# Program Verification: Lecture 28 

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## The Broader Picture: Narrowing with Constraints

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(2) In the topmost rewrite theory $\mathcal{R}=(\Sigma, E \cup B, R)$ satisfying executability requirements (1)-(4), the equations $E \cup B$ need not be FVP, but we assume an FVP subtheory inclusion $\left(\Sigma_{1}, E_{1} \cup B_{1}\right) \subseteq(\Sigma, E \cup B)$ such that $\left.\mathbb{C}_{\Sigma / E, B}\right|_{\Sigma_{1}}=\mathbb{C}_{\Sigma / E_{1}, B_{1}}$.

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The key result is that the Lifting Lemma generalizes to the constrained narrowing case. This supports symbolic model checking with constraints verification of invariants, including folding.

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Is this model checking? Is it theorem proving? It is both!

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$\llbracket \bigvee_{i \in I} u_{i}\left|\varphi_{i} \rrbracket_{\vec{E} / B} \subseteq \llbracket \bigvee_{j \in J} v_{j}\right| \psi_{j} \rrbracket_{\vec{E} / B}$.

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(1). Initial States Contained. Suppose that we want to prove that $\bigvee_{j \in J} v_{j} \mid \psi_{j}$ is an inductive invariant from initial states $\bigvee_{i \in I} u_{i} \mid \varphi_{i}$. We first need to show the set containment $\llbracket \bigvee_{i \in I} u_{i}\left|\varphi_{i} \rrbracket_{\vec{E} / B} \subseteq \llbracket \bigvee_{j \in J} v_{j}\right| \psi_{j} \rrbracket_{\vec{E} / B}$. A sufficient condition for this contaiment is to show that for each $i \in I$ there is a $j \in J$ such that $\left(\subseteq_{i, j}\right) \llbracket u_{i}\left|\varphi_{i} \rrbracket_{\vec{E} / B} \subseteq \llbracket v_{j}\right| \psi_{j} \rrbracket_{\vec{E} / B}$. To prove $\left(\subseteq_{i, j}\right)$ it is in turn enough to show that $u_{i}\left|\varphi_{i} \sqsubseteq_{B_{1}} v_{j}\right| \psi_{j}$, which by definition means:

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\exists \alpha \text { s.t. } u_{i}=B_{1} v_{j} \alpha \wedge \mathbb{C}_{\Sigma / \vec{E}, B} \models \varphi_{i} \Rightarrow\left(\psi_{j} \alpha\right)
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(2). Proving the Inductive Invariant. To prove that $\bigvee_{j \in J} v_{j} \mid \psi_{j}$ is an inductive invariant, we need to prove that the set of ground states $\llbracket \bigvee_{j \in J} v_{j} \mid \psi_{j} \rrbracket_{\vec{E} / B}$ is transition closed.

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$\forall j \in J, \forall(I \rightarrow r$ if $\phi) \in R, \forall \gamma \in \operatorname{Unif}_{E_{1} \cup B_{1}}\left(v_{j}, I\right) \llbracket\left(r \mid \psi_{j} \wedge \pi\right) \gamma \rrbracket_{\vec{F} / B} \subseteq \llbracket \bigvee_{j \in J} v_{j} \mid \psi_{j} \rrbracket_{\vec{F} / B}$.

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That is, we need to show that the ground instances of each child by a narrowing step $v_{j} \mid \psi_{j} \leadsto R / E_{1} \cup B_{1}\left(r \mid \psi_{j} \wedge \phi\right) \gamma$ are contained in (are folded into) the conjectured invariant.

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That is, we need to show that the ground instances of each child by a narrowing step $v_{j} \mid \psi_{j} \sim_{R / E_{1} \cup B_{1}}\left(r \mid \psi_{j} \wedge \phi\right) \gamma$ are contained in (are folded into) the conjectured invariant. For this it is again a sufficient condition to prove that there exists a $j^{\prime} \in J$ s.t.
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Again, proving that for a $B_{1}$-matching substitution $\alpha$
$\mathbb{C}_{\Sigma / \vec{E}, B} \vDash\left(\psi_{j} \wedge \phi\right) \gamma \Rightarrow\left(\psi_{j^{\prime}} \alpha\right)$ requires inductive theorem proving.

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(3). Proving Other Invariant. Once we have proved that $\bigvee_{j \in J} v_{j} \mid \psi_{j}$ is an inductive invariant from $\bigvee_{i \in I} u_{i} \mid \varphi_{i}$, we can prove another invariant $Q$ from $\bigvee_{i \in I} u_{i} \mid \varphi_{i}$ in one of two ways:

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Positively, it is enough to show that $\forall j \in J \exists k \in K$ s.t.
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Positively, it is enough to show that $\forall j \in J \exists k \in K$ s.t.
$v_{j}\left|\psi_{j} \sqsubseteq_{B_{1}} w_{k}\right| \phi_{k}$. Negatively, it is enough to show that
$\forall j \in J \forall k \in K \forall \theta \in \operatorname{Disj}^{\prime}$ Unif $_{B_{1}}\left(v_{j}=w_{k}\right)$ (the disjoint $B_{1}$-unifiers of $\left.v_{j}=w_{k}\right), \llbracket\left(v_{j} \mid \psi_{j} \wedge \phi_{k}\right) \theta \rrbracket_{\vec{E} / B}=\emptyset$,

## Proving Invariants and Inductive Invariants (III)

(3). Proving Other Invariant. Once we have proved that
$\bigvee_{j \in J} v_{j} \mid \psi_{j}$ is an inductive invariant from $\bigvee_{i \in I} u_{i} \mid \varphi_{i}$, we can prove another invariant $Q$ from $\bigvee_{i \in I} u_{i} \mid \varphi_{i}$ in one of two ways:
(1) Positively: If $Q=\llbracket \bigvee_{k \in K} w_{k} \mid \phi_{k} \rrbracket_{\vec{E} / B}$ it is enough to show that $\forall j \in J \exists k \in K$ s.t.
$\llbracket \bigvee_{j \in J} v_{j}\left|\psi_{j} \rrbracket_{\vec{E} / B} \subseteq \llbracket \bigvee_{k \in K} w_{k}\right| \phi_{k} \rrbracket_{\vec{E} / B}$, which holds if we can prove $v_{i}\left|\psi_{j} \sqsubseteq_{B_{1}} w_{k}\right| \phi_{k}$.
(2) Negatively: If $Q^{c}=\llbracket \bigvee_{k \in K} w_{k} \mid \phi_{k} \rrbracket_{\vec{E} / B}$, then if $\llbracket \bigvee_{j \in J} v_{j}\left|\psi_{j} \rrbracket_{\vec{E} / B} \cap \subseteq \llbracket \bigvee_{k \in K} w_{k}\right| \phi_{k} \rrbracket_{\vec{E} / B}=\emptyset$ we have proved $\llbracket v_{j} \mid \psi_{j} \rrbracket_{\vec{E} / B} \subseteq Q$, i.e., $Q$ is an invariant from $\bigvee_{i \in I} u_{i} \mid \varphi_{i}$.
Positively, it is enough to show that $\forall j \in J \exists k \in K$ s.t.
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$\forall j \in J \forall k \in K \forall \theta \in \operatorname{Disj}^{\prime}$ Unif $_{B_{1}}\left(v_{j}=w_{k}\right)$ (the disjoint $B_{1}$-unifiers
of $\left.v_{j}=w_{k}\right), \llbracket\left(v_{j} \mid \psi_{j} \wedge \phi_{k}\right) \theta \rrbracket_{\vec{E} / B}=\emptyset$, i.e., $\mathbb{C}_{\Sigma \mid \vec{E}, B} \models \neg\left(\psi_{j} \wedge \phi_{k}\right) \theta$.

## The DM-Check Tool

Maude's Deductive Model Checker (DM-Check) is a tool under development by a team of researchers at the Technical University of Valencia, Spain, (S.Escobar, R. López and J. Sapiña), Postech University, South Korea (K. Bae), and UIUC (J. Meseguer).

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Let us prove inductive and other invariants with DM-Check.

## An Inductive Invariant Case Study: R\&W

A simple example like R\&W can illustrate all the ideas.

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mod R\&W is
sort Natural .
op 0 : -> Natural [ctor]
op s : Natural $\rightarrow$ Natural [ctor] .
sort Config .
op <_,_> : Natural Natural -> Config [ctor] .
vars R W : Natural.
rl [enter-w] : < 0, 0$\rangle=><0, s(0)\rangle$ [narrowing].
rl [leave-w] : < R, $s(W) \ggg R, W\rangle$ [narrowing] .
rl [enter-r] : < R, 0$\rangle=><s(R), 0\rangle[n a r r o w i n g]$.
rl [leave-r] : < $s(R), W\rangle=><R, W\rangle$ [narrowing].
endm

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rl [enter-w] : < 0, 0$\rangle=><0, s(0)\rangle$ [narrowing].
rl [leave-w] : < R, $s(W) \ggg R, W\rangle$ [narrowing] .
rl [enter-r] : < R, 0 > $=><\mathrm{s}(\mathrm{R}), 0$ > [narrowing].
rl [leave-r] : < $s(R), W\rangle=><R, W\rangle$ [narrowing].
endm
We first enter it into Maude.

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rl [leave-w] : < R, $s(W) \ggg R, W\rangle$ [narrowing] .
rl [enter-r] : < R, 0 > $=><s(R), 0\rangle$ [narrowing] .
rl [leave-r] : < s(R), W > => < R, W > [narrowing] .
endm
We first enter it into Maude. Then we load DM-Check:
Maude> load dm-check-ui.maude

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We are now ready to give commands to DM-Check.

## An Inductive Invariant Case Study: R\&W

A simple example like $R \& W$ can illustrate all the ideas.
$\bmod R \& W$ is
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sort Config .
op <_,_> : Natural Natural -> Config [ctor] .
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ri [leave-w] : < R, $s(W)\rangle=><R, W\rangle$ [narrowing].
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endm
We first enter it into Maude. Then we load DM-Check:
Maude> load dm-check-ui.maude
We are now ready to give commands to DM-Check. A natural guess for an inductive invariant for R\&W is: $<N$ :Natural , 0$\rangle \mid$ true $\backslash /\langle 0, \mathrm{~s}(0)\rangle \mid$ true

## An Inductive Invariant Case Study: R\&W (II)

Can show containment of initial state $<0,0>$ with the command:

## An Inductive Invariant Case Study: R\&W (II)

Can show containment of initial state $\langle 0,0\rangle$ with the command:
DM-Check> check in R\&W : $(((<0,0>)$ | (true))) subsumed-by (( (< N:Natural , $0>) \mid(t r u e)) ~ \ /((<0, s(0)\rangle) \mid(t r u e)))$.

Subsumption satisfied.
Can prove that it is an inductive invariant with the command:

```
DM-Check> check-inv in R&W : (((< N:Natural , O >) | (true)) \/
((< 0 , s(0) >) | (true))) .
```

Invariant satisfied.

Now we can show Positively that R\&W satisfies the deadlock-freedom invariant from < 0,0 > with the command:

```
DM-Check> check in R&W : (((< N:Natural , O >) | (true)) \/
((< 0 , s(0) >) | (true))) subsumed-by (((< 0, 0 >) | (true)) \/
((< R:Natural, s(W:Natural) >) | (true)) \/ ((< R:Natural, 0 >) | (true))
\/ ((< s(R:Natural), W:Natural >) | (true))) .
```

Subsumption satisfied.

## An Inductive Invariant Case Study: R\&W (III)

DM-Check does not yet support the Negative method to prove other invariants.

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DM-Check does not yet support the Negative method to prove other invariants. But, once we know that < N:Natural , 0 > | true $\backslash /<0, s(0)>\mid$ true is inductive we can carry out such proofs in Maude itself.

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For example, the mutex invariant from < 0, $0>$ is proved by the commands (unification is by construction disjoint because the two sides share no variables):

## An Inductive Invariant Case Study: R\&W (III)

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For example, the mutex invariant from < 0, $0>$ is proved by the commands (unification is by construction disjoint because the two sides share no variables):

Maude> unify < N:Natural, 0 > $=? ~<~ s(M: N a t u r a l), s(K: N a t u r a l) ~>~ . ~$

No unifier.

Maude> unify $\langle 0, s(0)\rangle=?\langle s(M: N a t u r a l), s(K: N a t u r a l)\rangle$.

No unifier.

## An Inductive Invariant Case Study: R\&W (IV)

Likewise, we can prove the the one-writer invariant from < 0, 0 > by the commands:

## An Inductive Invariant Case Study: R\&W (IV)

Likewise, we can prove the the one-writer invariant from < 0, 0 > by the commands:

Maude> unify < N:Natural, 0 > =? < M:Natural,s(s(K:Natural)) > .

No unifier.

Maude> unify < 0,s(0) > =? < M:Natural,s(s(K:Natural)) > .

No unifier.

## Second Inductive Invariant Case Study: R\&W-FAIR

R\&W is unfair. Non-starvation for readers and writers is achieved by the following R\&W-FAIR protocol, which is parametric on the maximum number $n$ of readers that are allowed:

## Second Inductive Invariant Case Study: R\&W-FAIR

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```
mod R&W-FAIR is
    sorts NzNat Nat Conf . subsorts NzNat < Nat .
    op 0 : -> Nat [ctor] .
    op 1 : -> NzNat [ctor]
    op _+_ : Nat Nat -> Nat [assoc comm id: 0] .
    op _+_ : NzNat Nat -> NzNat [ctor assoc comm id: 0] .
    op [_]<_,_>[_l_] : Nat Nat Nat Nat Nat -> Conf .
    op init : NzNat -> Conf .
    vars N M K I J L : Nat . var N' M' : NzNat .
    eq init(N') = [N']< 0,0 > [ 0 | N'] .
    rl [w-in] : [N]< 0,0 >[ 0 | N] => [N]< 0,1 >[0 | N] [narrowing].
    rl [w-out] : [N]< 0,1 >[ 0 | N] => [N]< 0,0 > [N | 0] [narrowing] .
    rl [r-in] : [K + N + M + 1]< N,0 > [M + 1 | K] =>
                        [K + N + M + 1]< N + 1,0 > [M | K] [narrowing] .
    rl [r-out] : [K + N + M + 1]< N + 1,0 > [M | K] =>
    [K+N + M + 1]< N,0 > [M | K + 1] [narrowing] .

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op 1 : -> NzNat [ctor]
op _+_ : Nat Nat -> Nat [assoc comm id: 0] .
op _+_ : NzNat Nat -> NzNat [ctor assoc comm id: 0] .
op [_]<_,_>[_l_] : Nat Nat Nat Nat Nat -> Conf .
op init : NzNat -> Conf .
vars N M K I J L : Nat . var N' M' : NzNat .
eq init(N') = [N']< 0,0 > [ 0 | N'] .
rl [w-in] : [N]< 0,0 >[ 0 | N] => [N]< 0,1 >[0 | N] [narrowing].
rl [w-out] : [N]< 0,1 >[ 0 | N] => [N]< 0,0 > [N | 0] [narrowing] .
rl [r-in] : [K + N + M + 1]< N,0 > [M + 1 | K] =>
[K + N + M + 1]< N + 1,0 > [M | K] [narrowing] .
rl [r-out] : [K + N + M + 1]< N + 1,0 > [M | K] =>
[K+N + M + 1]< N,0 > [M | K + 1] [narrowing] .

## Second Inductive Invariant Case Study: R\&W-FAIR (II)

A natural guess for an inductive invariant is:

$\left[N^{\prime}+K+M\right]<M, 0>\left[N^{\prime} \mid K\right] \mid$ true $\backslash /\left[N^{\prime}+K+M\right]<M, 0>\left[K \mid N^{\prime}\right]$ | true \/ $\left[N^{\prime}+K+M\right]<N^{\prime}, 0>[M \mid K] \mid$ true

## Second Inductive Invariant Case Study: R\&W-FAIR (II)

A natural guess for an inductive invariant is:

 \/ [N' $+\mathrm{K}+\mathrm{M}]<\mathrm{N}^{\prime}, 0$ > $\left.\mathrm{M} \mid \mathrm{K}\right]$ | true

We can check that it contains the parametric initial state thus:

## Second Inductive Invariant Case Study: R\&W-FAIR (II)

A natural guess for an inductive invariant is:
$\left[N^{\prime}\right]<0,0>\left[0 \mid N N^{\prime}\right] \mid$ true $\backslash /\left[N^{\prime}\right]<0,1>[0 \mid N '] \mid$ true $\backslash /$
$\left[N^{\prime}+K+M\right]<M, 0>\left[N^{\prime} \mid K\right] \mid$ true $\backslash /\left[N^{\prime}+K+M\right]<M, 0>[K \mid N \prime] \mid$ true \/ $\left[N^{\prime}+K+M\right]<N^{\prime}, 0>[M \mid K] \mid$ true

We can check that it contains the parametric initial state thus:

```
DM-Check> check in R&W-FAIR : ((([N':NzNat]< 0,0 >[ 0 | N':NzNat]) | (true)))
subsumed-by ((([N':NzNat]< 0,0 >[ 0 | N':NzNat]) | (true)) \/
(([N':NzNat]< 0,1 >[ 0 | N':NzNat]) | (true)) \/
(([N':NzNat + K:Nat + M:Nat]< M:Nat,0 >[N':NzNat | K:Nat]) | (true)) \/
(([N':NzNat + K:Nat + M:Nat]< N':NzNat,0 >[M:Nat | K:Nat]) | (true)) \/
(([N':NzNat + K:Nat + M:Nat]< M:Nat,0 >[K:Nat | N':NzNat]) | (true))) .
```

Subsumption satisfied.
We can also check that our guess invariant is inductive by giving the command:

## Second Inductive Invariant Case Study: R\&W-FAIR (III)

```
DM-Check> check-inv in R&W-FAIR : ([N':NzNat]< 0,0 >[ 0 | N':NzNat]) | true \/
([N':NzNat]< 0,1 > [ 0 | N':NzNat]) | true \/
([N':NzNat + K:Nat + M:Nat]< M:Nat,O >[N':NzNat | K:Nat]) | true \/
([N':NzNat + K:Nat + M:Nat]< N':NzNat,O >[M:Nat | K:Nat]) | true \/
([N':NzNat + K:Nat + M:Nat]< M:Nat,0 >[K:Nat | N':NzNat]) | true .
```

Invariant satisfied.

## Second Inductive Invariant Case Study: R\&W-FAIR (III)

```
DM-Check> check-inv in R&W-FAIR : ([N':NzNat]< 0,0 >[ 0 | N':NzNat]) | true \/
([N':NzNat]< 0,1 > [ 0 | N':NzNat]) | true \/
([N':NzNat + K:Nat + M:Nat]< M:Nat,O >[N':NzNat | K:Nat]) | true \/
([N':NzNat + K:Nat + M:Nat]< N':NzNat,O >[M:Nat | K:Nat]) | true \/
([N':NzNat + K:Nat + M:Nat]< M:Nat,0 >[K:Nat | N':NzNat]) | true .
    Invariant satisfied.
We can verify mutex Negatively thus:
```


## Second Inductive Invariant Case Study: R\&W-FAIR (III)

```
DM-Check> check-inv in R&W-FAIR : ([N':NzNat]< 0,0 >[ 0 | N':NzNat]) | true \/
([N':NzNat]< 0,1 >[ 0 | N':NzNat]) | true \/
([N':NzNat + K:Nat + M:Nat]< M:Nat,O >[N':NzNat | K:Nat]) | true \/
([N':NzNat + K:Nat + M:Nat]< N':NzNat,O >[M:Nat | K:Nat]) | true \/
([N':NzNat + K:Nat + M:Nat]< M:Nat,0 >[K:Nat | N':NzNat]) | true .
```

Invariant satisfied.

## We can verify mutex Negatively thus:

Maude> unify [ N '] $<0,0>[0 \mid N ']=$ ?

$$
[\mathrm{N}+1+\mathrm{I}+1+\mathrm{J}+\mathrm{L}]<\mathrm{N}+1, \mathrm{I}+1>[\mathrm{L} \mid \mathrm{J}] .
$$

No unifier.
Maude> unify [N']< 0,1 > [0 | N'] =?

$$
[\mathrm{N}+1+\mathrm{I}+1+\mathrm{J}+\mathrm{L}]<\mathrm{N}+1, \mathrm{I}+1>[\mathrm{L} \mid \mathrm{J}] .
$$

No unifier.
Maude> unify [N' + K + M]<M,O > [N' | K] =?

$$
[\mathrm{N}+1+\mathrm{I}+1+\mathrm{J}+\mathrm{L}]<\mathrm{N}+1, \mathrm{I}+1>[\mathrm{L} \mid \mathrm{J}] .
$$

No unifier.


$$
[\mathrm{N}+1+\mathrm{I}+1+\mathrm{J}+\mathrm{L}]<\mathrm{N}+1, \mathrm{I}+1>[\mathrm{L} \mid \mathrm{J}] .
$$

No unifier.
Maude> unify [ N ' $+\mathrm{K}+\mathrm{M}]<\mathrm{M}, 0>\left[\mathrm{K} \mid \mathrm{N}^{\prime}\right]=$ ?

$$
[N+1+I+1+J+L]<N+1, I+1>[L \mid J] . \quad \text { No unifier. }
$$

## Second Inductive Invariant Case Study: R\&W-FAIR (III)

```
DM-Check> check-inv in R&W-FAIR : ([N':NzNat]< 0,0 >[ 0 | N':NzNat]) | true \/
([N':NzNat]< 0,1 >[ 0 | N':NzNat]) | true \/
([N':NzNat + K:Nat + M:Nat]< M:Nat,O >[N':NzNat | K:Nat]) | true \/
([N':NzNat + K:Nat + M:Nat]< N':NzNat,O >[M:Nat | K:Nat]) | true \/
([N':NzNat + K:Nat + M:Nat]< M:Nat,0 >[K:Nat | N':NzNat]) | true .
```

Invariant satisfied.

## We can verify mutex Negatively thus:

Maude> unify [ N '] $<0,0>[0 \mid N ']=$ ?

$$
[\mathrm{N}+1+\mathrm{I}+1+\mathrm{J}+\mathrm{L}]<\mathrm{N}+1, \mathrm{I}+1>[\mathrm{L} \mid \mathrm{J}] .
$$

No unifier.
Maude> unify [N']< 0,1 > [0 | N'] =?

$$
[\mathrm{N}+1+\mathrm{I}+1+\mathrm{J}+\mathrm{L}]<\mathrm{N}+1, \mathrm{I}+1>[\mathrm{L} \mid \mathrm{J}] .
$$

No unifier.
Maude> unify [N' + K + M]<M,O > [N' | K] =?

$$
[\mathrm{N}+1+\mathrm{I}+1+\mathrm{J}+\mathrm{L}]<\mathrm{N}+1, \mathrm{I}+1>[\mathrm{L} \mid \mathrm{J}] .
$$

No unifier.


$$
[\mathrm{N}+1+\mathrm{I}+1+\mathrm{J}+\mathrm{L}]<\mathrm{N}+1, \mathrm{I}+1>[\mathrm{L} \mid \mathrm{J}] .
$$

No unifier.
Maude> unify [ N ' $+\mathrm{K}+\mathrm{M}]<\mathrm{M}, 0>\left[\mathrm{K} \mid \mathrm{N}^{\prime}\right]=$ ?

$$
[N+1+I+1+J+L]<N+1, I+1>[L \mid J] . \quad \text { No unifier. }
$$

## Second Inductive Invariant Case Study: R\&W-FAIR (III)

```
DM-Check> check-inv in R&W-FAIR : ([N':NzNat]< 0,0 >[ 0 | N':NzNat]) | true \/
([N':NzNat]< 0,1 >[ 0 | N':NzNat]) | true \/
([N':NzNat + K:Nat + M:Nat]< M:Nat,O >[N':NzNat | K:Nat]) | true \/
([N':NzNat + K:Nat + M:Nat]< N':NzNat,O >[M:Nat | K:Nat]) | true \/
([N':NzNat + K:Nat + M:Nat]< M:Nat,0 >[K:Nat | N':NzNat]) | true .
```

Invariant satisfied.

## We can verify mutex Negatively thus:

Maude> unify [ N '] $<0,0>[0 \mid N ']=$ ?

$$
[\mathrm{N}+1+\mathrm{I}+1+\mathrm{J}+\mathrm{L}]<\mathrm{N}+1, \mathrm{I}+1>[\mathrm{L} \mid \mathrm{J}] .
$$

No unifier.
Maude> unify [N']< 0,1 > [0 | N'] =?

$$
[\mathrm{N}+1+\mathrm{I}+1+\mathrm{J}+\mathrm{L}]<\mathrm{N}+1, \mathrm{I}+1>[\mathrm{L} \mid \mathrm{J}] .
$$

No unifier.
Maude> unify [N' + K + M]<M,O > [N' | K] =?

$$
[\mathrm{N}+1+\mathrm{I}+1+\mathrm{J}+\mathrm{L}]<\mathrm{N}+1, \mathrm{I}+1>[\mathrm{L} \mid \mathrm{J}] .
$$

No unifier.


$$
[\mathrm{N}+1+\mathrm{I}+1+\mathrm{J}+\mathrm{L}]<\mathrm{N}+1, \mathrm{I}+1>[\mathrm{L} \mid \mathrm{J}] .
$$

No unifier.
Maude> unify [ N ' $+\mathrm{K}+\mathrm{M}]<\mathrm{M}, 0>\left[\mathrm{K} \mid \mathrm{N}^{\prime}\right]=$ ?

$$
[N+1+I+1+J+L]<N+1, I+1>[L \mid J] . \quad \text { No unifier. }
$$

## Second Inductive Invariant Case Study: R\&W-FAIR (III)

```
DM-Check> check-inv in R&W-FAIR : ([N':NzNat]< 0,0 >[ 0 | N':NzNat]) | true \/
([N':NzNat]< 0,1 >[ 0 | N':NzNat]) | true \/
([N':NzNat + K:Nat + M:Nat]< M:Nat,O >[N':NzNat | K:Nat]) | true \/
([N':NzNat + K:Nat + M:Nat]< N':NzNat,O >[M:Nat | K:Nat]) | true \/
([N':NzNat + K:Nat + M:Nat]< M:Nat,0 >[K:Nat | N':NzNat]) | true .
```

Invariant satisfied.

## We can verify mutex Negatively thus:

Maude> unify [ N '] $<0,0>[0 \mid N ']=$ ?

$$
[\mathrm{N}+1+\mathrm{I}+1+\mathrm{J}+\mathrm{L}]<\mathrm{N}+1, \mathrm{I}+1>[\mathrm{L} \mid \mathrm{J}] .
$$

No unifier.
Maude> unify [N']< 0,1 > [0 | N'] =?

$$
[\mathrm{N}+1+\mathrm{I}+1+\mathrm{J}+\mathrm{L}]<\mathrm{N}+1, \mathrm{I}+1>[\mathrm{L} \mid \mathrm{J}] .
$$

No unifier.
Maude> unify [N' + K + M]<M,O > [N' | K] =?

$$
[\mathrm{N}+1+\mathrm{I}+1+\mathrm{J}+\mathrm{L}]<\mathrm{N}+1, \mathrm{I}+1>[\mathrm{L} \mid \mathrm{J}] .
$$

No unifier.


$$
[\mathrm{N}+1+\mathrm{I}+1+\mathrm{J}+\mathrm{L}]<\mathrm{N}+1, \mathrm{I}+1>[\mathrm{L} \mid \mathrm{J}] .
$$

No unifier.
Maude> unify [ N ' $+\mathrm{K}+\mathrm{M}]<\mathrm{M}, 0>\left[\mathrm{K} \mid \mathrm{N}^{\prime}\right]=$ ?

$$
[N+1+I+1+J+L]<N+1, I+1>[L \mid J] . \quad \text { No unifier. }
$$

## Second Inductive Invariant Case Study: R\&W-FAIR (IV)

And we can verify one-writer Negatively thus:
Maude> unify [ $N$ '] $<0,0>\left[0 \mid N^{\prime}\right]=$ ?

$$
[\mathrm{N}+1+\mathrm{I}+1+\mathrm{J}+\mathrm{L}]<\mathrm{N}, \mathrm{I}+1+1>[\mathrm{L} \mid \mathrm{J}] .
$$

No unifier.
Maude> unify [N']< $0,1>\left[0 \mid N^{\prime}\right]=$ ?
$[\mathrm{N}+1+\mathrm{I}+1+\mathrm{J}+\mathrm{L}]<\mathrm{N}, \mathrm{I}+1+1>[\mathrm{L} \mid \mathrm{J}]$.
No unifier.
Maude> unify [ N ' $+\mathrm{K}+\mathrm{M}$ ] $<\mathrm{M}, \mathrm{O}>$ [ N ' | K] =?
$[\mathrm{N}+1+\mathrm{I}+1+\mathrm{J}+\mathrm{L}]<\mathrm{N}, \mathrm{I}+1+1>[\mathrm{L} \mid \mathrm{J}]$.
No unifier.
Maude> unify [ N ' $+\mathrm{K}+\mathrm{M}$ ] $<\mathrm{N}^{\prime}, \mathrm{O}>[\mathrm{M} \mid \mathrm{K}]=$ ?

$$
[\mathrm{N}+1+\mathrm{I}+1+\mathrm{J}+\mathrm{L}]<\mathrm{N}, \mathrm{I}+1+1>[\mathrm{L} \mid \mathrm{J}] .
$$

No unifier.
Maude> unify [ $\left.\mathrm{N}^{\prime}+\mathrm{K}+\mathrm{M}\right]<\mathrm{M}, \mathrm{O}>[\mathrm{K} \mid \mathrm{N}$ ] $=$ ?
$[\mathrm{N}+1+\mathrm{I}+1+\mathrm{J}+\mathrm{L}]<\mathrm{N}, \mathrm{I}+1+1>[\mathrm{L} \mid \mathrm{J}]$.
No unifier.

## Second Inductive Invariant Case Study: R\&W-FAIR (IV)

And we can verify one-writer Negatively thus:
Maude> unify [ $N$ '] $<0,0>\left[0 \mid N^{\prime}\right]=$ ?

$$
[\mathrm{N}+1+\mathrm{I}+1+\mathrm{J}+\mathrm{L}]<\mathrm{N}, \mathrm{I}+1+1>[\mathrm{L} \mid \mathrm{J}] .
$$

No unifier.
Maude> unify [N']< $0,1>\left[0 \mid N^{\prime}\right]=$ ?
$[\mathrm{N}+1+\mathrm{I}+1+\mathrm{J}+\mathrm{L}]<\mathrm{N}, \mathrm{I}+1+1>[\mathrm{L} \mid \mathrm{J}]$.
No unifier.
Maude> unify [ N ' $+\mathrm{K}+\mathrm{M}$ ] $<\mathrm{M}, \mathrm{O}>$ [ N ' | K] =?
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No unifier.
Maude> unify [ N ' $+\mathrm{K}+\mathrm{M}$ ] $<\mathrm{N}^{\prime}, \mathrm{O}>[\mathrm{M} \mid \mathrm{K}]=$ ?

$$
[\mathrm{N}+1+\mathrm{I}+1+\mathrm{J}+\mathrm{L}]<\mathrm{N}, \mathrm{I}+1+1>[\mathrm{L} \mid \mathrm{J}] .
$$

No unifier.
Maude> unify [ $\left.\mathrm{N}^{\prime}+\mathrm{K}+\mathrm{M}\right]<\mathrm{M}, \mathrm{O}>[\mathrm{K} \mid \mathrm{N}$ ] $=$ ?
$[\mathrm{N}+1+\mathrm{I}+1+\mathrm{J}+\mathrm{L}]<\mathrm{N}, \mathrm{I}+1+1>[\mathrm{L} \mid \mathrm{J}]$.
No unifier.

## Second Inductive Invariant Case Study: R\&W-FAIR (V)

We can try to verify Positively deadlock-freedom thus:

```
DM-Check> check in R&W-FAIR : (([N':NzNat]< 0,0 > [ 0 | N':NzNat]) | true) \/
(([N':NzNat]< 0,1 > [ 0 | N':NzNat]) | true) \/
(([N':NzNat + K:Nat + M:Nat]< M:Nat,O >[N':NzNat | K:Nat]) | true) \/
(([N':NzNat + K:Nat + M:Nat]< N':NzNat,O >[M:Nat | K:Nat]) | true) \/
(([N':NzNat + K:Nat + M:Nat]< M:Nat,0 > [K:Nat | N':NzNat]) | true) subsumed-by
((([N:Nat]< 0,0 >[ 0 | N:Nat]) | true) \/ (([N:Nat]< 0,1 >[ 0 | N:Nat]) | true)
\/ (([K:Nat + N:Nat + M:Nat + 1]< N:Nat,0 > [M:Nat + 1 | K:Nat]) | true) \/
(([K:Nat + N:Nat + M:Nat + 1]< (N:Nat + 1), O > [M:Nat | K:Nat]) | true)) .
```

Constrained terms on the left that could not be subsumed:
Term 7: [N':NzNat + K:Nat + M:Nat] < M:Nat, 0 > [N':NzNat | K:Nat] Constraint 7: true

Term 8: [N':NzNat + K:Nat + M:Nat] < N':NzNat, 0 > [M:Nat | K:Nat] Constraint 8: true

Term 9: [N':NzNat + K:Nat + M:Nat] < M:Nat, 0 > [K:Nat | N':NzNat] Constraint 9: true

## Second Inductive Invariant Case Study: R\&W-FAIR (V)

We can try to verify Positively deadlock-freedom thus:

```
DM-Check> check in R&W-FAIR : (([N':NzNat]< 0,0 > [ 0 | N':NzNat]) | true) \/
(([N':NzNat]< 0,1 > [ 0 | N':NzNat]) | true) \/
(([N':NzNat + K:Nat + M:Nat]< M:Nat,0 >[N':NzNat | K:Nat]) | true) \/
(([N':NzNat + K:Nat + M:Nat]< N':NzNat,O >[M:Nat | K:Nat]) | true) \/
(([N':NzNat + K:Nat + M:Nat]< M:Nat,0 > [K:Nat | N':NzNat]) | true) subsumed-by
((([N:Nat]< 0,0 >[ 0 | N:Nat]) | true) \/ (([N:Nat]< 0,1 >[ 0 | N:Nat]) | true)
\/ (([K:Nat + N:Nat + M:Nat + 1]< N:Nat,0 > [M:Nat + 1 | K:Nat]) | true) \/
(([K:Nat + N:Nat + M:Nat + 1]< (N:Nat + 1), O > [M:Nat | K:Nat]) | true)) .
```

Constrained terms on the left that could not be subsumed:
Term 7: [N':NzNat + K:Nat + M:Nat] < M:Nat, 0 > [N':NzNat | K:Nat] Constraint 7: true

Term 8: [N':NzNat + K:Nat + M:Nat] < N’:NzNat, 0 > [M:Nat | K:Nat] Constraint 8: true

Term 9: [N':NzNat + K:Nat + M:Nat] < M:Nat, 0 > [K:Nat | N':NzNat] Constraint 9: true

This just means that further reasoning is needed.

## Sufficient but not Necessary Conditions

The methods (1)-(3) and their DM-Check commands are based on sufficient conditions that need not hold in general because:

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(1) We need not have subsumptions $u_{i}\left|\varphi_{i} \sqsubseteq_{B_{1}} v_{j}\right| \psi_{j}$.
(2) Even if we do, the inductive validity of formulas like $\varphi_{i} \Rightarrow\left(\psi_{j} \alpha\right)$ and $\left(\psi_{j} \wedge \phi\right) \gamma \Rightarrow\left(\psi_{j^{\prime}} \alpha\right)$ may require non-trivial inductive proofs.

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(3) Likewise, in the Negative method of proving invariants, unifications may yield constrained terms $\left(v_{j} \mid \psi_{j} \wedge \phi_{k}\right) \theta$ whose constraint $\left(\psi_{j} \wedge \phi_{k}\right) \theta$ is inductively unsatisfiable; but showing this may require non-trivial inductive proofs.

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For all these reasons deductive methods are needed to handle the cases where DM-Check cannot achieve an automatic proof.

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(2) Even if we do, the inductive validity of formulas like $\varphi_{i} \Rightarrow\left(\psi_{j} \alpha\right)$ and $\left(\psi_{j} \wedge \phi\right) \gamma \Rightarrow\left(\psi_{j^{\prime}} \alpha\right)$ may require non-trivial inductive proofs.
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For all these reasons deductive methods are needed to handle the cases where DM-Check cannot achieve an automatic proof. Some of these methods will be discussed in Lecture 29.

