

Program Verification: Lecture 29

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In this lecture I will show some examples of NuTP uses that complement the automatic nature of **DM-Check**.

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The NuTP is used as a **backend** with a **default strategy** for **DM-Check** to try to prove implications between constraints when trying to fold into (subsume) one constrained pattern into another. But there are **many other uses** of the NuTP that can play a crucial role in proving invariants.

In this lecture I will show some examples of NuTP uses that complement the automatic nature of **DM-Check**. Let us begin with the **dadlock freedom** invariant that we could not prove for R&W-FAIR in Lecture 28:

A Pending Proof: Deadlock Freedom of R&W-FAIR

```
DM-Check> check in R&W-FAIR : (([N':NzNat]< 0,0 >[ 0 | N':NzNat]) | true) \/  
(( [N':NzNat]< 0,1 >[ 0 | N':NzNat]) | true) \/  
(( [N':NzNat + K:Nat + M:Nat]< M:Nat,0 >[N':NzNat | K:Nat]) | true) \/  
(( [N':NzNat + K:Nat + M:Nat]< N':NzNat,0 >[M:Nat | K:Nat]) | true) \/  
(( [N':NzNat + K:Nat + M:Nat]< M:Nat,0 >[K:Nat | N':NzNat]) | true) subsumed-by  
((( [N:Nat]< 0,0 >[ 0 | N:Nat]) | true) \/  
(( [N:Nat]< 0,1 >[ 0 | N:Nat]) | true) \/  
\/  
(( [K:Nat + N:Nat + M:Nat + 1]< N:Nat,0 >[M:Nat + 1 | K:Nat]) | true) \/  
(( [K:Nat + N:Nat + M:Nat + 1]< (N:Nat + 1), 0 >[M:Nat | K:Nat]) | true)) .
```

Constrained terms on the left that could not be subsumed:

```
Term 7: [N':NzNat + K:Nat + M:Nat] < M:Nat, 0 >[N':NzNat | K:Nat]  
Constraint 7: true
```

```
Term 8: [N':NzNat + K:Nat + M:Nat] < N':NzNat, 0 >[M:Nat | K:Nat]  
Constraint 8: true
```

```
Term 9: [N':NzNat + K:Nat + M:Nat] < M:Nat, 0 >[K:Nat | N':NzNat]  
Constraint 9: true
```


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((([N':NzNat + K:Nat + M:Nat]< M:Nat,0 >[N':NzNat | K:Nat])) | true) \/  

((([N':NzNat + K:Nat + M:Nat]< N':NzNat,0 >[M:Nat | K:Nat])) | true) \/  

((([N':NzNat + K:Nat + M:Nat]< M:Nat,0 >[K:Nat | N':NzNat])) | true) subsumed-by  

(((([N:Nat]< 0,0 >[ 0 | N:Nat])) | true) \/  

\ (  

  (([N:Nat]< 0,1 >[ 0 | N:Nat])) | true) \/  

  \ (  

    (([K:Nat + N:Nat + M:Nat + 1]< N:Nat,0 >[M:Nat + 1 | K:Nat])) | true) \/  

    (([K:Nat + N:Nat + M:Nat + 1]< (N:Nat + 1), 0 >[M:Nat | K:Nat])) | true)) .

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Proving Containment of Constrained Terms with NuITP

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The following **general method** uses the NuTP to **prove containment** for constrained patterns (if actually contained). If a constrained pattern $u \mid \varphi$ could not be shown contained in $\bigvee_{j \in J} v_j \mid \psi_j$ by the `subsumed-by` command for a topmost rewrite theory $\mathcal{R} = (\Sigma, E \cup B)$, we can:

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$$\varphi \rightarrow p(u) = true$$

Proving Deadlock Freedom of R&W-FAIR

We can extend the equational theory of R&W-FAIR thus:

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We can extend the equational theory of R&W-FAIR thus:

```
set include BOOL off .
```

```
fmod R&W-FAIR is
  sorts NzNat Nat Conf .
  subsorts NzNat < Nat .
  op 0 : -> Nat [ctor metadata "2"] .
  op 1 : -> NzNat [ctor metadata "3"] .
  op +_ : Nat Nat -> Nat [metadata "4" assoc comm id: 0] .
  op +_ : NzNat Nat -> NzNat [ctor metadata "4" assoc comm id: 0] .
  op [_]<_,_>[_|_] : Nat Nat Nat Nat Nat -> Conf [ctor metadata "5"] .
  op init : NzNat -> Conf .
endfm
```

```
fmod ENABLED is protecting R&W-FAIR .
  sort MyBool .
  op true : -> MyBool [ctor metadata "0"] .
  op false : -> MyBool [ctor metadata "1"] .
  op enabled : Conf -> [MyBool] [metadata "6"] .

  vars N M K I J L : Nat .  var N' M' : NzNat .
```

Proving Deadlock Freedom of R&W-FAIR

```
eq enabled([N] < 0, 0 > [ 0 | N]) = true .  
eq enabled([N] < 0, 1 > [ 0 | N]) = true .  
eq enabled([K + N + M + 1] < N, 0 > [M + 1 | K]) = true .  
eq enabled([K + N + M + 1] < N + 1, 0 > [M | K]) = true .  
endfm
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Then, the proof that R&W-FAIR is **deadlock free** is obtained by the following sequence of **NuITP Case (CAS)** commands:

Proving Deadlock Freedom of R&W-FAIR

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```

```
endfm
```

Then, the proof that R&W-FAIR is **deadlock free** is obtained by the following sequence of **NuITP Case (CAS)** commands:

```
genset NZNATG for NzNat is 1 ;; (1 + X:NzNat) .
```

```
genset NATG for Nat is 0 ;; 1 ;; (1 + X:NzNat) .
```

```
set goal (enabled([N':NzNat + K:Nat + M:Nat]< M:Nat, 0 >[N':NzNat | K:Nat]) =
true)/\ (enabled([N':NzNat + K:Nat + M:Nat]< N':NzNat, 0 >[M:Nat | K:Nat]) = true
```

```
apply cas! to 0 on $3:NzNat .
```

```
set goal enabled([N':NzNat + K:Nat + M:Nat]< M:Nat, 0 >[K:Nat | N':NzNat]) = true
```

```
apply cas! to 0 on $2:Nat .
```

```
apply cas! to 0.1 on $1:Nat .
```

Proving an Inductive Invariant for BAKERY

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```
(lfmc N:Name ; N:Name ; [idle] [idle] |= [] mutex .)
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result: true (complete with depth 5)
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- ① Prove an **inductive invariant** for BAKERY from $N ; N ; IS$.
- ② Prove the **mutual exclusion** invariant just by unification.

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Proving an Inductive Invariant for BAKERY (II)

Recall again the BAKERY specification, now extended with a few **auxiliary functions** used in the **constraints** of patterns:

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```
fmod NAME is
  sorts Name MyBool .
  op True  : -> MyBool [ctor] .
  op False : -> MyBool [ctor] .
  op _or'_  : MyBool MyBool -> MyBool [comm] .
  op _and'_ : MyBool MyBool -> MyBool [comm] .
  op 0     : -> Name [ctor metadata "2"] .
  op s    : -> Name [ctor metadata "4"] .
  op __   : Name Name -> Name [ctor comm assoc id: 0] .

  vars N M : Name .   var B : MyBool .

  eq True or' B = True .           eq False or' B = B .
  eq True and' B = B .             eq False and' B = False .

  op _<=_ : Name Name -> MyBool [metadata "9"] .

  eq N <= N M = True [variant] .
  eq s N M <= M = False [variant] .
endfm
```

Proving an Inductive Invariant for BAKERY (III)

```

fmod MSET is protecting NAME .
  sort MSet .  subsort Name < MSet .
  op null : -> MSet [ctor] .
  op _,_ : MSet MSet -> MSet [ctor assoc comm id: null] .

  vars N M : Name .  var MS : MSet .

  op _in_ : Name MSet -> MyBool .
  eq M in (M, MS) = True .
  eq M in null = False .
  eq M in ((s N M), MS) = M in MS .
  eq s N M in (M, MS) = s N M in MS .
endfm

mod BAKERY is protecting NAME .
  sorts ModeIdle ModeWait ModeCrit Mode .
  subsorts ModeIdle ModeWait ModeCrit < Mode .
  sorts ProcIdle ProcWait Proc ProcIdleSet ProcWaitSet ProcSet .
  subsorts ProcIdle < ProcIdleSet .
  subsorts ProcWait < ProcWaitSet .
  subsorts ProcIdle ProcWait < Proc < ProcSet .
  subsorts ProcIdleSet < ProcWaitSet < ProcSet .

```

Proving an Inductive Invariant for BAKERY (IV)

```

op idle : -> ModeIdle [ctor] .
op wait : Name -> ModeWait [ctor] .
op crit : Name -> ModeCrit [ctor] .
op [_] : ModeIdle -> ProcIdle [ctor] .
op [_] : ModeWait -> ProcWait [ctor] .
op [_] : Mode -> Proc [ctor] .
op none : -> ProcIdleSet [ctor] .
op __ : ProcIdleSet ProcIdleSet -> ProcIdleSet [ctor assoc comm id: none] .
op __ : ProcWaitSet ProcWaitSet -> ProcWaitSet [ctor assoc comm id: none] .
op __ : ProcSet ProcSet -> ProcSet [ctor assoc comm id: none] .

```

```

sort Conf .
op _;_:_ : Name Name ProcSet -> Conf .

```

```

var PS : ProcSet . vars N M I J K M1 M2 : Name . var IS : ProcIdleSet .
var WS : ProcWaitSet .

```

```

rl [wake] : N ; M ; [idle] PS => s N ; M ; [wait(N)] PS [narrowing] .
rl [crit] : N ; M ; [wait(M)] PS => N ; M ; [crit(M)] PS [narrowing] .
rl [exit] : N ; M ; [crit(M)] PS => N ; s M ; [idle] PS [narrowing] .

```

```
endm
```

Proving an Inductive Invariant for BAKERY (IV)

```

mod BAKERY-AUX is
  protecting BAKERY .  protecting MSET .

  op [_,_] : Name Name -> MSet .
  op tickets : ProcSet -> MSet .

  vars N M I J K M1 M2 : Name .
  var IS : ProcIdleSet .
  var WS : ProcWaitSet .
  var PS : ProcSet .

  eq [N,N] = N .
  eq [(s N M),N] = null .
  eq [N,(s N M)] = [N,(N M)], (s N M) .

  *** interval of numbers as a set
  eq tickets(none) = null .
  eq tickets([idle] IS PS) = tickets(PS) .
  eq tickets([wait(N)] PS) = N , tickets(PS) .
  eq tickets([crit(N)] PS) = N , tickets(PS) .
endm

```

Proving an Inductive Invariant for BAKERY (IV)

Using the above auxiliary functions we can **conjecture** the following **inductive invariant** from the parametric initial state $K ; K ; IS$:

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$$K ; K ; IS \mid \text{true}$$

$$\bigvee$$

$$s \ M ; N ; WS \mid \text{tickets}(WS) = [N, M] \wedge N \leq M$$

$$\bigvee$$

$$s \ M ; N ; [\text{crit}(N)] \ WS \mid \text{tickets}(WS) = [s \ N, M] \wedge N \leq M$$

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 K ; K ; IS \mid \text{true} \\
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 s\ M ; N ; [\text{crit}(N)]\ WS \mid \text{tickets}(WS) = [s\ N, M] \wedge N \leq M
 \end{array}$$

The parametric initial state is **subsumed** by the invariant:

Proving an Inductive Invariant for BAKERY (IV)

Using the above auxiliary functions we can **conjecture** the following **inductive invariant** from the parametric initial state $K ; K ; IS$:

$$\begin{array}{l} K ; K ; IS \mid \text{true} \\ \vee \\ s \ M ; N ; WS \mid \text{tickets}(WS) = [N, M] \wedge N \leq M \\ \vee \\ s \ M ; N ; [\text{crit}(N)] \ WS \mid \text{tickets}(WS) = [s \ N, M] \wedge N \leq M \end{array}$$

The parametric initial state is **subsumed** by the invariant:

```
DM-Check> check in BAKERY-AUX :
((K:Name ; K:Name ; IS:ProcIdleSet) | true) subsumed-by
(((K:Name ; K:Name ; IS:ProcIdleSet) | true) \
((s M:Name ; N:Name ; WS:ProcWaitSet) | ((tickets(WS:ProcWaitSet) =
([N:Name, M:Name])) \
((N:Name <= M:Name) = True)))) \
((s M:Name ; N:Name ; [crit(N:Name)] WS:ProcWaitSet) |
((tickets(WS:ProcWaitSet) = [s N:Name, M:Name]) \
((N:Name <= M:Name) = True)))) .
```

Subsumption satisfied.

Proving an Inductive Invariant for BAKERY (V)

The **invariant check** cannot fold a generated constrained pattern:

```
DM-Check> check-inv in BAKERY-AUX : (K:Name ; K:Name ; IS:ProcIdleSet) | true
\ / (s M:Name ; N:Name ; WS:ProcWaitSet) | (tickets(WS:ProcWaitSet) =
([N:Name, M:Name])) /\ ((N:Name <= M:Name) = True) \ /
(s M:Name ; N:Name ; [crit(N:Name)] WS:ProcWaitSet) | (tickets(WS:ProcWaitSet)
= [s N:Name, M:Name]) /\ ((N:Name <= M:Name) = True) .
```

Invariant could not be proved (no match).

Parent: 1

Term: K:Name ; K:Name ; IS:ProcIdleSet

Constraint: true

Parent: 2

Term: (s M:Name) ; N:Name ; WS:ProcWaitSet

Constraint: (tickets(WS:ProcWaitSet) = [N:Name, M:Name]) /\ N:Name <= M:Name =
True

Proving an Inductive Invariant for BAKERY (V)

Parent: 3

Term: (s M:Name) ; N:Name ; [crit(N:Name)] WS:ProcWaitSet

Constraint: (tickets(WS:ProcWaitSet) = [s N:Name, M:Name]) /\ N:Name <= M:Name =
True

Child: 9

Parent: 3

Term: (s %1:Name) ; %2:Name ; %3:ProcWaitSet [crit(%2:Name)] [crit(%2:Name)]

Substitution: M:Name --> %1:Name

N:Name --> %2:Name

WS:ProcWaitSet --> %3:ProcWaitSet [wait(%2:Name)]

Constraint: ((%2:Name, tickets(%3:ProcWaitSet)) = [s %2:Name, %1:Name]) /\
%2:Name <= %1:Name = True

Proving an Inductive Invariant for BAKERY (V)

Parent: 3

Term: (s M:Name) ; N:Name ; [crit(N:Name)] WS:ProcWaitSet

Constraint: (tickets(WS:ProcWaitSet) = [s N:Name, M:Name]) /\ N:Name <= M:Name =
True

Child: 9

Parent: 3

Term: (s %1:Name) ; %2:Name ; %3:ProcWaitSet [crit(%2:Name)] [crit(%2:Name)]

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WS:ProcWaitSet --> %3:ProcWaitSet [wait(%2:Name)]

Constraint: ((%2:Name, tickets(%3:ProcWaitSet)) = [s %2:Name, %1:Name]) /\
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The output indicates that narrowing derived from Parent 3
Child 9, which cannot be folded. It is the constrained pattern:

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Child: 9

Parent: 3

Term: (s %1:Name) ; %2:Name ; %3:ProcWaitSet [crit(%2:Name)] [crit(%2:Name)]

Substitution: M:Name --> %1:Name

N:Name --> %2:Name

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Constraint: ((%2:Name, tickets(%3:ProcWaitSet)) = [s %2:Name, %1:Name]) /\ %2:Name <= %1:Name = True

The output indicates that narrowing derived from Parent 3 Child 9, which cannot be folded. It is the constrained pattern:

s M ; N ; WS [crit(N)] [crit(N)] | N tickets(WS) = [s N,M] /\ N <= M = True

Proving an Inductive Invariant for BAKERY (VI)

We will have proved the inductive invariant if we show that the constraint

$$N, \text{tickets}(WS) = [s \ N, M] \wedge N \leq M = \text{True}$$

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by loading into the **NuITP** the following functional module extracted from BAKERY-AUX and endowed with an RPO order:

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by loading into the **NuITP** the following functional module extracted from BAKERY-AUX and endowed with an RPO order:

```
set include BOOL off .
```

```
fmod NAME is
```

```
  sorts Name MyBool .
```

```
  op True : -> MyBool [ctor metadata "0"] .
```

```
  op False : -> MyBool [ctor metadata "1"] .
```

```
  op _or'_ : MyBool MyBool -> MyBool [metadata "7" comm] .
```

```
  op _and'_ : MyBool MyBool -> MyBool [metadata "8" comm] .
```

```
  op 0 : -> Name [ctor metadata "2"] .
```

```
  op s : -> Name [ctor metadata "4"] .
```

```
  op __ : Name Name -> Name [ctor comm assoc id: 0 metadata "5"] .
```

```
  op _<=_ : Name Name -> MyBool [metadata "9"] .
```

Proving an Inductive Invariant for BAKERY (VI)

```

vars N M : Name .  var B : MyBool .

eq True or' B = True .
eq False or' B = B .
eq True and' B = B .
eq False and' B = False .
eq N <= N M = True [variant] .
eq s N M <= M = False [variant] .
endfm

fmod MSET is protecting NAME .
  sort MSet .  subsort Name < MSet .
  op null : -> MSet [ctor metadata "3"] .
  op _,_ : MSet MSet -> MSet [ctor assoc comm id: null metadata "6"] .
  vars N M : Name .  var MS : MSet .

  op _in_ : Name MSet -> MyBool [metadata "10"] .
  eq M in (M, MS) = True .
  eq M in null = False .
  eq M in ((s N M), MS) = M in MS .
  eq s N M in (M, MS) = s N M in MS .
endfm

```

Proving an Inductive Invariant for BAKERY (VI)

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  eq s N M in (M, MS) = s N M in MS .
endfm

```

Proving an Inductive Invariant for BAKERY (VII)

```

fmod INTERVALS is protecting MSET .
  op [_,_] : Name Name -> MSet [metadata "11"].

  vars N M K : Name .

  eq [N,N] = N .
  eq [(s N M),N] = null .
  eq [N,(s N M)] = [N,(N M)], (s N M) .
endfm

```

Proving an Inductive Invariant for BAKERY (VII)

```

fmod INTERVALS is protecting MSET .
  op [_,_] : Name Name -> MSet [metadata "11"].

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```

In the **NuITP** the **negation** of the (generalized) constraint is the clause:

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endfm
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In the **NuITP** the **negation** of the (generalized) constraint is the clause:

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To prove it as an inductive theorem we can use the following **Cut** inference rule (not yet implemented, but easily usable):

Proving an Inductive Invariant for BAKERY (VIII)

$$\frac{\Gamma \rightarrow \Gamma'' \quad \Gamma'', \Gamma' \rightarrow \Lambda}{\Gamma, \Gamma' \rightarrow \Lambda}$$

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with Γ , Γ' and Γ'' **conjunctions of equalities**, conjunction represented as $_ , _$ and Λ a conjunction of disjunctions of equalities.

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The **soundness** of the **Cut** rule follows from the fact that the following implication is a **tautology** in Propositional Logic:

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$$(A \Rightarrow A'' \wedge (A'' \wedge A') \Rightarrow B) \Rightarrow (A \wedge A') \Rightarrow B.$$

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In our application of **Cut**, $\Gamma, \Gamma' \rightarrow \Lambda$ will be:

`N, S:MSet = [s N,M] /\ N <= M = True -> false,`

$\Gamma \rightarrow \Gamma''$ will be:

`[s N,M] = N, S:MSet -> N in [s N,M] = N in (N, S:MSet),`

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```
True = $4:Name in[s $4:Name, $5:Name $4:Name] -> false
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True = $4:Name in[s $4:Name, $5:Name $4:Name] -> false
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we use a lemma with the command:

```
apply le! to 0.1.1 with ((N:Name in [s N:Name,(N:Name K:Name)]) = False) .
```

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```

This proves the goal, leaving only the proof of the lemma, discharged with the commands:

Proving an Inductive Invariant for BAKERY (IX)

apply cas! to 0.1.1.1.1 on \$6:Name .

apply gsi! to 0.1.1.1.1.2.1 on \$8:Name .

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This finishes the **proof of unsatisfiability** for the constraint of the pattern:

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This finishes the **proof of unsatisfiability** for the constraint of the pattern:

$s \ M ; N ; WS \ [crit(N)] \ [crit(N)] \mid N \ tickets(WS) = [s \ N, M] \ /\ N \leq M = True$

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$s \ M ; N ; WS \ [crit(N)] \ [crit(N)] \mid N \ tickets(WS) = [s \ N, M] \ /\ \ N \leq M = True$

and therefore the proof that our conjectured invariant is an **inductive invariant** from the symbolic initial state $K ; K ; IS$.

Proving an Inductive Invariant for BAKERY (IX)

apply cas! to 0.1.1.1.1 on \$6:Name .

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$s \ M ; N ; WS \ [crit(N)] \ [crit(N)] \mid N \ tickets(WS) = [s \ N, M] \ /\ \ N \ \leq \ M = True$

and therefore the proof that our conjectured invariant is an **inductive invariant** from the symbolic initial state $K ; K ; IS$. We can now use the inductive invariant and **disjoint unification** to prove **Negatively** that BAKERY satisfies the **mutual exclusion** invariant:

Proving an Inductive Invariant for BAKERY (IX)

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apply cas! to 0.1.1.1.1 on $6:Name .
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This finishes the **proof of unsatisfiability** for the constraint of the pattern:

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s M ; N ; WS [crit(N)] [crit(N)] | N tickets(WS) = [s N,M] /\ N <= M = True
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and therefore the proof that our conjectured invariant is an **inductive invariant** from the symbolic initial state $K ; K ; IS$. We can now use the inductive invariant and **disjoint unification** to prove **Negatively** that BAKERY satisfies the **mutual exclusion** invariant:

```
Maude> unify I ; J ; [crit(M1)] [crit(M2)] PS =? K ; K ; IS .
```

No unifier.

```
Maude> unify I ; J ; [crit(M1)] [crit(M2)] PS =? s M ; N ; WS .
```

No unifier.

```
Maude> unify I ; J ; [crit(M1)] [crit(M2)] PS =? s M ; N ; [crit(N)] WS .
```

No unifier.

Proving an Inductive Invariant for BAKERY (IX)

```
apply cas! to 0.1.1.1.1 on $6:Name .
```

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apply gsi! to 0.1.1.1.1.2.1 on $8:Name .
```

This finishes the **proof of unsatisfiability** for the constraint of the pattern:

```
s M ; N ; WS [crit(N)] [crit(N)] | N tickets(WS) = [s N,M] /\ N <= M = True
```

and therefore the proof that our conjectured invariant is an **inductive invariant** from the symbolic initial state $K ; K ; IS$. We can now use the inductive invariant and **disjoint unification** to prove **Negatively** that BAKERY satisfies the **mutual exclusion** invariant:

```
Maude> unify I ; J ; [crit(M1)] [crit(M2)] PS =? K ; K ; IS .
```

No unifier.

```
Maude> unify I ; J ; [crit(M1)] [crit(M2)] PS =? s M ; N ; WS .
```

No unifier.

```
Maude> unify I ; J ; [crit(M1)] [crit(M2)] PS =? s M ; N ; [crit(N)] WS .
```

No unifier.

Proving an Inductive Invariant for BAKERY (IX)

```
apply cas! to 0.1.1.1.1 on $6:Name .
```

```
apply gsi! to 0.1.1.1.1.2.1 on $8:Name .
```

This finishes the **proof of unsatisfiability** for the constraint of the pattern:

```
s M ; N ; WS [crit(N)] [crit(N)] | N tickets(WS) = [s N,M] /\ N <= M = True
```

and therefore the proof that our conjectured invariant is an **inductive invariant** from the symbolic initial state $K ; K ; IS$. We can now use the inductive invariant and **disjoint unification** to prove **Negatively** that BAKERY satisfies the **mutual exclusion** invariant:

```
Maude> unify I ; J ; [crit(M1)] [crit(M2)] PS =? K ; K ; IS .
```

No unifier.

```
Maude> unify I ; J ; [crit(M1)] [crit(M2)] PS =? s M ; N ; WS .
```

No unifier.

```
Maude> unify I ; J ; [crit(M1)] [crit(M2)] PS =? s M ; N ; [crit(N)] WS .
```

No unifier.

Proving an Inductive Invariant for BAKERY (IX)

```
apply cas! to 0.1.1.1.1 on $6:Name .
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This finishes the **proof of unsatisfiability** for the constraint of the pattern:

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s M ; N ; WS [crit(N)] [crit(N)] | N tickets(WS) = [s N,M] /\ N <= M = True
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```

No unifier.

```
Maude> unify I ; J ; [crit(M1)] [crit(M2)] PS =? s M ; N ; [crit(N)] WS .
```

No unifier.