

CS440/ECE448: Intro to Artificial Intelligence

Lecture 10: Even more on predicate logic

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Inference in predicate logic

All men are mortal.

Socrates is a man.

Socrates is mortal.

We need a new version of modus ponens:

$\forall x P(x) \rightarrow Q(x)$

$P(s')$

$Q(s')$

How do we deal with quantifiers and variables?

Solution 1: **Propositionalization**

Ground all the variables.

Solution 2: **Lifted inference**

Ground (**skolemize**) all the existentially quantified variables. All remaining variables are universally quantified.

Use **unification**.

**Prerequisites
for lifted inference:
Skolemization and
Unification**

Skolemization: remove existentially quantified variables

Replace any existentially quantified variable $\exists x$ that is in the scope of universally quantified variables $\forall y_1 \dots \forall y_n$ with a new function $F(y_1, \dots, y_n)$ (a **Skolem function**)

Replace any existentially quantified variable $\exists x$ that is not in the scope of any universally quantified variables with a new constant c (a **Skolem term**)

The effect of Skolemization

$$\forall x \forall y \exists w \forall z Q(x, y, w, z, G(w, x))$$

is equivalent to

$$\forall x \forall y \forall z Q(x, y, P(x, y), z, G(P(x, y), x))$$

where P is the Skolem function for w .

NB: the Skolem function is a function, so this is not decidable anymore.

Universal quantifiers: Modus ponens

With propositionalization:

$$\begin{array}{l} \forall x \text{ human}(x) \rightarrow \text{mortal}(x) \quad \text{human}(s') \\ \hline \text{human}(s') \rightarrow \text{mortal}(s') \quad \text{(UI)} \\ \hline \text{mortal}(s') \quad \text{(MP)} \end{array}$$

How can we match $\text{human}(s')$ and $\forall x \text{ human}(x) \rightarrow \text{mortal}(x)$ directly?

Substitutions

A *substitution* θ is a set of pairings of **variables** v_i with **terms** t_i :

$$\theta = \{v_1/t_1, v_2/t_2, v_3/t_3, \dots, v_n/t_n\}$$

- Each variable v_i is distinct
- t_i can be any term (variable, constant, function), as long as it does not contain v_i directly or indirectly

NB: the order of variables in θ doesn't matter
 $\{x/y, y/f(a)\} = \{y/f(a), x/y\} = \{x/f(a), y/f(a)\}$

Unification

Two sentences ϕ and ψ **unify** to σ

$$(\text{UNIFY}(\phi, \psi) = \sigma)$$

if σ is a substitution such that

$$\text{SUBST}(\sigma, \phi) = \text{SUBST}(\sigma, \psi).$$

Example:

$$\text{UNIFY}(\text{like}(x, M'), \text{like}(C', y)) = \{x/C', y/M'\}$$

Unification

A set of sentences $\varphi_1, \dots, \varphi_n$ **unify** to σ
if for all $i \neq j$: $\text{SUBST}(\sigma, \varphi_i) = \text{SUBST}(\sigma, \varphi_j)$.

σ is the unifier of $\varphi_1, \dots, \varphi_n$
 $\text{SUBST}(\sigma, \varphi_i)$ is a unification instance.

Standardizing apart

Unification is not well-behaved if φ and ψ contain the same variable:

UNIFY(like(x , M'), like(C' , x)): fail.

We need to *standardize φ and ψ apart* (rename this variable in one term):

UNIFY(like(x , M'), like(C' , y)) = $\{x/C', y/M'\}$
to yield like(C' , M')

Do these unify?

(Single lower case letters are variables)

$\text{UNIFY}(P(x,y,z), P(w, w, \text{Fred}))$

$$\sigma = \{x=\text{Fred}, y=\text{Fred}, z=\text{Fred}, w=\text{Fred}\}$$

Equivalently: $\sigma' = \{x=\text{Fred}, w=y, z=\text{Fred}, y=x\}$

Both yield $P(\text{Fred}, \text{Fred}, \text{Fred})$

Are there others?

UNIFY($P(x,y,z)$, $P(w, w, \text{Fred})$)

$\sigma = \{x=\text{Mary}, y=\text{Mary}, z=\text{Fred}, w=\text{Mary}\}$

Equivalently: $\sigma' = \{x=\text{Mary}, w=y, z=\text{Fred}, y=x\}$

Both yield $P(\text{Mary}, \text{Mary}, \text{Fred})$

Most General Unifier (MGU)

σ is the most general unifier (MGU) of φ and ψ if it imposes the fewest constraints.

The MGU of φ and ψ is unique.
(modulo alphabetic variants, i.e. different variable names)

Applying the MGU to an expression yields a *most general unification instance*.

We often define $\text{UNIFY}(\varphi, \psi)$ to return $\text{MGU}(\varphi, \psi)$

What is the MGU?

$\text{MGU}(P(x,y,z), P(w,w,\text{Fred}))$

$\sigma = \{x=w, y=w, z=\text{Fred}\}$ yields $P(w,w,\text{Fred})$

Equivalently, $\sigma = \{x=u, y=u, w=u, z=\text{Fred}\}$
yields the alphabetic variant $P(u,u,\text{Fred})$

What is the MGU?

MGU($m(\text{Ann}, x, \text{Bob}), m(\text{Ann}, x, \text{Bob})$):
 $m(\text{Ann}, x, \text{Bob})$

MGU($m(\text{Ann}, x, \text{Bob}), m(y, x, \text{Chuck})$):
fail.

MGU($m(\text{Ann}, x, \text{Bob}), m(y, x, \text{Father-of}(\text{Chuck}))$):
fail.

MGU($p(w, w, \text{Fred})$, $p(x, y, y)$):
 $p(\text{Fred}, \text{Fred}, \text{Fred})$

MGU($q(r, r)$, $q(x, F(x))$):
fail

MGU($r(g(x, \text{Bob}), y, y)$, $r(z, g(\text{Fred}, w), z)$):
 $r(g(x, \text{Bob}), g(\text{Fred}, w), g(\text{Fred}(w)))$

Lifted inference: Generalized Modus Ponens

Generalized modus ponens

If p_1', \dots, p_n' , p_1, \dots, p_n are atomic sentences with universally quantified variables, and there is a substitution θ such that $\text{SUBST}(\theta, p_i') = \text{SUBST}(\theta, p_i)$

$$\frac{p_1' \dots p_n' \quad (p_1 \wedge \dots \wedge p_n) \rightarrow q}{\text{SUBST}(\theta, q)} \text{ (GMP)}$$

Generalized modus ponens

Another way to look at GMP:

θ makes $p_1' \wedge \dots \wedge p_n'$ and $p_1 \wedge \dots \wedge p_n$ equal:

$$\underbrace{\text{SUBST}(\theta, p_1' \wedge \dots \wedge p_n')}_{\phi} = \underbrace{\text{SUBST}(\theta, p_1 \wedge \dots \wedge p_n)}_{\phi}$$

With a slight abuse of notation....

$$\frac{\begin{array}{l} \text{SUBST}(\theta, p_1' \wedge \dots \wedge p_n') \\ = \varphi \end{array} \quad \begin{array}{l} \text{SUBST}(\theta, p_1' \wedge \dots \wedge p_n') \rightarrow \text{SUBST}(\theta, q) \\ = \varphi \rightarrow \text{SUBST}(\theta, q) \end{array}}{\text{SUBST}(\theta, q)} \text{ (MP)}$$

Generalized modus ponens

Knowledge base:

A person that sells drugs is a criminal.

$$\forall x \forall y [s(x,y) \wedge p(x) \wedge d(y) \rightarrow c(x)]$$

Socrates is a person: $p(s')$

Socrates sells anything: $\forall z s(s',z)$

Cannabis is a drug: $d(c')$

Query:

Is Socrates a criminal? $c(s')$

Generalized modus ponens

$$\frac{\forall x \forall y [s(x,y) \wedge p(x) \wedge d(y) \rightarrow c(x)] \quad p(s') \quad d(c') \quad \forall z s(s',z)}{\text{(GMP)}}$$

$$\begin{aligned} & \text{SUBST}(\{x/s', y/c', z/c'\}, c(x)) \\ & \equiv c(s') \end{aligned}$$

$$\begin{aligned} & \text{SUBST}(\{x/s', y/c', z/c'\}, \forall x \forall y [s(x,y) \wedge p(x) \wedge d(y) \rightarrow c(x)]) \\ & \equiv s(s',c') \wedge p(s') \wedge d(t') \rightarrow c(s') \end{aligned}$$

Generalized modus ponens

This is a **lifted** version of modus ponens: it raises modus ponens from ground propositional logic to first-order logic.

Lifting is more efficient than propositionalization: only necessary substitutions are made.

Inference with GMP: Forward chaining for definite clauses

First order definite clauses

Definite clauses have exactly one positive literal.

Implications:

$$\begin{aligned} & [p_1(x_1, \dots, x_n) \wedge \dots \wedge p_m(x_1, \dots, x_n)] \rightarrow q(x_1, \dots, x_n) \\ & \quad \text{premise} \qquad \qquad \qquad \text{consequent} \\ & \equiv \neg [p_1(x_1, \dots, x_n) \wedge \dots \wedge p_m(x_1, \dots, x_n)] \vee q(x_1, \dots, x_n) \\ & \equiv \neg p_1(x_1, \dots, x_n) \vee \dots \vee \neg p_m(x_1, \dots, x_n) \vee q(x_1, \dots, x_n) \end{aligned}$$

Facts: $q(x_1, \dots, x_n)$.

Generalized Modus Ponens in definite clause form

Given $(p_1 \wedge \dots \wedge p_n) \rightarrow q$ and p_1', \dots, p_n'
with $\text{UNIFY}(p_1 \wedge \dots \wedge p_n, p_1' \wedge \dots \wedge p_n') = \theta$,
prove q .

As def. clause: $(p_1 \wedge \dots \wedge p_n) \rightarrow q \equiv \neg (p_1 \wedge \dots \wedge p_n) \vee q$
 $\equiv \neg p_1 \vee \dots \vee \neg p_n \vee q$

$$\frac{p_1' \dots p_n' \qquad \neg p_1 \vee \dots \vee \neg p_n \vee q}{\text{SUBST}(\theta, q)} \text{ (MP)}$$

1. Americans who sell weapons to enemies are criminals

$$\forall x \forall y \forall z [(a(x) \wedge w(y) \wedge e(z) \wedge \text{sell}(x,y,z)) \rightarrow c(x)]$$

2. Nono has some weapons.

$$\exists x [\text{owns}(N', x) \wedge w(x)].$$

3. Its weapons were sold by West.

$$\forall x [(\text{owns}(N', x) \wedge w(x)) \rightarrow \text{sell}(W', N', x)].$$

4. West is an American.

$$a(W')$$

5. Nono is an enemy

$$e(N')$$

Query: is West a criminal? $c(W')$

In definite clause form

$$1. \forall x \forall y \forall z [(a(x) \wedge w(y) \wedge e(z) \wedge \text{sell}(x,y,z)) \rightarrow c(x)] \\ \neg a(x) \vee \neg w(y) \vee \neg e(z) \vee \neg \text{sell}(x,y,z) \vee c(x)$$

$$2. \exists x [\text{owns}(N', x) \wedge w(x)].$$

$$2a) \text{owns}(N', M') \quad 2b) w(M')$$

$$3. \forall x [(\text{owns}(N', x) \wedge w(x)) \rightarrow \text{sell}(W', N', x)].$$

$$\neg \text{owns}(N', x) \vee \neg w(x) \vee \text{sell}(W', N', x).$$

$$4. a(W') \quad a(W')$$

$$5. e(N') \quad e(N')$$

Forward chaining: apply GMP, starting from premises

$\text{owns}(\text{N}, \text{M}) \quad \text{w}(\text{M}) \quad \neg \text{owns}(\text{N}, \text{x}) \vee \neg \text{w}(\text{x}) \vee \text{sell}(\text{W}, \text{N}, \text{x}).$

(GMP 2a, 2b, 3)

6. $\text{sell}(\text{W}, \text{N}, \text{M}).$

$\text{a}(\text{W}) \quad \text{e}(\text{N}) \quad \text{w}(\text{M}) \quad \text{sell}(\text{W}, \text{N}, \text{M}) \quad \neg \text{a}(\text{x}) \vee \neg \text{w}(\text{y}) \vee \neg \text{e}(\text{z}) \vee \neg \text{sell}(\text{x}, \text{y}, \text{z}) \vee \text{c}(\text{x})$

(GMP 4, 5, 2b, 6, 1)

7. $\text{c}(\text{W}).$

Yes, West is a criminal.

Inference with definite clauses: backward chaining

Two ways to use modus ponens

$$\begin{array}{c} \varphi \rightarrow \psi \\ \varphi \\ \hline \psi \end{array} \text{ (MP)}$$

Forward: I know that φ implies ψ . I also know φ . Hence, I can conclude that ψ is true as well.

Backward: I want to know whether ψ is true. I know that φ implies ψ . Hence, if I can prove φ , I can conclude that ψ is true as well.

Backward chaining

Goal: prove that the literal q' is true.

1. Find an implication clause $\neg p_1 \vee \dots \vee \neg p_n \vee q$ such that goal q' unifies with consequent q .

$\text{UNIFY}(q', q) = \theta'$

2. Apply θ' to $\neg p_1 \vee \dots \vee \neg p_n \vee q$.

$\text{SUBST}(\theta', \neg p_1 \vee \dots \vee \neg p_n \vee q) = \neg p''_1 \vee \dots \vee \neg p''_n \vee q''$

3. Find a unifier θ'' that allows you to prove that each literal p''_i is true. (Recursion!)

findImplications(*goal*, θ) returns a list of *implications* whose consequent unifies with *goal*, and the corresponding unifier θ'

```
backwardChain(literal goal, unifier  $\theta$ )  
  goal' = SUBST(goal,  $\theta$ )  
  foreach (clause implication, unifier  $\theta'$ )  
    in findImplications(goal,  $\theta$ ):  
      foreach  $p_i$  in implication.PREMISES:  
        (boolean retval, unifier  $\theta_i$ ) =  
          backwardChain( $p_i$ ,  $\theta'$ )  
        if retval == false: goto next implication;  
         $\theta' = \theta_i$   
      if retval: return (true,  $\theta'$ );  
  return (false,  $\theta$ );
```

Logic programming with PROLOG

Horn clauses: *at most one positive literal.*

```
path(X,Z) :- path(X,Y), link(Y,Z).  
consequent:- premise1, premise2.
```

Inference: uses backward chaining

Database semantics:

- Each constant refers to a unique object (no two names for the same object)
- Domain closure: domain consists only of those objects for which we have a name.
- Closed world assumption: if we don't know that P is true, we assume it's false.

Problem with backward chaining

Backward chaining is depth-first search.
It can go down infinite branches of the search tree.

This is fine (Prolog will try base case first):

```
path(X,Z) :- link(X,Z).  
path(X,Z) :- path(X,Y), link(Y,Z).
```

This loops (Prolog will never get to the base case):

```
path(X,Z) :- path(X,Y), link(Y,Z).  
path(X,Z) :- link(X,Z).
```

Inference in predicate logic: Resolution

Conjunctive normal form

CNF (in general): arbitrary number of positive literals.
Again, convert to prenex NF, skolemize and drop universal quantifiers.

The CNF of φ is **inferentially equivalent** to φ :
 $\text{CNF}(\varphi)$ is unsatisfiable iff φ is unsatisfiable.

Proof strategy: by contradiction (aka **refutation**).
To prove $\varphi \models \psi$, show $\varphi \wedge \neg\psi$ is unsatisfiable.

Inference rule: resolution

Translation to CNF

1. Eliminate implications: $(\phi \rightarrow \psi) \equiv (\neg\phi \vee \psi)$
2. Standardize variables apart
3. Translate to prenex NF: move quantifiers outwards (across negation, connectives)
4. Move \neg negation inside connectives
 $\neg(\phi \wedge \psi) \equiv (\neg\phi \vee \neg\psi)$ $\neg(\phi \vee \psi) \equiv (\neg\phi \wedge \neg\psi)$
5. Skolemize $\exists x$
6. Drop universal quantifiers \forall
7. Distribute \vee over \wedge

Resolution

A lifted version of propositional resolution:

If p_i unifies with $\neg q_j$: $\text{UNIFY}(p_i, \neg q_j) = \theta$

$$\frac{p_1 \vee \dots \vee p_i \vee \dots \vee p_n \quad q_1 \vee \dots \vee q_j \vee \dots \vee q_m}{\text{SUBST}(\theta, p_1 \vee \dots \vee p_{i-1} \vee p_{i+1} \vee \dots \vee p_n \vee q_1 \vee \dots \vee q_{j-1} \vee q_{j+1} \vee \dots \vee q_m)}$$

Resolution is complete for FOL.

(again, we assume **factoring**: no duplicate literals
replace $\dots \vee p \vee \dots \vee p \vee \dots$ with $\dots \vee p \vee \dots$)

Why $\text{UNIFY}(p_i, \neg q_j)$ and not $\text{UNIFY}(p_i, q_j)$?

Propositional resolution: $q_i \equiv \neg p_i$

$$\frac{p_1 \vee \dots \vee \mathbf{p_i} \vee \dots \vee p_n \quad q_1 \vee \dots \vee \neg \mathbf{p_i} \vee \dots \vee q_m}{p_1 \vee \dots \vee p_{i-1} \vee p_{i+1} \vee \dots \vee p_n \vee q_1 \vee \dots \vee q_{j-1} \vee q_{j+1} \vee \dots \vee q_m}$$

Long answer: We know that $\text{UNIFY}(p, \neg q) = \theta$.

Apply the unifier θ to p and to q :

$$\text{SUBST}(\theta, p) = p' \quad \text{SUBST}(\theta, q) = q'$$

How do p' and q' look like?

$$\text{Answer: } q' \equiv \neg p'$$

Short answer: $\text{UNIFY}(p, \neg p) = \text{FAIL}$.

Today's key concepts

Unification:

to deal with universal variables

Lifted inference:

Generalized modus ponens

forward chaining

backward chaining

first order resolution