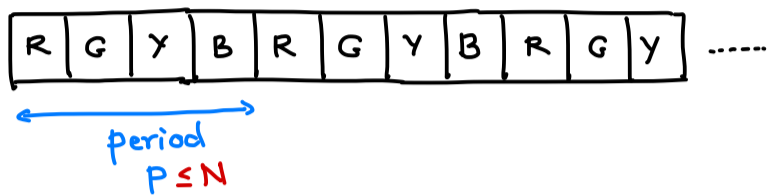


PART II Fundamental Quantum Algorithms

Today RSA & Shor's Factoring Algorithm

RECAP Period-finding over integers

$$f: \mathbb{Z} \rightarrow \text{COLORS}$$



Goal: Given query access to  $f$ , compute the period  $p$

Last time Truncate the list to  $Q = N^2$  elements

Then, we saw a quantum subroutine that gives us "clues" about the period

Key insight behind this was that Quantum Fourier Transform can extract "clues" about the period

How did this work?

- if the function mod  $Q$  was exactly periodic:  $p$  divides  $Q$



then the quantum subroutine outputs a random multiple of  $\frac{Q}{P} := R$  (integer) Also an integer

E.g.  $5R, 10R, 20R, 3R, \dots$   
 $35, 49, \dots$

This list and  $Q$  is known to the algorithm but not  $p$   
But if we take GCD of all these numbers we can figure out  $R$  and hence  $p$  with high probability

- if the function is almost-periodic:  $p$  does not divide  $Q$



Then the last piece may not be complete

But the length of each piece is  $p \leq N \leq \sqrt{Q}$ , so this last piece is much smaller than the length of the array

Because of this errors can be handled and the subroutine gives us

$$\lfloor lR \rfloor \text{ where } l \text{ is random and } R = \frac{Q}{P}$$

↑

$\lfloor x \rfloor \equiv$  Nearest integer to a real number  $x$

If we run this many times

$$\text{we get } b_1 = \lfloor l_1 \frac{Q}{P} \rfloor, b_2 = \lfloor l_2 \frac{Q}{P} \rfloor, b_3 = \lfloor l_3 \frac{Q}{P} \rfloor$$

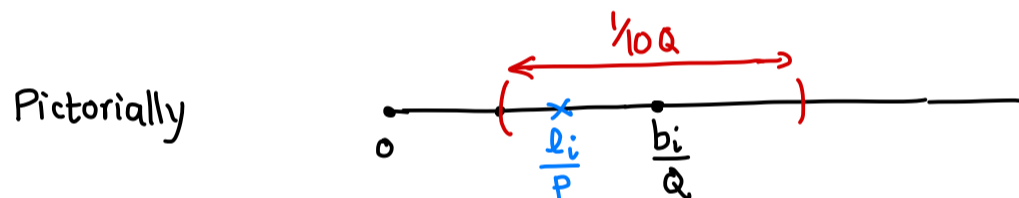
$$\text{E.g. } b_1 = l_1 \frac{Q}{P} + \frac{1}{100}, b_2 = l_2 \frac{Q}{P} - \frac{1}{10}$$

How do we find  $p$ ?

Let us divide everything by  $Q$  & assume that the algorithm outputs rational numbers

$$\begin{array}{l} \text{Both known} \rightarrow \\ \text{to algorithm} \rightarrow \end{array} \frac{b_1}{Q}, \frac{b_2}{Q}, \dots, \frac{b_T}{Q}$$

$$\text{Then, } \frac{b_i}{Q} = \frac{l_i}{P} \pm \frac{\epsilon}{Q} \text{ where } |\epsilon| \leq \frac{1}{100} \Rightarrow \frac{l_i}{P} \text{ is close to the rational output of the algorithm}$$



But there are infinitely many such rationals! How do we find the one we are looking for?

Note The rational we are looking for has a small denominator  $p \leq \sqrt{Q}$

How many such rationals are there? Just one!

**Claim** Any two fractions with denominator  $\leq \frac{1}{\sqrt{Q}}$  must be at least  $\frac{1}{Q}$  apart

$$\text{Why? } \left| \frac{l_1}{P_1} - \frac{l_2}{P_2} \right| = \frac{|l_1 P_2 - l_2 P_1|}{|P_1 P_2|} \geq \frac{1}{Q}$$

So,  $\frac{l}{P}$  is the unique fraction with denominator  $\leq Q$  that is  $\frac{1}{100Q}$  close to

the known ratio  $\frac{b}{Q}$

This can be found using a classical method called 'continued fractions'

We will explain **continued fractions** with an example

$$\begin{aligned} \text{E.g. } 0.25001 &= \frac{25001}{100000} = \frac{1}{\frac{100000}{25001}} = \frac{1}{3 + \frac{24997}{25001}} \\ &= \frac{1}{3 + \frac{1}{\frac{25001}{24997}}} = \frac{1}{3 + \frac{1}{1 + \frac{4}{24997}}} \approx \frac{1}{3+1} = \frac{1}{4} \end{aligned}$$

This converges very quickly to the correct rational and we can find  $\frac{l_i}{p_i}$

But we still don't know whether the actual ratio came from  $\frac{l_i}{p_i}$  or  $\frac{2l_i}{2p_i}$  or  $\frac{4l_i}{4p_i}$  ...

One can solve this by a similar trick we saw before

In particular, it turns out that:

The least common multiple of  $p_i$ 's is the right value with high probability ■

Now, on to the main topic: **How do we use period-finding to factor integers?**

First, let us start with some motivation about why we want to factor large integers

The motivation is the RSA Cryptosystem

### RSA Cryptosystem

This was invented by Rivest, Shamir & Adleman in 1977

Widely used in practice and enables public-key encryption, digital signatures, ...

How does it work?

Suppose you want to send a secret message (such as a credit card number) to Amazon

Now you and Amazon haven't agreed to a secret key, so how can you do it so that no adversary can decode your message but Amazon can

Another widely-deployed cryptosystem is Diffie-Hellman invented in 1978

- Amazon generates
  - two large prime numbers  $p$  &  $q$ , set  $N=pq$
  - random prime number  $e \in \{1, \dots, (p-1)(q-1)\}$
  - computes an integer  $d$  such that  $de = 1 \pmod{(p-1)(q-1)}$
- Amazon publishes public key  $= (e, N)$  for everyone to see and keeps secret key  $= d$  hidden

- Now, if you want to send a message  $x \in \{1, \dots, N-1\}$  to Amazon

your browser sends  $m = x^e \pmod N$        $(e, N)$  is public

- To decode, Amazon computes
 
$$\begin{aligned}
 m' &= (x^e)^d \pmod N \\
 &= x^{de} \pmod N \\
 &= x \pmod N
 \end{aligned}$$
 by Fermat's Little Theorem

Now Amazon knows your credit card number  $x$

- Why can't an adversary decode  $m$  as well?

A: They don't have  $d$ !

But if they could factor  $N = p \cdot q$ , they could compute it and break cryptosystems

This is why factoring is such an important problem

Factoring Given  $N = p \cdot q$ , where  $p$  and  $q$  are primes } ← if we can solve this case, we can also factor other numbers as well  
 find  $p, q$

Trivial Algorithm: check all numbers  $1, 2, \dots, \sqrt{N}$  to see if they divide  $N$

$$\text{time} = \sqrt{N} = 2^{\frac{\log N}{2}} \quad \text{where } \log N = \# \text{ bits in } N$$

If  $N = 1024$ , this is  $2^{256}$  which would take billions of years

Sieve-based Algorithm takes time  $2^{(\log N)^{1/3}}$  which is still impractical unless you have huge amount of resources

But if we have 2048-bit integers, everything we have is impractical even after 50 years of efforts!

## Shor's Factoring Algorithm ← One of the most important developments in quantum computing

Invented by Peter Shor in 1993 taking inspiration from Simon's Algorithm

**KEY IDEA** Reduce to period-finding/order-finding over integers to get "clues" about factors

Use classical post-processing to extract factors

Key point to remember is that in period-finding, we are given query access to a function but we can implement this query access very efficiently for this problem of order finding

### Reducing Factoring to Order Finding Input: $N$

- Pick a random number  $a \in \{1, 2, \dots, N-1\}$

┌ If  $\text{GCD}(a, N) \neq 1 \Rightarrow$  we have found a factor (although this is very unlikely)

└ If  $\text{GCD}(a, N) = 1 \Rightarrow$  compute the order of  $x \bmod N$ ; call it by invoking the order-finding subroutine

Order Finding Find smallest integer  $r$  s.t.  $a^r = 1 \bmod N$

Basically, the function  $f(x) = a^x \bmod N$  is periodic

$$\begin{aligned} 1 &= a^0 \bmod N \\ \dots &= a^1 \bmod N \\ &\vdots \\ \dots &= a^r \bmod N \\ 1 &= a^{r+1} \bmod N \end{aligned}$$

- If  $r$  is odd, we repeat the above
- Otherwise,  $r$  is even &  $x^r - 1 = 0 \bmod N$

$$\Leftrightarrow \underbrace{(x^{r/2} + 1)}_{=a} \underbrace{(x^{r/2} - 1)}_{=b} = 0 \bmod N$$

If  $x^{r/2} + 1$  &  $x^{r/2} - 1$  are multiples of  $N$ , repeat again

Otherwise, we get lucky since  $ab = h \cdot N = hpq$

Since  $a, b$  are not multiples of  $N$ , computing  $\text{GCD}(a, N)$  or  $\text{GCD}(b, N)$  will give a non-trivial divisor of  $N$

Needs  $O(\log N)^2$  gates

How far away is Shor's Algorithm?

Practically, for factoring 2048-bit number, we need 4096 ideal qubits which needs 20 million noisy qubits with overhead for error correction

Total time  $\cong$  8 hours

IBM/Google/etc. have a roadmap to 1 million qubits by 2030

What will replace RSA?

Diffie-Hellman? Also, broken by Shor's algorithm  
which can be generalized to any abelian group

NIST (National Institute for Standards & Technology) just concluded a multi-year competition to find a **post-quantum** cryptosystem to replace RSA

↑  
you don't have a quantum computer  
but your adversary does

The winners are ...

1. Crystals - KUBER
  2. Crystals - Dilithium
  3. FALCON
  4. SPHINCS+
- For encryption  
} → For digital signatures

First three are based on **lattices**, where the goal is to find short/close vectors in a high dimensional lattice

It is believed that short/close vector problems are hard even for quantum computers

The evidence for this is not conclusive & it will take time to build confidence in these new cryptosystems

In fact, SPHINCS+, which was based on elliptic curves is already broken by classical computers!

**NEXT WEEK** Projects

& when we resume, Quantum search with Grover's Algorithm