

Breadth First Search, Dijkstra's Algorithm for Shortest Paths

Lecture 4

January 29, 2015

Part I

Breadth First Search

Breadth First Search (BFS)

Overview

- (A) **BFS** is obtained from **BasicSearch** by processing edges using a **queue** data structure.
- (B) It processes the vertices in the graph in the order of their shortest distance from the vertex s (the start vertex).

As such...

- 1 **DFS** good for exploring graph structure
- 2 **BFS** good for exploring *distances*

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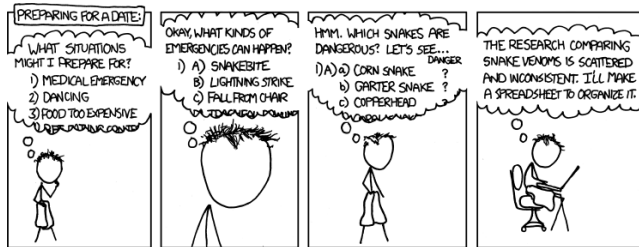
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xkcd take on DFS



I REALLY NEED TO STOP USING DEPTH-FIRST SEARCHES.

Queue Data Structure

Queues

queue: list of elements which supports the operations:

- ① **enqueue**: Adds an element to the end of the list
- ② **dequeue**: Removes an element from the front of the list

Elements are extracted in **first-in first-out (FIFO)** order, i.e., elements are picked in the order in which they were inserted.

BFS Algorithm

Given (undirected or directed) graph $G = (V, E)$ and node $s \in V$

BFS(s)

Mark all vertices as unvisited

Initialize search tree T to be empty

Mark vertex s as visited

set Q to be the empty queue

enq(s)

while Q is nonempty **do**

$u = \mathbf{deq}(Q)$

for each vertex $v \in \text{Adj}(u)$

if v is not visited **then**

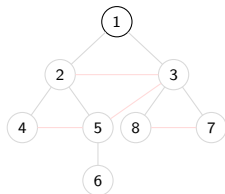
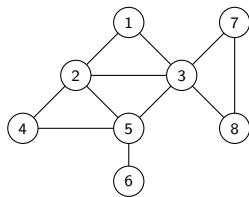
 add edge (u, v) to T

 Mark v as visited and **enq**(v)

Proposition

BFS(s) runs in $O(n + m)$ time.

BFS: An Example in Undirected Graphs



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2. [2,3]

3. [3,4,5]

4. [4,5,7,8]

5. [5,7,8]

6. [7,8,6]

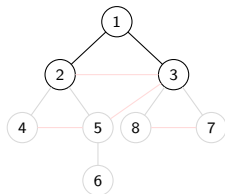
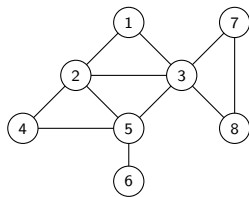
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BFS tree is the set of black edges.

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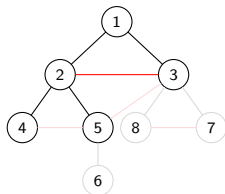
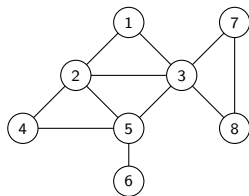
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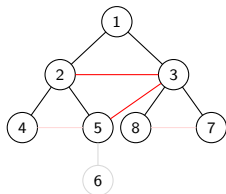
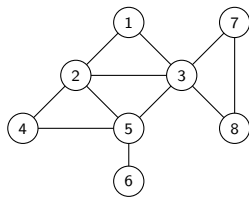
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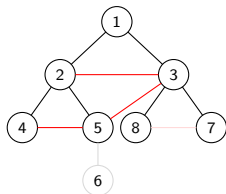
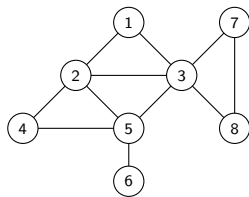
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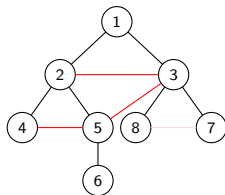
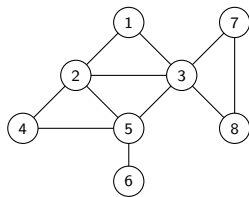
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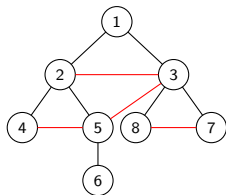
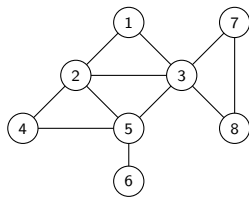
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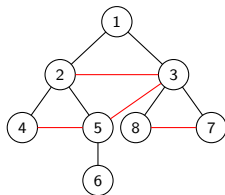
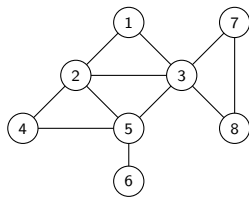
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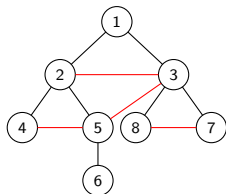
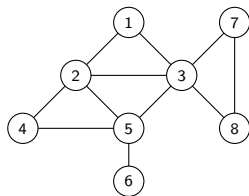
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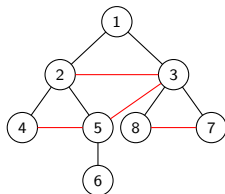
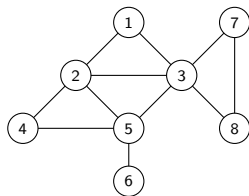
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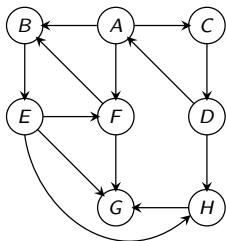
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BFS: An Example in Directed Graphs



BFS with Distance

BFS(s)

Mark all vertices as unvisited and for each v set $\text{dist}(v) =$

Initialize search tree T to be empty

Mark vertex s as visited and set $\text{dist}(s) = 0$

set Q to be the empty queue

enq(s)

while Q is nonempty do

$u = \text{deq}(Q)$

 for each vertex $v \in \text{Adj}(u)$ do

 if v is not visited do

 add edge (u, v) to T

 Mark v as visited, enq(v)

 and set $\text{dist}(v) = \text{dist}(u) + 1$

Properties of BFS: Undirected Graphs

Proposition

The following properties hold upon termination of **BFS**(s)

- 1 $V(\text{BFS tree comp.}) = \text{set vertices in connected component } s.$
- 2 If $\text{dist}(u) < \text{dist}(v)$ then u is visited before v .
- 3 $\forall u \in V, \text{dist}(u) = \text{the length of shortest path from } s \text{ to } u.$
- 4 If $u, v \in \text{connected component of } s,$ and $e = uv$ is an edge of $G,$ then either $e \in \text{BFS tree},$ or $|\text{dist}(u) - \text{dist}(v)| \leq 1.$

Proof.

Exercise. □

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Properties of BFS: Directed Graphs

Proposition

The following properties hold upon termination of $T \leftarrow \text{BFS}(s)$:

- 1 For search tree T . $V(T) =$ set of vertices reachable from s
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- 3 $\forall u \in V(T)$: $\text{dist}(u) =$ length of shortest path from s to u
- 4 If u is reachable from s , $e = (u \rightarrow v) \in E(G)$.
Then either (i) e is an edge in the search tree,
or (ii) $\text{dist}(v) - \text{dist}(u) \leq 1$.

Not necessarily the case that $\text{dist}(u) - \text{dist}(v) \leq 1$.

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Exercise. □

BFS with Layers

BFSLayers(s):

Mark all vertices as unvisited and initialize T to be empty

Mark s as visited and set $L_0 = \{s\}$

$i = 0$

while L_i is not empty **do**

 initialize L_{i+1} to be an empty list

for each u in L_i **do**

for each edge $(u, v) \in \text{Adj}(u)$ **do**

 if v is not visited

 mark v as visited

 add (u, v) to tree T

 add v to L_{i+1}

$i = i + 1$

Running time: $O(n + m)$

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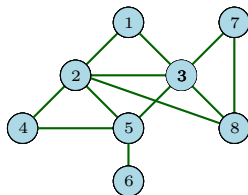
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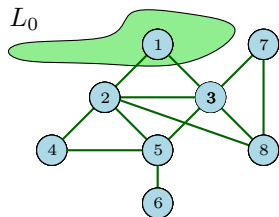
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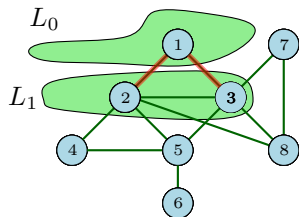
Example



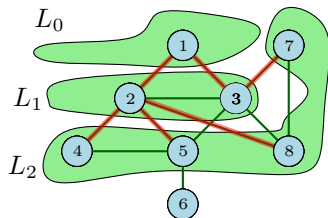
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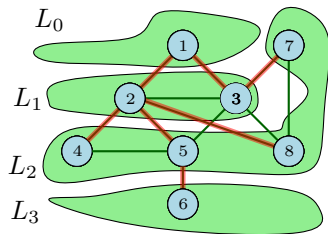
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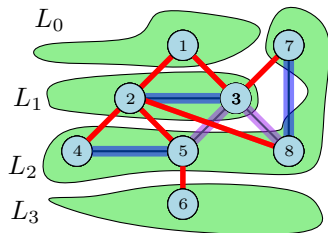
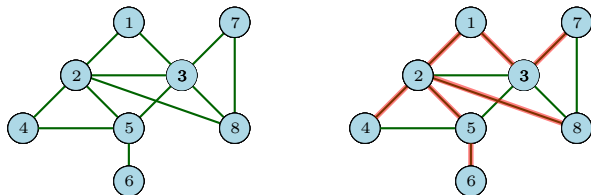
BFS with Layers: Properties

Proposition

The following properties hold on termination of **BFS**Layers(s).

- 1 **BFS**Layers(s) outputs a **BFS** tree
- 2 L_i is the set of vertices at distance exactly i from s
- 3 If G is undirected, each edge $e = uv$ is one of three types:
 - 1 **tree** edge between two consecutive layers
 - 2 non-tree **forward/backward** edge between two consecutive layers
 - 3 non-tree **cross-edge** with both u, v in same layer
 - 4 \implies Every edge in the graph is either between two vertices that are either (i) in the same layer, or (ii) in two consecutive layers.

Example: Tree/cross/forward (backward) edges



BFS with Layers: Properties

For directed graphs

Proposition

The following properties hold on termination of **BFSLayers**(s), if G is directed.

For each edge $e = (u \rightarrow v)$ is one of four types:

- 1 a **tree** edge between consecutive layers, $u \in L_i, v \in L_{i+1}$ for some $i \geq 0$
- 2 a non-tree **forward** edge between consecutive layers
- 3 a non-tree **backward** edge
- 4 a **cross-edge** with both u, v in same layer

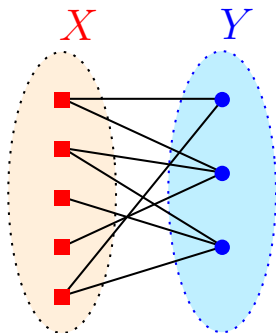
Part II

Bipartite Graphs and an application of BFS

Bipartite Graphs

Definition (Bipartite Graph)

Undirected graph $G = (V, E)$ is a **bipartite graph** if V can be partitioned into X and Y s.t. all edges in E are between X and Y .



Bipartite Graph Characterization

Question

When is a graph bipartite?

Proposition

Every tree is a bipartite graph.

Proof.

Root tree T at some node r . Let L_i be all nodes at level i , that is, L_i is all nodes at distance i from root r . Now define X to be all nodes at even levels and Y to be all nodes at odd level. Only edges in T are between levels. □

Proposition

An odd length cycle is not bipartite.

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Let $C = u_1, u_2, \dots, u_{2k+1}, u_1$ be an odd cycle. Suppose C is a bipartite graph and let X, Y be the partition. Without loss of generality $u_1 \in X$. Implies $u_2 \in Y$. Implies $u_3 \in X$. Inductively, $u_i \in X$ if i is odd $u_i \in Y$ if i is even. But $\{u_1, u_{2k+1}\}$ is an edge and both belong to X ! □

Subgraphs

Definition

Given a graph $G = (V, E)$ a **subgraph** of G is another graph $H = (V', E')$ where $V' \subseteq V$ and $E' \subseteq E$.

Proposition

If an undirected G is bipartite then any subgraph H of G is also bipartite.

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An undirected graph G is not bipartite if G has an odd cycle C as a subgraph.

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Theorem

An undirected graph G is bipartite \iff it has no odd length cycle as subgraph.

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Only If: G has an odd cycle implies G is not bipartite.

If: G has no odd length cycle. Assume without loss of generality that G is connected.

- 1 Pick u arbitrarily and do **BFS**(u)
- 2 $X = \cup_{i \text{ is even}} L_i$ and $Y = \cup_{i \text{ is odd}} L_i$
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Proof of Claim

Claim

In **BFS**(u) if $a, b \in L_i$ and $ab \in E(G)$ then there is an odd length cycle containing ab .

Proof.

Let v be least common ancestor of a, b in **BFS** tree T .

v is in some level $j < i$ (could be u itself).

Path from $v \rightsquigarrow a$ in T is of length $j - i$.

Path from $v \rightsquigarrow b$ in T is of length $j - i$.

These two paths plus (a, b) forms an odd cycle of length $2(j - i) + 1$. □

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Proof of Claim: Figure

Another tidbit

Corollary

There is an $O(n + m)$ time algorithm to check if G is bipartite and output an odd cycle if it is not.

Part III

Shortest Paths and Dijkstra's Algorithm

Shortest Path Problems

Shortest Path Problems

Input A (undirected or directed) graph $G = (V, E)$ with edge lengths (or costs). For edge $e = (u \rightarrow v)$, $\ell(e) = \ell(u \rightarrow v)$ is its length.

- 1 Given nodes s, t find shortest path from s to t .
- 2 Given node s find shortest path from s to all other nodes.
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Many applications!

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Non-Negative Edge Lengths

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Single-Source Shortest Paths via BFS

- 1 **Special case:** All edge lengths are 1.
 - 1 Run **BFS**(s) to get shortest path distances from s to all other nodes.
 - 2 $O(m + n)$ time algorithm.
- 2 **Special case:** Suppose $\ell(e)$ is an integer for all e ?
Can we use **BFS**? Reduce to unit edge-length problem by placing $\ell(e) - 1$ dummy nodes on e .
- 3 Let $L = \max_e \ell(e)$. New graph has $O(mL)$ edges and $O(mL + n)$ nodes. **BFS** takes $O(mL + n)$ time. Not efficient if L is large.

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Towards an algorithm

Why does **BFS** work?

BFS(s) explores nodes in increasing distance from s

Lemma

Let G be a directed graph with non-negative edge lengths. Let $\text{dist}(s, v)$ denote the shortest path length from s to v . If $s = v_0 \rightarrow v_1 \rightarrow v_2 \rightarrow \dots \rightarrow v_k$ is a shortest path from s to v_k then for $1 \leq i < k$:

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Proof.

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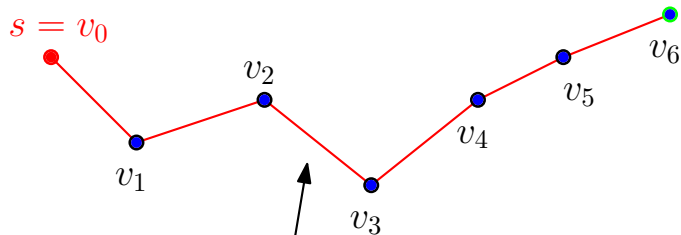
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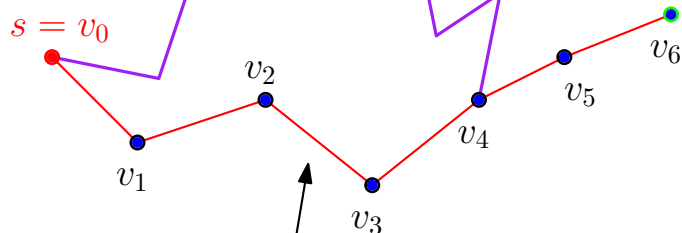
A proof by picture



Shortest path
from v_0 to v_6

A proof by picture

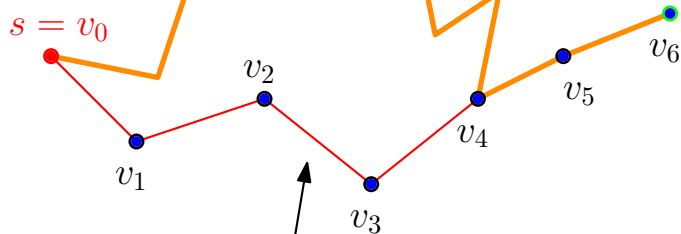
Shorter path
from v_0 to v_4



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A proof by picture

A shorter path
from v_0 to v_6 . A
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A Basic Strategy

Explore vertices in increasing order of distance from s :
(For simplicity assume that nodes are at different distances from s and that no edge has zero length)

Initialize for each node v , $\text{dist}(s, v) = \infty$

Initialize $S = \emptyset$,

for $i = 1$ to $|V|$ **do**

(* Invariant: S contains the $i - 1$ closest nodes to s *)

Among nodes in $V \setminus S$, find the node v that is the
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Update $\text{dist}(s, v)$

$S = S \cup \{v\}$

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What do we know about the i th closest node?

Claim

Let P be a shortest path from s to v where v is the i th closest node. Then, all intermediate nodes in P belong to S .

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If P had an intermediate node u not in S then u will be closer to s than v . Implies v is not the i th closest node to s - recall that S already has the $i - 1$ closest nodes. □

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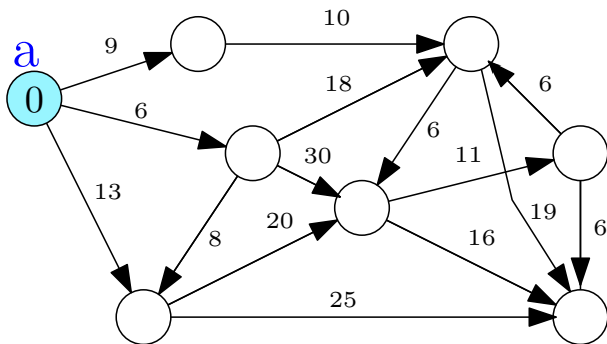
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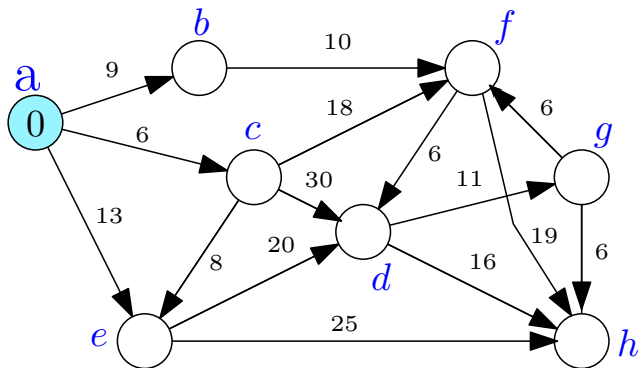
Finding the i th closest node repeatedly

An example



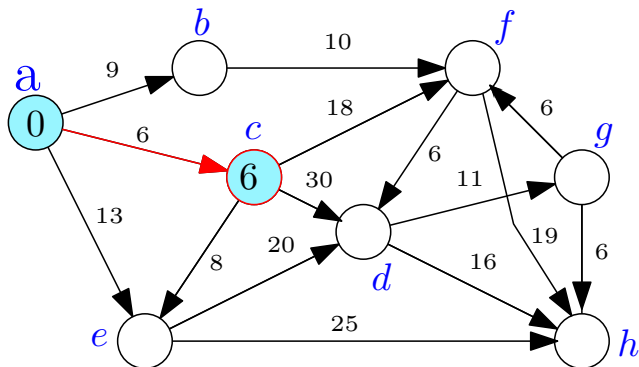
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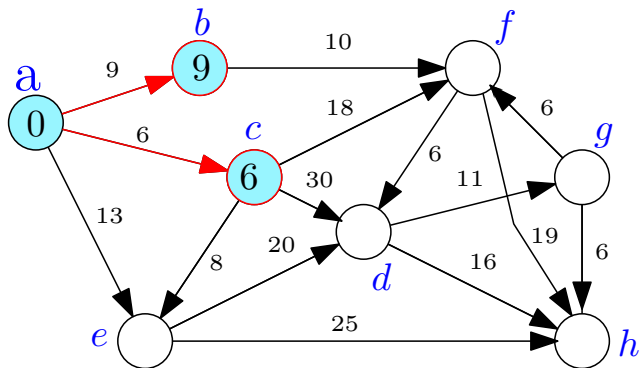
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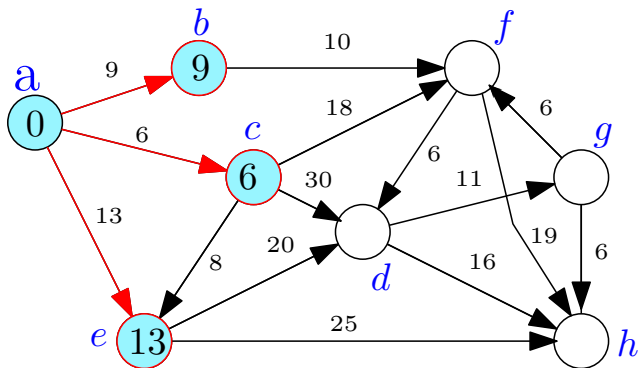
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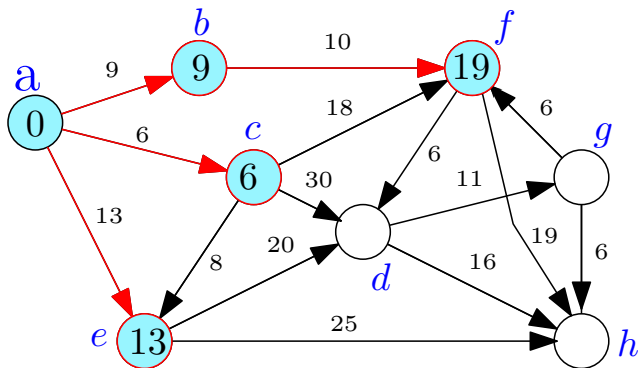
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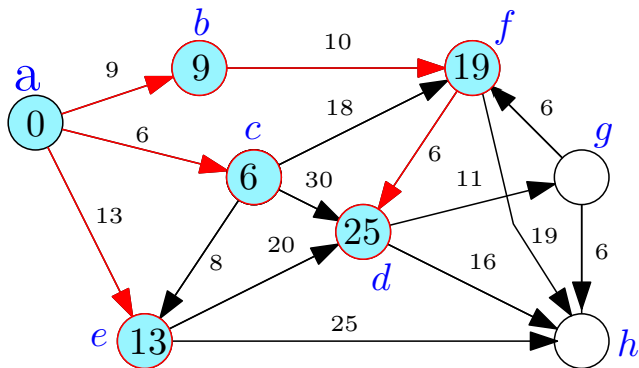
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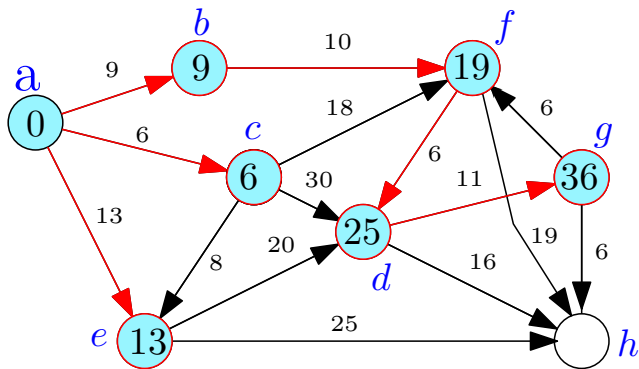
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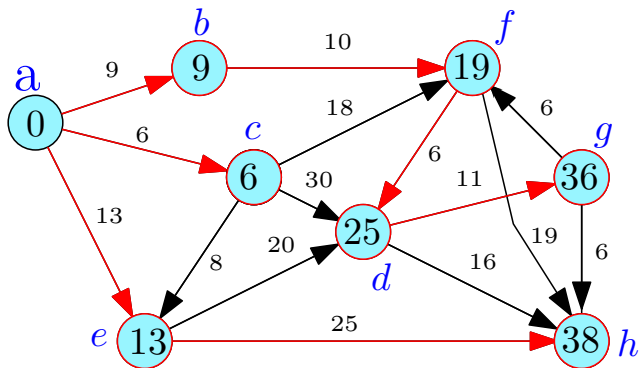
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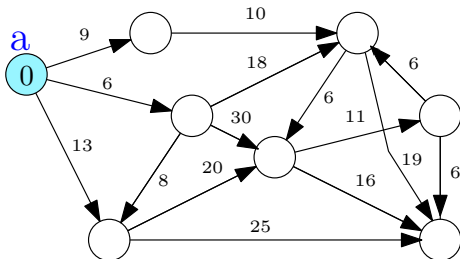


Finding the i th closest node repeatedly

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Finding the i th closest node



Corollary

The i th closest node is adjacent to S .

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- 2 Want to find the i th closest node from $V - S$.
- 3 For each $u \in V \setminus S$ let $P(s, u, S)$ be a shortest path from s to u using only nodes in S as intermediate vertices.
- 4 Let $d'(s, u)$ be the length of $P(s, u, S)$
- 5 Observations: for each $u \in V - S$,
 - 1 $\text{dist}(s, u) \leq d'(s, u)$ since we are constraining the paths
 - 2 $d'(s, u) = \min_{a \in S} (\text{dist}(s, a) + \ell(a, u))$ - Why?

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- 6 If v is the i th closest node to s , then $d'(s, v) = \text{dist}(s, v)$.

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Finding the i th closest node

Lemma

Given:

- ① S : Set of $i - 1$ closest nodes to s .
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If v is an i th closest node to s , then $d'(s, v) = \text{dist}(s, v)$.

Proof.

Let v be the i th closest node to s . Then there is a shortest path P from s to v that contains only nodes in S as intermediate nodes (see previous claim). Therefore $d'(s, v) = \text{dist}(s, v)$. \square

Finding the i th closest node

Lemma

If v is an i th closest node to s , then $d'(s, v) = \text{dist}(s, v)$.

Corollary

The i th closest node to s is the node $v \in V - S$ such that $d'(s, v) = \min_{u \in V - S} d'(s, u)$.

Proof.

For every node $u \in V - S$, $\text{dist}(s, u) \leq d'(s, u)$ and for the i th closest node v , $\text{dist}(s, v) = d'(s, v)$. Moreover, $\text{dist}(s, u) \geq \text{dist}(s, v)$ for each $u \in V - S$. □

Candidate algorithm for shortest path

Initialize for each node v : $\text{dist}(s, v) = \infty$

Initialize $S = \emptyset$, $d'(s, s) = 0$

for $i = 1$ to $|V|$ **do**

(* Invariant: S contains the $i-1$ closest nodes to s *)

(* Invariant: $d'(s, u)$ is shortest path distance from u to s using only S as intermediate nodes*)

Let v be such that $d'(s, v) = \min_{u \in V - S} d'(s, u)$

$\text{dist}(s, v) = d'(s, v)$

$S = S \cup \{v\}$

for each node u in $V \setminus S$ **do**

$d'(s, u) \leftarrow \min_{a \in S} (\text{dist}(s, a) + \ell(a, u))$

Correctness: By induction on i using previous lemmas.

Running time: $O(n \cdot (n + m))$ time.

- 1 n outer iterations. In each iteration, $d'(s, u)$ for each u by scanning all edges out of nodes in S ; $O(m + n)$ time/iteration.

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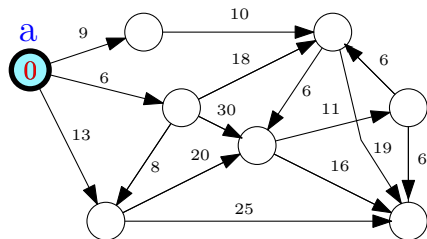
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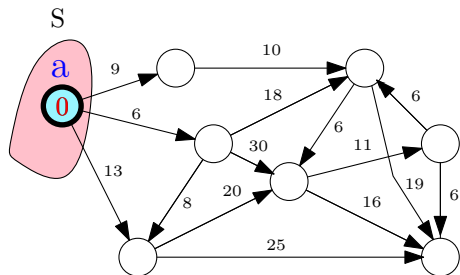
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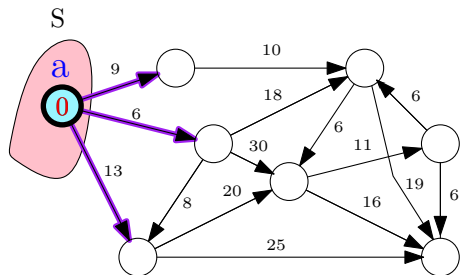
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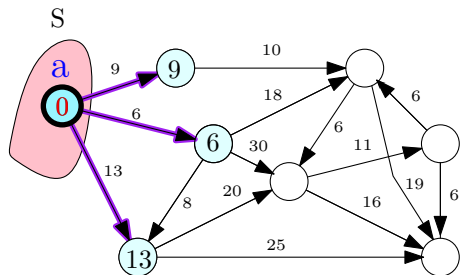
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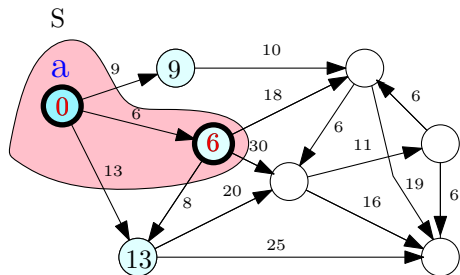
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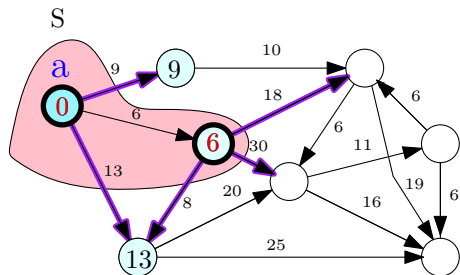
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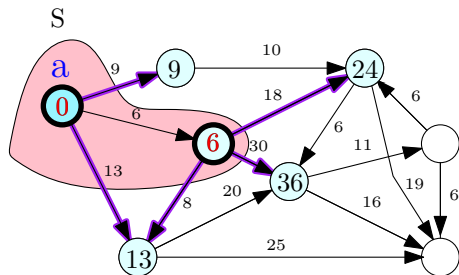
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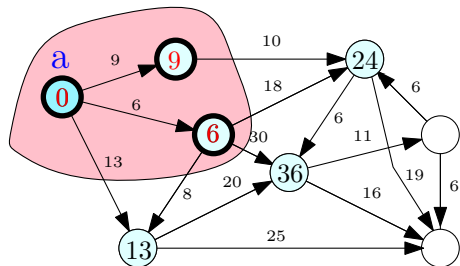
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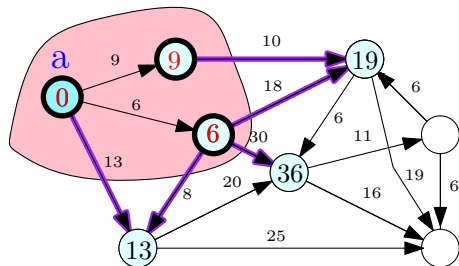
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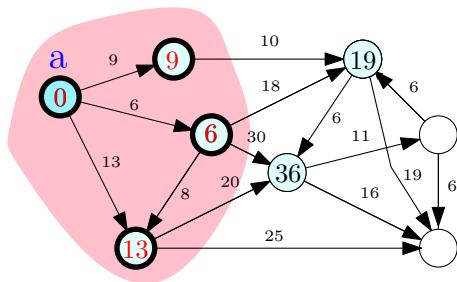
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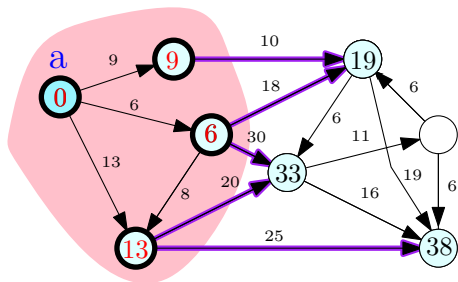
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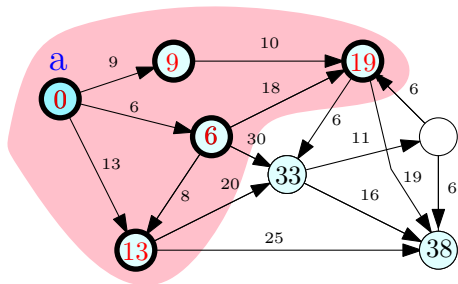
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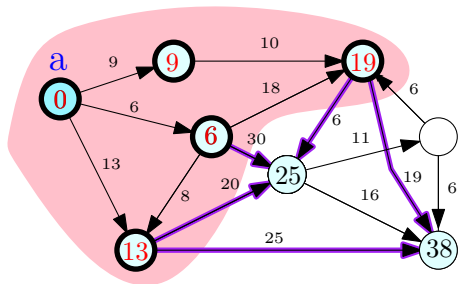
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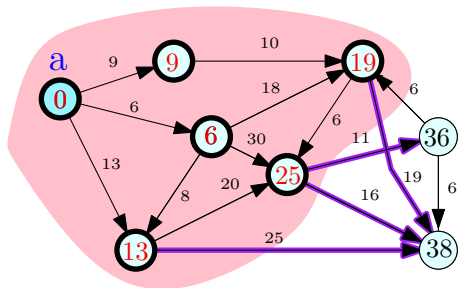
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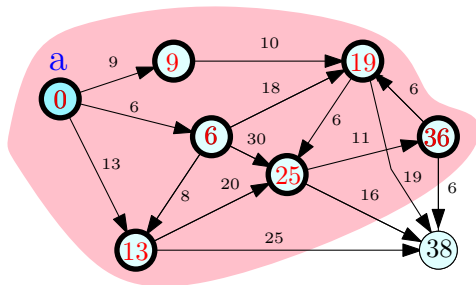
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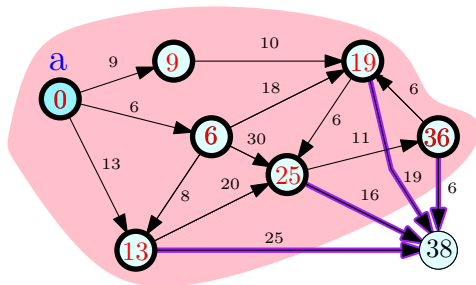
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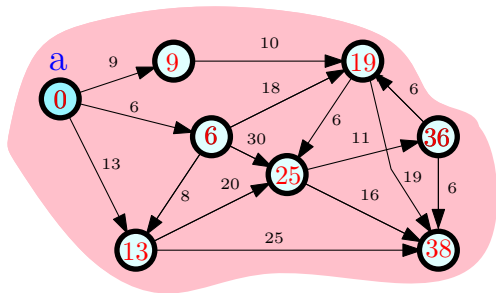
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Example



Improved Algorithm

- 1 Main work is to compute the $d'(s, u)$ values in each iteration
- 2 $d'(s, u)$ changes from iteration i to $i + 1$ only because of the node v that is added to S in iteration i .

Initialize for each node v , $\text{dist}(s, v) = d'(s, v) = \infty$

Initialize $S = \emptyset$, $d'(s, s) = 0$

for $i = 1$ to $|V|$ do

 // S contains the $i - 1$ closest nodes to s ,

 // and the values of $d'(s, u)$ are current

v be node realizing $d'(s, v) = \min_{u \in V - S} d'(s, u)$

$\text{dist}(s, v) = d'(s, v)$

$S = S \cup \{v\}$

 Update $d'(s, u)$ for each u in $V - S$ as follows:

$$d'(s, u) = \min(d'(s, u), \text{dist}(s, v) + \ell(v, u))$$

Running time: $O(m + n^2)$ time.

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- 1 n outer iterations and in each iteration following steps
- 2 updating $d'(s, u)$ after v added takes $O(\text{deg}(v))$ time so total work is $O(m)$ since a node enters S only once
- 3 Finding v from $d'(s, u)$ values is $O(n)$ time

Dijkstra's Algorithm

- 1 eliminate $d'(s, u)$ and let $\text{dist}(s, u)$ maintain it
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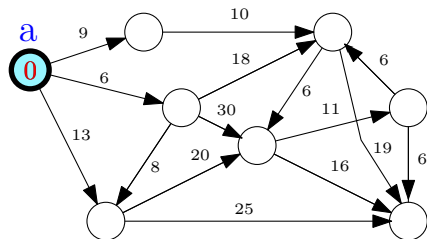
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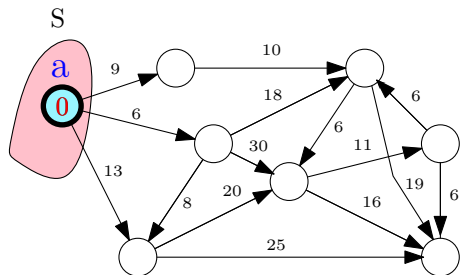
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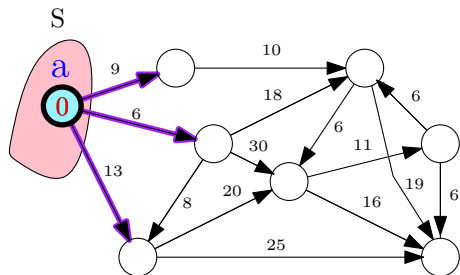
Example: Dijkstra algorithm in action



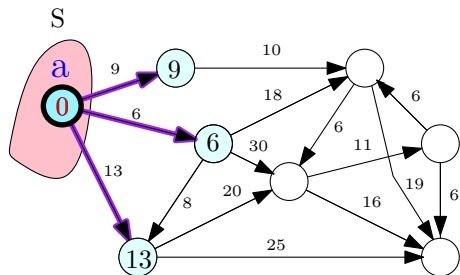
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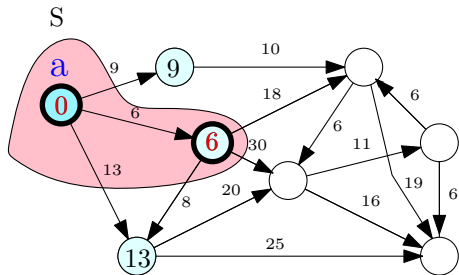
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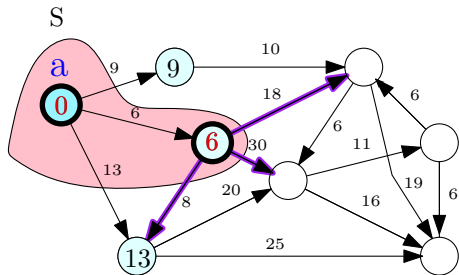
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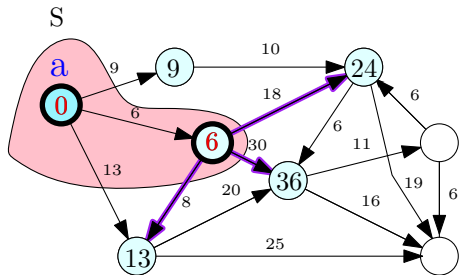
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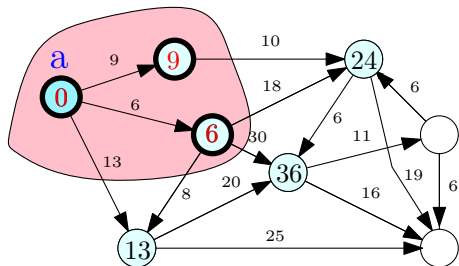
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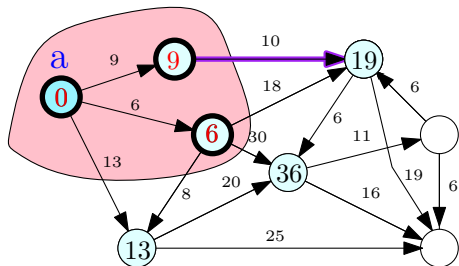
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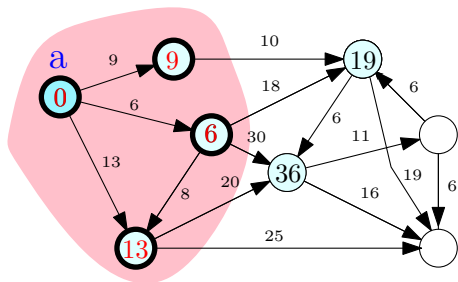
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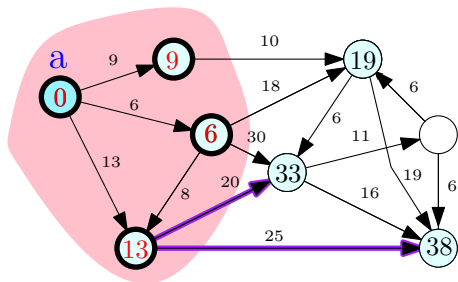
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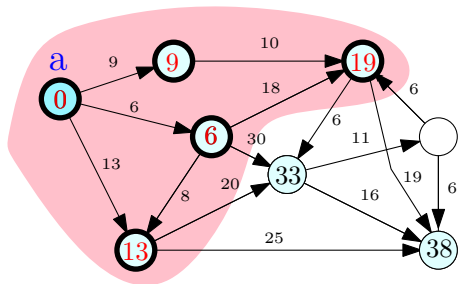
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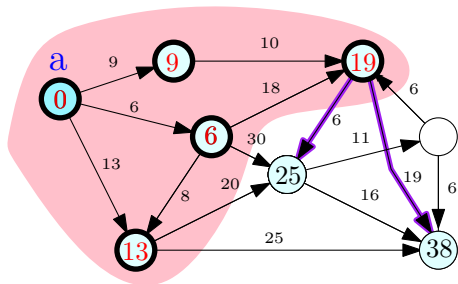
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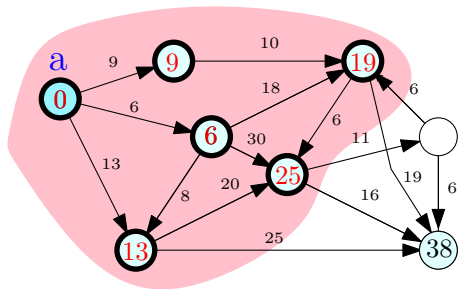
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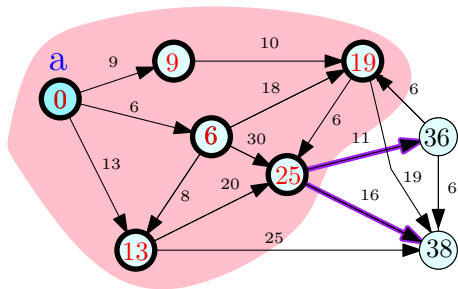
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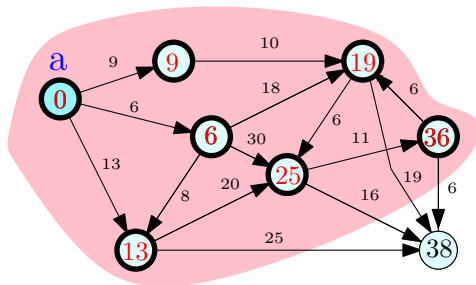
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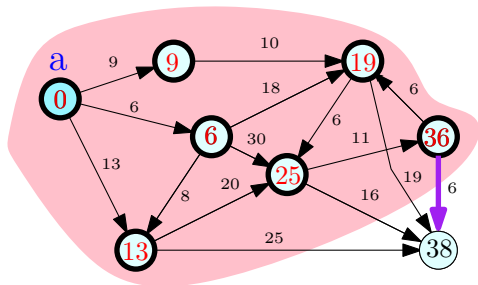
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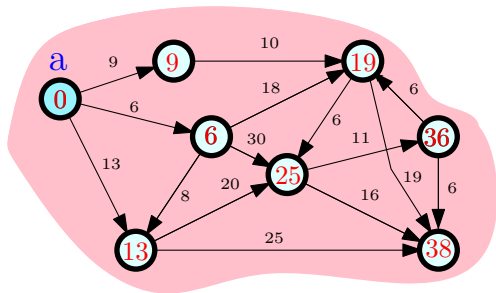
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Priority Queues

Data structure to store a set S of n elements where each element $v \in S$ has an associated real/integer key $k(v)$ such that the following operations:

- 1 **makePQ**: create an empty queue.
- 2 **findMin**: find the minimum key in S .
- 3 **extractMin**: Remove $v \in S$ with smallest key and return it.
- 4 **insert**($v, k(v)$): Add new element v with key $k(v)$ to S .
- 5 **delete**(v): Remove element v from S .
- 6 **decreaseKey**($v, k'(v)$): *decrease* key of v from $k(v)$ (current key) to $k'(v)$ (new key). Assumption: $k'(v) \leq k(v)$.
- 7 **meld**: merge two separate priority queues into one.

All operations can be performed in $O(\log n)$ time.

decreaseKey is implemented via **delete** and **insert**.

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Dijkstra's Algorithm using Priority Queues

```
 $Q \leftarrow \text{makePQ}()$   
 $\text{insert}(Q, (s, 0))$   
for each node  $u \neq s$  do  
     $\text{insert}(Q, (u, \infty))$   
 $S \leftarrow \emptyset$   
for  $i = 1$  to  $|V|$  do  
     $(v, \text{dist}(s, v)) = \text{extractMin}(Q)$   
     $S = S \cup \{v\}$   
    for each  $u$  in  $\text{Adj}(v)$  do  
         $\text{decreaseKey}([\ ]Q, (u, \min(\text{dist}(s, u), \text{dist}(s, v) + \ell(v, u))))$ .
```

Priority Queue operations:

- ① $O(n)$ **insert** operations
- ② $O(n)$ **extractMin** operations
- ③ $O(m)$ **decreaseKey** operations

Implementing Priority Queues via Heaps

Using Heaps

Store elements in a heap based on the key value

- 1 All operations can be done in $O(\log n)$ time

Dijkstra's algorithm can be implemented in $O((n + m) \log n)$ time.

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- 1 Dijkstra's algorithm can be implemented in $O(n \log n + m)$ time. If $m = \Omega(n \log n)$, running time is linear in input size.
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- 1 **extractMin**, **delete** in $O(\log n)$ time.
- 2 **insert** in $O(1)$ amortized time.
- 3 **decreaseKey** in $O(1)$ amortized time: ℓ **decreaseKey** operations for $\ell \geq n$ take together $O(\ell)$ time
- 4 Relaxed Heaps: **decreaseKey** in $O(1)$ worst case time but at the expense of **meld** (not necessary for Dijkstra's algorithm)

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Shortest Path Tree

Dijkstra's algorithm finds the shortest path distances from s to V .

Question: How do we find the paths themselves?

```
Q = makePQ()
insert(Q, (s, 0))
prev(s) ← null
for each node  $u \neq s$  do
    insert(Q, (u,  $\infty$ ))
    prev(u) ← null

S =  $\emptyset$ 
for  $i = 1$  to  $|V|$  do
    ( $v$ ,  $\text{dist}(s, v)$ ) = extractMin(Q)
    S = S  $\cup$  { $v$ }
    for each  $u$  in Adj( $v$ ) do
        if ( $\text{dist}(s, v) + \ell(v, u) < \text{dist}(s, u)$ ) then
            decreaseKey(Q, ( $u$ ,  $\text{dist}(s, v) + \ell(v, u)$ ))
            prev(u) = v
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            decreaseKey(Q, (u, dist( $s$ ,  $v$ ) +  $\ell(v, u)$ ))
            prev(u) =  $v$ 
```

Shortest Path Tree

Lemma

The edge set $(u, \text{prev}(u))$ is the reverse of a shortest path tree rooted at s . For each u , the reverse of the path from u to s in the tree is a shortest path from s to u .

Proof Sketch.

- 1 The edge set $\{(u, \text{prev}(u)) \mid u \in V\}$ induces a directed in-tree rooted at s (Why?)
- 2 Use induction on $|S|$ to argue that the tree is a shortest path tree for nodes in V .



Shortest paths to s

Dijkstra's algorithm gives shortest paths from s to all nodes in V .
How do we find shortest paths from all of V to s ?

- 1 In undirected graphs shortest path from s to u is a shortest path from u to s so there is no need to distinguish.
- 2 In directed graphs, use Dijkstra's algorithm in G^{rev} !

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