

# HW 10 (due Monday, 6pm, November 30, 2015)

NEW CS 473: Theory II, Fall 2015

Version: 1.2

**Collaboration Policy:** This homework can be worked in groups of up to three students. Submission is online on moodle.

## 1. (50 PTS.) Adding numbers.

In the following we work with multisets. For an element  $x$  in a multiset  $X$ , we denote by  $\#_X(x)$  its **multiplicity** in  $X$ . For a multiset  $S$ , we denote by  $\text{set}(S)$  the set of distinct elements appearing in  $S$ . The **size** of a multiset  $S$  is the number of distinct elements in  $S$  (i.e.,  $|\text{set}(S)|$ ). The **cardinality** of  $S$ , denoted by  $\text{card}(S) = \sum_{s \in S} \#_S(s)$ .<sup>1</sup>

We use  $\llbracket x : y \rrbracket = \{x, x+1, \dots, y\}$  to denote the set of integers in the interval  $[x, y]$ . Similarly,  $\llbracket \mathbf{U} \rrbracket = \llbracket 1 : \mathbf{U} \rrbracket$ .

Abusing notation, we denote by  $S \cap \llbracket x : y \rrbracket$  the multiset resulting from removing from  $S$  all the elements that are outside  $\llbracket x : y \rrbracket$ . We denote that a multiset  $S$  has all its elements in the interval  $\llbracket x : y \rrbracket$  by  $S \subseteq \llbracket x : y \rrbracket$ .

For two multisets  $X$  and  $Y$ , we denote by  $X \oplus Y$  the multiset with the ground set being

$$\{x + y \mid x \in X \text{ and } y \in Y\},$$

where the multiplicity of  $x + y$  is the number of different ways to get this sum (in particular,  $x \in X$  and  $y \in Y$  contribute the element  $x + y$  with multiplicity  $\#_X(x) \cdot \#_Y(y)$  to the resulting multiset).

For a multiset  $S$  of integers, let  $\Sigma_S = \sum_{\alpha \in S} \#_S(\alpha) * \alpha$  denote the total **sum** of the elements of  $S$ . The multiset of all **subset sums** is

$$\Sigma(S) = \text{set}(\{\Sigma_T \mid T \subseteq S\}).$$

Here, we would be interested in the subset sums up to  $\mathbf{U}$ ; that is  $\Sigma_{\leq \mathbf{U}}(S) = \Sigma(S) \cap \llbracket 0 : \mathbf{U} \rrbracket$ . Formally, we want to compute  $\text{set}(\Sigma_{\leq \mathbf{U}}(S))$ .

- (A) (5 PTS.) Let  $S \subseteq \llbracket \mathbf{U} \rrbracket$  be a multiset of cardinality  $n$ . Describe an algorithm that computes, in  $O(n\mathbf{U})$  time, the set of subset sums up to  $\mathbf{U}$ ; that is,  $\text{set}(\Sigma_{\leq \mathbf{U}}(S))$ .
- (B) (5 PTS.) Given two sets  $S, T \subseteq \llbracket \mathbf{U} \rrbracket$ , present an algorithm that computes  $S \oplus T$  in  $O(\mathbf{U} \log \mathbf{U})$  time.
- (C) (5 PTS.) Given a multiset  $S$  of non-negative integers of cardinality  $n$ , present an algorithm that, in  $O(n \log n)$  time, computes a multiset  $T$ , such that  $\text{set}(\Sigma(S)) = \text{set}(\Sigma(T))$ , and no number appears in  $T$  more than twice.
- (D) (5 PTS.) Given a multiset  $X \subseteq \llbracket \Delta \rrbracket$ , of cardinality  $n$ , show how to compute the  $\Sigma(X)$  in time  $O(n\Delta \log(n\Delta) \log n)$ .
- (E) (5 PTS.) Given a multiset  $S \subseteq \llbracket x : 2x \rrbracket$  of cardinality  $n'$ , which is at most  $\lfloor \mathbf{U}/x \rfloor$ , show how to compute all possible subset sums of  $S$ , in time  $O(\mathbf{U} \log \mathbf{U} \log n')$ .
- (F) (5 PTS.) Given a multiset  $S \subseteq \llbracket x : 2x \rrbracket$  of cardinality  $n \geq \mathbf{U}/x$ , show how to compute all possible subset sums of  $S$  that are at most  $\mathbf{U}$  (i.e.,  $\Sigma_{\leq \mathbf{U}}(S)$ ). The running time of your algorithm should be  $O(nx \log^2 \mathbf{U})$ . (Hint: Use (1E).)
- (G) (10 PTS.) [Hard.] Given a set  $S \subseteq \llbracket x : x + \ell \rrbracket$  of cardinality  $n$ , show an algorithm that compute all possible subset sums of multisets of cardinality at most  $t$  in  $S$ , in time  $O(n\ell t \log(n\ell t) \log n)$ .
- (H) (10 PTS.) [Hard.] Given a multiset  $S \subseteq \llbracket \mathbf{U} \rrbracket$  of cardinality  $n$ , show how to compute  $\Sigma_{\leq \mathbf{U}}(S)$  in  $O(\mathbf{U}\sqrt{n} \log^2 \mathbf{U})$  time. For credit, your solution has to be self contained, and use the above. (Hint: Partition the set  $S$  into intervals  $I_i = \llbracket a_i : b_i \rrbracket$ , for  $i = 1, \dots, t$  (for some  $t$ ), and compute the subset sums of the sets  $S_i = S \cap \llbracket a_i : b_i \rrbracket$  using the above. Then combine them into subsets sums of

<sup>1</sup>For more information about multisets, see wikipedia.

all the numbers of  $S$ , again using the above. Observe that for  $S_i$  we care only about subsets sums involving at most  $U/a_i$  terms (why?).)

In the above, you can assume that  $n < U$ .

## 2. (25 PTS.) Sorting networks stuff

(A) (5 PTS.) Prove that an  $n$ -input sorting network must contain at least one comparator between the  $i$ th and  $(i + 1)$ st lines for all  $i = 1, 2, \dots, n - 1$ .

(B) (10 PTS.) Prove that in a sorting network for  $n$  inputs, there must be at least  $\Omega(n \log n)$  gates. For full credit, your answer should be short, and self contained (i.e., no reduction please).

[As an exercise, you should think why your proof does not imply that a regular sorting algorithm takes  $\Omega(n \log n)$  time in the worst case.]

(C) (5 PTS.)

Suppose that we have  $2n$  elements  $\langle a_1, a_2, \dots, a_{2n} \rangle$  and wish to partition them into the  $n$  smallest and the  $n$  largest. Prove that we can do this in constant additional depth after separately sorting  $\langle a_1, a_2, \dots, a_n \rangle$  and  $\langle a_{n+1}, a_{n+2}, \dots, a_{2n} \rangle$ .

(D) (5 PTS.)

Let  $S(k)$  be the depth of a sorting network with  $k$  inputs, and let  $M(k)$  be the depth of a merging network with  $2k$  inputs. Suppose that we have a sequence of  $n$  numbers to be sorted and we know that every number is within  $k$  positions of its correct position in the sorted order, which means that we need to move each number at most  $(k - 1)$  positions to sort the inputs. For example, in the sequence 3 2 1 4 5 8 7 6 9, every number is within 3 positions of its correct position. But in sequence 3 2 1 4 5 9 8 7 6, the number 9 and 6 are outside 3 positions of its correct position.

Show that we can sort the  $n$  numbers in depth  $S(k) + 2M(k)$ . (You need to prove your answer is correct.)

## 3. (25 PTS.) Computing Polynomials Quickly

In the following, assume that given two polynomials  $p(x), q(x)$  of degree at most  $n$ , one can compute the polynomial remainder of  $p(x) \bmod q(x)$  in  $O(n \log n)$  time. The **remainder** of  $r(x) = p(x) \bmod q(x)$  is the unique polynomial of degree smaller than this of  $q(x)$ , such that  $p(x) = q(x) * d(x) + r(x)$ , where  $d(x)$  is a polynomial.

Let  $p(x) = \sum_{i=0}^{n-1} a_i x^i$  be a given polynomial.

(A) (6 PTS.) Prove that  $p(x) \bmod (x - z) = p(z)$ , for all  $z$ .

(B) (6 PTS.) We want to evaluate  $p(\cdot)$  on the points  $x_0, x_1, \dots, x_{n-1}$ . Let

$$P_{ij}(x) = \prod_{k=i}^j (x - x_k)$$

and

$$Q_{ij}(x) = p(x) \bmod P_{ij}(x).$$

Observe that the degree of  $Q_{ij}$  is at most  $j - i$ .

Prove that, for all  $x$ ,  $Q_{kk}(x) = p(x_k)$  and  $Q_{0,n-1}(x) = p(x)$ .

(C) (6 PTS.) Prove that for  $i \leq k \leq j$ , we have

$$\forall x \quad Q_{ik}(x) = Q_{ij}(x) \bmod P_{ik}(x)$$

and

$$\forall x \quad Q_{kj}(x) = Q_{ij}(x) \bmod P_{kj}(x).$$

(D) (7 PTS.) Given an  $O(n \log^2 n)$  time algorithm to evaluate  $p(x_0), \dots, p(x_{n-1})$ . Here  $x_0, \dots, x_{n-1}$  are  $n$  given real numbers.