Program Verification: Lecture 2

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# Equational Theories

Theories in equational logic are called equational theories. In Computer Science they are sometimes referred to as algebraic specifications.

An equational theory is a pair  $(\Sigma, E)$ , where:

- $\Sigma$ , called the signature, describes the syntax of the theory, that is, what types of data and what operation symbols (function symbols) are involved;
- E is a set of equations between expressions (called terms) in the syntax of  $\Sigma$ .

# Unsorted, Many-Sorted, and Order-Sorted Signatures

Our syntax  $\Sigma$  can be more or less expressive, depending on how many types (called sorts) of data it allows, and what relationships between types it supports:

- unsorted (or single-sorted) signatures have only one sort, and operation symbols on it;
- many-sorted signatures allow different sorts, such as Integer, Bool, List, etc., and operation symbols relating these sorts;
- order-sorted signatures are many-sorted signatures that, in addition, allow inclusion relations between sorts, such as Natural < Integer < Rational.

#### Maude Functional Modules

Maude functional modules are equational theories  $(\Sigma, E)$ , declared with syntax

$$fmod(\Sigma, E)$$
 endfm

Such theories can be unsorted, many-sorted, or order-sorted, or even more general membership equational theories (see §4.1–4.2 of "All about Maude").

In what follows we will see examples of unsorted, many-sorted and order-sorted equational theories  $(\Sigma, E)$  expressed as Maude functional modules, and of how one can use such theories as functional programs by computing with the equations E.

#### Unsorted Functional Modules

```
*** prefix syntax
fmod NAT-PREFIX is
  sort Natural .
  op 0 : -> Natural [ctor] .
  op s : Natural -> Natural [ctor] .
  op + : Natural Natural -> Natural .
  vars N M : Natural .
  eq +(N,0) = N .
  eq +(N,s(M)) = s(+(N,M)) .
endfm
Maude> red +(s(s(0)), s(s(0))).
reduce in NAT-PREFIX: +(s(s(0)), s(s(0))).
rewrites: 3 in -10ms cpu (0ms real) (~ rewrites/second)
result Natural: s(s(s(s(0))))
Maude>
```

# Unsorted Functional Modules (II)

```
fmod NAT-MIXFIX is
                                      *** mixfix syntax
  sort Natural .
 op 0 : -> Natural [ctor] .
 op s_ : Natural -> Natural [ctor] .
 op _+_ : Natural Natural -> Natural .
 op _*_ : Natural Natural -> Natural .
 vars N M : Natural .
 eq N + O = N.
 eq N + s M = s(N + M).
 eq N * 0 = 0.
  eq N * s M = N + (N * M).
endfm
Maude> red (s s 0) + (s s 0).
reduce in NAT-MIXFIX : s s 0 + s s 0 .
rewrites: 3 in Oms cpu (Oms real) (~ rewrites/second)
result Natural: s s s s 0
Maude>
```

## Many-Sorted Functional Modules

```
fmod NAT-LIST is
  protecting NAT-MIXFIX .
  sort List .
  op nil : -> List [ctor] .
  op _._ : Natural List -> List [ctor] .
  op length : List -> Natural .
  var N : Natural .
  var L : List .
  eq length(nil) = 0.
  eq length(N \cdot L) = s length(L).
endfm
Maude> red length(0 . (s 0 . (s s 0 . (0 . nil)))) .
reduce in NAT-LIST: length(0 . s 0 . s s 0 . 0 . nil) .
rewrites: 5 in Oms cpu (Oms real) (~ rewrites/second)
result Natural: s s s s 0
Maude>
```

## Many-Sorted Signatures

The full signature  $\Sigma$  of the NAT-LIST example, that imports NAT-MIXFIX, is then,

```
sorts Natural List .
op 0 : -> Natural .
op s_ : Natural -> Natural .
op _+_ : Natural Natural -> Natural .
op _*_ : Natural Natural -> Natural .
op nil : -> List .
op _._ : Natural List -> List .
op length : List -> Natural .
```

## Many-Sorted Signatures as Labeled Multigraphs

A many-sorted signature is just a labeled multigraph, whose nodes are called sorts, whose labels are called function symbols, and whose labeled multiedges are called the typings of the function symbols.

Definition. A labeled multigraph, [also called a many-sorted signature] is a triple  $\Sigma = (S, F, G)$ , where S is its set of nodes [also called sorts], F is its set of labels [also called function symbols], and G is its labeled multigraph, [also called the typings], which is a set G of triples of the form:

$$G \subseteq S^* \times F \times S$$

where  $S^*$  denotes the set of strings on the alphabet S. A triple  $(s_1 \ldots s_n, f, s) \in G$  is displayed as  $f: s_1 \ldots s_n \to s$ , or, [to emphasize f as the label of the multiedge] as  $s_1 \ldots s_n \xrightarrow{f} s$ .

# Many-Sorted Signatures as Labeled Multigraphs (II)

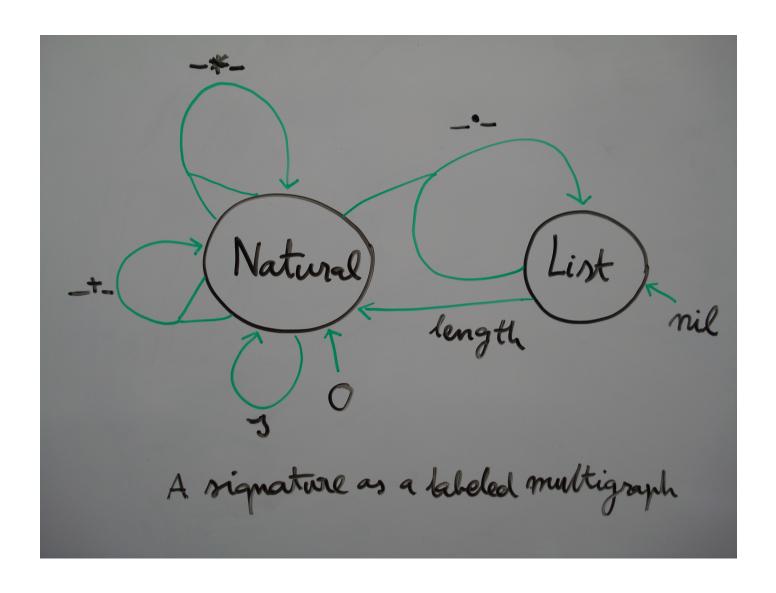
In the signature terminology, we call  $f: s_1 \ldots s_n \to s$  a typing of f with input sorts  $s_1 \ldots s_n$  and result sort s.

In a typing of the form  $a: \epsilon \to s$ , we call  $a \in F$  a constant symbol of sort s.

For example, we view an operator declaration like:

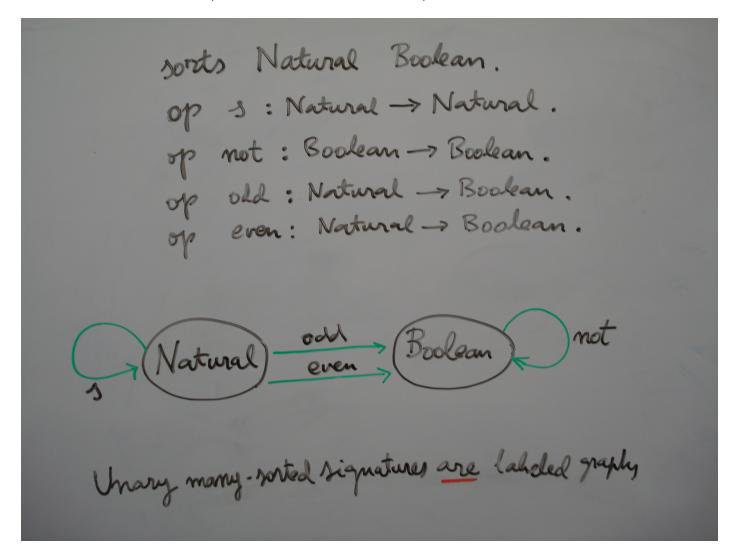
```
op _._ : Natural List -> List .
```

as a labeled multiedge having two input nodes and one output node (see picture below).



Of course, when all operations are unary, signatures are exactly

labeled graphs (see picture below)



## The Need for Order-Sorted Signatures

Many-sorted signatures are still too restrictive. The problem is that some operations are partial, and there is no natural way of defining them in just a many-sorted framework.

Consider for example defining a function first that takes the first element of a list of natural numbers, or a predecessor function p that assigns to each natural number its predecessor. What can we do? If we define:

```
op first : List -> Natural .
op p_ : Natural -> Natural .
```

we have then the awkward problem of defining the values of first(nil) and of p 0, which in fact are undefined.

# The Need for Order-Sorted Signatures (II)

A much better solution is to recognize that these functions are partial with the typing just given, but become total on appropriate subsorts NeList < List of nonempty lists, and NzNatural < Natural of nonzero natural numbers. If we define:

```
op s_ : Natural -> NzNatural .
op _._ : Natural List -> NeList .
op first : NeList -> Natural .
op p_ : NzNatural -> Natural .
```

everything is fine. Subsorts also allow us to overload operator symbols. For example, Natural < Integer, and

```
op _+_ : Natural Natural -> Natural .
op _+_ : Integer Integer -> Integer .
```

#### Order-Sorted Functional Modules

```
fmod NATURAL is
  sorts Natural NzNatural .
  subsorts NzNatural < Natural .</pre>
  op 0 : -> Natural [ctor] .
  op s_ : Natural -> NzNatural [ctor] .
  op p_ : NzNatural -> Natural .
  op _+_ : Natural Natural -> Natural .
  op _+_ : NzNatural NzNatural -> NzNatural .
  vars N M : Natural .
  eq p s N = N.
  eq N + O = N.
  eq N + s M = s(N + M).
endfm
Maude> red p((s s 0) + (s s 0)).
reduce in NATURAL : p (s s 0 + s s 0) .
rewrites: 4 in Oms cpu (Oms real) (~ rewrites/second)
result NzNatural: s s s 0
```

#### Order-Sorted Functional Modules (II)

```
fmod NAT-LIST-II is
 protecting NATURAL .
  sorts NeList List .
  subsorts NeList < List .
  op nil : -> List [ctor] .
  op _._ : Natural List -> NeList [ctor] .
  op length : List -> Natural .
 op first : NeList -> Natural .
 op rest : NeList -> List .
 var N : Natural .
 var L : List .
  eq length(nil) = 0.
  eq length(N \cdot L) = s length(L) .
  eq first(N \cdot L) = N \cdot L
 eq rest(N . L) = L .
endfm
```

# Order-Sorted Signatures Mathematically

An order-sorted signature  $\Sigma$  is a triple  $\Sigma = ((S, <), F, G)$ , where (S, F, G) is a many-sorted signature, and where < is a partial order relation on the set S of sorts called subsort inclusion.

That is, < is a binary relation on S that is:

- irreflexive:  $\neg (x < x)$
- transitive: x < y and y < z imply x < z

Any such relation < has an associated  $\le$  relation that is reflexive, antisymmetric, and transitive. We will move back and forth between < and  $\le$  (see STACS 7.4).

Note: Unless specified otherwise, by a signature we will always mean an order-sorted signature.

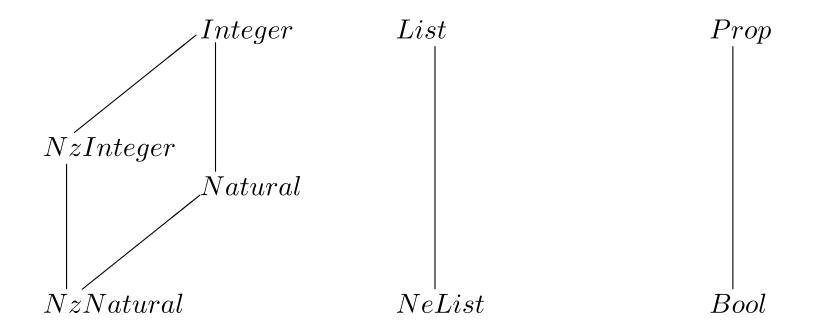
# Connected Components of the Poset of Sorts

Given a signature  $\Sigma$ , we can define an equivalence relation (see STACS 7.6)  $\equiv_{\leq}$  between sorts  $s, s' \in S$  as the smallest relation such that:

- if  $s \le s'$  or  $s' \le s$  then  $s \equiv_{<} s'$
- if  $s \equiv_{\leq} s'$  and  $s' \equiv_{\leq} s''$  then  $s \equiv_{\leq} s''$

We call the equivalence classes modulo  $\equiv_{\leq}$  the connected components of the poset order  $(S, \leq)$ . Intuitively, when we view the poset as a directed acyclic graph, they are the connected components of the graph (see STACS 7.6, Exercise 68).

# Connected Components Example



 $S/\equiv_{<}=\{\{NzNatural,Natural,NzInteger,Integer\},\{Nelist,List\},\{Bool,Prop\}\}$ 

#### Subsort vs. Ad-hoc Overloading

In general, the same operator name may have different declarations in the same signature  $\Sigma$ . For example, in the NATURAL module we have,

op \_+\_ : Natural Natural -> Natural .

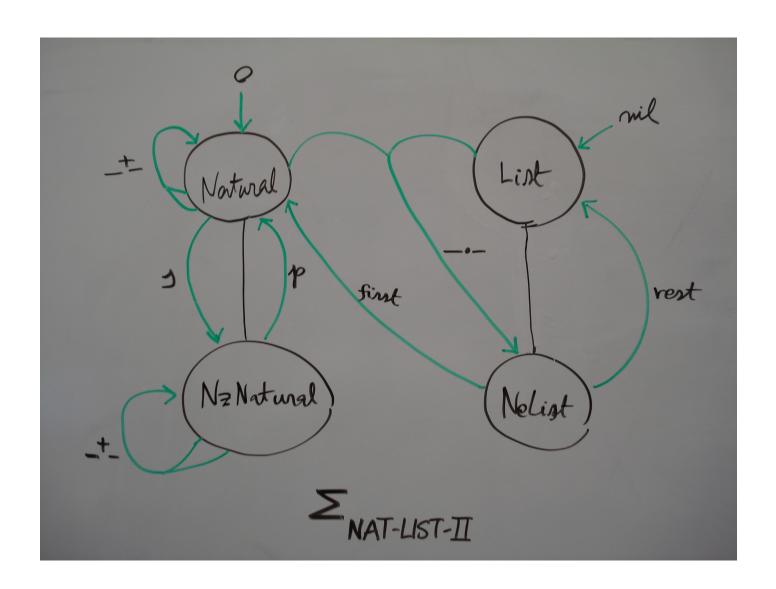
op \_+\_ : NzNatural NzNatural -> NzNatural .

When we have two operator declarations,  $f: s_1 \ldots s_n \longrightarrow s$ , and  $f: s'_1 \ldots s'_n \longrightarrow s'$ , then: (1) if  $s_i \equiv_{\leq} s'_i$ ,  $1 \leq i \leq n$  and  $s \equiv_{\leq} s'$ , we call them subsort overloaded; (2) otherwise, e.g, \_+\_ for Natural and for exclusive or in Bool, we call them ad-hoc overloaded.

#### Order-Sorted Signatures as Labelled Multigraphs

Since an order-sorted signature is a many-sorted signature whose set of nodes is a poset, we can describe them graphically as labeled multigraphs whose set of nodes is a poset.

We can picture subsort inclusions as usual for partial orders, and operators, as before, as labeled multiedges in the multigraph. For example, the order-sorted signature of the module NAT-LIST-II is depicted this way in Picture 2.3.



# Exercises

#### Ex.2.1. Define in Maude the following functions on the naturals:

- > and  $\ge$  as Boolean-valued binary functions importing the built-in module BOOL with single sort Bool.
- max and min, that yield the maximum, resp. minimum, of two numbers,
- even and odd as Boolean-valued functions on the naturals,
- factorial, the factorial function.

# Exercises (II)

# Ex.2.2. Define in Maude the following functions on list of natural numbers:

- append and reverse, which appends two lists, resp. reverses the list,
- max and min that computes the biggest (resp. smallest) number in the list,
- get.even, which extracts the lists of even numbers of a list,
- odd.even, which, given a list, produces a pair of list: the first the sublist of its odd numbers and the second the sublist of its even numbers.

# Exercises (III)

Ex.2.3. Given a poset  $(S, \leq)$ , prove that the smallest equivalence relation  $\equiv_{\leq}$  containing  $\leq$  is the relation  $(\leq \cup \geq)^+$ , where, as explained in STACS, given a binary relation R, the relation  $R^+$  denotes its transitive closure.