

NP-completeness

Thursday, April 14, 2022 1:55 PM

Categorize problems $\left\{ \begin{array}{l} \rightarrow \text{easy problems} \\ \rightarrow \text{hard problems} \end{array} \right.$
 \rightarrow decision problems $\left\{ \begin{array}{l} \rightarrow \text{YES} \\ \rightarrow \text{NO} \end{array} \right.$

$P = \{ \text{problems that have polynomial time algorithms} \}$
eg: \rightarrow sorting, max-flow, mcdow, LPS

$NP = \{ \text{problems that have non-deterministic polynomial time algorithms} \}$
 \rightarrow if "YES" solution exist, then we can guess this solution in $O(1)$ time.

eg: SAT (satisfiability)

Given: \rightarrow 1) variables x_1, x_2, \dots, x_n
2) clauses C_1, C_2, \dots, C_m

$$C_i = \{ x_{i_1} \vee \neg x_{i_2} \vee x_{i_3} \vee \dots \}$$

Find: \rightarrow \exists assignment of $\{T, F\}$ to $\{x_1, x_2, \dots, x_n\}$ such that

$\{x_1, x_2, \dots, x_n\}$ s.t. all clauses evaluate to T.

$$F = C_1 \wedge C_2 \wedge \dots \wedge C_m$$

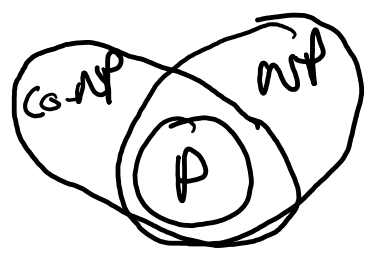
Non-deterministic alg for SAT

1) Guess $x_1 = T \text{ or } F$
 $x_2 = T \text{ or } F$
 \vdots
 $x_n = T \text{ or } F$ } $O(n)$

check whether all clauses are satisfied } $O(m)$
 YES
 NO

Relation between P & NP

$$P \subseteq NP$$



Big open problem $P = NP ?$

NP = P ∪ NP

NP = { Problems that admit a polynomial
size certificate/proof and a polynomial
time verifier for all YES inputs }

Reduction: Given two decision problems
 A & B , a reduction is a mapping
from all instances I of A to I' of B
s.t.

$$A(I) = \text{"YES"} \iff B(I') = \text{"YES"}$$

Polynomial-time-reduction (Karp-reduction)

Given 2 decision problems A & B , a
poly-time-reduction is a poly-time
algorithm that maps an instance I of
 A to I' of B s.t.

$$A(I) = \text{"YES"} \iff B(I') = \text{"YES"}$$

$$\underline{A \leq_p B}$$

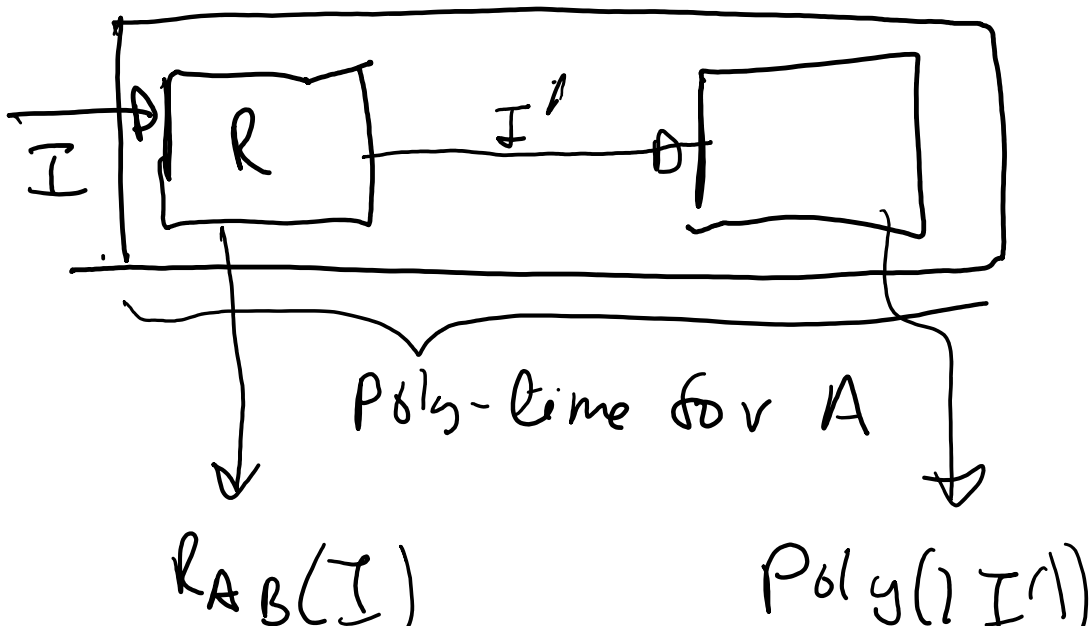
Claim: $\rightarrow A \leq_p B$, then a poly-time alg for B implies a poly-time algorithm for A.

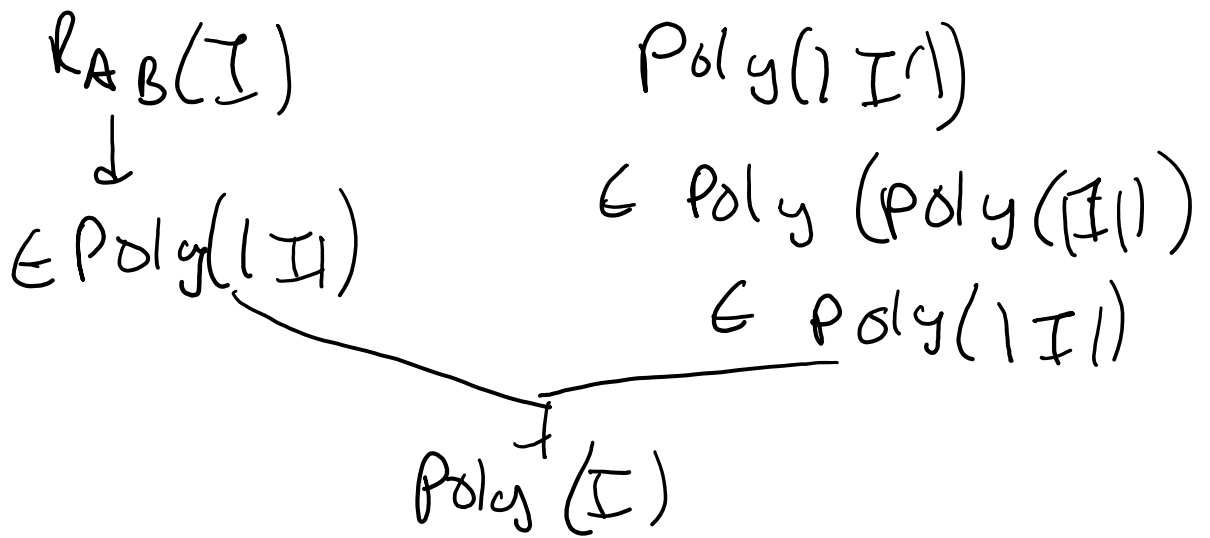
Pf: \rightarrow

Obs: \rightarrow Consider reduction R from A to B
R runs in time $R_{AB}(|I|) \in \text{Poly}(|I|)$

write at most $R_{AB}(|I|)$ bits

$$\Rightarrow \underline{|I'|} \leq R_{AB}(|I|) \in \underline{\text{Poly}(|I|)}$$





Reductions are transitive:

$$A \leq_p B \quad \& \quad B \leq_p C.$$

$$\Rightarrow \underline{A \leq_p C}$$

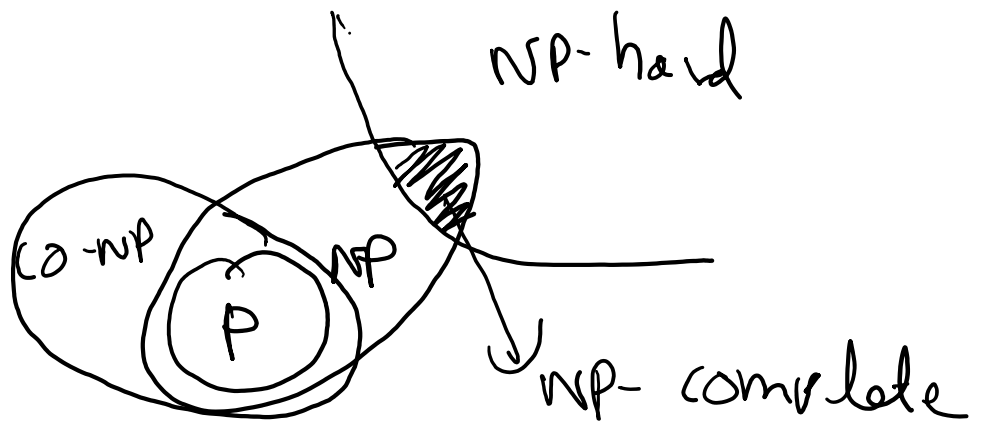
NP-hardness: \rightarrow a decision problem A is NP-hard if $\forall B \in NP,$

$$\underline{B \leq_p A}$$

NP-completeness: \rightarrow a decision problem A is NP-complete iff

i) $A \in NP$

ii) A is NP-hard.



BIG-PICTURE

If A is NP-hard & $A \in P$
 $\Rightarrow P = NP$

Problem C is NP-hard

$B \leq_p C \leq_p A$
 \Rightarrow
 $P = NP$

Cook-Levin-Thm (1971) ^{Cook}
 1973 \rightarrow Levin
 SAT is NP-complete

3-SAT

Given: n variables x_1, x_2, \dots, x_n

Given: \rightarrow variables x_1, x_2, \dots, x_n
clauses C_1, C_2, \dots, C_m
 $C_i = (x_{d_1} \vee x_{d_2} \vee \neg x_{d_3})$

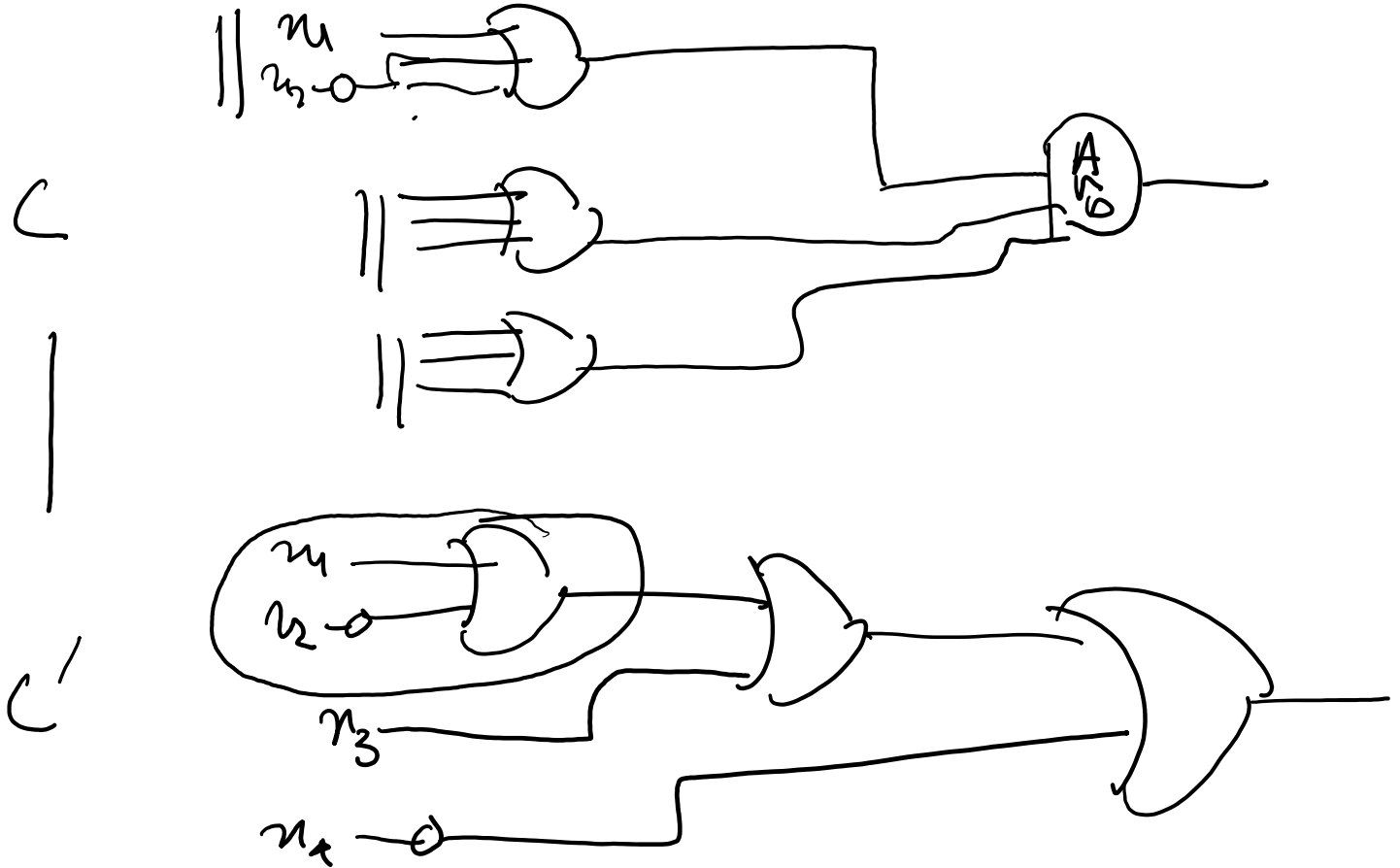
Find: \rightarrow An assignment of $\{T, F\}$ to all variables, s.t. all clauses are satisfied.

Thm: \rightarrow 3SAT is NP-complete
 $SAT \leq_p 3SAT$.

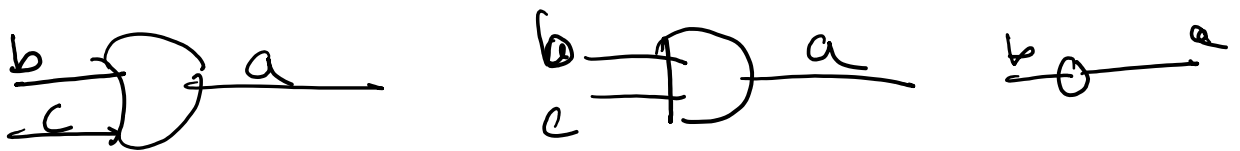
Goal: \rightarrow Find an algorithm (poly time) that converts an instance I of SAT to I' of 3SAT s.t.
 I is satisfiable $\Leftrightarrow I'$ is satisfiable

$$I = C_1 \wedge C_2 \wedge \dots \wedge C_m$$

↳ (n_1, n_2, \dots)
UP to n variables.



↳ Same operation with AND



$$\underline{[a \vee b \vee c]} \wedge \underline{[a \vee b \vee n]} \wedge \underline{[a \vee \bar{b}]}$$

OR GATE

$$1) \quad \underline{a = bvc} \iff \underline{(\bar{a}vbvc) \wedge (av\bar{b}) \wedge (av\bar{c})}$$

$$2) \quad a = b \wedge c \iff (av\bar{b}v\bar{c}) \wedge (\bar{a}vb) \wedge (\bar{a}v\bar{c})$$

$$3) \quad a = \bar{b} \iff (avb) \wedge (\bar{a}v\bar{b})$$

$$\underline{I'} = \underline{(\quad)} \wedge \underline{(\quad)} \wedge \underline{(\quad)}$$

↓
up to 3 literals

clauses that have 3 literals
= don't touch

clauses that have 2 literals

$$(a \vee b) \xrightarrow{\text{replace}} (avb) \wedge (av\bar{b})$$

clauses that have 1 literal

$$(a) \Rightarrow (a \vee \pi \vee \gamma) \wedge (a \vee \bar{\pi} \vee \gamma)$$



$(a \vee \bar{x} \vee y)$
 $(a \vee x \vee \bar{y})$
 $(a \vee \bar{x} \vee \bar{y})$

I'

I is satisfiable $\Leftrightarrow I'$ is satisfiable.

$SAT \leq_p 3SAT$

$3SAT$ is NP hard & $SAT \in NP \Rightarrow 3SAT$ is NP complete

$SAT \leq_p 3SAT$

Graph Theory (Clique)

Given: a Graph G with n vertices

n nodes & m edges & number k

Question: \exists clique in G of size k ?

Thm: \rightarrow clique is NP hard.

SAT \leq_p 3SAT \leq_p CLIQUE

Given an instance I of 3SAT

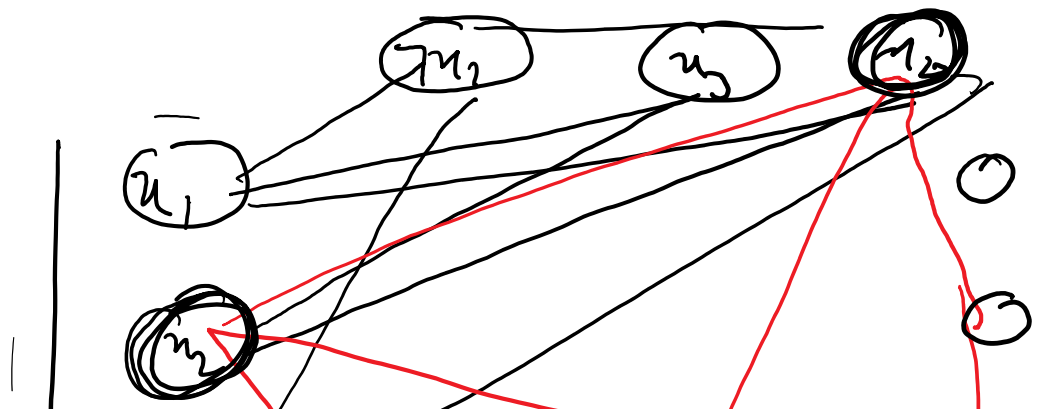
$\Rightarrow I'$ of CLIQUE in polynomial

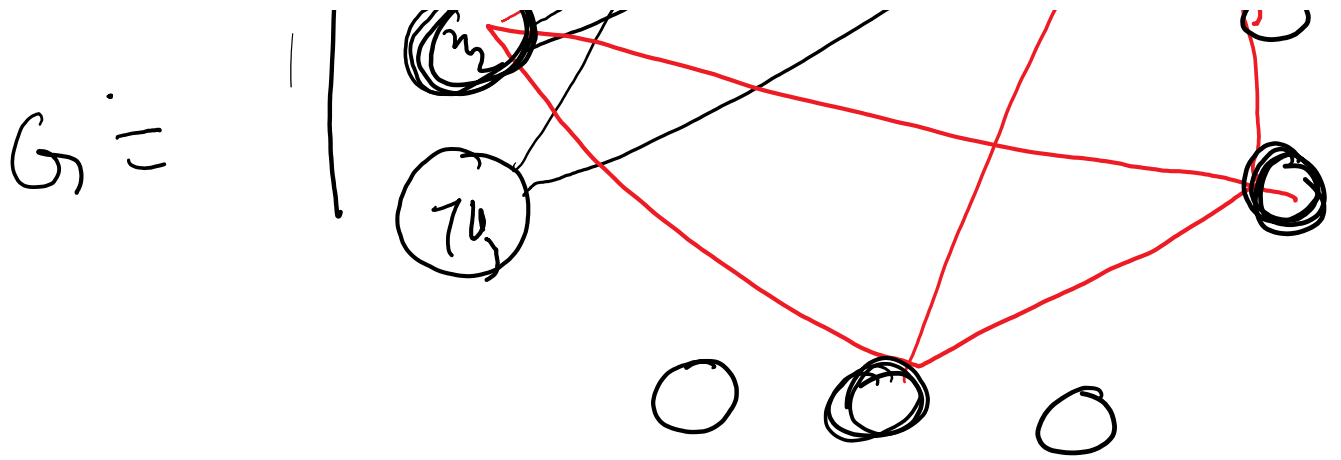
$$C_1 = (u_1 \vee u_2 \vee \neg u_3)$$

$$C_2 = (\neg u_2 \vee u_3 \vee u_4)$$

\vdots

C_m





Claim \Rightarrow G has a clique of size m iff I has a satisfying assignment.

\Rightarrow Look at a satisfying assignment
 For each clause C , pick a literal that evaluates to T .

\Leftarrow if \exists a clique of size m
 Pick vertex u associated with clause \bar{C} .
 $u \rightarrow T$ if $u \in C$.

· $L \rightarrow F$ if $\bar{u} \in \text{in } C$

valid assignment

next lecture

2 lectures NP-completeness

↳ Graph-problem

↳ coloring
↳ ind set
↳ vertex cover
↳ Hamiltonian

Optimization →

knapsack
subproblem
ILP