# Program Verification: Lecture 25 

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## Extending Narrowing-Based Symbolic Model Checking

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Before answering these two questions (in the positive), this lecture first introduces some symbolic techniques needed for this purpose.

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Given a rewrite theory $\mathcal{R}=(\Sigma, E \cup B, R)$, and a term $t \in T_{\Sigma}(X)$, an $R$-narrowing step modulo $E \cup B$, denoted $t \leadsto{ }^{\theta} \underset{\sim}{\sim}, E \cup B \quad v$ holds iff there exists a non-variable position $p$ in $t$, a rule $I \rightarrow r$ in $R$, and a $E \cup B$-unifier $\theta \in \operatorname{Unif}_{E \cup B}\left(\left.t\right|_{p}=I\right)$ such that $v=t[r]_{p} \theta$.

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But the million-dolar question is: How do we compute a complete set $U_{\text {nif }}^{E \cup B}\left(\left.t\right|_{p}=I\right)$ of $E \cup B$-unifiers?

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The notion of a complete set $U_{n i f}^{E \cup B}(u=v)$ of $E \cup B$-unifiers is also as expected: Unif $E \cup B(u=v)$ is a set of $E \cup B$-unifiers of $u=v$ such that for any $E \cup B$-unifier $\alpha$ of $u=v$ there exists a unifier $\gamma \in U_{n i f}^{E \cup B}(u=v)$ of which $\alpha$ is an "instance modulo $E \cup B$." That is, there is a substitution $\delta$ such that $\alpha=E \cup B \gamma \delta$, where, by definition, given substitutions $\mu, \nu$
$\mu=E \cup B \nu \Leftrightarrow_{\operatorname{def}}(\forall x \in \operatorname{dom}(\mu) \cup \operatorname{dom}(\nu)) \mu(x)=_{E \cup B} \nu(x)$.

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For $E \cup B$ an arbitrary set of equations $E \cup B$, computing such a set $U_{n i f}^{E \cup B}(u=v)$ is a very complex matter. But for our purposes we may assume that the oriented equations $\vec{E}$ are convergent modulo $B$, which makes the task much easier.

## $E \cup B$-Unification for $\vec{E}$ Convergent Modulo $B$

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1. $\Sigma \equiv$ extends $\Sigma$ by adding: (a) for each connected component [s] in $\Sigma$ not having a top sort $T_{[s]}$, such a new top sort $T_{[s]}$; (b) a new sort Pred with a constant $t t$; and (c) for each connected component [s] in $\Sigma$ a binary equality predicate
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2. $E^{\equiv}$ extends $E$ by adding for each connected component $[s]$ in $\Sigma$ an equation $x: \top_{[s]} \equiv x: \top_{[s]}=t t$.

## $E \cup B$-Unification for $\vec{E}$ Convergent Modulo $B$ (II)

It is easy to check (exercise!) that if $\vec{E}$ is convergent modulo $B$, then $\vec{E} \equiv$ is convergent modulo $B$. But then ( $\dagger$ ) becomes:

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with a rule $x: \top_{[s]} \equiv x: \top_{[s]} \rightarrow t t$ in $\vec{E} \ \vec{E}$ used only in the last step to check $(u \theta)!_{\vec{E} / B}={ }_{B}(v \theta)!_{\vec{E} / B}$.

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Theorem. $\theta$ is a $E \cup B$-unifier of $u=v$ iff $(u \theta \equiv v \theta)!_{\vec{E} \equiv / B}=t t$.

## $E \cup B$-Unification for $\vec{E}$ Convergent Modulo $B$ (III)

This gives us our desired $E \cup B$-unification semi-algorithm, whose proof of correctness follows easily (exercise!) by repeated application of the Lifting Lemma for the rewrite theory ( $\Sigma \equiv, B, \vec{E} \equiv$ ), just by observing that $\theta$ is a $E \cup B$-unifier of $u=v$ iff its $\vec{E} / B$-normalized form $\theta!_{\vec{E} / B}$ is so.

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For narrowing-based model checking, we obtain as an immediate corollary the following vast generalization of the Completeness of Narrowing Search Theorem in Lecture 21 for topmost theories:

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For $\mathcal{R}=(\Sigma, E \cup B, R)$ topmost, narrowing with $R$ modulo axioms $E \cup B$ supports the following symbolic model checking method:

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The proof, by applying the Lifting Lemma, generalizes the similar proof in Lecture 21 and is left as an exercise.

## Performance Barriers for Symbolic Reachability

In the above, generalized Completeness of Narrowing Search Theorem, narrowing happens at two levels: (i) with $R$ modulo $E \cup B$ for reachability analysis, and (ii) with $\vec{E} \equiv$ modulo $B$ for computing $E \cup B$-unifiers.

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To overcome these performance barriers, the technique of folding an infinite narrowing tree into a (hopefully finite) narrowing graph can be applied at both levels.

## Performance Barriers for Symbolic Reachability

In the above, generalized Completeness of Narrowing Search Theorem, narrowing happens at two levels: (i) with $R$ modulo $E \cup B$ for reachability analysis, and (ii) with $\vec{E} \equiv$ modulo $B$ for computing $E \cup B$-unifiers.

From a performance point of view this is very challenging, since this gives us what we might describe as a "nested narrowing tree," wich can by infinite at both of the narrowing levels.

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To overcome these performance barriers, the technique of folding an infinite narrowing tree into a (hopefully finite) narrowing graph can be applied at both levels. For the symbolic reachability level with $\leadsto_{R,(E \cup B)}^{*}$ we have already seen this in Lecture 21. Likewise, for $\vec{E}, B$-narrowing with $\vec{E}$ convergent modulo $B$ ( $\vec{E} \equiv, B$-narrowing is just a special case), folding variant narrowing delivers the goods:

## Folding Variant Narrowing

Folding Variant Narrowing, proposed by S. Escobar, R. Sasse and J. Meseguer ${ }^{1}$ for theories $(\Sigma, E \cup B)$ with $\vec{E}$ convergent modulo $B$, folds the $\vec{E}, B$-narrowing tree of $t$ into a graph in a breadth first manner as follows:

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(1) It considers only paths $t \sim \stackrel{\theta}{n} \stackrel{n}{E}, B$ in the narrowing tree such that $u$ and $\theta$ are $\vec{E}, B$-normalized.

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(2. For any such path $t \sim \stackrel{\theta}{\sim}{ }_{\vec{E}, B} u$, if there is another such different path $t \leadsto{ }_{\vec{E}, B}^{m} u^{\prime}$ with $m \leq n$ and a $B$-matching substitution $\gamma$ such that: (i) $u=_{B} u^{\prime} \gamma$, and (ii) $\theta={ }_{B} \theta^{\prime} \gamma$, then the node $u$ is folded into the more general node $u^{\prime}$.

[^2]
## Folding Variant Narrowing (II)

The pairs $(u, \theta)$ associated to paths $t \sim \overbrace{\vec{E}, B}^{n} u$ in such a graph are called the $\vec{E}, B$-variants of $t$; and the graph thus obtained is called the folding variant narrowing graph of $t$.

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Maude supports the enumeration of all variants in the folding variant narrowing graph of $t$ by the get variants $t$. command (§14.4, Maude Manual). It also supports variant-based $E \cup B$-unification when $\vec{E}$ is convergent modulo $B$ with the variant unify command (§14.9, Maude Manual).

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$(\Sigma, E \cup B)$ enjoys the finite variant property (FVP) iff for any $\Sigma$-term $t$ its folding variant graph is finite. This property holds iff for each $f: s_{1} \ldots s_{n} \rightarrow s$ in $\Sigma$ the folding variant graph of $f\left(x_{1}: s_{1}, \ldots, x_{n}: s_{n}\right)$ is finite, which can be checked in Maude.

## An FVP Example: SET

In the theory $(\Sigma, E \cup A C)$ SET below we can preform $A C$-unification in Maude as follows:

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```
fmod SET is
sort Set .
ops mt a b c d e f g : -> Set [ctor].
op _U_ : Set Set -> Set [ctor assoc comm] . *** union
vars S S' : Set .
eq S U mt = S [variant] . *** identity
eq S U S = S [variant] . *** idempotencu
eq S U S U S' = S U S' [variant] . *** idempotency extension
endfm
unify a U a U b U S =? a U c U S'.
Unifier 1
S --> c U #1:Set
S' --> a U b U #1:Set
Unifier 2
S --> c
S' --> a U b
```


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Variant 1
Set: \#1:Set U \#2:Set
S --> \#1:Set
S' --> \#2:Set
Variant 2
Set: \%1:Set
S --> mt
S' --> \%1:Set
Variant 3
Set: \%1:Set
S --> \%1:Set
S' --> mt

Variant 4
Set: \%1:Set
S --> \%1:Set
S' --> \%1:Set

## An FVP Example: SET (III)

```
Variant 5
Set: %1:Set U %2:Set U %3:Set
S --> %1:Set U %2:Set
S' --> %1:Set U %3:Set
Variant 6
Set: %1:Set U %2:Set
S --> %1:Set U %2:Set
S' --> %2:Set
Variant 7
Set: %1:Set U %2:Set
S --> %2:Set
S' --> %1:Set U %2:Set
No more variants.
```


## Variant Unification for FVP Theories

It is easy to check (exercise!) that if $(\Sigma, E \cup B)$ is FVP, then $\left(\Sigma \equiv, E^{\equiv} \cup B\right)$ is also FVP. This means that, when $(\Sigma, E \cup B)$ is FVP, variant unification always provides a finite and complete set of $E \cup B$-unifiers. For example, since SET is FVP any $E \cup A C$-unification problem has a finite number of variant unifiers.

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## Symbolic Model Checking for $\mathcal{R}=(\Sigma, E \cup B, R)$ when $E \cup B$ is FVP

Thus, for $(\Sigma, E \cup B)$ FVP, the Completeness of Narrowing Search Theorem for a rewrite theory $\mathcal{R}=(\Sigma, E \cup B, R)$ of pg. 8 makes symbolic model checking tractable. In fact, it is supported by the same fvu-narrow command already discussed in Lecture 21.

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In summary, we have generalized the symbolic model checking results from Lecture 21 to:

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In summary, we have generalized the symbolic model checking results from Lecture 21 to: (i) any topmost rewrite theory $\mathcal{R}=(\Sigma, E \cup B, R)$ with $\vec{E}$ convergent modulo $B$, and

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In summary, we have generalized the symbolic model checking results from Lecture 21 to: (i) any topmost rewrite theory $\mathcal{R}=(\Sigma, E \cup B, R)$ with $\vec{E}$ convergent modulo $B$, and (ii) made it tractable when $E \cup B$ is FVP. For symbolic model checking examples when $E \cup B$ is FVP, see $\S 15$ of the The Maude Manual. Further examples will be given in Lectures 26 and 27.

## The Folding Narrowing Forest $F N F_{\mathcal{R}}\left(u_{1} \vee \ldots \vee u_{n}\right)$

For $\mathcal{R}=(\Sigma, E \cup B, R)$ with $E \cup B$ FVP, the folding narrowing forest from $u_{1} \vee \ldots \vee u_{n}$ is the forest $F N F_{\mathcal{R}}\left(u_{1} \vee \ldots \vee u_{n}\right)={ }_{\text {def }}$ $\bigcup_{n \in \mathbb{N}} F N F_{\mathcal{R}}^{n}\left(u_{1} \vee \ldots \vee u_{n}\right)$,

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\left\{v \mid \exists u \in \operatorname{front}\left(F N F_{\mathcal{R}}^{n}\left(u_{1} \vee \ldots \vee u_{n}\right)\right) \text { s.t. } u \sim_{R,(E \cup B)} v\right\}
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and $\operatorname{front}\left(F N F_{\mathcal{R}}^{n+1}\left(u_{1} \vee \ldots \vee u_{n}\right)\right)=$
$\left\{v \in \operatorname{prefront}\left(F N F_{\mathcal{R}}^{n+1}\left(u_{1} \vee \ldots \vee u_{n}\right)\right) \mid \nexists w \in F N F_{\mathcal{R}}^{n}\left(u_{1} \vee \ldots \vee u_{n}\right)\right.$ s.t. $\left.v \sqsubseteq_{E \cup B} w\right\}$ where $v \sqsubseteq_{E \cup B} w \Leftrightarrow_{\text {def }} \exists \theta$ s.t. $v=_{E \cup B} w \theta$, is called the folding or subsumption or matching relation modulo $E \cup B$.

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The proof is an easy induction on $k$ for narrowing sequences $u_{i} \neg_{R,(E \cup B)}^{k} v, 1 \leq i \leq n$, using that $v \sqsubseteq E \cup B w \Rightarrow \llbracket v \rrbracket \subseteq \llbracket w \rrbracket$,

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Theorem (Completeness of Folding Narrowing Search). For a topmost and admissible $\mathcal{R}=(\Sigma, E \cup B, R)$ with $E \cup B$ FVP, and $u_{1} \vee \ldots \vee u_{n}$ and $v_{1} \vee \ldots \vee v_{m}$ non-variable constructor patterns,

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[^0]:    1 "Folding variant narrowing and optimal variant termination", J. Alg. \& Log. Prog., 81, 898-928, 2012.

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