

# Reductions between NP-complete languages

## Recapping/formalizing stuff from last worksheet:

(Sipser Definition 7.28) A function  $f : \Sigma^* \rightarrow \Sigma^*$  is *polynomial time computable* if some polynomial time Turing machine  $M$  exists that halts with just  $f(w)$  on its tape, when started on any input  $w$ .

(Sipser Definition 7.29) Language  $A$  is *polynomial time mapping reducible*, or simply *polynomial time reducible*, to language  $B$ , written  $A \leq_P B$ , if a polynomial time computable function  $f : \Sigma^* \rightarrow \Sigma^*$  exists, where for every  $w$ ,  $w \in A \leftrightarrow f(w) \in B$ . The function  $f$  is called the polynomial time reduction of  $A$  to  $B$ .

(Sipser Definition 7.34) A language  $B$  is *NP-complete* if it satisfies two conditions: 1)  $B$  is in NP, and 2) every  $A$  in NP is polynomial time reducible to  $B$ . (You might also have seen the term “NP-hard” before; this refers to any language meeting the second criterion, whether or not it meets the first.)

(Sipser Theorem 7.36) If  $B$  is NP-complete and  $B \leq_P C$  for  $C$  in NP, then  $C$  is NP-complete.

## Boolean logic terminology:

(From Sipser p301) A *literal* is a Boolean variable or a negated Boolean variable, as in  $x$  or  $\bar{x}$ . (You may be more familiar with the notation  $\neg x$  for negation.) A *clause* is several literals connected with  $\vee$ s, as in  $(x_1 \vee \bar{x}_2 \vee \bar{x}_3 \vee x_4)$ . A Boolean formula is in *conjunctive normal form*, called a *cnf-formula*, if it comprises several clauses connected with  $\wedge$ s, and is a *3cnf-formula* if all the clauses have three literals, as in  $(x_1 \vee \bar{x}_2 \vee \bar{x}_3) \wedge (x_3 \vee \bar{x}_5 \vee x_6) \wedge (x_3 \vee \bar{x}_6 \vee x_4) \wedge (x_4 \vee x_5 \vee x_6)$ .

A Boolean formula is *satisfiable* if there is some way to assign  $T/F$  values to the variables such that the whole thing evaluates to  $T$ .

## Our first NP-complete problems:

Let  $SAT = \{\langle \phi \rangle \mid \phi \text{ is a satisfiable Boolean formula}\}$ . Let  $3SAT = \{\langle \phi \rangle \mid \phi \text{ is a satisfiable 3cnf-formula}\}$ .

(Sipser Theorem 7.37)  $SAT$  is NP-complete. (Sipser Corollary 7.42)  $3SAT$  is NP-complete.

We will now show that a new language  $VERTEX-COVER$  is NP-complete, by showing that  $3SAT \leq_P VERTEX-COVER$ .

Let  $VERTEX-COVER = \{\langle G, k \rangle \mid G \text{ is an undirected graph that has a } k\text{-node vertex cover}\}$ . (A vertex cover of  $G$  is a subset of the nodes where every edge of  $G$  touches one of those nodes.)

Given a 3cnf-formula  $\phi$ , we construct a graph as follows:

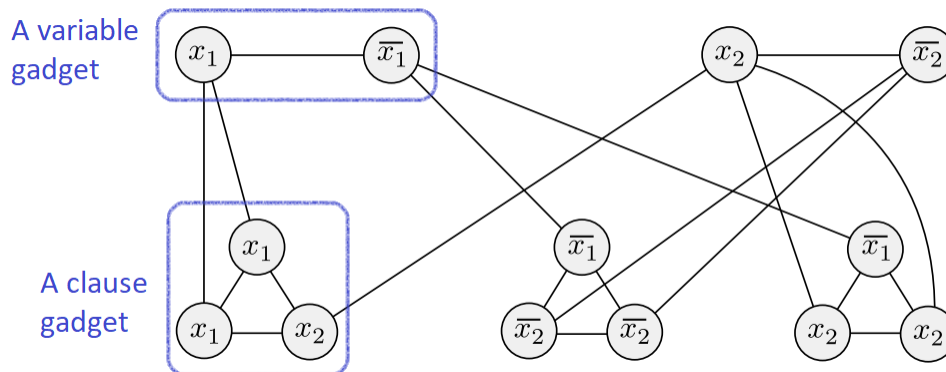


Figure 1: the graph constructed for  $\phi = (x_1 \vee x_1 \vee x_2) \wedge (\bar{x}_1 \vee \bar{x}_2 \vee \bar{x}_2) \wedge (\bar{x}_1 \vee x_2 \vee x_2)$

For each variable  $x$  there is a pair of connected nodes  $x$  and  $\bar{x}$  (at the top of the example graph), and for each clause  $\ell_1 \vee \ell_2 \vee \ell_3$  there is a triangle of connected nodes labeled with those literals (at the bottom of the example). Nodes in variable gadgets are adjacent to all nodes in clause gadgets that share their label. (Sorry for the wall of text! Please ask if this construction (or anything else on the page) is unclear.)

- a What is the size of a minimal vertex cover in the complete graph  $K_{100}$ ? (A “complete graph”  $K_n$  has  $n$  nodes and *every* possible edge.)
- b What is the size of a minimal vertex cover in Figure 1? *Hint: first find a good lower bound on the answer using insights from part a.*
- c In general for a graph generated in this manner from a *satisfiable* 3cnf-formula  $\phi$  with  $v$  variables and  $c$  clauses, what is the size of a minimal vertex cover?

- d Suppose that a graph generated in this manner from *some* 3cnf-formula  $\phi$  with  $v$  variables and  $c$  clauses has a vertex cover of the size you determined in the previous problem. Prove that  $\phi$  is satisfiable.
- e Prove that *VERTEX-COVER* is NP-complete.

f Let  $CLIQUE = \{\langle G, k \rangle \mid G \text{ is an undirected graph containing a } K_k \text{ subgraph}\}$ . Prove that  $CLIQUE$  is NP-complete via reduction from  $3SAT$ . ( $K_n$  is defined in part a; a  $K_n$  subgraph is also called a “ $n$ -clique”. Hint: For  $\phi$  with  $c$  clauses, construct your graph such that there is a  $c$ -clique iff  $\phi$  is satisfiable.)

g (Extreme challenge problem)

Let  $HAMPATH = \{\langle G, s, t \rangle \mid G \text{ is a directed graph with a Hamiltonian path from } s \text{ to } t\}$ . Prove that  $HAMPATH$  is NP-complete. (Recall that a path cannot reuse edges, and a path is Hamiltonian if it visits every vertex exactly once. Hint: For each variable, you’ll want a gadget which can be traversed in one of two ways, corresponding to assigning  $T$  and  $F$  to that variable.)