}}}|_{\Sigma_0}$$

as desired. $\square$

3. Excursus: Order-Sorted Tarski-Vaught and Downward Löwenheim-Skolem Theorems

To obtain the desired order-sorted Nelson-Oppen combination result we first need a detour to prove order-sorted generalizations of the Tarski-Vaught test for elementary submodels and the downward Löwenheim-Skolem theorem.

First of all, note that we can view a poset of sorts $(S, \leq)$ as an OS-FO signature $(S, \leq) = ((S, \leq), \emptyset, \emptyset)$. In particular, a set S of sorts can be viewed as the signature $S = ((S, =_S), \emptyset, \emptyset)$. Therefore, if $\Sigma = ((S, \leq), F, P)$ we have signature inclusions

$$S \xrightarrow{J} (S, \leq) \xleftarrow{K} \Sigma$$

and since all these signatures can be viewed as Horn theories with empty set of axioms we have left adjoints to reducts:

$$\begin{array}{ccccc} & & \text{FF}_K & & \\ & & \text{---} & & \\ \text{Set}_S & \xleftarrow{\text{FF}_J} & \text{Set}_{(S, \leq)} & \xleftarrow{\text{---}} & \text{Mod}(\Sigma) \\ & \text{---} & \text{---} & & \\ & \text{---} & \text{---} & & \\ \text{Mod}(S) & \xleftarrow{-|_S} & \text{Mod}(S, \leq) & \xleftarrow{-|_{(S, \leq)}} & \end{array}$$

and therefore, also $\text{FF}_{J;K} = \text{FF}_J; \text{FF}_K$. FF_J is quite

easy to describe on objects. It maps an S -sorted set $A = \{A_s\}_{s \in S}$ to $\hat{A} = \{\hat{A}_s\}_{s \in S}$ with $\hat{A}_s = \bigcup_{s' \leq s} A_{s'}$.

so that if $s \leq s'$, then $\hat{A}_s \subseteq \hat{A}_{s'}$, which is the essential requirement for $\hat{A} \in \text{Mod}(S, \leq)$. So, $\text{TF}_J = (\hat{-})$.

TF_K is also easy to describe. Given $A \in \text{Set}_{(S, \leq)} = \text{Mod}(S, \leq)$,
 assume WLOG $\begin{cases} A_{[s]} \cap A_{[s']} = \emptyset \text{ if } [s] \neq [s'] \\ \bigcup_{s \in S} A \cap \text{fun}(\Sigma) = \emptyset \end{cases}$; otherwise translate

A to some A' disjoint from $\text{fun}(\Sigma)$ by some bijection h as done in §1. Then we can define $\Sigma(A) = ((S, \leq), F_A, P)$

where $F_A: \bigcup_{s \in S} A_s \cup \text{fun}(\Sigma) \rightarrow \mathcal{P}(S^* \times S)$ is such

that $F_A|_{\text{fun}(\Sigma)} = F$, and $F_A: \bigcup_{s \in S} A_s \ni a \mapsto \{(s, s') \mid a \in A_s\} \in \mathcal{P}(S^* \times S)$.

Then $\text{TF}_K(A) = \mathcal{I}_{\Sigma(A)}|_{\Sigma}$, where, as before, we use the

notation $\mathcal{I}_{\Sigma(A)}|_{\Sigma} = \mathcal{I}_{\Sigma}(A)$, and where $\mathcal{I}_{\Sigma(A)}$ is just the

initial $F(A)$ -algebra, extended to a Σ -model by empty predicates,
 i.e. for each $p: s_1 \dots s_n$, $p|_{\mathcal{I}_{\Sigma(A)}} = \emptyset$.

Note that for $\Sigma = ((S, \leq), F, \emptyset)$, the previous notation

$\mathcal{I}_{\Sigma}(X)$ for X an S -sorted set of variables now becomes $\mathcal{I}_{\Sigma}(\hat{X})$, i.e., $\mathcal{I}_{\Sigma}(X) = \text{TF}_K(\text{TF}_J(X))$.

In summary, $\text{TF}_J = (\hat{-})$, and $\text{TF}_K = \mathcal{I}_{\Sigma}(-)$.

Note that $T_{\Sigma}(-)$ left adjoint just means:

$$\begin{array}{ccc} A & \xrightarrow{\eta_A} & T_{\Sigma}(A) \\ & \searrow h & \downarrow -h \\ & & B \end{array} \quad \begin{array}{c} = \\ \downarrow \end{array}$$

$$\begin{array}{c} T_{\Sigma}(A) = (T_{\Sigma}(A), -_{T_{\Sigma}(A)}) \\ \downarrow -h \\ \mathcal{B} = (B, -_B) \end{array} \quad \exists!$$

Set_(S, ≤)

Mod(Σ)

In particular, for a $\mathcal{A} = (A, -_A) \in \text{Mod}(\Sigma)$ we get:

$$\begin{array}{ccc} A & \xrightarrow{\eta_A} & T_{\Sigma}(A) \\ & \searrow 1_A & \downarrow -1_A \\ & & A \end{array}$$

$$\begin{array}{c} T_{\Sigma}(A) \\ \downarrow -1_A \\ \mathcal{A} \end{array}$$

Let us now consider elementary submodels

Definition A Σ -submodel $\mathcal{A} \subseteq \mathcal{B}$ is called an elementary submodel, denoted $\mathcal{A} \leq \mathcal{B}$ iff $\mathcal{A} \equiv \mathcal{B}$.

The following criterion by Tarski and Vaught gives a useful way to check that $\mathcal{A} \subseteq \mathcal{B}$ is an elementary submodel of $\mathcal{B}$.

Note that, since $\Sigma \subseteq \Sigma(A)$, we have $\text{FO}(\Sigma) \subseteq \text{FO}(\Sigma(A))$.

Recall also from the above discussion that a $\Sigma(A)$ -model is a pair $(\mathcal{B}, h)$ with $\mathcal{B} = (B, -_B) \in \text{Mod}(\Sigma)$, and $h: A \rightarrow B$.

$$\Sigma = ((S, \leq), F, P)$$

Theorem (Tarski-Vaught Test). Let Σ be an OS-FO signature, and $\mathcal{B} = (B, -_{\mathcal{B}}) \in \text{Mod}(\Sigma)$. Let $A \in \underline{\text{Set}}_{(S, \leq)}$ be such that $A \xrightarrow{j} B$ and for each $\varphi \in \text{FO}(\Sigma(A))$ with $\text{fvars}(\varphi) = \{x:s\}$ the following equivalence holds:

$$(TV) \quad (\mathcal{B}, j) \models (\exists x:s) \varphi \Leftrightarrow (\exists a \in A_s) (\mathcal{B}, j) \models \varphi \{x:s \mapsto a\}$$

Then there is an elementary submodel $\mathcal{A} \leq \mathcal{B}$ with $\mathcal{A} = (A, -_{\mathcal{A}})$.

Proof. First of all note that for each $s \in S$, $A_s \neq \emptyset$. This is because, since $\mathcal{B} \in \text{Mod}(\Sigma)$, $\forall s \in S \quad B_s \neq \emptyset$, and therefore for each $s \in S$ we have $(\mathcal{B}, j) \models (\exists x:s) x:s = x:s$, which by (TV) forces $\exists a \in A_s$. Second, note that for each $f:s_1 \dots s_n \rightarrow s$ in F and $(a_1, \dots, a_n) \in A_{s_1} \times \dots \times A_{s_n}$,

$$(\mathcal{B}, j) \models (\exists x:s) f(a_1, \dots, a_n) = x, \text{ which forces } f_{\mathcal{B}}(a_1, \dots, a_n) \in A_s.$$

Therefore, we indeed have a Σ -submodel $\mathcal{A} \subseteq \mathcal{B}$ with $\mathcal{A} = (A, -_{\mathcal{A}})$, for each $f:s_1 \dots s_n \rightarrow s$ $f_{\mathcal{A}} = f_{\mathcal{B}} \cap A_{s_1} \times \dots \times A_{s_n} \times A_s$,

and for each $p:s_1 \dots s_n$, $P_{\mathcal{A}} = P_{\mathcal{B}} \cap A_{s_1} \times \dots \times A_{s_n}$. We just need to prove $\mathcal{A} \equiv_{\Sigma} \mathcal{B}$. Since $\text{FO}(\Sigma) \subseteq \text{FO}(\Sigma(A))$,

it is enough to prove $(\mathcal{A}, 1_A) \equiv_{\Sigma(A)} (\mathcal{B}, j)$, that is, that for each $\varphi \in \text{Sen}(\Sigma(A))$ we have:

$$(\mathcal{A}, 1_A) \models \varphi \Leftrightarrow (\mathcal{B}, j) \models \varphi.$$

We prove this by induction on the following measure $\|-\|: FO(\Sigma(A)) \rightarrow \mathbb{N}$, where, by logical equivalence, we may assume wlog that formulas are built from atomic ones by $\neg$, $\vee$, and $\exists$ only:

- $\|u = v\| = 1$ for any atomic sentence $u = v$
- $\|\varphi \vee \psi\| = \|\varphi\| + \|\psi\|$
- $\|\neg \varphi\| = \|(\exists x:1) \varphi\| = \|\varphi\| + 1$

Base case. $\varphi \equiv u = v$, ground equation. we have

$$(\mathcal{A}, 1_A) \models u = v \iff (\mathcal{B}, j) \models u = v$$

because we have

$$\begin{array}{ccc} \mathcal{T}_{\Sigma(A)} & \xrightarrow{-1_A} & (\mathcal{A}, 1_A) \xrightarrow{j} (\mathcal{B}, j) \\ & \underbrace{\hspace{1.5cm}}_{= \quad \quad \quad} & \uparrow \\ & & -j \end{array}$$

so that for each $u_{1_A} = u_j$, and $v_{1_A} = v_j$ and, by definition, $(\mathcal{A}, 1_A) \models u = v$ iff $u_{1_A} = v_{1_A}$, and $(\mathcal{B}, j) \models u = v$ iff $u_j = v_j$.

Induction Step

- $(\mathcal{A}, 1_A) \models \varphi \vee \psi \iff (\mathcal{A}, 1_A) \models \varphi \text{ or } (\mathcal{A}, 1_A) \models \psi \iff (\text{I.H.})$
 $(\mathcal{B}, j) \models \varphi \text{ or } (\mathcal{B}, j) \models \psi \iff (\mathcal{B}, j) \models \varphi \vee \psi$
- $(\mathcal{A}, 1_A) \models \neg \varphi \iff (\mathcal{A}, 1_A) \not\models \varphi \iff (\text{I.H.}) (\mathcal{B}, j) \not\models \varphi \iff (\mathcal{B}, j) \models \neg \varphi$

- $(\mathcal{A}, \mathcal{I}_A) \models (\exists x: \mathcal{I}) \varphi \Leftrightarrow (\exists a \in A_1) (\mathcal{A}, \mathcal{I}_A) \models \varphi\{x: \mathcal{I} \mapsto a\} \Leftrightarrow$
 $(\text{I.H., since } \|\varphi\| = \|\varphi\{x: \mathcal{I} \mapsto a\}\|) (\exists a \in A_1) (\mathcal{B}, \mathcal{I}) \models \varphi\{x: \mathcal{I} \mapsto a\}$
 $\Leftrightarrow (\text{TV}) (\mathcal{B}, \mathcal{I}) \models (\exists x: \mathcal{I}) \varphi. \quad \square$

We are now ready to state and prove the order-sorted generalization of the downward Löwenheim-Skolem theorem. We just need to define for $\Sigma = ((S, \leq), F, P)$, $|\Sigma| = \max\{|S|, |F|, |P|\}$, where for any function f like F or P , $|f|$ is the cardinality of f as a set of pairs.

Theorem (Order-Sorted Downward Löwenheim-Skolem Theorem).

Let $\Sigma = ((S, \leq), F, P)$, $\mathcal{I}_i \in S$, $1 \leq i \leq n$, and $\mathcal{B} = (\mathcal{B}, \neg_{\mathcal{B}}) \in \text{Mod}(\Sigma)$ such that $\chi_0, |\Sigma| \leq |\mathcal{B}_{\mathcal{I}_i}| = \alpha_i$, $1 \leq i \leq n$.

Then for each γ such that $\chi_0, |\Sigma| \leq \gamma \leq \min\{\alpha_i\}_{1 \leq i \leq n}$ there exists an elementary submodel $\mathcal{A}_\gamma \leq \mathcal{B}$, with

$\mathcal{A}_\gamma = (\mathcal{A}_\gamma, \neg_{\mathcal{A}_\gamma})$ such that $|\mathcal{A}_{\gamma, \mathcal{I}_i}| = \gamma$, $1 \leq i \leq n$, and $|\mathcal{A}_{\gamma, \mathcal{I}}| \leq \gamma$, for $\mathcal{I} \in S$ such that $\mathcal{I} \neq \mathcal{I}_i$, $1 \leq i \leq n$.

Proof. We first define a simple construction, given a Σ -model $\mathcal{B} = (\mathcal{B}, \neg_{\mathcal{B}})$ and $A \in \text{Set}_{(S, \leq)}$ with $A \xrightarrow{\mathcal{I}_A} \mathcal{B}$

to obtain another $A^+ \in \text{Set}_{(S, \leq)}$ with $A \subseteq A^+ \subseteq \mathcal{B}$ and

satisfying some existential closure properties. $A^+ = \hat{A}^0$, where

for each $s \in S$, $(\exists x:s) \varphi \in \text{Sen}(\Sigma(A))$ such that $(B, j_A) \models (\exists x:s) \varphi$
 we choose an element $b_\varphi \in B_s$ such that $(B, j_A), \{(x:s, b_\varphi)\} \models \varphi$.
 Then $A_s^* = A_s \cup \{b_\varphi \mid (\exists x:s) \varphi \in \text{Sen}(\Sigma(A)) \wedge (B, j_A) \models (\exists x:s) \varphi\}$
 and $A^+ = \hat{A}^*$.

Now define $A_0 = \hat{A}_0^*$, where $A_{0,s_i}^* = A_{0,s_i} \subseteq B_{s_i}$

with $|A_{0,s_i}^*| = \chi$, $1 \leq i \leq n$, and $A_{0,s}^* = \{b_s\} \subseteq B_s$
 for $s \in S$, $s \neq s_i$, $1 \leq i \leq n$. Note that $|A_{0,s_i}| = \chi$,
 $1 \leq i \leq n$, and $|A_{0,s}| \leq \chi$, if $s \in S$, $s \neq s_i$, $1 \leq i \leq n$.

We now define a chain

$$A_0 \subseteq A_1 \subseteq A_2 \subseteq \dots \subseteq A_n \dots$$

with $A_{n+1} = A_n^+$. Note that, since given any

$C_s \subseteq B$, $C \in \underline{\text{Set}}_{(S, \leq)}$ for each $s \in S$,

$$|C_s^+| \leq \max(|\Sigma|, \max(\chi_0, \max(\{|C_s| \mid s \in S\}))),$$

we do have $|A_{j,s_i}| = \chi$, $|A_{j,s}| \leq \chi$, $\overbrace{j \in \mathbb{N}}^{s \neq s_i}$, $1 \leq i \leq n$.

Consider now $A^\infty \subseteq B$, where $A_s^\infty = \bigcup_{i \in \mathbb{N}} A_i$.

Obviously, since $A_i \in \underline{\text{Set}}_{(S, \leq)}$, $A^\infty \in \underline{\text{Set}}_{(S, \leq)}$, and

$|A_{s_i}^\infty| = \chi$, $|A_s^\infty| \leq \chi$, $s \neq s_i$, $1 \leq i \leq n$. Furthermore,

by construction A^∞ satisfies the TV condition, since

$$\text{FO}(\Sigma(A^\infty)) = \bigcup_{i \in \mathbb{N}} \text{FO}(\Sigma(A_i)), \text{ so that for each}$$

$(\exists x:i) \varphi \in \text{Sen}(\Sigma(A^\infty)) \quad \exists i \in \mathbb{N} \text{ with } (\exists x:i) \varphi \in \text{Sen}(\Sigma(A_i))$, and

therefore $b_\varphi \in A_{i+1} \subseteq A_{i+1}^\infty$. Therefore our desired

model $\mathcal{A}_\gamma$ is precisely $\mathcal{A}_\gamma = (A^\infty, -\mathcal{A}_\gamma)$. $\square$

Corollary. If $|\Sigma| \leq \chi_0$, we can choose $\gamma = \chi_0$.

and get $\mathcal{A}_\gamma$, with $|A_{j_i}^\infty| = \chi_0$, $1 \leq i \leq n$, and

$|A_j^\infty| \leq \chi_0$, $j \in S$, $j \neq j_i$, $1 \leq i \leq n$.