## Hierarchy Theorems and Relativization

## Hierarchy Theorems

I am going to elide some details in the theorems below by informally defining "nice" functions to be ones that are "efficiently computable"; all functions we regularly talk about are nice, including logs, polynomials, exponentials, and sums/products thereof, as long as all numeric constants used are rationals (e.g.  $n^{3.1}$  is nice but  $n^{\pi}$  is not).

These theorems use small-o notation; all you need to know about it is that small-o is to "less than" as big-O is to "less than or equal to", e.g. both  $n^{1.99}$  and  $n^2$  are  $O(3n^2)$ , but  $n^{1.99}$  is  $o(3n^2)$  while  $n^2$  is not  $o(3n^2)$  (as with big-O, constant factors are effectively ignored). Formally, f(n) = o(g(n)) if  $\lim_{n\to\infty} \frac{f(n)}{g(n)} = 0$ . (Sipser Theorem 9.3, "Space hierarchy theorem") For any nice function  $f: \mathbb{N} \to \mathbb{N}$ , a language A exists that is decidable in O(f(n)) space but not in o(f(n)) space.

(Sipser Theorem 9.10, "Time hierarchy theorem") For any nice function  $t : \mathbb{N} \to \mathbb{N}$ , a language A exists that is decidable in O(t(n)) time but not decidable in time  $o(t(n)/\log t(n))$ .

a Prove that  $NL \subsetneq PSPACE$ . Hint: recall that for  $f(n) \ge \log(n)$ ,  $NSPACE(f(n)) \subseteq SPACE(f^2(n))$  (Savitch's Theorem)

b Prove that for any two reals  $0 \le a < b$ ,  $SPACE(n^a) \subseteq SPACE(n^b)$ .

c Describe the error in the following fallacious "proof" that  $P \neq NP$ . Assume towards contradiction that P = NP. Then  $SAT \in P$  and so for some k,  $SAT \in TIME(n^k)$ . Because every language in NP is polynomial time reducible to SAT, you have  $NP \subseteq TIME(n^k)$ . Therefore,  $P \subseteq TIME(n^k)$ . But by the time hierarchy theorem,  $TIME(n^{k+1})$  contains a language that isn't in  $TIME(n^k)$ , so we have  $P \subseteq TIME(n^k) \subseteq TIME(n^{k+1}) \subseteq P$ , contradiction.

d Consider the major complexity classes we've discussed this semester:  $L \subseteq NL \subseteq P \subseteq NP \subseteq PSPACE \subseteq NPSPACE \subseteq EXPTIME$ . (Make sure you could justify each of those subset relationships.) What additional relationships are known to hold between those classes - which classes are known to be equal, and which classes (not necessarily adjacent in the list) are known to be *strict* subsets of each other?

## Relativization

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Let $P^A$ be the class of languages decidable with a polynomial time oracle Turing machine that uses oracle $A$ . Define the class $NP^A$ similarly for oracle NTMs.
Explain why $NP \subseteq P^{SAT}$ and $coNP \subseteq P^{SAT}$ .

f Consider a proof that attempts to show P = NP using the following template:

Let f be the poly-time-computable function \_\_\_\_\_\_, and let L be in NP. Then there is a poly-time verifier V for L. Now we construct M = "On input w, simulate V on f(w) and accept/reject exactly as it does." We see that M is a poly-time decider for L, so  $L \in P$ . Thus P = NP.

Show how to modify any proof using this template into a proof that for every K,  $P^K = NP^K$ .

g A proof which can be trivially modified in the manner from the previous part is said to be a relativizing proof. So a relativizing proof that  $P \neq NP$  could be modified to show that for all K,  $P^K \neq NP^K$ . However, show that in reality  $P^{TQBF} = NP^{TQBF}$  (and so we can conclude that no relativizing proof of  $P \neq NP$  can ever be correct). (Hint: show  $P^{TQBF} \subseteq NP^{TQBF} \subseteq NPSPACE \subseteq PSPACE \subseteq P^{TQBF}$ )