

# More on NP completeness

Monday, April 18, 2022 2:33 PM

Recap  $\rightarrow$

$P \subseteq$  { problems that admit a polynomial time alg. }

$NP \subseteq$  { problems that admit a polynomial non-deterministic alg. }

$\subseteq$  { problems that admit a polynomial size certificate / proof & a polynomial time alg. to verify the certificate for all YES inputs }

Reduction

$\underline{A} \leq_P \underline{B}$

$\hookrightarrow \underline{I} \rightarrow \underline{I'}$

Polynomial-time

$A(I)$  is true  $\Leftrightarrow B(I')$  is true

NP-complete - Problems  $\rightarrow$

- 1) Problems in NP
- 2) Problems that are NP hard

Cook-Levin-Thm

SAT IS NP-complete

Strategy is how to show a decision problem A is NP complete.

- 1)  $A \in NP$ .
- 2)  $SAT \leq_p A$ .

$$SAT \leq_p 3SAT \leq_p \underline{CLIQUE}$$

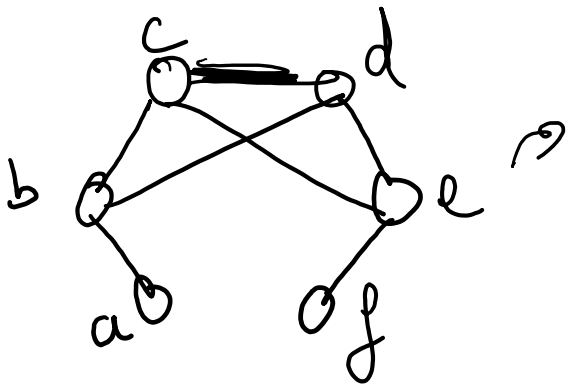
## INDEPENDENT-SET PROBLEM

Given: 1) Graph  $G = (V, E)$ ,  $|V| = n$ ,  $|E| = m$

2)  $S \subseteq V$  is an independent set; if no two vertices in  $S$  share an edge.

3) Given  $k$ .

Question:  $\exists$  an independent set in  $G$  of size  $k$ ?



$\{a, e\}$ ,  $\{b, f\}$ ,  $\{a, f\} \subseteq IS$   
of size 2

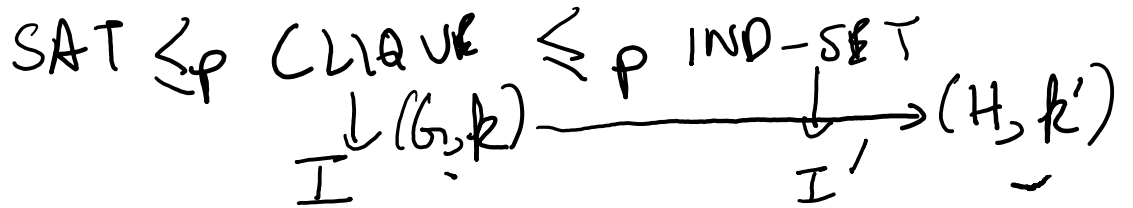
$\{a, f, d\}$ ,  $\{a, b, c\} \subseteq IS$   
of size 3

$\{a, c, d, f\} \neq IS$

Prove:  $\Rightarrow$

IND-SET IS IN NP?

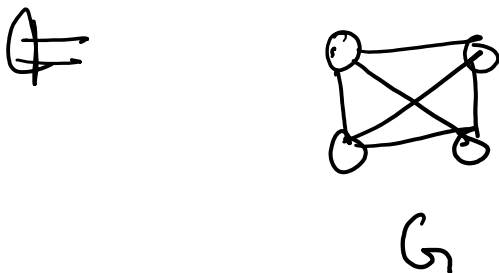
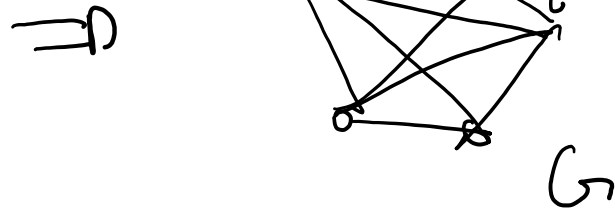
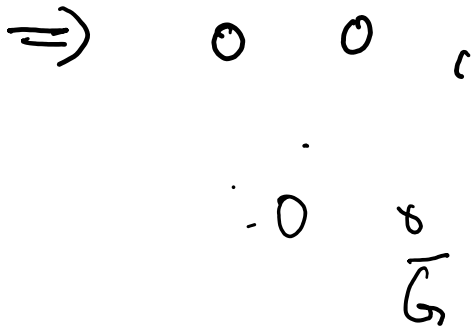
INDSET IS NP-COMplete



construct the graph  $\bar{G}$

$$(u, v) \in \bar{G} \Leftrightarrow (u, v) \notin G$$

Claim:  $\Rightarrow$   $\bar{G}$  has an independent set of size  $k \Leftrightarrow G$  has a clique of size  $k$ .



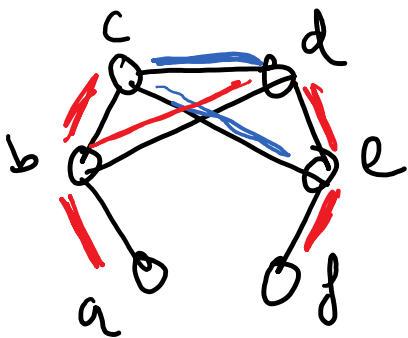
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VERTEX-COVER

# VERTEX-COVER

- Given:
- 1)  $G = (V, E)$  and  $|V| = n, |E| = m$
  - 2)  $S \subseteq V$  is a vertex-cover iff  $\forall$  edges  $(u, v) \in E$ , we have either  $u \in S$  or  $v \in S$ .
  - 3) Given a number  $k$ .

Question:  $\exists$  vertex-cover of size  $k$  in  $G$



$\{a, b, c, d, e, f\}$  is vertex-cover

$\{b, c, d, e\}$  is vertex-cover

$\{b, c, e\}$  is vertex-cover

1) VERTEX-COVER is in NP

2) VERTEX-COVER is NP-hard.

Claim is  $\text{IND-SET} \leq_p \text{VERTEX-COVER}$   
 $G, k$   $G, n-k$   
 $G$  has an independent set of

size  $k \Leftrightarrow G$  has a vertex cover of size  $n-k$ .

if  $S$  is a vertex cover in  $G$   
 $\Downarrow$   
 $V \setminus S$  is an independent set in  $G$

$\Rightarrow$  Assume  $V \setminus S$  is not an IS.

$\exists u, v \in V \setminus S$  &  $(u, v) \in E$

$\downarrow$   
not covered by our vertex cover  $S$

$\nexists$  Assume  $S$  is not a vertex cover

$\Rightarrow \exists u, v \notin S$ ,  $(u, v) \in E$

$\exists u, v \in V \setminus S$ ,  $(u, v) \in E$

$\Downarrow$   
 $V \setminus S$  is not an independent set

VERTEX-COVER IS NP COMPLETE

ILP (Integer-Linear Programs)

$$Ax \leq b \quad x \in \mathbb{R}^n$$

$x_i$  is integer for all  $i \in [n]$

ILP is NP-hard

VERTEX-COVER  $\leq_P$  ILP

For every vertex  $v \in G$ ,  $y_v = \begin{cases} 1 & \text{if } v \in VC \\ 0 & \text{otherwise} \end{cases}$

$$\left. \begin{array}{l} \forall (u,v) \in E \quad y_u + y_v \geq 1 \\ \forall v \in V \quad y_v \in \{0,1\} \\ \sum_v y_v \leq k. \end{array} \right\} \text{CONSTRAINTS}$$

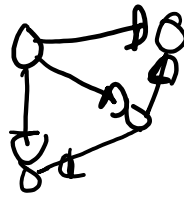
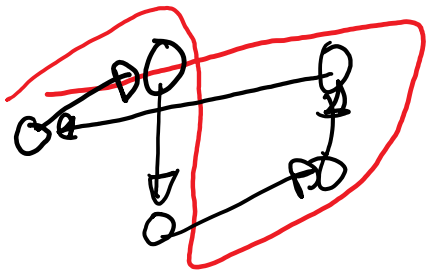
VERTEX-COVER  $\leq_P$  ILP

SAT  $\leq_P$  3SAT  $\leq_P$  CLIQUE  $\leq_P$  IS  $\leq_P$  VC  $\leq_P$  ILP

Hamiltonian cycles (Directed & undirected)

Given:  $DV$  Graph  $G = (V, E)$  (Directed)

Question:  $\exists$  exist a simple cycle in  $G$  that contains/visits all vertices



NO  
HAMILTONIAN  
CYCLES

$$\text{3SAT} \leq_p \text{HAMILTONIAN CYCLE}$$

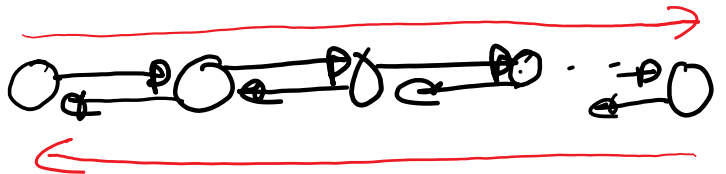


variables - gadgets

clause - gadgets

Given instance  $I = \{ n_1, n_2, \dots, n_m \}$   
 $\{ c_1, c_2, \dots, c_m \}$

$n_i$



$n_i$

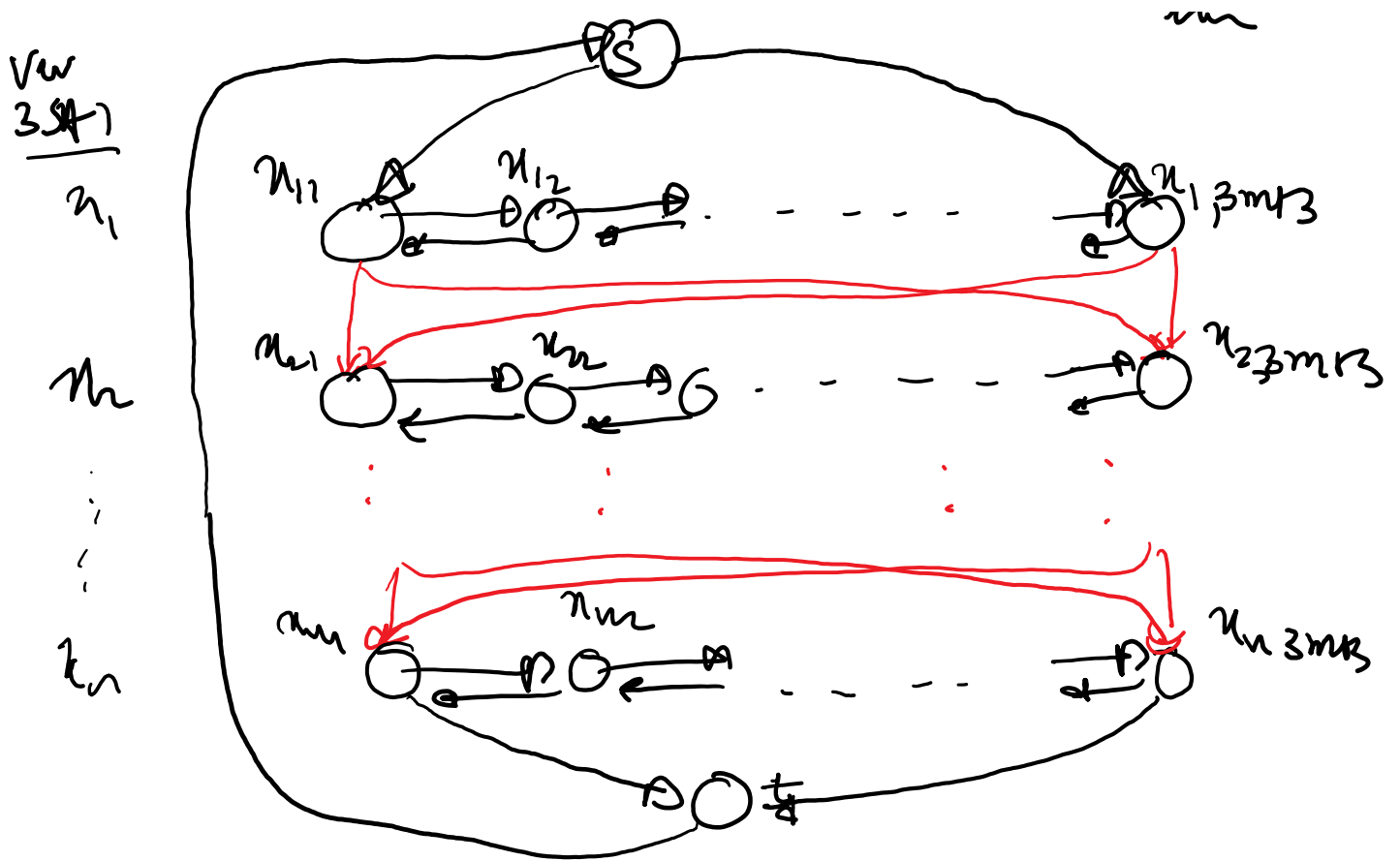


$$3m + 3$$

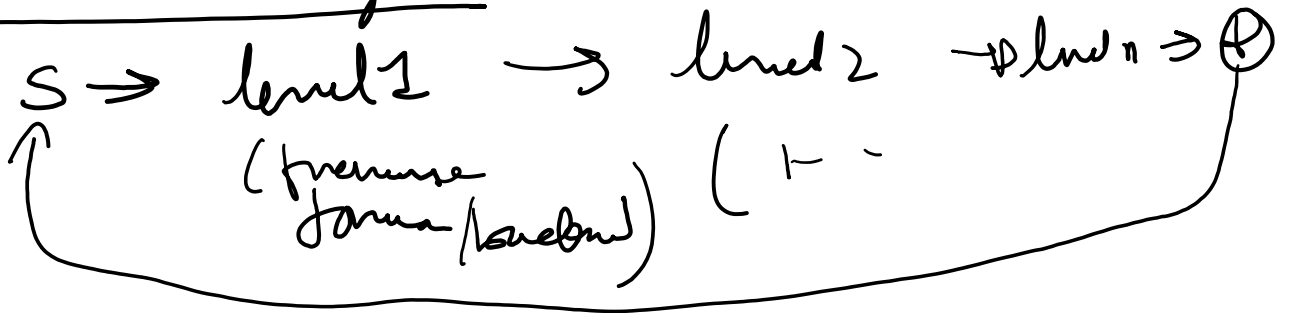
↳ # clauses that are true

Vw





### Hamiltonian - cycles



# hamiltonian cycles  $\geq 2^n$

### Clause - Gadgets:

$$C_2 = (n_1 \vee n_2 \vee n_3)$$

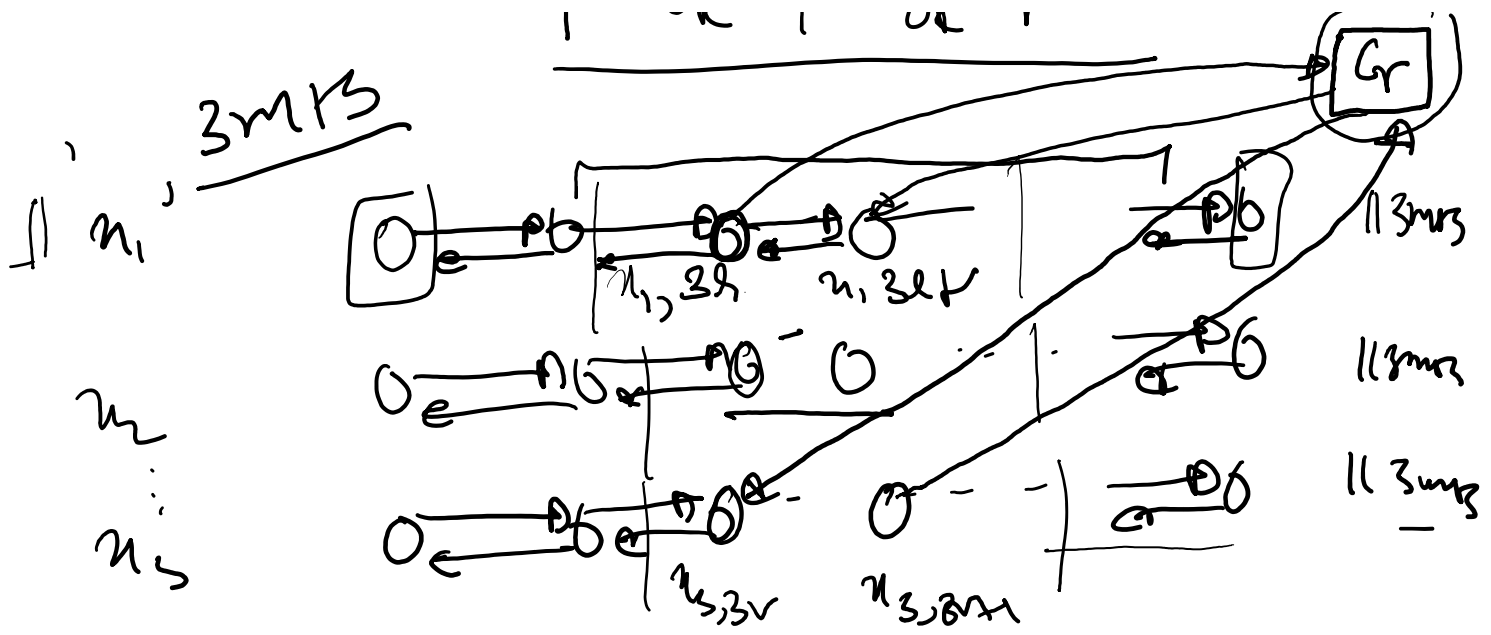
$$\downarrow \quad \downarrow \quad \downarrow$$

$$T \text{ OR } T \text{ OR } F$$

... 1.15







each clause  $C_i = (l_i \vee l_j \vee l_k)$

$\{n_i, l_i\}$   $\{n_j, l_j\}$   $\{n_k, l_k\}$

draw edges

$l_i$

$l_j$

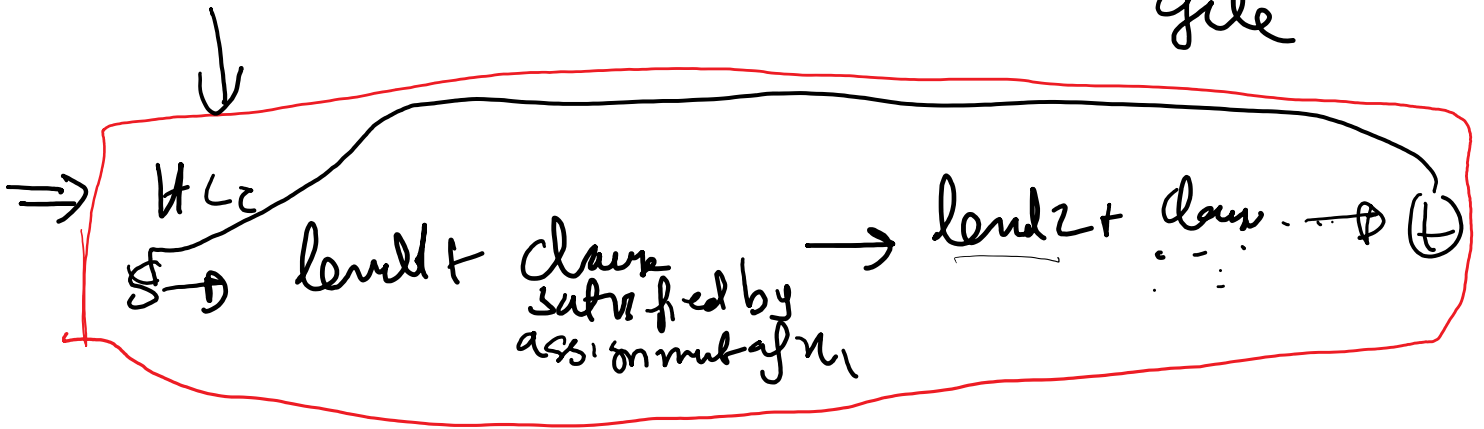
$l_k$

$n_i, 3v \rightarrow C_i$  &  $C_i \rightarrow n_i, 3vH$   
 if  $l_i = \underline{n_i}$

$n_i, 3vH \rightarrow C_i$  &  $C_i \rightarrow n_i, 3v$   
 if  $l_i = \neg \underline{n_i}$

Claim:  $\exists$  I of 3SAT has a satisfying

assignment  $\Leftrightarrow$   $G$  has a hamiltonian cycle

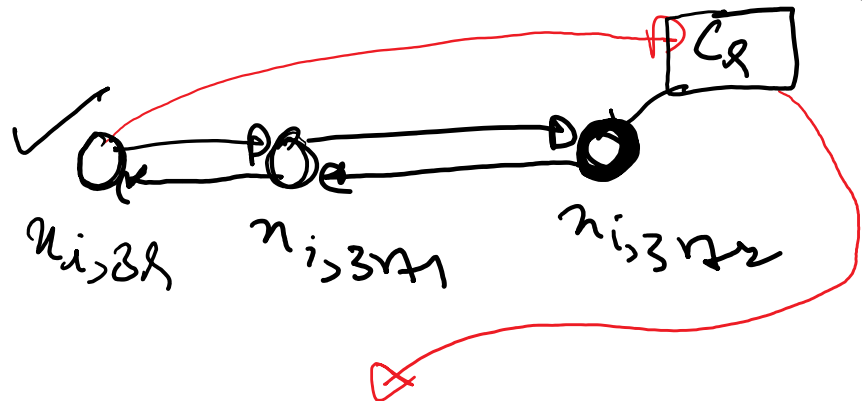


$\Leftarrow$   $\exists$   $\exists$  hamiltonian cycle  $H \Rightarrow$  a set of assignments.

claim:  $\forall$  For any clause  $C_r = (l_i \vee l_j \vee l_k)$

1) if  $H$  visits  $C_r$  by edge from  $n_{i,3r}$ , then  $H$  leaves  $C_r$  using the edge to  $n_{i,3r+1}$

2) if  $H$  visits  $C_r$  by edge from  $n_{i,3r+1}$ , then  $H$  leaves  $C_r$  using the edge to  $n_{i,3r}$



cannot maintain  
hamiltonicity.

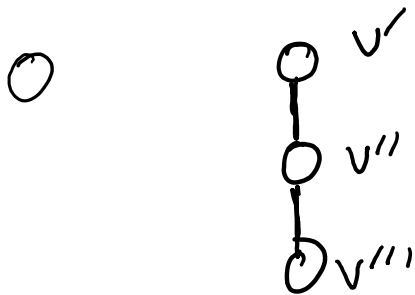
$\exists$  of 3SAT has a satisfying assignment  
iff  $G$  has a hamiltonian cycle.

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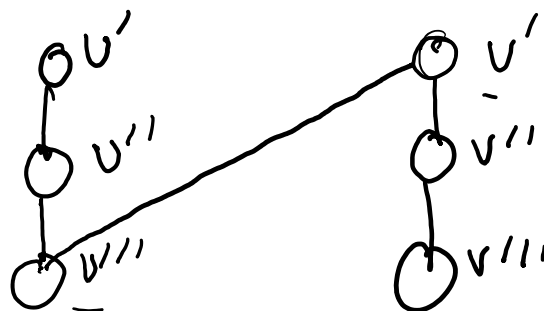
### Undirected - hamiltonian - cycle

Steps:  $\rightarrow$

- 1) For every vertex  $v \in G$ , replace  $v$   
with 3 vertices  $v', v'', v'''$



- 2) For every directed edge of the form  
 $u \rightarrow v$



$G'$

Claims:  $\rightarrow$

$G'$  has a hamiltonian cycle  
 $\Leftrightarrow G$  has a hamiltonian cycle.

## Recap

Partition problem



SAT

↓  
3SAT

Graph problem

