

# Approximation Algorithms - III (Randomized Rounding)

## SATifiability

- Given :-
- 1) Set of variables  $x_1, x_2, \dots, x_n$
  - 2) Set of clauses  $C_1, C_2, \dots, C_m$ .
- $C_i = (x_i \vee x_{i+1} \vee \dots)$

Goal :- Find an assignment that maximizes # clauses satisfied.

## Randomized Alg ;

$$x_i = \begin{matrix} T & \text{w.p.} & \frac{1}{2} \\ F & \text{w.p.} & \frac{1}{2} \end{matrix}$$

$$P_x [C_v \text{ is satisfied}] = 1 - \left(\frac{1}{2}\right)^{l_v} = 1 - 2^{-l_v}$$

$C_v$  contains  $l_v$  literals.

$$E [\# \text{ clauses satisfied}] = \sum_v (1 - 2^{-l_v})$$

if every clause contains at least 3 literals

if every clause contains at least 3 literals  
 then in expectation  $\frac{7}{8}$  clauses are satisfied.

SATISFIABILITY ILP

$$z_j = \begin{cases} 1 & \text{if } C_j \text{ is satisfied} \\ 0 & \text{otherwise} \end{cases} \quad \left. \begin{array}{l} m \\ e \end{array} \right\}$$

$$y_i = \begin{cases} 1 & \text{if } x_i = T \\ 0 & \text{if } x_i = F \end{cases} \quad \left. \begin{array}{l} n \\ h \\ g \\ f \\ z+y \end{array} \right\}$$

ILP  
 LP

$$\max \sum_j z_j$$

$P_j$  = variables that occur in  $C_j$  without neg

$$\sum_{i \in P_j} y_i + \sum_{r \in N_j} (1 - y_r) \geq z_j$$

$N_j$  = variables that occur in  $C_j$  with neg

$$0 \leq z_j \leq 1$$

$$y_i \in \{0, 1\}$$

$$0 \leq y_i \leq 1 \quad \forall i \in [n]$$

ALG

# ALG

1) Solve SAT-LP. Let  $z^*$  <sup>to the LP</sup>  $y^*$  be the OPT soln

2) Round  $z^*$  &  $y^*$

↳ Set  $x_i = T$  with probability  $y_i^*$   
 $= F$  with probability  $1 - y_i^*$

$Pr[\text{Clause } C_j \text{ is unsatisfied}] \leq \frac{1}{2^{l_j}}$   $l_j, p_j, n_j$

$$= \left( \prod_{i \in P_j} (1 - y_i^*) \cdot \prod_{i \in N_j} y_i^* \right)^{l_j}$$

$$\leq \left\{ \frac{1}{2^{l_j}} \left( \sum_{i \in P_j} (1 - y_i^*) + \sum_{i \in N_j} y_i^* \right) \right\}^{l_j}$$

GM ≤ AM  
 Fact  
 $\left( \prod_{i=1}^n a_i \right)^{1/n} \leq \frac{1}{n} \sum_{i=1}^n a_i$

$$= \left\{ \frac{1}{2^{l_j}} \left( |P_j| - \sum_{i \in P_j} y_i^* + \sum_{i \in N_j} y_i^* \right) \right\}^{l_j}$$

$$= \left\{ \frac{1}{2^{l_j}} \left( |P_j| - \sum_{i \in P_j} y_i^* + |N_j| - \sum_{i \in N_j} (1 - y_i^*) \right) \right\}^{l_j}$$

$$= \left\{ \frac{1}{l_j} \left\{ l_j - \left( \sum_{i \in P_j} y_i^* + \sum_{i \in N_j} (1 - y_i^*) \right) \right\} \right\}^{l_j}$$

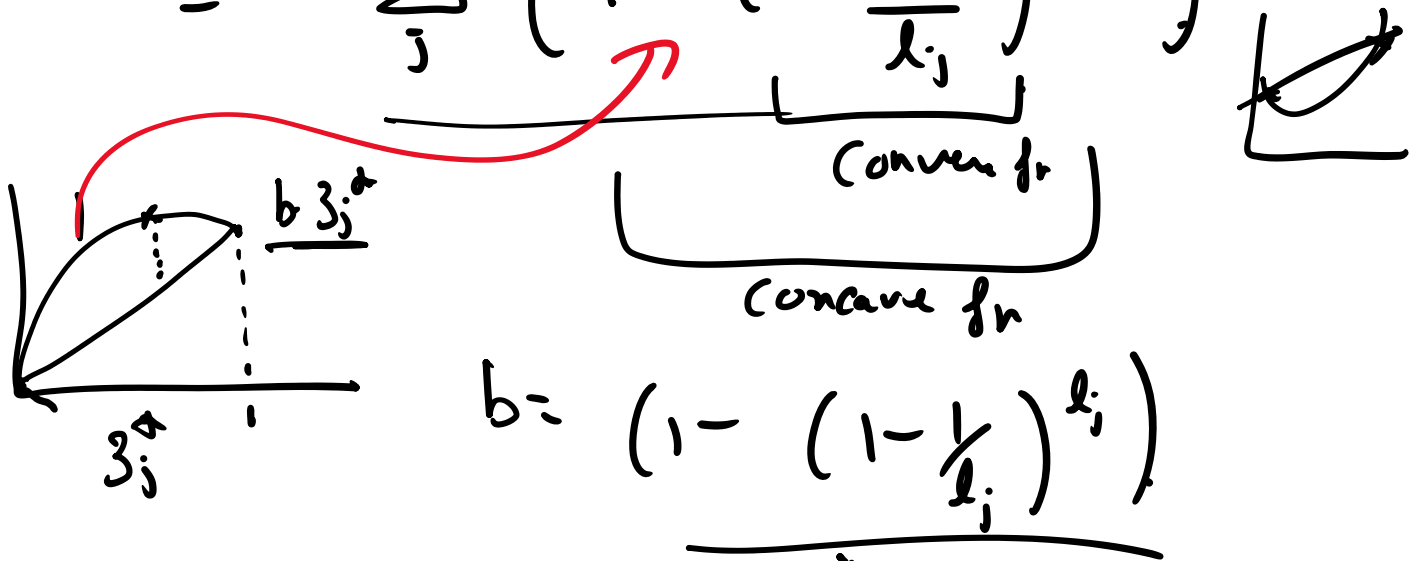
$$\leq \left\{ \frac{1}{l_j} (l_j - z_j^*) \right\}^{l_j}$$

$$= \left( 1 - \frac{z_j^*}{l_j} \right)^{l_j}$$

$$\Pr[C_j \text{ is satisfied}] = \left( 1 - \left( 1 - \frac{z_j^*}{l_j} \right)^{l_j} \right)$$

$$E[\# \text{ clauses satisfied}]$$

$$= \sum_j \left( 1 - \left( 1 - \frac{z_j^*}{l_j} \right)^{l_j} \right)$$



$$E[\# \text{ clauses satisfied}]$$

$$\begin{aligned}
 &\geq \sum_j b \cdot 3_j^\alpha & b = \max_j \left( 1 - \left( 1 - \frac{1}{l_j} \right)^{l_j} \right) \\
 &= b \sum_j 3_j^\alpha \\
 &\geq \underline{b \text{ OPT}} & \left\{ 1 - \left( 1 - \frac{1}{l_j} \right)^{l_j} \right\}
 \end{aligned}$$

$$l_j = 1$$

$$b = 1$$

$$l_j = 2$$

$$b = 3/4$$

⋮

$$l_j \rightarrow \infty$$

$$b = 1 - 1/e$$

$$\left( 1 - \frac{1}{n} \right)^n \sim e^{-1}$$

$\approx 0.63$

$A_1 =$  solution corresponding to unbiased rounding

$A_2 =$  solution - - - - - via biased

$$E \left[ \max \left( \# \text{ clauses satisfied by } A_1, \# \text{ clause } \dots \right) \right]$$

$$\geq E \left[ \frac{1}{2} \cdot \# \text{ clauses satisfied by } A_1 + \frac{1}{2} \cdot \# \text{ clauses satisfied by } A_2 \right]$$

$$\geq E \left[ \frac{1}{2} \cdot \# \text{ clauses satisfied by } A_1 + \frac{1}{2} \cdot \# \text{ clauses satisfied by } A_2 \right]$$

$$= \frac{1}{2} \cdot \left\{ E[\# \text{ clauses } \underline{A_1}] + E[\# \text{ clauses satisfied by } A_2] \right\}$$

$$\geq \frac{1}{2} \cdot \left\{ \sum_j z_j^* (1 - 2^{-l_j}) + \sum_j z_j^* (1 - (1 - \frac{1}{2})^{l_j}) \right\}$$

$$= \sum_j z_j^* \cdot \underbrace{\left\{ \frac{(1 - 2^{-l_j}) + (1 - (1 - \frac{1}{2})^{l_j})}{2} \right\}}_{f(l_j)}$$

$$f(1) = \frac{\frac{1}{2} + 1}{2} = \frac{3}{4}$$

$$f(2) = \frac{\frac{3}{4} + \frac{3}{4}}{2} = \frac{3}{4}$$

$$f(3) = \frac{\frac{7}{8} + \frac{7}{8}(1 - \frac{1}{2})}{2} \geq \frac{3}{4}$$

$$E[\# \text{ clauses satisfied}] \geq \frac{3}{4} \cdot \sum_j z_j^*$$

$$\geq \frac{3}{4} \cdot \text{OPT}$$

## SET-COVER

Given:

- 1) Set  $U = \{e_1, e_2, \dots, e_n\}$  elements
- 2) Sets  $S_1, S_2, \dots, S_m$   $S_i \subseteq U$
- 3) weights  $w_i$  for sets  $S_i$

Goal: Choose  $T = \{S_{i_1}, S_{i_2}, \dots, S_{i_m}\}$

$$\text{s.t. } \bigcup_{S \in T} S = U$$

+ minimize  $\sum_{S \in T} w_S$

SET-COVER

-ILP

$$x_S = \begin{cases} 1 & \text{if set } S \in T \\ 0 & \text{otherwise} \end{cases}$$

SET-COVER

LP

$$\sum_S x_S \cdot w_S$$

$$\text{s.t. } \sum_{S: e \in S} x_S \geq 1 \quad \forall e \in U$$

$$x_S \in \{0, 1\}$$

$$0 \leq x_S \leq 1$$

ALG

# ALG

$$0 \leq x_S \leq 1$$

1) Solve SET-COVER LP. Let  $x^*$  be the optimum sol<sup>n</sup>

2) *Repeatedly for t iterations*  
Round  $x^*$ .

3) Select set  $S$  w.p.  $x_S^*$   
*Return set as union of all iterations.*

$$E[\text{cost of the sol}^n] = \sum_S w_S \cdot x_S^*$$

Pick an element  $e$ .

$$\Pr[e \text{ is not covered}] = \left( \prod_{S: e \in S} (1 - x_S^*) \right)^k \quad \{S \mid e \in S\} = k$$

$$\leq \left( \frac{1}{k} \sum_{S: e \in S} (1 - x_S^*) \right)^k \quad (\text{GM} \leq \text{AM})$$

$$= \left( 1 - \sum_{S: e \in S} \frac{x_S^*}{k} \right)^k$$

$$\leq \left( 1 - \frac{1}{k} \right)^k \leq \left( \frac{1}{e} \right)^t = \frac{1}{e^t}$$



$\Pr[\exists e \in U \text{ that is not covered}]$

$$\leq \sum_{e \in U} \Pr[e \text{ is not covered}] \quad // \text{union bound}$$

$$\leq \frac{n}{e^t}$$

$$\Pr[S \text{ is a set cover}] \geq 1 - \frac{n}{e^t} \stackrel{1 - \frac{1}{n^2}}{\geq}$$

set  $t = \lceil 3 \lg n \rceil$

Approximation factor =  $\frac{1}{\epsilon} \cdot \text{OPT}$

Algorithm should be successful with probability  $1 - \epsilon \Rightarrow$

$$\frac{n}{e^t} \leq \epsilon \Rightarrow t \in O\left(\lg \frac{n}{\epsilon}\right)$$

$$\text{Approximation factor} = O\left(\lg \frac{n}{\epsilon}\right)$$

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More on LR & TSP?