

1. Suppose we initialize an array  $A[1..n]$  by setting  $A[i] = i$  for all  $i$ , and then randomly shuffle the array. After shuffling, each of the  $n!$  possible permutations of the array  $A$  is equally likely. An index  $i$  is called a **fixed point** of the shuffling permutation if  $A[i] = i$ .

Let  $X$  denote the number of fixed points of this random permutation.

- (a) What is the exact value of  $E[X]$ ? [Hint: Express  $X$  as a sum of indicator variables.]  
 (b) What is the exact value of  $E[X^2]$ ? [Hint: What is the probability that two indices  $i$  and  $j$  are both fixed points?]

**Prove** that both of your answers are correct.

2. A *multilinear polynomial* is a sum of *terms*, where each term is a product of *distinct* variables and a non-zero real coefficient. The degree of a single term is the number of variables it contains. For example, the expression

$$x_2 - 5x_1x_4 + 6x_2x_3 - 8x_1x_2x_3x_4$$

is a multilinear polynomial with three terms, which have degrees 1, 2, 2, and 4.

Let  $P$  be a multilinear polynomial with  $n$  variables  $x_1, \dots, x_n$  and  $m$  terms, where the degree of every term is exactly  $n/10$ . We call a set of variables  $H \subseteq \{x_1, \dots, x_n\}$  a **hitting set** for  $P$  if  $H$  contains at least one variable from each term of  $P$ .

Suppose we randomly generate  $H$  by independently including each variable  $x_i$  with probability  $p = (c \log m)/n$ , for some constant  $c \geq 1000$ .

- (a) **Prove** that for any fixed term in  $P$ , the set  $H$  contains at least one variable from that term with probability at least  $1 - 1/m^3$ . [Hint: First compute the probability exactly, and then use the world's most useful inequality.]  
 (b) **Prove** that  $H$  contains at most  $c^2 \log m$  variables with probability at least  $1 - 1/m^2$ .  
 (c) **Prove** that  $H$  is a hitting set of size  $O(\log m)$  with probability at least  $1 - 1/m$ .

You may choose any constant value  $c \geq 1000$  to make your calculations easier.

3. Suppose you have a set  $X$  of  $n$  items from some universe  $\mathcal{U}$  that you want to store in a simple array  $A[1..n]$ , so that later you can look up elements of  $X$  in worst-case constant time.

Your manager really dislikes hash tables; randomized algorithms make them nervous. Instead, they suggest that you use five carefully engineered *access functions*  $h_1, h_2, h_3, h_4, h_5$ , each of which takes an element of  $\mathcal{U}$  as input and returns an integer between 1 and  $n$  as output, in constant time.

These access functions are **flawless** if it is possible to store each element  $x \in X$  at one of the five addresses  $A[h_1(x)]$ ,  $A[h_2(x)]$ ,  $A[h_3(x)]$ ,  $A[h_4(x)]$ , or  $A[h_5(x)]$ , with no collisions—each array entry  $A[i]$  must store exactly one element of  $X$ .

Describe and analyze an algorithm to determine whether the given access functions are flawless. The input to your algorithm is the set  $X$  and the access functions  $h_1, h_2, h_3, h_4, h_5$ .

Question 4 is on the other side of this page.

4. Recall that a *3CNF formula* is a boolean formula in conjunctive normal form with three literals per clause. Specifically:
- A *literal* is either a variable  $x_i$  or its negation  $\neg x_i$ .
  - A *clause* is the disjunction (OR) of exactly three literals, using three distinct variables.
  - Finally, a 3CNF formula is the conjunction (AND) of one or more clauses.

For example, the following expression is a 3CNF formula with four variables and four clauses:

$$(\neg x_1 \vee x_2 \vee x_3) \wedge (x_1 \vee \neg x_2 \vee x_4) \wedge (\neg x_1 \vee \neg x_3 \vee x_4) \wedge (x_2 \vee x_3 \vee \neg x_4).$$

This formula evaluates to TRUE under the assignment

$$x_1 = \text{FALSE}, x_2 = \text{TRUE}, x_3 = \text{TRUE}, x_4 = \text{TRUE}.$$

Suppose you are given a 3CNF formula with  $n$  variables  $x_1, \dots, x_n$  and  $m$  clauses, where  $m \geq 100$ .

- (a) Suppose we independently assign each variable  $x_i$  to be TRUE or FALSE with equal probability. A clause is *satisfied* by this assignment if the clause evaluates to TRUE; otherwise, the clause is *unsatisfied*. What is the exact expected number of *unsatisfied* clauses under this assignment? [Hint: Express the number of unsatisfied clauses in terms of indicator variables.]
- (b) **Prove** that the probability that at least  $m/8 + 1$  clauses are unsatisfied is at most  $1 - C/m$  for some constant  $C$ . [Hint: You may use that  $\frac{1}{1+t} \geq \frac{1}{2t}$  for all  $t \geq 1$ .]
- (c) Part (b) implies that under a random assignment, the number of satisfied clauses is at least  $7m/8$  with probability at least  $C/m$ . Using this fact, describe an *efficient* randomized algorithm that *always* finds an assignment that satisfies at least  $7m/8$  clauses, and analyze its expected running time.