

CS440/ECE448: Intro to Artificial Intelligence

# **Lecture 13:**

## **Review for midterm**

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# Planning

# Classical planning: assumptions

The environment is fully observable, deterministic, static, known and finite.

A plan is a linear sequence of actions;

Planning can be done off-line

# Representations for planning: key questions

How do we represent states?

- What information do we need to know?
- What information can we (safely) ignore?

How do we represent actions?

- When can we perform an action?
- What changes when we perform an action?
- What stays the same?
- What level of detail do we care about?

# Operators, actions and fluents

**Operator:**  $carry(x)$

General knowledge of one kind of action:  
preconditions and effects

**Action:**  $carry(BlockA)$

Ground instance of an operator

**Fluent:**  $on(BlockA, BlockB, s)$

may be true in current state, but not after the  
action  $move(A, B, T)$  is performed.

# Representations for operators

Operator name (and arity): move x from y to z

*move(x,y,z)*

Preconditions: when can the action be performed

*clear(x)  $\wedge$  clear(z)  $\wedge$  on(x,y)*

Effects: how does the world change?

*$\underbrace{clear(y) \wedge on(x,z)}_{new} \wedge \underbrace{clear(x)}_{persist} \wedge \underbrace{\neg clear(z) \wedge \neg on(x,y)}_{retract}$*

=> main differences between languages

# Representations for states

We want to know what state the world is in:

- What are the **current properties** of the entities?
- What are the **current relations** between the entities?

## Logic representation:

Each state is a **conjunction of ground predicates**:

$Block(A) \wedge Block(B) \wedge Block(C) \wedge Table(T)$   
 $\wedge On(A,B) \wedge On(B,T) \wedge On(C,T) \wedge Clear(A) \wedge Clear(C)$

# Representations for planning

## Situation Calculus

Specify fluents

*Add-set*

*Persist-set*

By default fluents  
are deleted

## Strips

Specify fluents

*Add-set*

*Delete-set*

By default fluents persist

# Planning algorithms

## State space search (DFS, BFS, etc.)

Nodes = states; edges = actions;

Heuristics (make search more efficient)

Compute  $h()$  using relaxed version of the problem

## Plan space search (refinement of partial plans)

Nodes = partial plans; edges: fix flaws in plan

## SATplan (encode plan in propositional logic)

Solution = *true* variables in a model for the plan

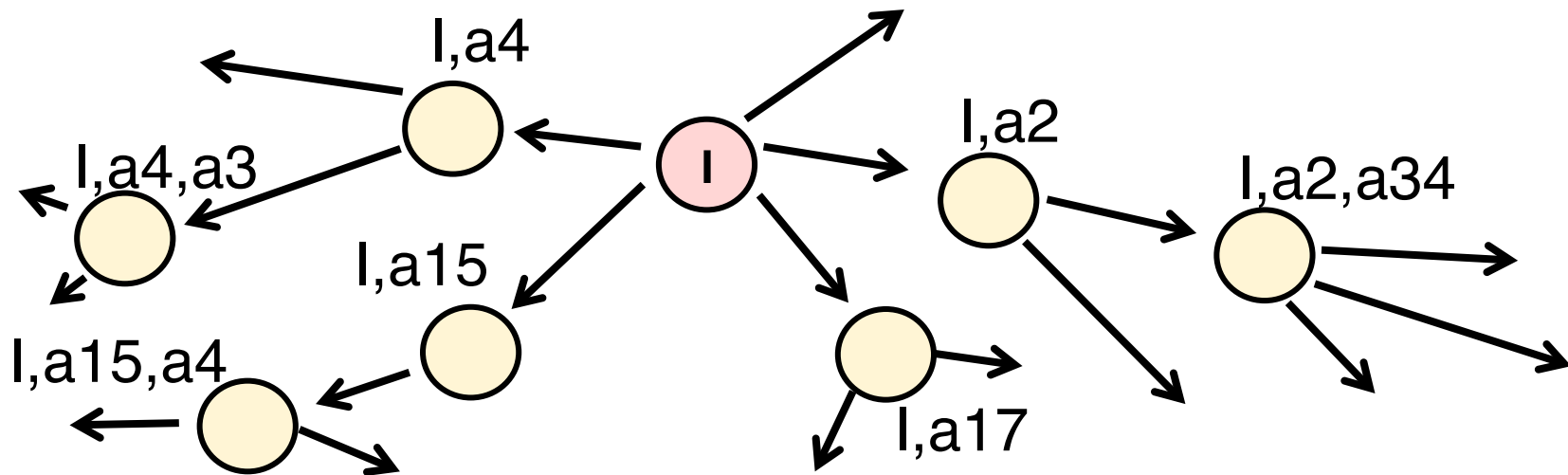
Graphplan (reduce search space to planning graph)

Planning graph: levels = literals and actions

# Planning as state space search

Search tree:

- Nodes: states
- Root: initial state
- Edges: actions (ground instances of operators)
- Solutions: paths from initial state to goal.



# Searching plan-space

1. Start with the **empty plan**  
=  $\{start\ state, goal\ state\}$
2. Iteratively refine current plan to **resolve flaws**  
(refine = add new actions and constraints)
  - Flaw type 1: **open goals** (require more actions)
  - Flaw type 2: **threats** (require ordering constraints)
3. **Solution** = a plan without flaws

# SATplan

Represent a **plan of fixed length  $n$**   
as a ground formula in predicate logic.  
Translate this formula **into propositional logic**.

There is a **solution** if this formula is **satisfiable**.  
Plan = sequence of 'true' actions.

If there is no solution of length  $n$ , try  $n+1$ .

# From plans to predicate logic

**Fluents** are ground literals:  $clear(B, t)$

**Actions** are ground implications:

$$(preconditions^t \wedge action^t) \rightarrow effect^{t+1}$$

Action  $move(A, B, C, 23)$

$$(on(A, B, 23) \wedge clr(C, 23) \wedge move(A, B, C, 23)) \rightarrow (on(A, C, 24) \wedge clr(B, 24))$$

# From plans to propositional logic

## Fluents

$clear(B, 23) = clear-B-23$

## Actions

$( (on(A,B, 23) \wedge clear(C,23) \wedge move(A,B,C, 23) ) \rightarrow (on(A,C, 24) \wedge clear(B, 24)) )$

$((on-A-B-23 \wedge clear-C-23) \wedge move-A-B-C-23) \rightarrow (on-A-C-24 \wedge clear-B-24)$

# Intelligent agents

# Key concepts

## Agents:

- Different kinds of agents
- The structure and components of agents

## Describing and evaluating agents:

- Performance measures
- Task environments

## Rationality:

- What makes an agent intelligent?

# Agents

1. What is the **task environment** of:
  - a chess computer?
  - a Mars rover?
  - a spam detector?
2. What is the advantage of model-based agents over reflex-based agents?

# Task environments

The task environment specifies the problem that the agent has to solve.

It is defined by:

1. the objective **Performance measure**
2. the external **Environment**
3. the agent's **Actuators**
4. the agent's **Sensors**

# Simple reflex agents

Action depends *only* on current percept.  
Agent has **no memory**.

Last percept	Action
[Clean]	Right
[ <i>cat</i> ]	RUN!

May choose actions stochastically  
to escape infinite loops.

Last percept	Action
[Clean]	Right (p=0.8) Left(p=0.2)

# Model-based reflex agents

Agent has an **internal model** of the **current state** of the world.

Examples: the agent's previous location; current locations of all objects it has seen;

Last percept	Last location	Action
[Clean]	Left of current	Right
[Clean]	Right of current	Left

# **Systematic search**

# Key concepts

## Problem solving as search:

Solution = a finite sequence of actions

## State graphs and search trees

Which one is bigger/better to search?

## Systematic (blind) search algorithms

Breadth-first vs. depth-first; properties?

# Systematic/blind search: assumptions

The environment is:

1. observable

(Agent perceives all it needs to know)

2. known

(Agent knows the effects of each action)

3. deterministic

(Each action always has the same outcome)

In such environments, the solution to any problem is a fixed sequence of actions.

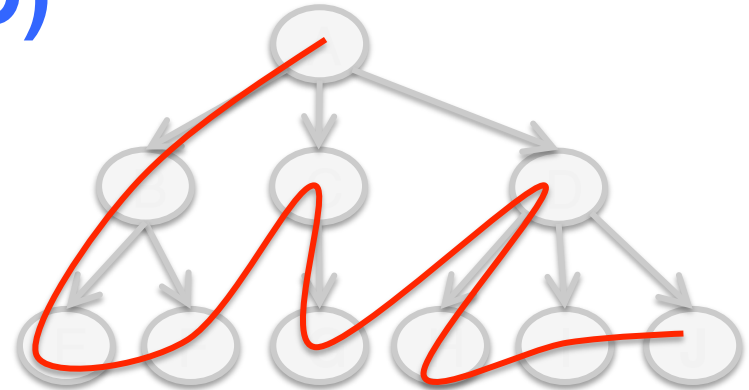
# The queuing function defines the search order

## Depth-first search (LIFO)

Expand deepest node first

QF(old, new):

Append(new, old)

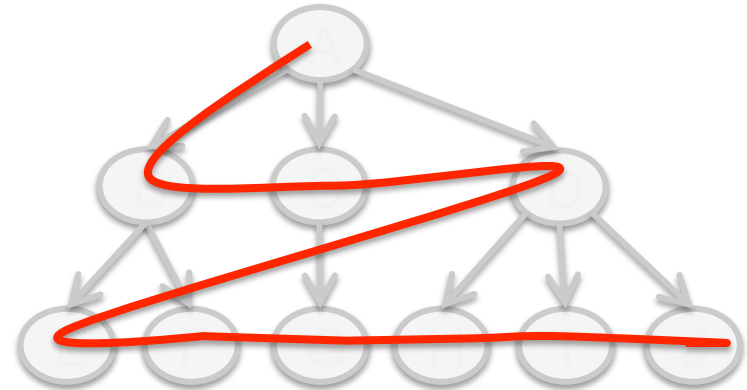


## Breadth-first (FIFO)

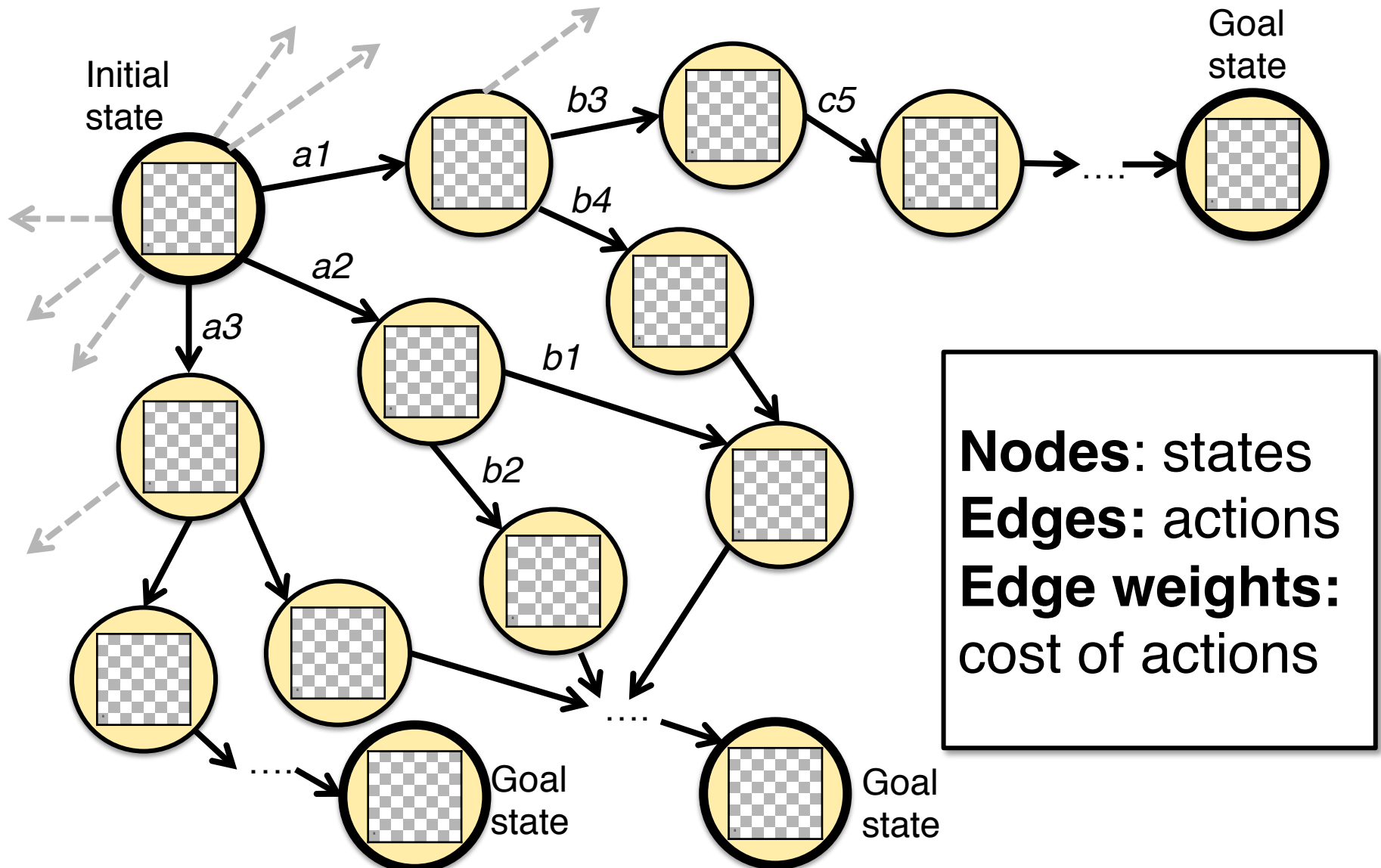
Expand nodes level by level

QF(old, new):

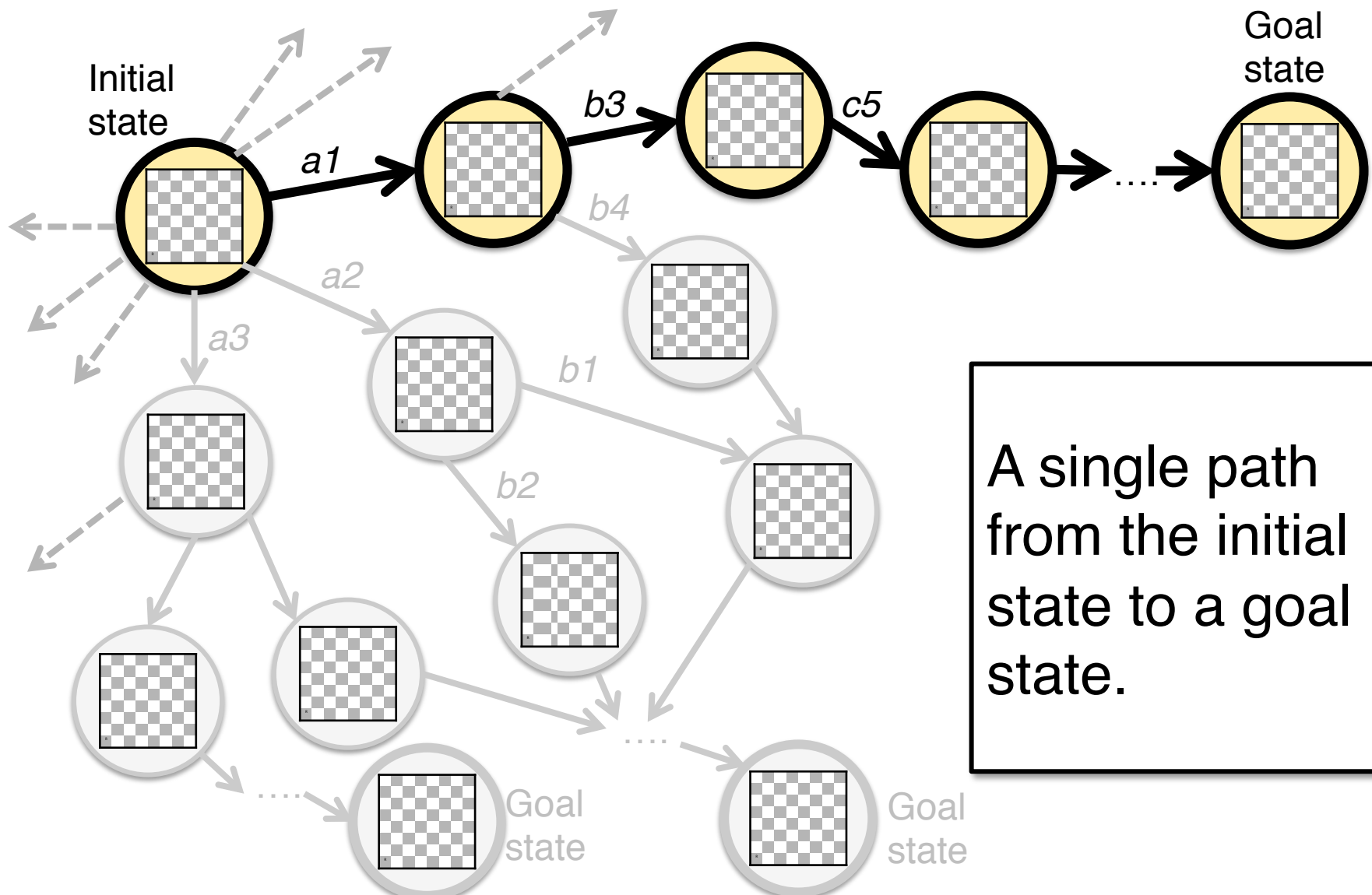
Append(old, new);



# State-space graph



# Solution



# Properties of search algorithms

A search algorithm is **complete** if it will find **any goal** whenever one exists.

A search algorithm is **optimal** if it will find **the cheapest goal**.

**Time complexity:** how long does it take to find a solution?

**Space complexity:** how much memory does it take to find a solution?

# **Informed (heuristic) search**

# Informed search: key questions/concepts

How can we find the *optimal* solution?

We need to assign values to solutions

Values = cost.

We want to find the *cheapest* solution.

# Heuristic search: priority queue

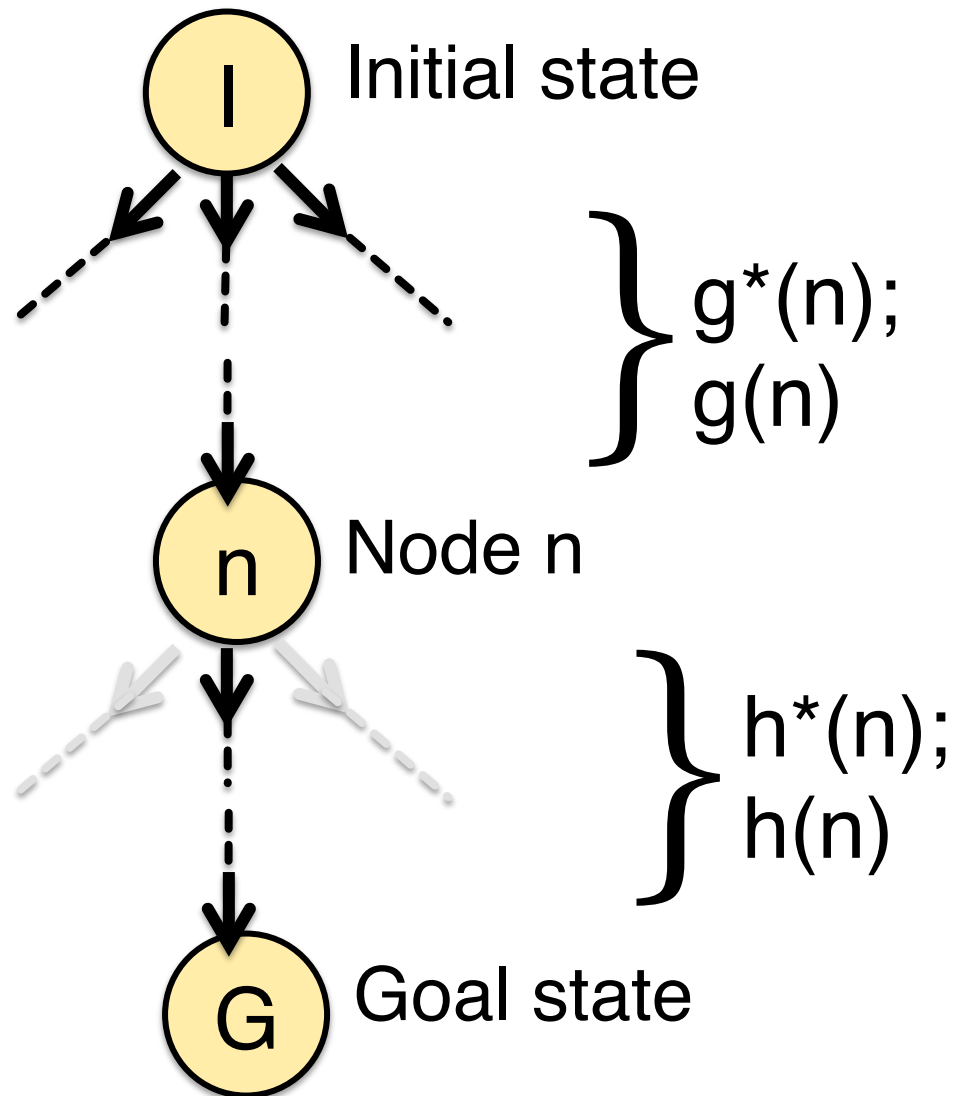
Heuristic search algorithms sort the nodes on the queue according to a **cost function**:

$QF(a, b) :$

**sort**(append(a, b), **CostFunction**)

The cost function is an estimate of the true cost. Nodes with the lowest estimated cost have the highest priority.

# Cost functions



# Heuristic search algorithms

	<b>Uniform cost search</b>	<b>Greedy best- first search</b>	<b>A* search</b>
Cost	$g(n)$	$h(n)$	$f(n)$
Optimal?	yes	no	if $h(n)$ admissible
Complete?	with positive non-zero costs	graph- search: yes tree-search: no	with positive non-zero costs

# Admissible heuristics

**Task:** Find the shortest path to X.

- **Heuristic 1:**  $h(n) = 1000$ .
- **Heuristic 2:**  $h(n) = 0$ .
- **Heuristic 3:**  $h(n) = \text{length of line from } n \text{ to } X$ .

Admissible heuristic:  
never overestimate true cost.

# **Local search**

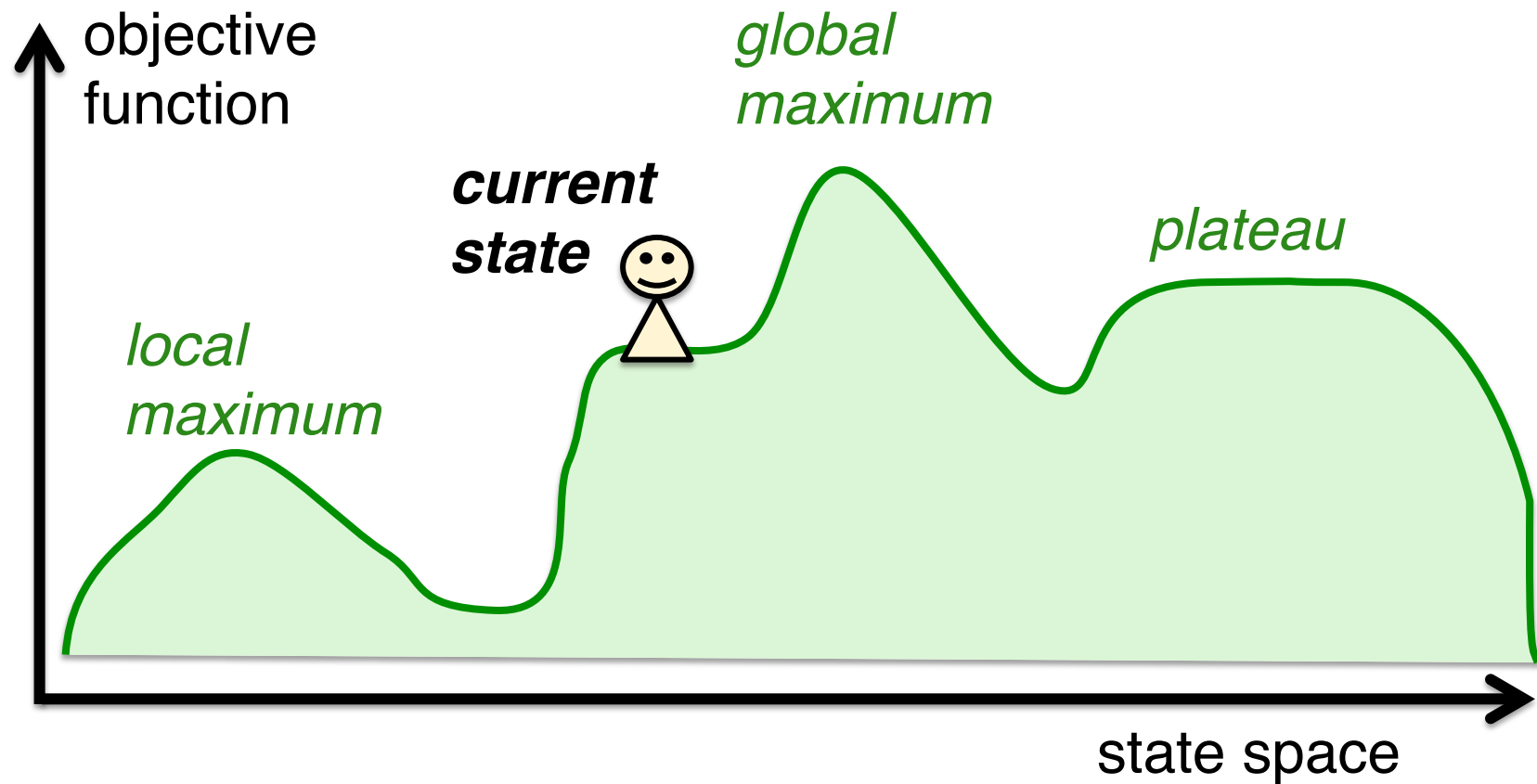
# Local search

How can we find the goal when:

- we can't keep a queue?  
(because we don't have the memory)
- we don't want to keep a queue?  
(because we just need to find the goal state, )
- we can't enumerate the next actions?  
(because there's an infinite number)

Local search = consider only the current node and the next action

# The state space landscape



# Dealing with local maxima

## Random restart hill-climbing:

k trials starting from random positions

## k-best (beam) hill climbing:

Pursue k trials in parallel; only keep the k best successors at each time step

## Simulated annealing:

Accept downhill moves with non-zero prob.

# **Constraint satisfaction problems**

# Constraint satisfaction problems are defined by...

- a set of **variables**  $X$ :

$\{WA, NT, QLD, NSW, VA, SA, TA\}$

- a set of **domains**  $D_i$   
(possible values for variable  $x_i$ ):

$D_{WA} = \{red, blue, green\}$

- a set of **constraints**  $C$ :

$\{\langle \textcolor{red}{(WA, NT)}, \textcolor{green}{WA \neq NT} \rangle, \langle (WA, QLD), WA \neq QLD \rangle, \dots \}$   
*scope*      *relation*

# Unary constraints:

## Node consistency

### A unary constraint:

*Western Australia is blue.*

*The final inspection takes 10 minutes*

### Expressed as constraint:

$WA = \text{blue}; FI + 10 \leq 5:00pm$

### Expressed as restriction on the domain:

$D_{WA} = \{\text{blue}\}, D_{FI} = \{8:00am \dots 4:50pm\}$

A single variable is **node-consistent** if all the values in its domain satisfy all its unary constraints.

# Binary constraints: Arc consistency

A variable  $x_i$  is **arc-consistent** if and only if for *every* value  $d_i \in D_i$  in its own domain and for every binary constraint  $C(x_i, x_j)$ , there is a value  $d_j \in D_j$  in  $x_j$ 's domain such that  $C$  is satisfied.

$D_X = D_Y = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$ ,

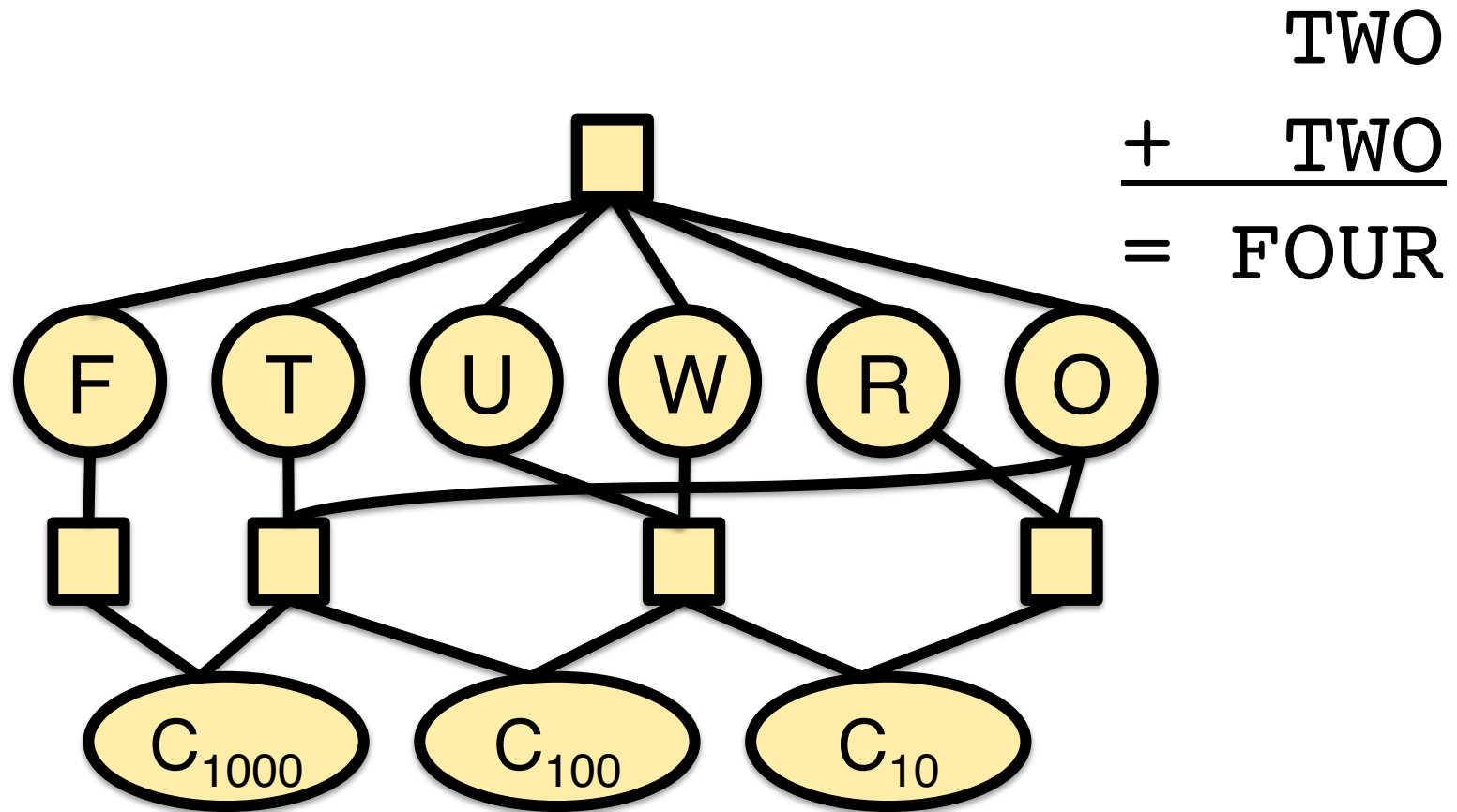
Constraint:  $C(X, Y): Y = X^2$

**Arc-consistency**  $\rightarrow D_X = \{0, 1, 2, 3\}$ ,  $D_Y = \{0, 1, 4, 9\}$

# Interactions of binary constraints: Path consistency

A pair of variables  $\{X, Y\}$  is **path consistent with respect to variable  $Z$**  if and only if for every consistent  $x \in D_X$  and  $y \in D_Y$  there is a  $z \in D_Z$  that satisfies  $C(X=x, Z=z)$  and  $C(Y=y, Z=z)$

# Global (n-ary) constraints: Constraint Hypergraph



# Propositional logic

# Propositional logic: key concepts

**Syntax** of propositional logic:

- propositional variables, connectives, well-formed formulas

**Semantics** of propositional logic:

- interpretations, models, truth tables

**Inference** with propositional logic:

- model-checking, resolution

# Syntax: the building blocks

Variables:  $\{p, q, r, \dots\}$

Constants:  $\top$  (true) ,  $\perp$  (false)

Well-formed formulas:

WFF  $\rightarrow$  Atomic | Complex

Atomic  $\rightarrow$  Constant | Variable

WFF'  $\rightarrow$  Atomic | (Complex)

Complex  $\rightarrow \neg$  WFF' | WFF'  $\wedge$  WFF'  
| WFF'  $\vee$  WFF' | WFF'  $\rightarrow$  WFF'

# Semantics: truth values

The **interpretation**  $\llbracket \alpha \rrbracket^v$  of a well-formed formula  $\alpha$  under a model  $v$  is a **truth value**:

$$\llbracket \alpha \rrbracket^v \in \{true, false\}.$$

A **model** (=valuation)  $v$  is a complete\* assignment of truth values to variables:

$$v(p) = true \quad v(q) = false, \dots$$

\*each variable is either true or false

With  $n$  variables, there are  $2^n$  different models

**Models of  $\alpha$**  ( $\mathbf{M}(\alpha)$ ): set of models where  $\alpha$  is true

# Validity and satisfiability

$\alpha$  is **valid in a model**  $m$  ( $'m \models \alpha'$ ) iff  $m \in M(\alpha)$   
= the model  $m$  **satisfies**  $\alpha$   
( $\alpha$  is true in  $m$ )

$\alpha$  is **valid** ( $'\models \alpha'$ ) iff  $\forall m: m \in M(\alpha)$   
( $\alpha$  is true in all possible models.  $\alpha$  is a tautology.)

$\alpha$  is **satisfiable** iff  $\exists m: m \in M(\alpha)$   
( $\alpha$  is true in at least one model,  $M(\alpha) \neq \emptyset$  )

# Entailment

## Definition:

$\alpha$  entails  $\beta$  ( $\alpha \models \beta$ ) *iff*  $M(\alpha) \subseteq M(\beta)$

Entailment is **monotonic**:

If  $\alpha \models \beta$ , then  $\alpha \wedge \gamma \models \beta$  for any  $\gamma$

Proof:  $M(\alpha \wedge \gamma) \subseteq M(\alpha) \subseteq M(\beta)$

We also write  $\alpha, \gamma \models \beta$  or  $\{\alpha, \gamma\} \models \beta$  for  $\alpha \wedge \gamma \models \beta$

# Inference in propositional logic

How do we know whether  $\alpha$  is valid or satisfiable given KB?

**Model checking:** (semantic inference)

Enumerate all models for KB and  $\alpha$ .

**Theorem proving:** (syntactic inference)

Use inference rules to derive  $\alpha$  from KB.

# Inference: soundness and completeness

A procedure  $P$  that **derives**  $\alpha$  from  $KB$ ...

$$KB \vdash_P \alpha$$

...is **sound** if it only derives valid sentences:  
if  $KB \vdash_P \alpha$ , then  $KB \models \alpha$  (soundness)

...is **complete** if it derives any valid sentence:  
if  $KB \models \alpha$ , then  $KB \vdash_P \alpha$   
(completeness)

# The resolution rule

Unit resolution:

$$\frac{p_1 \vee \dots \vee p_{i-1} \vee \mathbf{p_i} \vee p_{i+1} \vee \dots \vee p_n \quad \neg \mathbf{p_i}}{p_1 \vee \dots \vee p_{i-1} \vee p_{i+1} \vee \dots \vee p_n}$$

Full resolution:

$$\frac{\mathbf{p_1} \vee \dots \vee \mathbf{p_i} \vee \dots \vee \mathbf{p_n} \quad \mathbf{q_1} \vee \dots \vee \neg \mathbf{p_i} \vee \dots \vee \mathbf{q_m}}{\mathbf{p_1} \vee \dots \vee \mathbf{p_n} \vee \mathbf{q_1} \vee \dots \vee \mathbf{q_m}}$$

Final step: factoring (remove any duplicate literals from the result  $A \vee A \equiv A$ )

# A resolution algorithm

**Goal:** prove  $\alpha \models \beta'$  by showing that  $\alpha \wedge \neg \beta$  is not satisfiable (*false*)

## Observation:

Resolution derives a contradiction (*false*) if it derives the empty clause:

$$\frac{p_i \quad \neg p_i}{\emptyset}$$

# **First-order predicate logic**

# Richer models

**Domain:** a set of entities  $\{ann, peter, ..., book1, ...\}$

Entities can have **properties**.

A property defines a (sub)set of entities:

$blue = \{book5, mug3, ...\}$

There may be **relations** between entities.

An  $n$ -ary relation defines a set of  $n$ -ary tuples of entities:  $belongsTo = \{ \langle book5, peter \rangle, \langle mug3, ann \rangle, ... \}$

# A richer language

**Terms:** refer to entities

- Variables, constants, functions,

**Predicates:** refer to properties of entities or relations between entities

**Sentences** (= propositions)  
can be true or false

# The building blocks

A (finite) set of **variables**  $VAR$ :

$$VAR = \{x, y, z, \dots\}$$

A (finite) set of **constants**  $CONST$ :

$$CONST = \{john, mary, tom, \dots\}$$

For  $n=1 \dots N$ :

A (finite) set of  $n$ -place **function symbols**  $FUNC$

$$FUNC_1 = \{fatherOf, successor, \dots\}$$

A (finite) set of  $n$ -place **predicate symbols**  $PRED_n$ :

$$PRED_1 = \{student, blue, \dots\}$$

$$PRED_2 = \{friend, sisterOf, \dots\}$$

# Clauses, literals, and CNF

**Conjunctive normal form:** a conjunction of clauses.

$$(\varphi_1 \vee \dots \vee \varphi_n) \wedge \dots \wedge (\varphi'_1 \vee \dots \vee \varphi'_m)$$

**Clause:** a disjunction of an arbitrary number of positive or negative literals.

$$(\varphi_1 \vee \neg \varphi_2 \vee \varphi_3 \vee \neg \varphi_4)$$

**Literal:** a (negated) predicate and its arguments

likes(x, John')

$\neg$ likes(John', motherOf(Mary))

$\neg$ student(x)

# Different kinds of clauses

**Definite clause:** exactly one positive literal

Facts:  $\psi$

Implications:  $\neg\phi_1 \vee \dots \vee \neg\phi_n \vee \psi \equiv [(\phi_1 \wedge \dots \wedge \phi_n) \rightarrow \psi]$

**Horn clause:** at most one positive literal.

All definite clauses, plus (resolution) queries:  $\neg\psi$

**Clauses:** arbitrary number of positive literals

All Horn clauses, plus any disjunction, e.g.  $\phi \vee \psi$

# Model $M=(D,I)$

The **domain**  $D$  is a nonempty set of objects:

$$D = \{a1, b4, c8, \dots\}$$

The **interpretation function**  $I$  maps:

- each **constant**  $c$  to an element  $c^I$  of  $D$ :  $John^I = a1$
- each  $n$ -place **function symbol**  $f$  to an (total)  $n$ -ary function  $f^I: D^n \rightarrow D$ :  $fatherOf^I(a1) = b4$
- each  $n$ -place **predicate symbol**  $p$  to an  $n$ -ary relation  $p^I \subseteq D^n$ :  $child^I = \{a1, c8\}$   $likes^I = \{\langle a1, b4 \rangle, \langle b4, a1 \rangle\}$

# Interpretation of variables

A **variable assignment**  $\nu$  over a domain  $D$  is a (partial) function from variables to  $D$ .

The assignment  $\nu = [a21/x, b13/y]$  assigns object  $a21$  to the variable  $x$ , and object  $b13$  to variable  $y$ .

We recursively manipulate variable assignments when interpreting quantified formulas.

Notation:  $\nu[b/z]$  is just like  $\nu$ , but it also maps  $z$  to  $b$ . We will make sure that  $\nu$  is undefined for  $z$ .

# Inference in FOPL

## Challenge:

How do we deal with quantifiers and variables?

## Solution 1: **Propositionalization**

Ground all the variables.

## Solution 2: **Lifted inference**

Convert to **prenex NF**, then **skolemize** existentially quantified variables, use **unification** for universally quantified variables.

# Propositionalization

If we have a finite domain, we can use UI and EI to eliminate all variables.

**KB:**  $\forall x [(student(x) \wedge inClass(x)) \rightarrow sleep(x)]$   
*student(Mary)                  professor(Julia)*

**Propositionalized KB:**

$(student(Mary) \wedge inClass(Mary)) \rightarrow sleep(Mary)$   
 $(student(Julia) \wedge inClass(Julia)) \rightarrow sleep(Julia)$   
*etc.*

...this is not very efficient....

# Prenex normal form

Every well-formed formula in FOL has an equivalent **prenex normal form**, in which all the quantifiers are at the front.

$$\forall x \exists y \exists z \forall w \varphi(x,y,z,w)$$

$\varphi(x,y,z,w)$  (the ‘matrix’) does not contain any quantifiers.

# Skolemization: remove existentially quantified variables

Replace any existentially quantified variable  $\exists x$  that is in the scope of universally quantified variables  $\forall y_1 \dots \forall y_n$  with a new function  $F(y_1, \dots, y_n)$  (a **Skolem function**)

Replace any existentially quantified variable  $\exists x$  that is not in the scope of any universally quantified variables with a new constant  $c$  (a **Skolem term**)

# Unification, MGU

A set of sentences  $\varphi_1, \dots, \varphi_n$  **unify to  $\sigma$**  if for all  $i \neq j$ :

**$\text{SUBST}(\sigma, \varphi_i) = \text{SUBST}(\sigma, \varphi_j)$ .**

$\sigma$  is the **most general unifier (MGU)** of  $\varphi$  and  $\psi$  if it imposes the fewest constraints.

$\text{MGU}(P(x,y,z), P(w,w,\text{Fred})) = \sigma = \{x=w, y=w, z=\text{Fred}\}$   
yields  $P(w,w,\text{Fred})$

Equivalently,  $\sigma = \{x=u, y=u, w=u, z=\text{Fred}\}$  yields  
the alphabetic variant  $P(u,u,\text{Fred})$

# Inference in FOL: using Modus ponens

$$\begin{array}{c} \varphi \rightarrow \psi \\ \varphi \\ \hline \psi \end{array} \text{ (MP)}$$

**Forward:** I know that  $\varphi$  implies  $\psi$ . I also know  $\varphi$ .  
Hence, I can conclude that  $\psi$  is true as well.

**Backward:** I want to know whether  $\psi$  is true.  
I know that  $\varphi$  implies  $\psi$ . Hence, if I can prove  $\varphi$ ,  
I can conclude that  $\psi$  is true as well.

