

The background of the slide is an abstract composition of broad, textured brushstrokes in various shades of green and blue. The colors range from light, almost white-green to deep, dark blues. The strokes are layered and overlapping, creating a sense of depth and movement. A thin, horizontal white band runs across the middle of the image, serving as a background for the text.

## Lecture 5



# Outline



Modes of Operation  
for Block Ciphers



Data Integrity: MACS



## Administrative Details

- Course website:  
<https://courses.grainger.illinois.edu/cs498ac3/fa2020/>
- Has syllabus, instructor and TA info, office hours
- **IMPORTANT: Join Piazza!**  
[piazza.com/illinois/fall2020/ececs498ac/home](https://piazza.com/illinois/fall2020/ececs498ac/home)

*I strongly encourage class participation.*  
*If you don't understand something in class, please interrupt me and ask questions.*  
*Please make abundant use of office hours.*



# Modes of Operation for Block Ciphers

# Many-time Keys

## Example applications:

- 1. File systems: Same AES key used to encrypt many files.
- 2. IPSEC (used in VPN): Same AES key used to encrypt many packets.

## Defining Security:

Recall: One-time security:  $\forall m_0, m_1 \in \{0,1\}^{128}$ ,

$$E_{k \leftarrow \mathcal{K}}(k, m_0) \approx E_{k \leftarrow \mathcal{K}}(k, m_1)$$

Many-time security:  $\forall \vec{m}_0 = (m_0^1, m_0^2, \dots, m_0^n)$   
 $\vec{m}_1 = (m_1^1, m_1^2, \dots, m_1^n)$

$$\left( E(k, m_0^1), E(k, m_0^2), \dots, E(k, m_0^n) \right)_{k \leftarrow \mathcal{K}} \approx \left( E(k, m_1^1), E(k, m_1^2), \dots, E(k, m_1^n) \right)_{k \leftarrow \mathcal{K}}$$

# Many-time Keys

If secret key is to be used multiple times  $\Rightarrow$   
given the same plaintext message twice, encryption must produce different outputs.

Why?

Solutions?

$E(k, m)$  will not work!

\* randomize, or

\* nonce, or

\* counter

# Many-time Keys : SOLUTION 1 : PRF

Let  $F: K \times R \rightarrow M$  be a secure PRF.

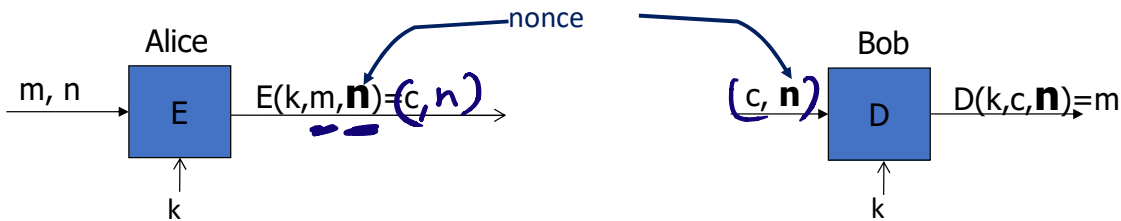
For  $m \in M$  define  $E(k, m) = [ r \leftarrow R, \text{ output } (r, F(k, r) \oplus m) ]$

Is  $E$  semantically secure under CPA?

$$\text{Dec}(r, c, k) \\ = c \oplus F(k, r)$$

$$\left[ \begin{array}{c} m_1^1 \\ m_0^1 \\ \oplus F(k, r_0^1) \end{array} \quad \begin{array}{c} m_1^2 \\ m_0^2 \\ \oplus F(k, r_0^2) \end{array} \quad \dots \quad \begin{array}{c} m_1^n \\ m_0^n \\ \oplus F(k, r_0^n) \end{array} \right] \approx \left[ \begin{array}{c} m_0^1 \\ \oplus \text{random}^1 \\ \text{random} \end{array} \quad \begin{array}{c} m_0^2 \\ \oplus \text{random}^2 \\ \text{random} \end{array} \quad \dots \quad \begin{array}{c} m_0^n \\ \oplus \text{random}^n \\ \text{random} \end{array} \right]$$

## Solution 2: nonce-based Encryption



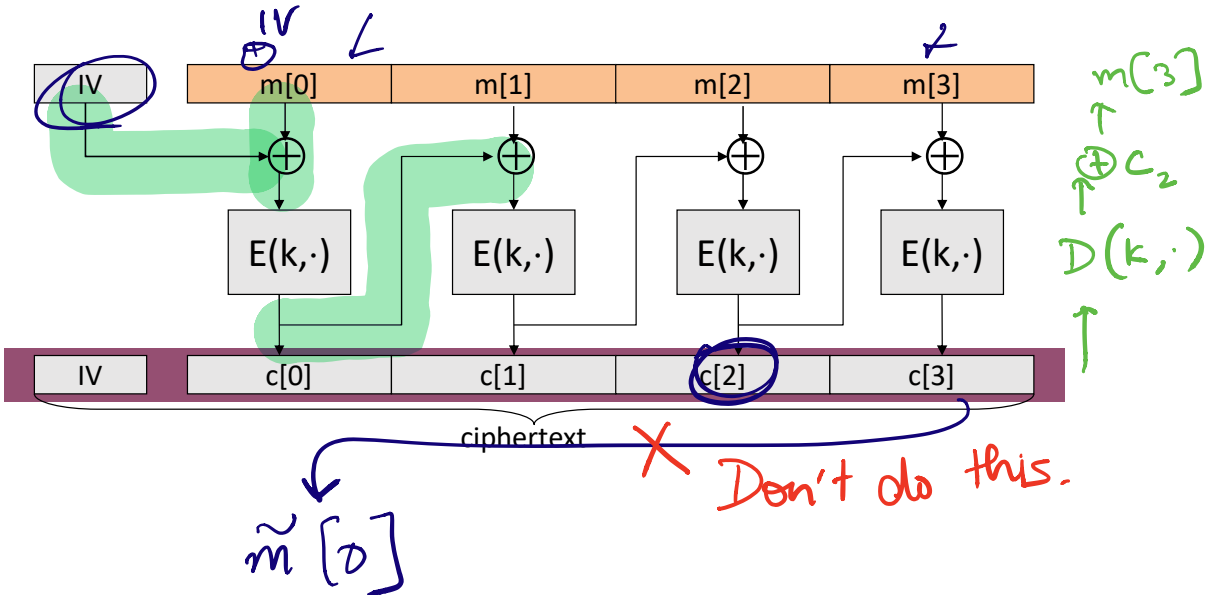
- nonce  $n$ : a value that changes from msg to msg.  
( $k, n$ ) pair never used more than once
- method 1: nonce is a **counter** (e.g. packet counter) [SSL, IPsec]
  - used when **encryptor keeps state from msg to msg**
  - if decryptor has same state, need not send nonce with CT
- method 2: nonce is **random** [File Encryption]



# CBC (Cipher Block Chaining) mode

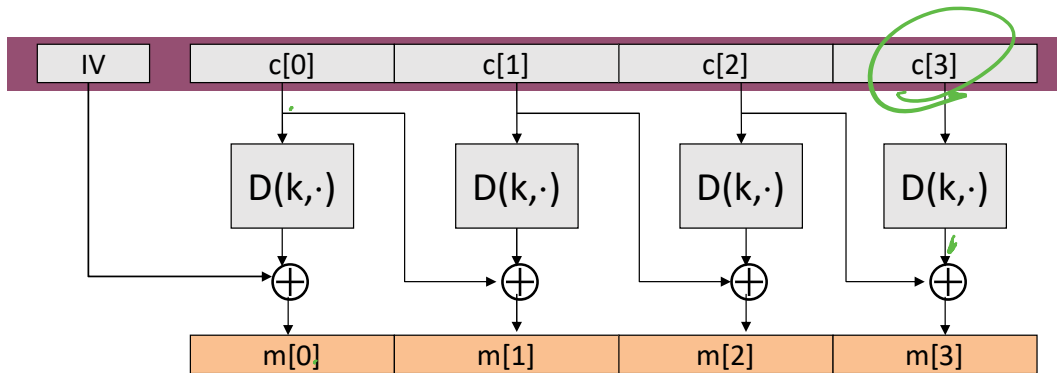
$m[0] \parallel m[1] \parallel m[2] \parallel m[3]$

Let  $(E,D)$  be a PRP.  $E_{CBC}(k,m)$ : choose random Initialization Vector and do:



# Decryption Circuit

In symbols:  $c[0] = E(k, IV \oplus m[0]) \Rightarrow m[0] = D(k, c[0]) \oplus IV$



Note: choose fresh IV for each message.

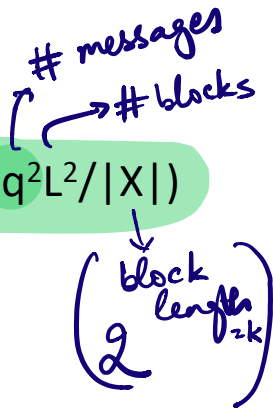
# CPA Security of CBC

- CBC Theorem: For small enough  $L > 0$ ,  
If  $E$  is a secure PRP over  $(K, X)$  then  
 $E_{\text{CBC}}$  is CPA-secure over  $(K, X^L, X^{L+1})$ .

- In particular, security error in CBC =  $(2 \times \text{sec. error in PRP}) + (q^2 L^2 / |X|)$
- What if IV was predictable? Is it still CPA-secure?

No,

Bug in SSL/TLS 1.0: IV for record # $i$  is last CT block of record #(i-1)



$$\vec{m}_0 = \begin{pmatrix} m_0^1 \\ 0 \\ IV_1 \oplus IV_2 \end{pmatrix}$$

$$\vec{m}_1 = \begin{pmatrix} m_1^1 \\ 0 \\ 1 \end{pmatrix}$$

$$\vec{c}_0 = (E(k, IV_1), E(k, IV_1))$$

$$\vec{c}_1 = (E(k, IV_1), \text{something different})$$

What happens if adversary can predict IV

Insecure!

Challenger

Adv

$$k \leftarrow K$$

$$IV_1$$

$$\longleftarrow 0$$

$$c_1 \leftarrow (IV_1, E(k, 0 \oplus IV_1))$$

$$\longrightarrow$$

$$\longleftarrow \begin{matrix} m_0 = \\ IV_1 \oplus IV_2 \end{matrix} \quad \begin{matrix} m_1 \\ \neq m_0 \end{matrix}$$

Suppose Adv predicts  $IV_2$

$$IV_2$$

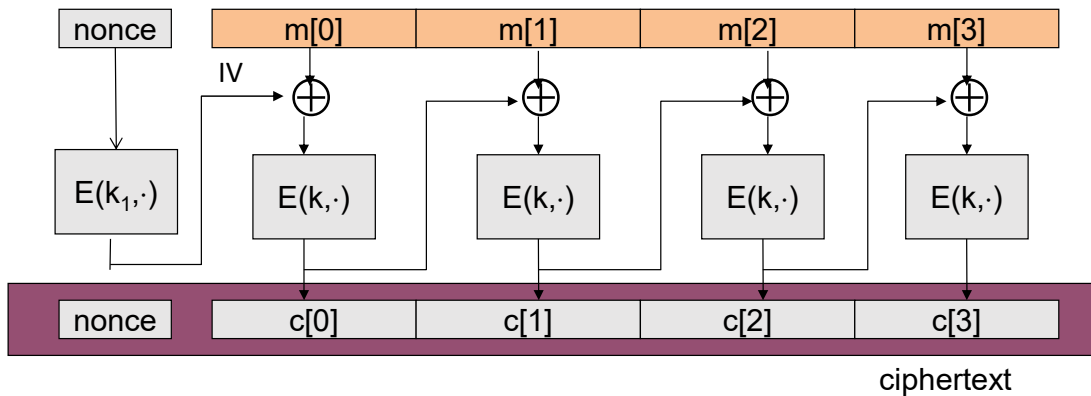
$$\text{If } m_0 \quad c_2 \leftarrow (IV_2, ?) \quad \longrightarrow \quad E(k, m_0 \oplus IV_2)$$

$$\text{Else } c_2 \leftarrow ?$$

$$= E(k, IV_1)$$

# CBC (Cipher Block Chaining) mode: Version 2

- Cipher block chaining with unique nonce: key =  $(k, k_1)$   
unique nonce means:  $(\text{key}, n)$  pair is used for only one message

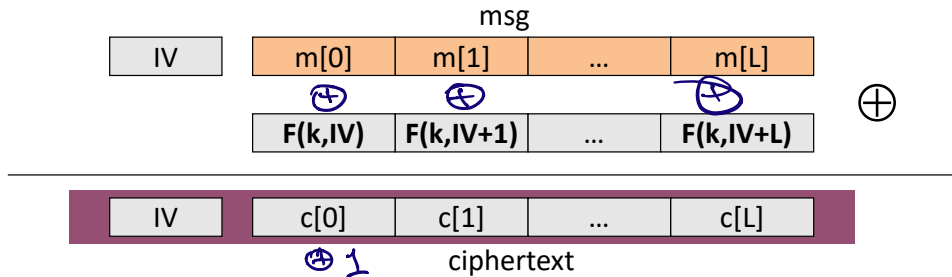


# RANDOM - COUNTER

## Rand-ctr mode

Let  $F: K \times \{0,1\}^n \rightarrow \{0,1\}^n$  be a secure *PRF*.

$E(k,m)$ : choose a random  $IV \in \{0,1\}^n$  and do:

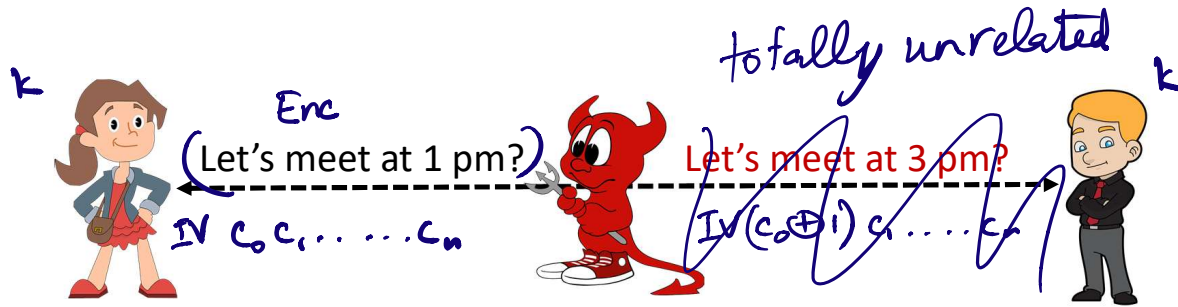


(1) Can use PRF instead of PRP and (2) is parallelizable.



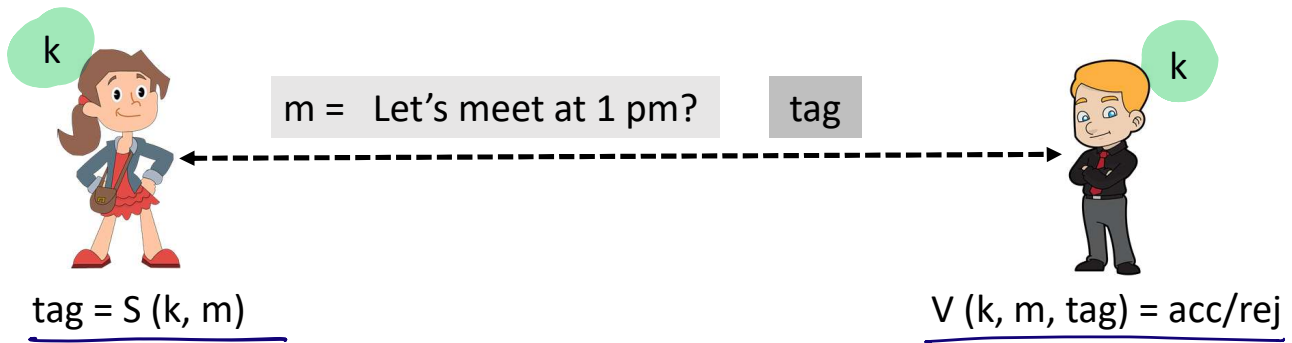
# Message Integrity: MACs

# Goal: Integrity (not secrecy)





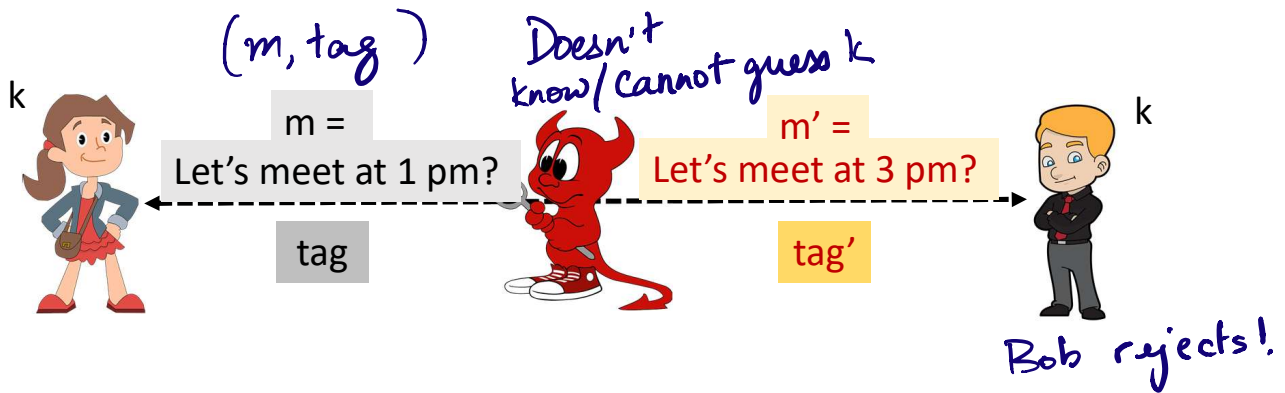
# Shared key setting



MAC = (S,V) is a pair of algorithms that satisfy:

1. **CORRECTNESS.**  $V(k, m, \text{tag}) = \text{acc}$  when  $\text{tag} = S(k, m)$

# Shared key setting: security of MACs



MAC =  $(S, V)$  is a pair of algorithms that satisfy:

2. **SECURITY.** Attacker unable to compute  $(m', \text{tag}')$  different from  $(m, \text{tag})$  such that  $V(k, m', \text{tag}') = 1 \approx \text{accepting}$

# Shared key setting: security of MACs

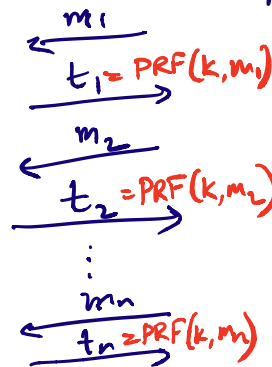
Security, more generally:

- Attacker can demand tags  $(t_1, t_2, \dots, t_n)$  for messages  $(m_1, m_2, \dots, m_n)$
- Attacker wins if it outputs  $(m', \text{tag}')$  not in  $\{(m_1, t_1), (m_2, t_2), \dots, (m_n, t_n)\}$  such that  $V(k, m', \text{tag}') = 1$

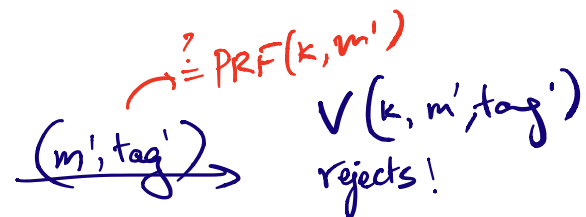
Use PRF  
with large  
enough  $k \leftarrow K$   
output size.

Challenger

$$t_i = S(k, m_i) \\ = \text{PRF}(k, m_i)$$

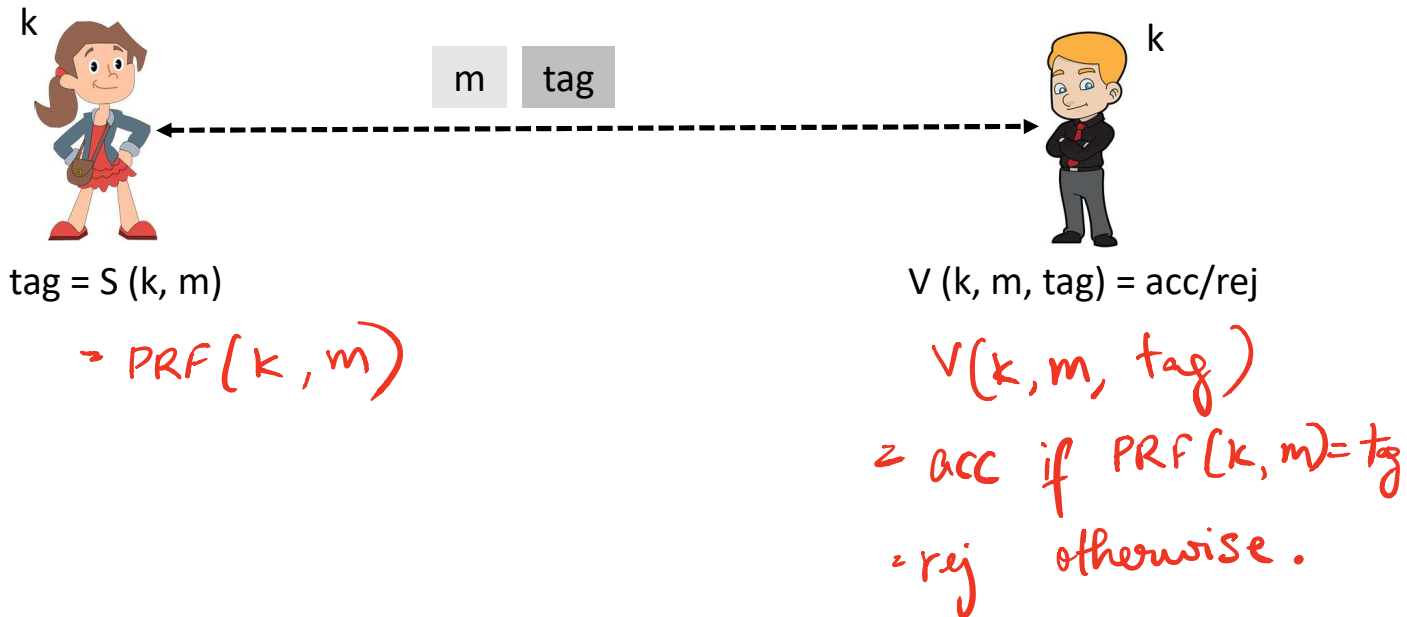


Attacker / Adv



# How do you build a secure MAC?

- Say  $*k*$  is a PRF key



# How do you build a secure MAC?

- Say  $*k*$  is a PRF key
- $S(k, m) = \text{PRF}(k, m)$
- $V(k, m, \text{tag}) = 1$  *if and only if*  $\text{tag} = \text{PRF}(k, m)$
  
- Correctness?

# How do you build a secure MAC?

- $S(k, m) = \text{PRF}(k, m)$
- $V(k, m, \text{tag}) = 1$  if and only if  $\text{tag} = \text{PRF}(k, m)$

- Security?

By PRF security,  
 $\forall m' \notin \{m_1, \dots, m_n\}$ ,

$\text{PRF}(k, m') \approx$  uniform random string  $r$  of size  $\alpha$  bits.

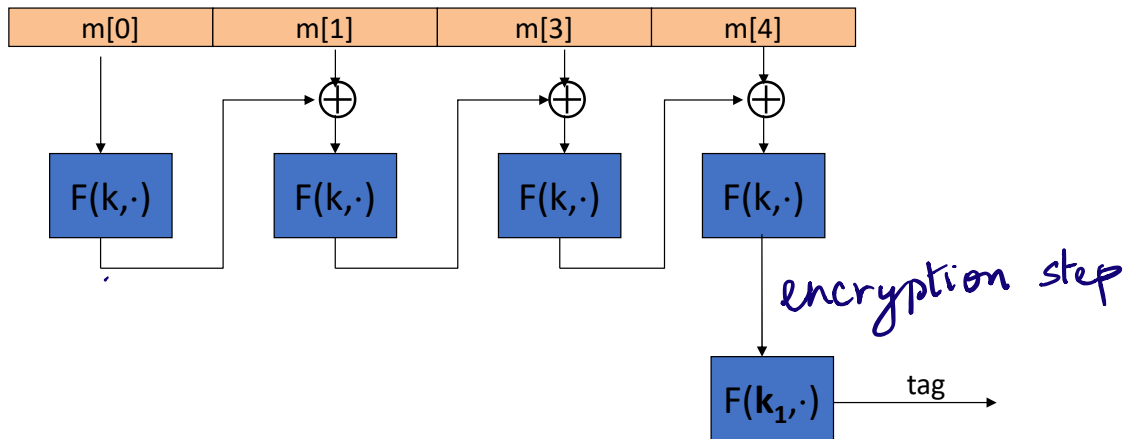
$$\text{PRF} : \mathcal{K} \times \mathcal{M} \rightarrow \{0, 1\}^\alpha$$

$$\begin{aligned} \epsilon_{\text{MAC}} &\approx \Pr[\text{Adv outputs } (m', \text{tag}') \text{ s.t. } \text{tag}' = \text{PRF}(k, m')] \\ &= (p_1 - p_2) + p_2 \\ &= \epsilon_{\text{PRF}} + \underbrace{p_2}_{\approx \frac{1}{2^\alpha}} \\ &= \epsilon_{\text{PRF}} + 2^{-\alpha} \end{aligned}$$

# Examples

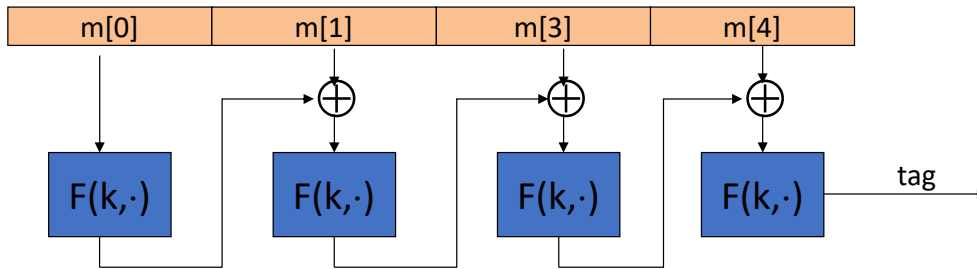
- AES: MAC for <sup>128</sup>~~64~~-bit messages
- What about larger messages?
- For larger messages, we use:
  - CBC-MAC
  - HMAC

# Encrypted CBC-MAC





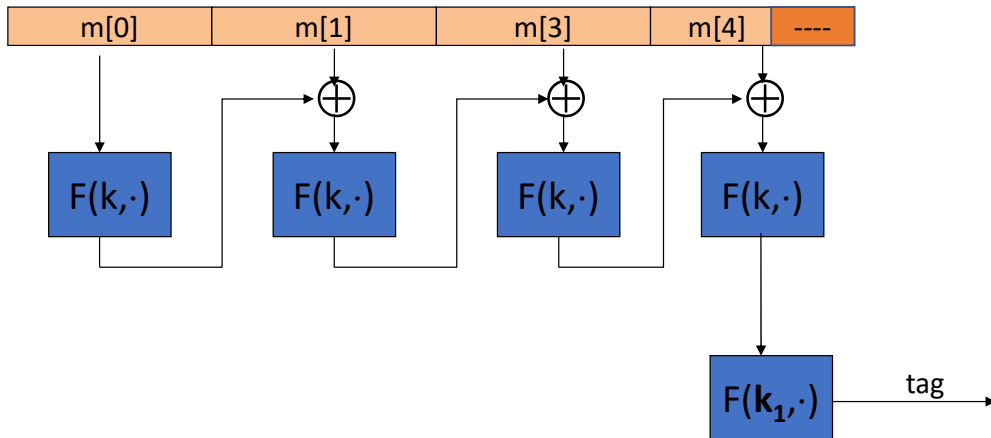
What happens if we remove encryption step?



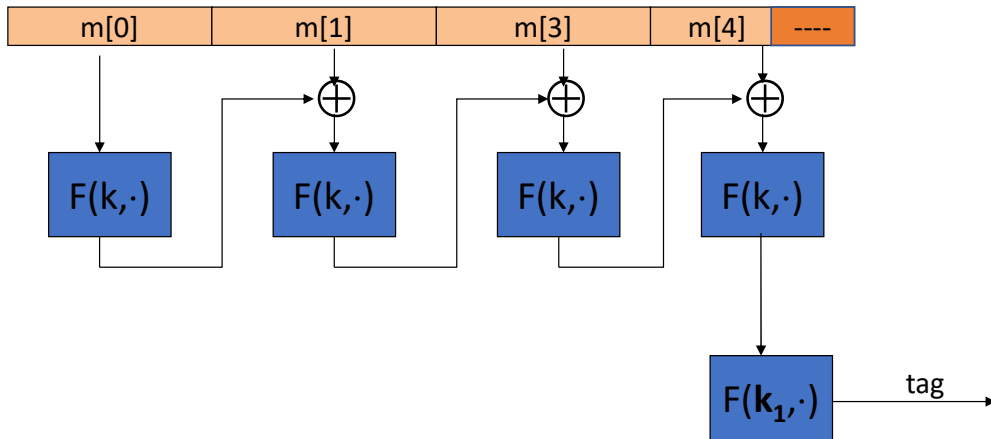
Obtain tag for  $m$ , then get  $t = F(k, m)$

Output forgery  $(m', t')$  where  $m' = (m || (t \oplus m))$  and  $t' = t$ .

What if message length is not a multiple of block size?



First idea?



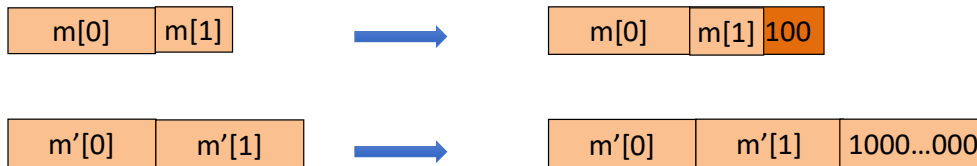
# Need invertible padding!

For security, padding must be invertible !

$$m_0 \neq m_1 \Rightarrow \text{pad}(m_0) \neq \text{pad}(m_1)$$

Pad with “1000...00”. Add new dummy block if needed.

- The “1” indicates beginning of pad.



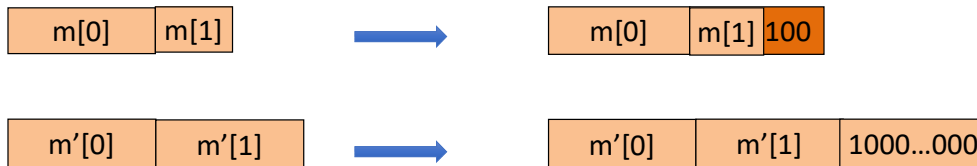
# Need invertible padding!

For security, padding must be invertible !

$$m_0 \neq m_1 \Rightarrow \text{pad}(m_0) \neq \text{pad}(m_1)$$

Pad with “1000...00”. Add new dummy block if needed.

- The “1” indicates beginning of pad.



# Summary

- Modes of operation of block-ciphers (contd.), and
- Message Authentication Codes (MACs)