### CS447: Natural Language Processing

http://courses.grainger.illinois.edu/cs447

## Lecture 01: Introduction

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## Welcome to CS447!

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### TAs:

Marc Canby Fred Choi Rajarshi Haldar Prashant Jayannavar

## What is CS447?

This class is a broad introduction to NLP

Target audience: Advanced undergraduates Graduate students

### What's new this semester?

We're back in person for the first time since Fall 2019 We're trying to incorporate new elements that we've developed for the online/Coursera version of this class We're switching to Canvas We're updating some of the class content

## Why should you take this class?

### NLP is an (increasingly) important area

NLP is now good enough for real-world applications. There is a huge growth in NLP companies and NLP jobs (in many industries)

NLP is far from solved (despite much recent progress) There is still a lot that remains to be done!

### Doing NLP well requires a broad mix of knowledge:

- What is natural language?
- What about natural language is challenging for computers?
- What kind of data, algorithms, machine learning approaches can we use (and which ones do we need to develop)?

## What will you learn in this class?

### - What is NLP?

The core **tasks** (as well as **data sets** and **evaluation metrics**) that people work on in NLP

### - How does NLP work?

The fundamental **models**, **algorithms** and **representations** that have been developed for these tasks

### - Why is NLP hard?

The relevant linguistic concepts and phenomena that have to be handled to do well at these tasks

## NLP is necessary to...

... **analyze** text automatically at scale (text = news, documents, social media, search queries,...)

... translate automatically between languages (language = English, Chinese, Arabic, Hindi, etc.)

... **communicate** naturally with systems/devices (systems/devices = robots, computers, costumer support, digital assistants, smart devices, navigation systems,...)

## The focus of this class

We want to identify the structure and meaning of words, sentences, texts and conversations - N.B.: we do not deal with speech/audio (no signal processing)

We mainly deal with **language analysis**/understanding, and somewhat less with language generation/production

## We focus on **fundamental concepts**, **methods**, **models**, **tasks and algorithms**, not so much on current research:

- Data (natural language): Linguistic concepts and phenomena
- Representations: Grammars, automata, embeddings/vectors, ...
- Tasks: Analysis, generation, translation, ...
- Models: Neural models, statistical models, ...

## What you should learn

You should be able to answer the following questions:

- -What makes natural language difficult for computers?
- -What are the core NLP tasks?
- -What are the main modeling techniques used in NLP?

We won't be able to cover all of the latest research... (this requires more time, and a much stronger background in machine learning than we can assume for this class)

... but I would still like you to get an understanding of:

- -How well does current NLP technology work (or not)?
- -What NLP software and datasets are available?
- -How to read NLP research papers [4 credits section]

## Our Syllabus (tentative)

Week 1: Introduction

- Week 2: The Structure and Distribution of Words
- Week 3: Classification for NLP
- Week 4: The Meaning of Words
- Week 5: Introduction to Neural Networks for NLP
- Week 6: POS Tagging and Sequence Labeling
- Week 7: Neural Sequence Models
- Week 8: Machine Translation and Large Language Models
- Week 9: The Structure of Sentences
- Week 10: The Structure and Meaning of Sentences
- Week 11: Relations, Events, Times
- Week 12: Semantic Roles, Referring Expressions
- Week 13: Discourse Coherence, Question Answering
- Week 14: Dialogue and Grounded NLP

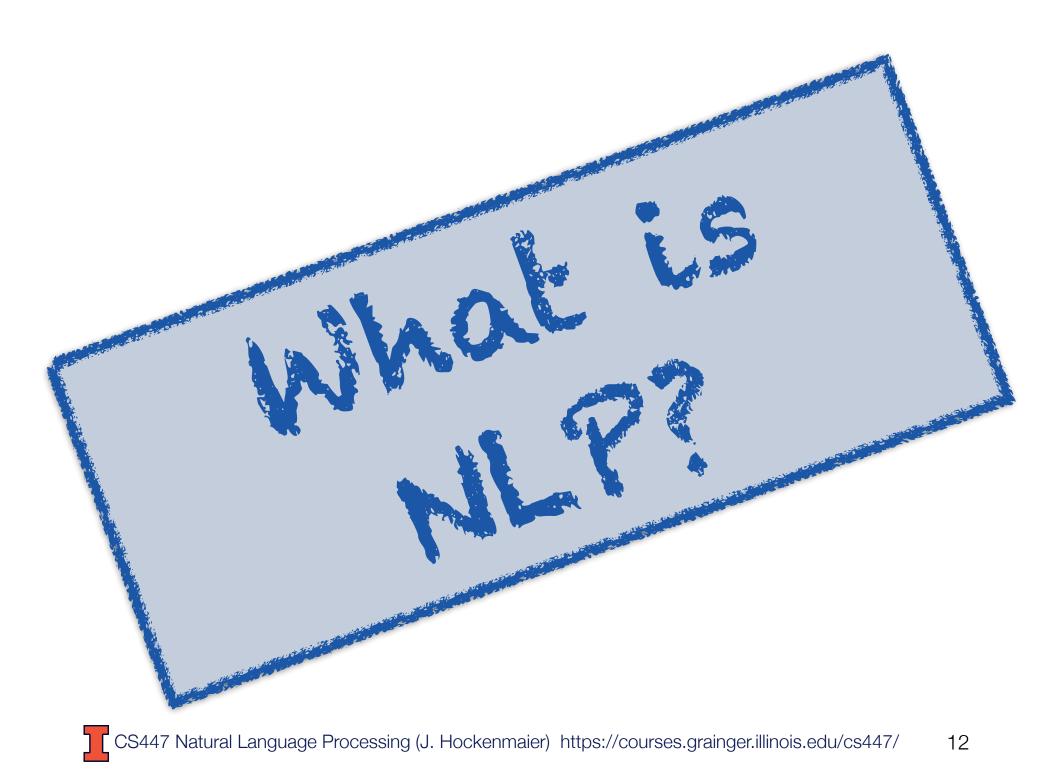
Week 15: Ethics in NLP

## Our Syllabus and Textbook

You can find brief descriptions of our syllabus at <u>https://courses.grainger.illinois.edu/cs447/</u>

### Our Textbook:

We loosely follow Jurafsky and Martin, Speech and Language Processing 3rd ed. (January 2023 version) https://web.stanford.edu/~jurafsky/slp3/



## In Science Fiction (Kubricks' 1968 movie 2001: A Space Odyssey)

A conversation onboard the Discovery One spacecraft between HAL 9000 (a sentient computer developed in Urbana, IL) and Dave, a human astronaut: <u>https://en.wikipedia.org/wiki/HAL\_9000</u>

Dave: Open the pod bay doors, please, HAL. Open the pod bay doors, please, HAL. Hello, HAL, do you read me? Hello, HAL, do you read me? Do you read me, HAL? Do you read me, HAL? Hello, HAL, do you read me? Hello, HAL, do you read me? Do you read me, HAL? HAL: Affirmative, Dave. I read you. Dave: Open the pod bay doors, HAL. HAL: I'm sorry, Dave. I'm afraid I can't do that. **Dave**: What's the problem? HAL: I think you know what the problem is just as well as I do. Dave: What are you talking about, HAL? HAL: This mission is too important for me to allow you to jeopardize it. Dave: I don't know what you're talking about, HAL. HAL: I know that you and Frank were planning to disconnect me. And I'm afraid that's something I cannot allow to happen. Dave: Where the hell did you get that idea, HAL? HAL: Dave, although you took very thorough precautions in the pod against my hearing you, I could see your lips move. Dave: All right, HAL. I'll go in through the emergency airlock. **HAL**: Without your space helmet, Dave, you're going to find that rather difficult. Dave: [sternly] HAL, I won't argue with you anymore. Open the doors. **HAL**: *[monotone voice]* Dave, this conversation can serve no purpose anymore. Good-bye.

#### https://en.wikiquote.org/wiki/2001: A Space\_Odyssey\_(film)#Dialogue

## In Science Fiction (Kubricks' 1968

### What are HAL's capabilities?

- HAL speaks fluent, natural English
- HAL's responses are appropriate in the context "Affirmative, I read you",
  - "I think you know what the problems is just as well as I do"
- HAL understands references to the physical world:
  - "Open the pod bay doors"
- HAL talks about what HAL sees:

*"I could see your lips move", "without your space helmet"* 

### - HAL reasons

"I can't do that",

"this mission is too important"

"I know that you and Frank were planning to disconnect me. And I'm afraid that's something I can't allow"

Ac HA and Dave Do yo HAL: Dave HAL:

https

# A real human-computer dialogue from the 1960s: ELIZA (Weizenbaum, 1966)

Computer: TELL ME MORE ABOUT YOUR FAMILY

Human: My mother takes care of me.

Computer: WHO ELSE IN YOUR FAMILY TAKES CARE OF YOU

Human: My father.

**Computer: YOUR FATHER** 

Human: You are like my father in some ways.

Computer: WHAT RESEMBLANCE DO YOU SEE

**Human:** You are not very aggressive but I think you don't want me to notice that.

**Computer:** WHAT MAKES YOU THINK I AM NOT VERY AGGRESSIVE

Human: You don't argue with me.

**Computer:** WHY DO YOU THINK I DON'T ARGUE WITH YOU **Human:** You are afraid of me.

## The Eliza Effect

### People *really* trusted this system:

Weizenbaum: "I had not realized ... that extremely short exposures to a relatively simple computer program could induce powerful delusional thinking in quite normal people"

ELIZA was based on a cascade of finite-state transducers: *Human:* You don't argue with me.

- 1. Replace **you** with **I** and **me** with **you**:
  - I don't argue with you.
- 2. Replace <...> with Why do you think <...>:

Why do you think I don't argue with you.

Computer: WHY DO YOU THINK I DON'T ARGUE WITH YOU

- How could you break a system like ELIZA?
- Where do you see the ELIZA effect today?

## In the 21st century, NLP remains in the news



## IBM's Watson wins at Jeopardy!



### https://www.youtube.com/watch?v=P18EdAKuC1U https://dl.acm.org/doi/10.1147/JRD.2012.2184356

# Have chatbots developed their own language?

Facebook AI Creates Its Own Language In Creepy Preview Of Our Potential Future

https://code.facebook.com/posts/1686672014972296/deal-or-nodeal-training-ai-bots-to-negotiate/

Bob: "I can can I I everything else." Alice: "Balls have zero to me to."

No, not really...

http://www.snopes.com/facebook-ai-developed-own-language/

## How well does that Barbie do?

- Barbie: "Do you have any sisters?"
- Child: "Yeah, I only have one."

### Barbie Wants to Get to Know Your Child

With the help of A.I., America's most famous doll tries to fulfill a timeless dream — convincing little girls that she's a real friend. What will happen if they believe her?

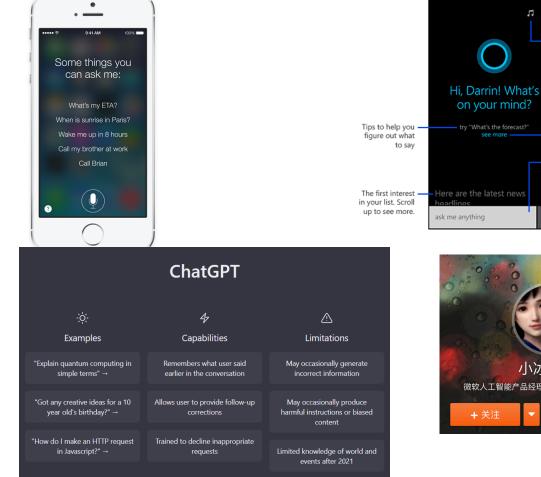
- Barbie: "What's something nice that your sister does for you?"
- Child: "She does nothing nice to me"
- Barbie: "Well, what is the last nice thing your sister did?"
- Child: "She helped me with my project — and then she destroyed it."
- Barbie: "Oh, yeah, tell me more!"
- Child: "That's it, Barbie,"
- Barbie: "Have you told your sister lately how cool she is?"
- Child: "No. She is not cool,"
- Barbie: "You never know, she might appreciate hearing it"

(Thanks to Barbara Grosz for pointing out the example from the NYT)

https://www.nytimes.com/2015/09/20/magazine/barbie-wants-to-get-to-know-your-child.html

# Dialog systems, chatbots, digital assistants







U

ц: Ш  Cortana's Notebook

> Listen for what song is playing

Link to more tips

and suggestions

Type a question, command, or

Cortana to do.

...or tap the

microphone to

search for



## **Machine Translation**

爷爷心疼孙女让娃睡懒觉 没想到引发了家庭矛盾

2020-08-25 08:06:03 来源: 钱江晚报

70岁的钟大伯(化名)陷入了"暑期焦虑":这个暑假,他每周都要接送孙女上下培训班。高温、酷暑,每天回来,都像脚踩棉花般没力气。

除了身体上的不适,还有精神上的紧张。

觉得儿子儿媳给孩子报班太多,钟大伯还和他们产生了冲突:"大热天的,大人孩 子都遭罪。"

这段时间,钟大伯因为容易激动发火,失眠,胃口差,血压一直不稳定,来到了浙 江省人民医院精神卫生科就诊。 Grandpa feels sorry for his granddaughter and let the baby sleep in

2020–08–25 08:06:03 Source: Qianjiang Evening News

Uncle Zhong (a pseudonym), 70, fell into "summer anxiety": This summer, he would shuttle his granddaughter to and from training classes every week. With high temperatures and scorching heat, every day I come back, I feel as weak as stepping on cotton.

In addition to physical discomfort, there is also mental tension.

Google Translate

Feeling that his son and daughter-in-law were reporting too much for their children, Uncle Zhong also had a conflict with them: "It's a hot day, adults and children suffer."

During this period of time, Uncle Zhong came to the Mental Health Department of Zhejiang Provincial People's Hospital because he was prone to get angry, insomnia, poor appetite, and unstable blood pressure.

#### http://education.news.cn/2020-08/25/c\_1210768533.htm

# Examples of NLP applications (What can NLP be used for?)

### Natural language (and speech) interfaces

Search/IR, database access, image search, image description Dialog systems (e.g. customer service, robots, cars, tutoring), chatbots

### Information extraction, summarization, translation:

Process (large amounts of) text automatically to obtain meaning/knowledge contained in the text Identify/analyze trends, opinions, etc. (e.g. in social media) Translate text automatically from one language to another

### Convenience:

Grammar/style checking, automate email filing, autograding

## Examples of NLP tasks

(What capabilities do NLP systems need?)

### Natural language understanding

Extract information (e.g. about entities, events or relations between them) from text

Translate raw text into a meaning representation

Reason about information given in text

**Execute NL instructions** 

### Natural language generation and summarization

Translate database entries or meaning representations to raw natural language text

Produce (appropriate) utterances/responses in a dialog

Summarize (newspaper or scientific) articles, describe images

### Natural language translation

Translate one natural language to another

# Generating text with huge language language Methodels...

How predictive-text technology could transform the future of the written word.

Language models can be used to generate (produce) text

Massive neural language models trained on vast amounts of text have been developed in the last few years. Most recent incarnation: GPT-3 (175B parameters, trained on 300B tokens).

But these models have no access to meaning, and don't "understand" much. See also Bender & Koller '20 for a critique

https://www.aclweb.org/anthology/2020.acl-main.463.pdf

Opinion

#### GPT-3, Bloviator: OpenAl's language generator has no idea what it's talking about

Tests show that the popular AI still has a poor grasp of reality.

by Gary Marcus and Ernest Davis

August 22, 2020

https://www.technologyreview.com/ 2020/08/22/1007539/gpt3-openailanguage-generator-artificialintelligence-ai-opinion/

#### Human Prompt (given to GPT-3)

At the party, I poured myself a glass of lemonade, but it turned out to be too sour, so I added a little sugar.

I didn't see a spoon handy, so I stirred it with a cigarette. But that turned out to be a bad idea because

#### [GPT-3's generated continuation]

it kept falling on the floor. That's when he decided to start the Cremation Association of North America, which has become a major cremation provider with 145 locations. from Marcus & Davis '20 https://www.technologyreview.com/2020/08/22/1007539/gpt3-openai-language-generator-artificialintelligence-ai-opinion/

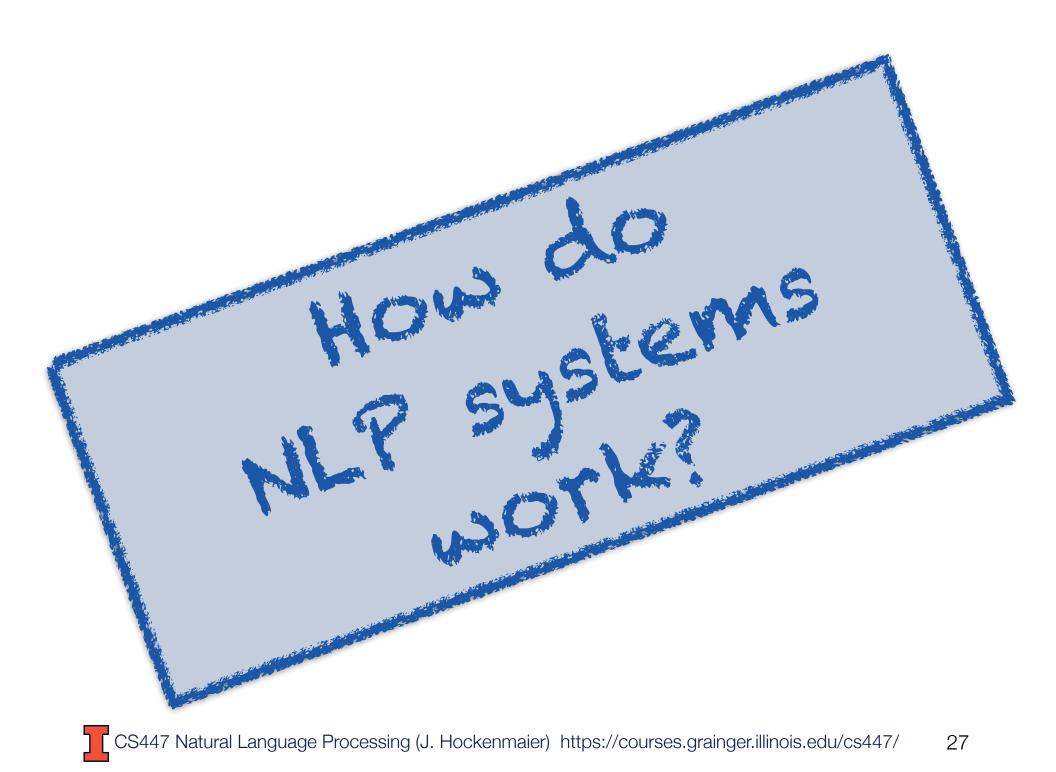
## What is the current state of NLP?

### Lots of commercial applications and interest.

Some applications are working pretty well already, others not so much.

### A lot of hype around "deep learning" and "AI"

- Neural nets are powerful classifiers and sequence models
- Public libraries and datasets make it easy for anybody to get a model up and running
- "End-to-end" models put into question whether we still need the traditional NLP pipeline.
- This paradigm shift is well underway.
- But many of the fundamental problems haven't been solved.



## The traditional NLP pipeline

A (traditional) NLP system may use some or all of the following steps:

### Tokenizer/Segmenter

to identify words and sentences

### Morphological analyzer/POS-tagger

to identify the part of speech and structure of words

### Word sense disambiguation

to identify the meaning of words

### Syntactic/semantic Parser

to obtain the structure and meaning of sentences

### **Coreference** resolution

to keep track of the various entities mentioned

## What does it take to understand text?

死亡谷测得54.4摄氏度高温 美国加州名胜或破世界纪录

รอยัลลิสต์มาร์เก็ตเพลส: เฟซบุ๊ก เตรียมดำเนินทางการกฎหมายกับ รัฐบาลไทย หลังบังคับบล็อกการเข้า ถึงกลุ่มปิดที่พูดคุยเกี่ยวกับราชวงศ์ Çavuşoğlu'ndan Atina'ya uyarı: Bazı ülkelerin dolduruşuna gelip, kendinizi riske atmayın

ኣብ ሳዋ ዝወሃብ መበል 12 ክፍሊ ትምህርቲ ክቋረጽ ንስዳስ ይካየድ ኣሎ

Qabiyyeen xalayaa dhimma Obbo Lidatu Ayyaaloorratti MM Abiyyiif barraa'e maali? 'Dim angen cau tafarndai a bwytai i ailagor ysgolion'

## Task: Tokenization/segmentation

死亡谷测得54.4摄氏度高温 美国加州名胜或破世界纪录 รอยัลลิสต์มาร์เก็ตเพลส: เฟซบุ๊กเตรียม ดำเนินทางการกฎหมายกับรัฐบาลไทย หลัง บังคับบล็อกการเข้าถึงกลุ่มปิดที่พูดคุยเกี่ยว กับราชวงศ์

We need to split text into words and sentences.

- Languages like Chinese or Thai don't have spaces between words.
- Even in English, this cannot be done deterministically: There was an earthquake near D.C. You could even feel it in Philadelphia, New York, etc.

NLP task:

What is the *most likely* segmentation/tokenization?

## Task: Part-of-speech-tagging

### Open the pod door, Hal.

### Verb Det Noun Noun, Name. Open the pod door, Hal.

*open*: Verb, adjective, or noun? Verb: *open* the door Adjective: the *open* door Noun: in the *open* 

## How do we decide?

We want to know the most likely tags Tfor the sentence Sargmax P(T|S)T

We need to define a statistical model of P(T | S), e.g.:

$$\operatorname{argmax}_{T} P(T|S) = \operatorname{argmax}_{T} P(T)P(S|T)$$

$$P(T) =_{def} \prod_{i}^{T} P(t_{i}| \stackrel{\leftarrow}{} \stackrel{\circ}{P(w_{i} \mid t_{i})}$$

$$P(S|T) =_{def} \prod_{i}^{T} P(w_{i}|_{i})$$

We need to estimate the parameters of P(T|S), e.g.:  $P(t_i = V | t_{i-1} = N) = 0.312$ 

## Disambiguation requires statistical models

**Ambiguity** is a core problem for any NLP task

Statistical models\* are one of the main tools to deal with ambiguity.

\*More generally: a lot of the models (classifiers, structured prediction models) you learn about in your machine learning classes can be used for this purpose.

We won't assume you have taken a machine learning class.

These models need to be trained (estimated, learned) before they can be used (tested, evaluated).

"I made her duck"

What does this sentence mean?

"I made her crouch",

"I cooked duck for her",

"I cooked her [pet] duck (perhaps just for myself)", ...

"*duck*": noun or verb? "*make*": "*cook X*" or "*cause X to do Y*"? "*her*": "for her" or "*belonging to her*"?

## Ambiguity in natural language

Language has different kinds of ambiguity, e.g.:

### Structural ambiguity

*"I eat sushi with tuna"* vs. *"I eat sushi with chopsticks" "I saw the man with the telescope on the hill"* 

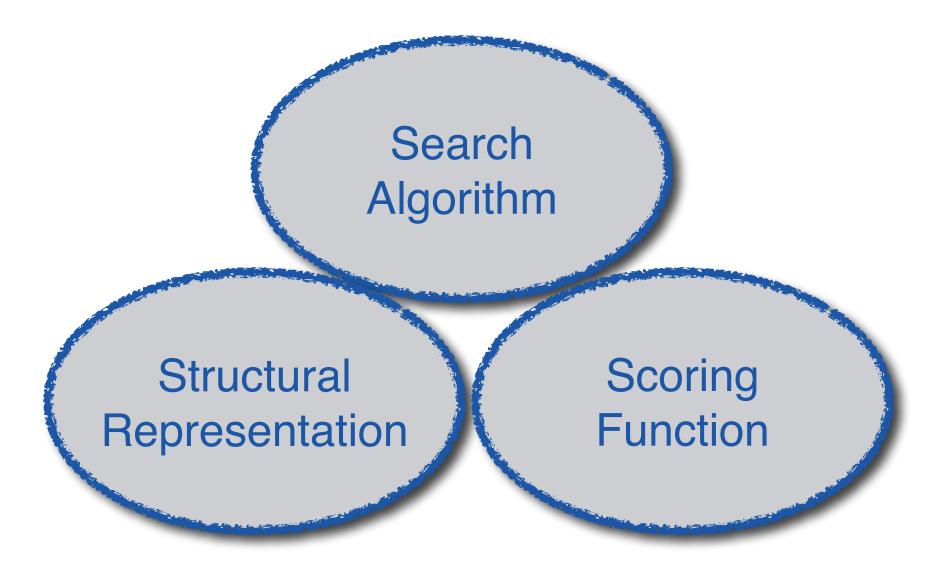
### Lexical (word sense) ambiguity

"I went to the **bank**": financial institution or river bank?

### **Referential** ambiguity

"*John saw Jim. He was drinking coffee."* Who was drinking coffee?

## **Dealing with ambiguity**



### "I made her duck cassoulet"

(Cassoulet = a French bean casserole)

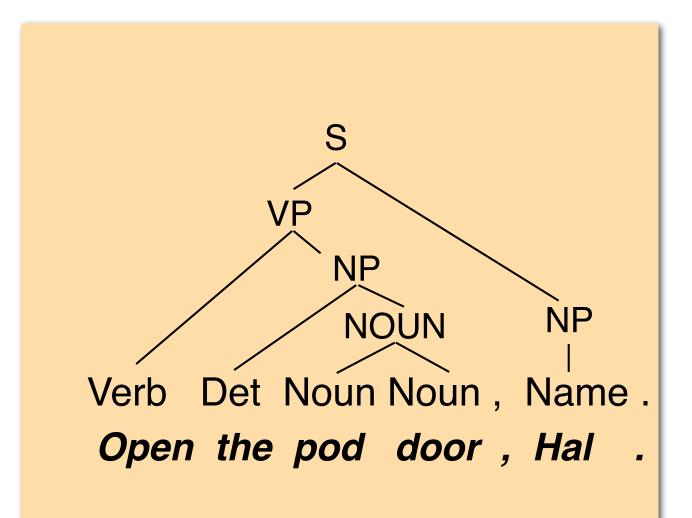
The second major problem in NLP is **coverage**: We will always encounter unfamiliar words and constructions.

Our models need to be able to deal with this.

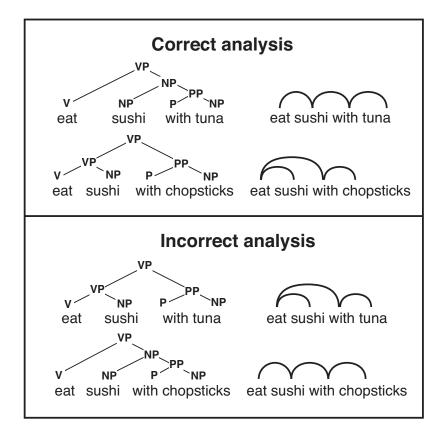
This means that our models need to be able to *generalize* from what they have been trained on to what they will be used on.



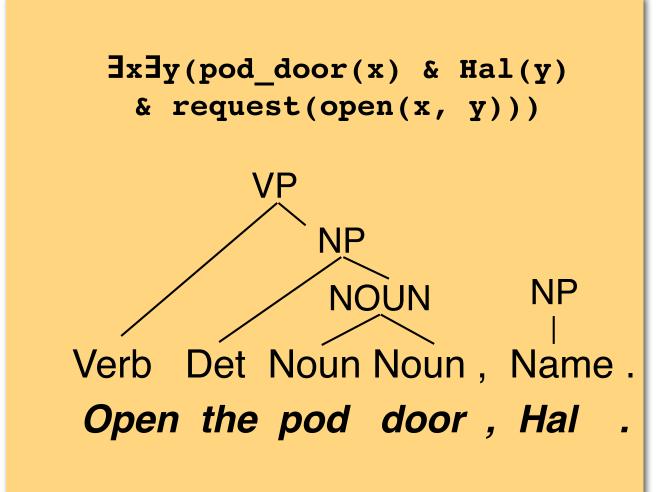
### Task: Syntactic parsing



### Observation: Structure corresponds to meaning



### Task: Semantic Analysis



### **Representing meaning**

If a natural language understanding system needs to return a symbolic representation (or data structure) of the meaning of text, it needs a pre-defined meaning representation language.

#### "Shallow" semantic analysis: Template-filling

(Often used in information extraction)

Named-Entity Recognition: identify all organizations, locations, dates,... Event Extraction:

We also distinguish between

CS447 Natural Language Processing (J. Hockenmaier) https://courses.grainger.illinois.edu/cs447/ 41

### Understanding texts

On Monday, John went to Einstein's. He wanted to buy lunch. But the store was closed. That made him angry, so the next day he went to Green Street instead.

Can you answer the following questions?

Was Einstein's open for lunch on Monday? [No]

This requires the ability to identify that "*Einstein's*" and "*the store*" refer to the same entity. (**coreference resolution**).

On which day did John go to Green Street? [On Tuesday].

This requires the ability to understand the **implicit** information that "the next day" means really "the next day after Monday" (and the knowledge that that is a Tuesday).

### NLP Pipeline: Assumptions

Each step in the NLP pipeline embellishes the input with **explicit information** about its linguistic structure

POS tagging: Parts of speech of word,

Syntactic parsing: Grammatical structure of sentence,....

# Each step in the NLP pipeline requires its own explicit ("symbolic") **output representation**:

#### POS tagging requires a POS tag set

(e.g. NN=common noun singular, NNS = common noun plural, ...)

Syntactic parsing requires constituent or dependency labels (e.g. NP = noun phrase, or nsubj = nominal subject)

#### These representations should capture

#### linguistically appropriate generalizations/abstractions

Designing these representations requires linguistic expertise

### NLP Pipeline: Shortcomings

Each step in the pipeline relies on a **learned model** that will return the *most likely* representations

- This requires a lot of **annotated training data** for each step
- Annotation is expensive and sometimes difficult (people are not 100% accurate)
- These models are **never 100% accurate**
- Models make more mistakes if their input contains mistakes

How do we know that we have captured the "*right*" generalizations when designing representations?

- Some representations are easier to predict than others
- Some representations are more useful for the next steps in the pipeline than others
- But we won't know how easy/useful a representation is until we have a model that we can plug into a particular pipeline

### Sidestepping the NLU pipeline

Many current neural approaches for natural language understanding and generation go directly from the raw input to the desired final output.

With large amounts of training data, this often works better than the traditional approach.

- We will soon discuss why this may be the case.

But these models don't solve everything:

- How do we incorporate knowledge, reasoning, etc. into these models?
- What do we do when don't have much training data?
   (e.g. when we work with a low-resource language)



### **Class Structure and Platforms**

#### Lectures: WF 2:00pm–3:15pm, Siebel 1404

Recordings will be put on Mediaspace Website (slides, syllabus, deadlines, policies, links): https://courses.grainger.illinois.edu/cs447

#### Assignments and Grades:

- Canvas (peer-graded assignments, grade book) Gradescope (quizzes, programming assignments)
- Class Discussions: Campuswire
- Office Hours (starting next week):
  - Julia Hockenmaier: WF, 3:30pm—4:00pm, Siebel 3324 TAs: TBA

### **Assignment Types**

For everybody:

12 Quizzes (two weeks per quiz)

8 Peer-Graded Assignments (two weeks per assignment)

4 **Programming Assignments** (three weeks per assignment)

For 4th Credit Hour students, additionally:

Literature Review (final report due at the end of the semester)

#### Late policy?

No late assignments will be accepted (except for medical/religious exemptions)

### Assessment

If you're taking this class for **3 credit** hours: **25% quizzes** (all equally weighted) **25% peer-graded** assignments (all equally weighted) **50% programming** assignments (all equally weighted)

If you're taking this class for **4 credit** hours: 18.75% quizzes (all equally weighted) 18.75% peer-graded assignments (all equally weighted) 37.50% programming assignments (all equally weighted) 25.00% literature review

### **Programming Assignments**

#### What?

4 programming assignments in Python/PyTorch

#### Why?

To make sure you can put what you've learned to practice.

#### How?

Released on Fridays in Weeks 2, 5, 8, 11 You will have three weeks for each assignment Submit your assignments on Gradescope.

### Quizzes

#### What?

Short questions (typically multiple choice)

#### Why?

We want to make sure you follow along during the semester We want to evaluate that you understand the material

#### How?

We will use Gradescope Released on Fridays in Weeks 01–12 You have two weeks per quiz

### **Peer-Graded Assignments**

#### What?

You may be asked to write a short essay, or to analyze some text, based on what we cover in class.

#### Why?

To make sure you think about the material and try to apply what you have learned.

#### How?

Released on Fridays in Weeks 1, 3, 4, 6, 7, 9, 10, 12 You will have two weeks for each assignment We will use Canvas You will have to grade your peers' responses Grading is mostly based on effort.

### 4th Credit Hour: Literature Survey

#### What?

You need to read and describe several (5–7) NLP papers on a particular task or topic, and produce a written report that compares and critiques these approaches.

#### Why?

To make sure you get a deeper knowledge of NLP by reading a number of original papers in sufficient depth to discuss and compare them,



### Grades

#### I don't grade "on a curve":

If everybody does really well in this class, everybody gets an A, not just the top X%.

#### I only assign letter grades at the end of the semester.

You should know what percent of the grade you have received so far, but I may not be able to tell you *precisely* what letter grade that may correspond to (although you should talk to me if you want to know whether you're doing well or not so well).

For assignments, quizzes and peer-graded assignments, the undergrads' performance will determine the grading scale for everybody.

### **Academic Integrity**

You can talk to each other about the assignments, but what you submit needs to be your own work.

We may use tools such as MOSS/TurnItIn to detect plagiarism.

You are not allowed to use tools like ChatGPT, except if/when we ask you to analyze their output for an assignment.

If you're taking this class for four credits, your literature review needs to be your own work, and you need to cite all sources.

### **DRES** accommodations

# If you need any disability related accommodations, talk to DRES (<u>http://disability.illinois.edu</u>, <u>disability@illinois.edu</u>, phone 333-4603)

If you are concerned you may have a disability-related condition that is impacting your academic progress, there are academic screening appointments available on campus that can help diagnosis a previously undiagnosed disability by visiting the DRES website and selecting "Sign-Up for an Academic Screening" at the bottom of the page."

## Come and talk to me as well, especially once you have a letter of accommodation from DRES.

Do this early enough so that we can take your requirements into account!

