

CS440/ECE448: Intro to Artificial Intelligence

# **Lecture 8:**

# **First-order**

# **predicate logic**

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# **Back to resolution in propositional logic**

# The resolution rule

Unit resolution:

$$p_1 \vee \dots \vee p_{i-1} \vee \mathbf{p_i} \vee p_{i+1} \vee \dots \vee p_n \quad \neg \mathbf{p_i}$$

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$$p_1 \vee \dots \vee p_{i-1} \vee p_{i+1} \vee \dots \vee p_n$$

Full resolution:

$$\mathbf{p_1} \vee \dots \vee \mathbf{p_i} \vee \dots \vee \mathbf{p_n} \quad \mathbf{q_1} \vee \dots \vee \neg \mathbf{p_i} \vee \dots \vee \mathbf{q_m}$$

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$$\mathbf{p_1} \vee \dots \vee \mathbf{p_n} \vee \mathbf{q_1} \vee \dots \vee \mathbf{q_m}$$

Final step: factoring (remove any duplicate literals from the result  $A \vee A \equiv A$ )

# Proof by contradiction

How do we prove that  $\alpha \models \beta$  ?

$\alpha$  entails  $\beta$  ( $\alpha \models \beta$ ) *iff*  $\alpha \wedge \neg \beta$  not satisfiable.

**Proof:**

$\alpha \wedge \neg \beta$  not satisfiable *iff*  $\models \neg (\alpha \wedge \neg \beta)$

Assume  $\models \neg (\alpha \wedge \neg \beta)$ .

$\models \neg \alpha \vee \beta$

$\models \alpha \rightarrow \beta$ .

Thus,  $\neg (\alpha \wedge \neg \beta) \equiv \alpha \rightarrow \beta$ .

# A resolution algorithm

**Goal:** prove  $\alpha \models \beta'$  by showing that  $\alpha \wedge \neg \beta$  is not satisfiable (*false*)

## Observation:

Resolution derives a contradiction (*false*) if it derives the empty clause:

$$\frac{p_i \quad \neg p_i}{\emptyset}$$

```

function PLresolution( $\alpha$ ,  $\beta$ )
    input: formula  $\alpha$ , // knowledge base
            formula  $\beta$  // query
    clauses := CNF( $\alpha \wedge \neg \beta$ )
    new := {}
    while true:
        for each c1, c2 in clauses do
            resolvents := resolve(c1, c2)
            if  $\emptyset$  in resolvents then return true;
            new := new  $\cup$  resolvents
        if new  $\subseteq$  clauses then return false;
        clauses := clauses  $\cup$  new

```

# Completeness of Resolution

## Resolution closure $RC(S)$ :

The set of all clauses that can be derived by resolution from a set of clauses  $S$ .

If  $S$  is finite,  $RC(S)$  is finite.

## Ground resolution theorem:

If  $RC(S)$  contains  $\emptyset$ ,  $S$  is not satisfiable.

If  $RC(S)$  does not contain  $\emptyset$ ,  $S$  is satisfiable.

# If $RC(S)$ doesn't contain $\emptyset$ ...

... $S$  is satisfiable, because we can build a model for its variables  $p_1 \dots p_n$ :

For  $i$  from  $1 \dots n$ :

- if a clause in  $RC(S)$  contains  $\neg p_i$   
and all its other literals are false,  
then assign false to  $p_i$
- otherwise assign true to  $p_i$



**A little exercise...**

# Meet your neighbors!

Task 1: Give a WFF that is

1. Valid
2. Satisfiable
3. Not valid
4. Not satisfiable
5. Satisfiable but not valid
6. Valid but not satisfiable

**Axiom set  $\Delta$ :**

1.  $P \Rightarrow Q$
2.  $L \Rightarrow R$
3.  $Q \Rightarrow R$
4.  $\neg L \Rightarrow Z$
5.  $S \Rightarrow L$
6.  $P \Rightarrow G$
7.  $\neg L$
8.  $A$
9.  $P$
10.  $G$

## **Task 2:**

**Is R true?**

Can we prove it  
using Modus  
Ponens?

$$\Theta \Rightarrow \Psi$$

$$\frac{\Theta}{\Psi}$$

## Derivation of R

1.  $P \Rightarrow Q$   $\Delta$

2.  $L \Rightarrow R$   $\Delta$

3.  $Q \Rightarrow R$

$\Delta$

4.  $\neg L \Rightarrow Z$   $\Delta$

5.  $S \Rightarrow L$   $\Delta$

6.  $P \Rightarrow G$   $\Delta$

7.  $\neg L$   $\Delta$

8.  $A$   $\Delta$

9.  $P$   $\Delta$

10.  $G$   $\Delta$

11.  $Q$  **MP: 1,9**

12.  $R$  **MP: 3,11**

# Give a WFF that is

- |                              |                   |                   |
|------------------------------|-------------------|-------------------|
| 1. Valid                     | $P \vee \neg P$   | $R \Rightarrow R$ |
| 2. Satisfiable               | $P$               |                   |
| 3. Not valid                 | $P$               |                   |
| 4. Not satisfiable           | $P \wedge \neg P$ |                   |
| 5. Satisfiable but not valid | $P$               |                   |
| 6. Valid but not satisfiable |                   | Not possible      |

## Derivation of R

1.  $P \Rightarrow Q$   $\Delta$

2.  $L \Rightarrow R$   $\Delta$

3.  $Q \Rightarrow R$

$\Delta$

4.  $\neg L \Rightarrow Z$   $\Delta$

5.  $S \Rightarrow L$   $\Delta$

6.  $P \Rightarrow G$   $\Delta$

7.  $\neg L$   $\Delta$

8.  $A$   $\Delta$

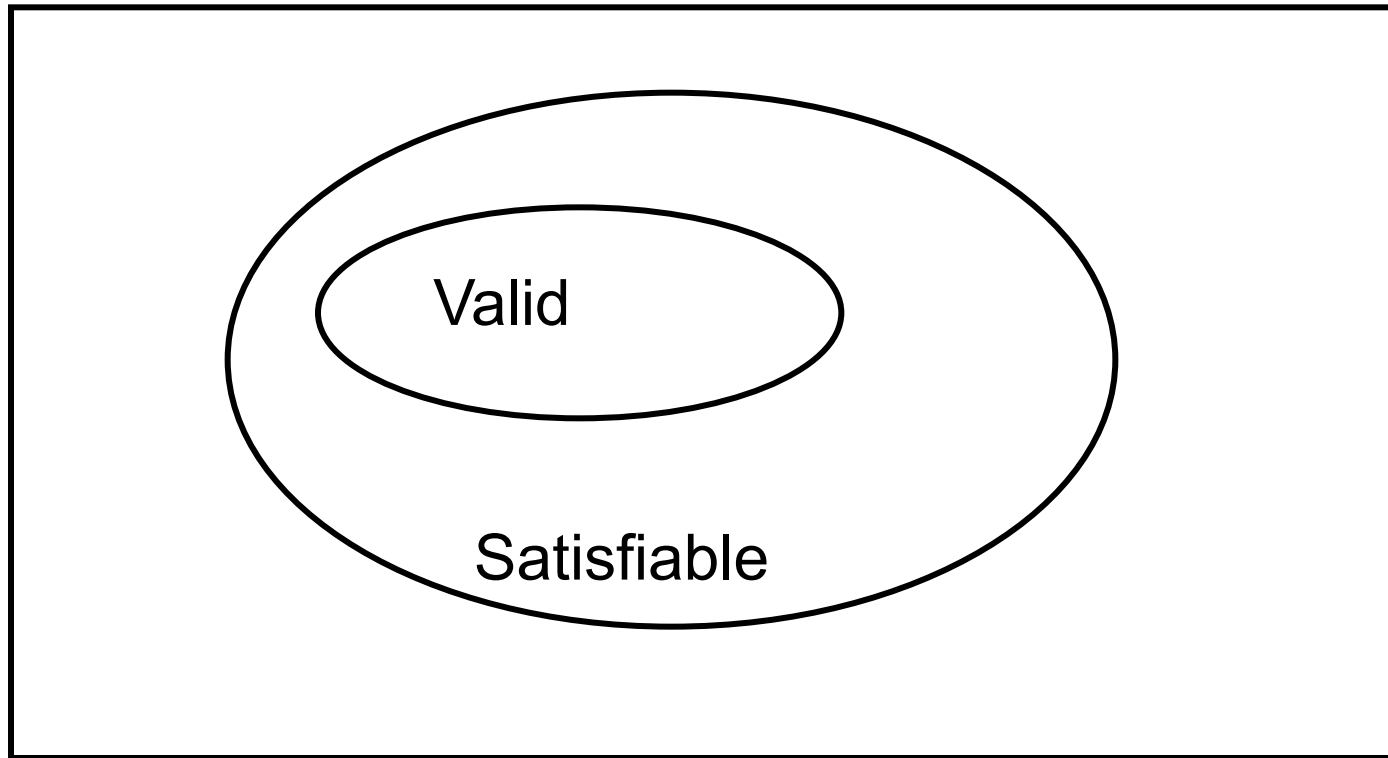
9.  $P$   $\Delta$

10.  $G$   $\Delta$

11.  $Q$  **MP: 1,9**

12.  $R$  **MP: 3,11**

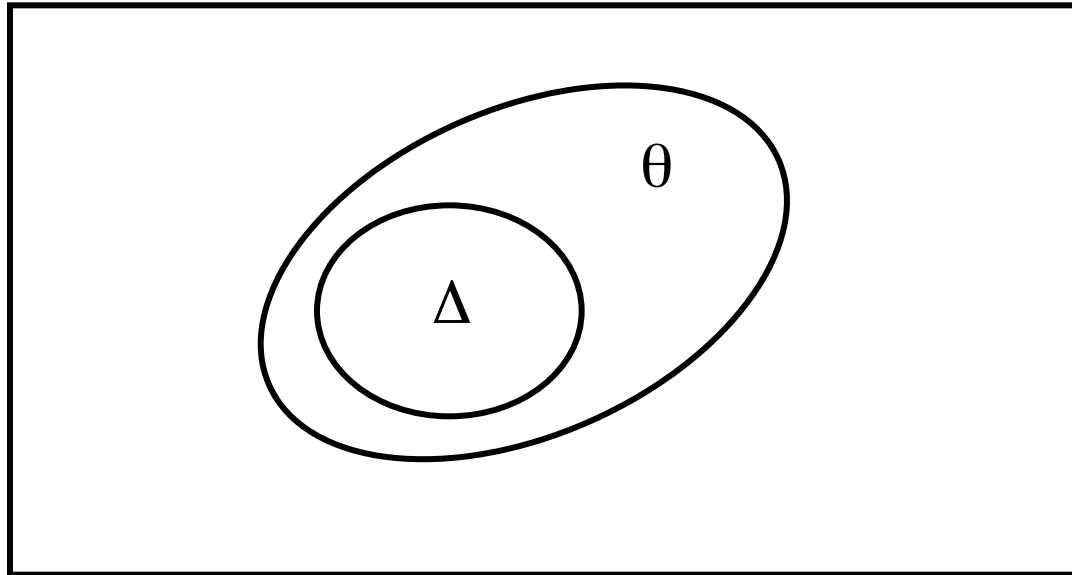
# Validity and Satisfiability



All WFFs

(**not** universe of possible worlds!)

Suppose  $\Delta$  is Satisfiable and  $\Delta$  Entails  $\theta$



All possible worlds (models)

What are the possible worlds of  $\theta$ ?

What are the possible worlds of  $\neg\theta$ ?

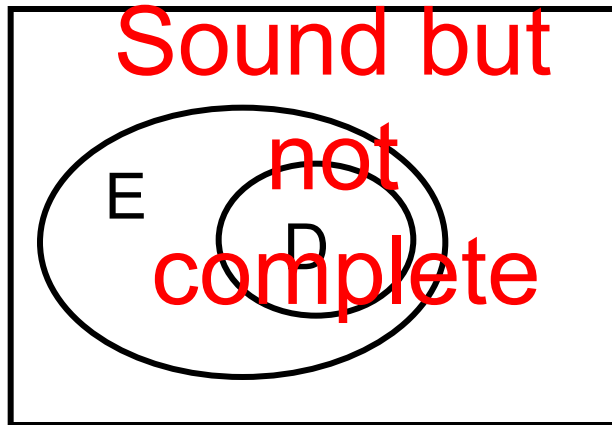
What are the possible worlds of  $(\Delta \wedge \neg\theta)$ ?



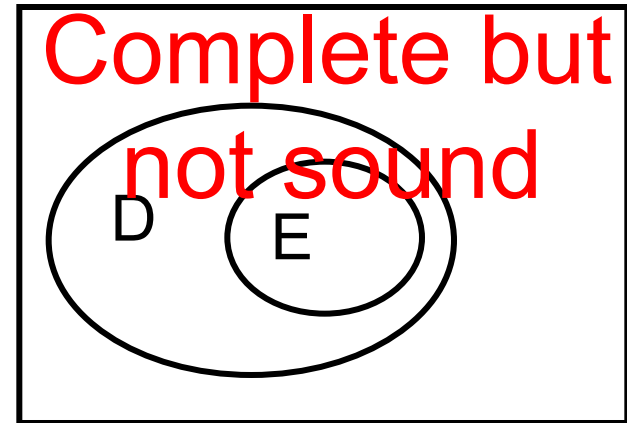
For all axiom sets we have these relationships

E: entailed WFFs

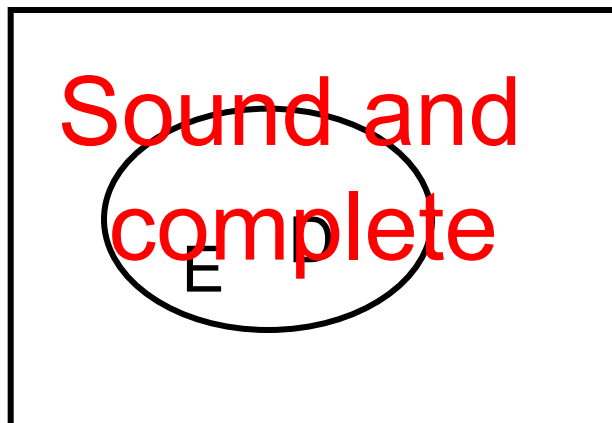
D: derivable WFFs



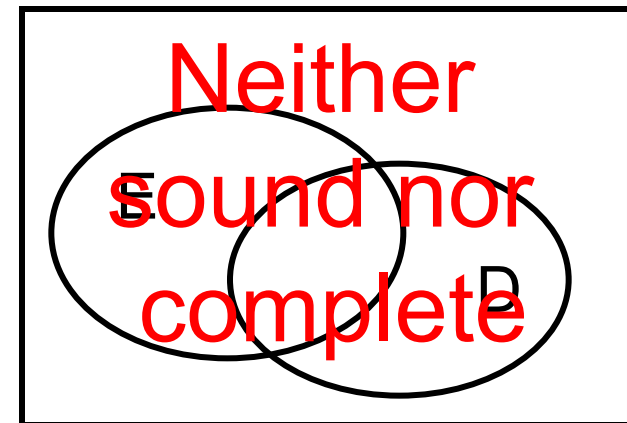
All WFFs



All WFFs



All WFFs



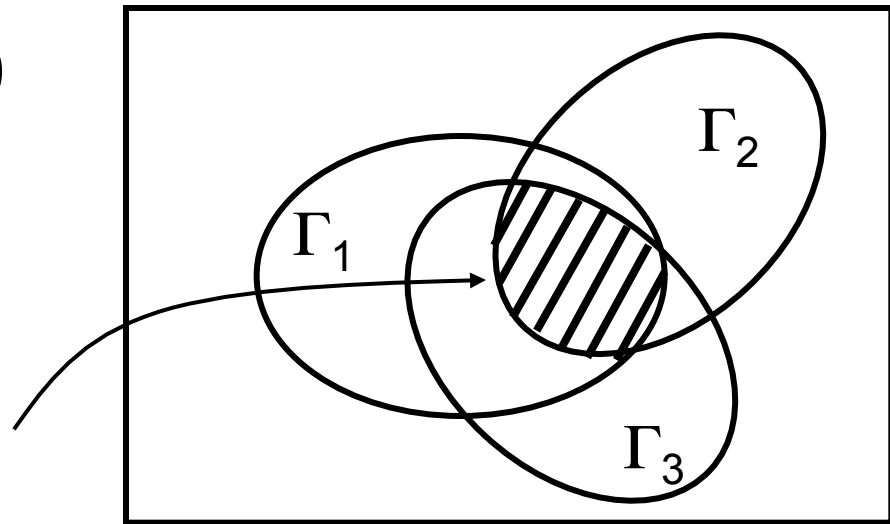
All WFFs

# Entailment

An axiom set  $\Delta$  contains 3 axioms:

$$\Delta \equiv \bigwedge_{i=1,3} \Gamma_i$$
$$(\Delta \equiv \Gamma_1 \wedge \Gamma_2 \wedge \Gamma_3)$$

Any WFF that  
*completely includes*  
this intersection is  
logically entailed



Possible Worlds

# Shortcomings of propositional logic

We want to make generic statements:

*All humans are mortal.*

*human* and *mortal* are **properties**

*$x$  is smaller than  $x+1$*

$x$ ,  $1$  and  $x+1$  refer to **entities**.

There may be an infinite number of them.

$+$  is a **function**

# Shortcomings of propositional logic

*There is at least one goal state.*  
(but we don't know which one....)

*A queen on square X attacks a piece on square Y  
if...*

# We need a richer model

**Domain:** a set of entities  $\{ann, peter, ..., book1, ...\}$

Entities can have **properties**.

A property defines a (sub)set of entities:

$blue = \{book5, mug3, ...\}$

There may be **relations** between entities.

An  $n$ -ary relation defines a set of  $n$ -ary tuples of entities:  $belongsTo = \{ \langle book5, peter \rangle, \langle mug3, ann \rangle, ... \}$

# We need a richer language

**Terms:** refer to entities

- Variables, constants, functions,

**Predicates:** refer to properties of entities or relations between entities

**Sentences** (= propositions)  
can be true or false

# So...

We need a **more expressive language**:

- We need to talk about entities, their properties, and their relations to other entities

We need **richer models**:

- We need to represent entities, properties, and their relations to other entities

# **First-order predicate logic**



# Predicate logic

**Syntax:** What is the language of well-formed formulas of predicate logic?

**Semantics:** What is the interpretation of a well-formed formula in predicate logic?

**Inference rules and algorithms:** How can we reason with predicate logic?

# Some examples

John is a student:  $\text{student}(\text{john})$

All students take at least one class:

$$\forall x \text{ student}(x) \rightarrow \exists y (\text{class}(y) \wedge \text{takes}(x,y))$$

There is a class that all students take:

$$\exists y (\text{class}(y) \wedge \forall x (\text{student}(x) \rightarrow \text{takes}(x,y)))$$

# Terms

**Terms** refer to entities.

They can be constants, variables, or  $n$ -ary functions applied to  $n$  terms:

john', x, fatherOf(john'),

# Predicates

## Unary predicates

define properties of entities:

`student(john')`

## *N*-ary predicates

define relations between *n* entities:

`fatherOf(john', tom')`

# Formulas

**Formulas** have truth values.

Atomic formulas consist of an  $n$ -ary predicate applied to  $n$  terms:

`fatherOf(john', tom')`

Formulas can be negated or joined with the same connectives as in propositional logic:

`¬fatherOf(john', max');`

`fatherOf(john', tom') ∧ fatherOf(ann', tom')`

# Quantified formulas

**Universal quantifier** ('for all x')

All x are P:  $\forall x P(x)$

All P are Q:  $\forall x P(x) \rightarrow Q(x)$

**Existential quantifier** ('there exists an x')

There is a P:  $\exists x P(x)$

At least one P is a Q:  $\exists x P(x) \wedge Q(x)$

# The syntax of FOL expressions

Term  $\Rightarrow$  Constant |  
Variable |  
Function(Term,...,Term)

Formula  $\Rightarrow$  Predicate(Term, ...Term) |  
 $\neg$  Formula |  
 $\forall$  Variable Formula |  
 $\exists$  Variable Formula |  
Formula  $\wedge$  Formula |  
Formula  $\vee$  Formula |  
Formula  $\rightarrow$  Formula

# Why 'first order'

In **first order** predicate logic, variables refer only to entities. We can only quantify over entities:  $\forall x (P(x) \rightarrow Q(x))$

In **second order** predicate logic, variables can also refer to predicates. We can now quantify over predicates:

$$\forall x \forall Q (P(x) \rightarrow Q(x))$$

NB: zero-th order predicate logic = propositional logic



# Quantifier scope

$$\forall x (P(x,y) \rightarrow Q(x))$$

scope of  $\forall$   
x is bound by  $\forall$   
y is free.

Variables that are in the scope of a quantifier are **bound**. Otherwise, they are **free**.

Only formulas without free variables have truth values (=‘**sentences/propositions**’)

# Quantifier scope equivalences

$$\forall x P(x) \wedge \forall y Q(y) \equiv \forall x \forall y [P(x) \wedge Q(y)]$$

$$\forall x \forall y [P(x) \wedge Q(y)] \equiv \forall y \forall x [P(x) \wedge Q(y)]$$

$$\forall x P(x) \vee \forall y Q(y) \equiv \forall x \forall y [P(x) \vee Q(y)]$$

$$\forall x \forall y [P(x) \vee Q(y)] \equiv \forall y \forall x [P(x) \vee Q(y)]$$

$$\exists x P(x) \vee \exists y Q(y) \equiv \exists x \exists y [P(x) \vee Q(y)]$$

$$\exists x \exists y [P(x) \vee Q(y)] \equiv \exists y \exists x [P(x) \vee Q(y)]$$

# Negation and Quantifiers

Not all x are P  $\Leftrightarrow$

There is at least one x that is not P

$$\neg \forall x P(x) \equiv \exists x \neg P(x)$$

There is no x that is P  $\Leftrightarrow$

All x are not P

$$\neg \exists x P(x) \equiv \forall x \neg P(x)$$

# Quantifier scope ambiguities

‘for each  $x$  there is a  $y$  such that  $P(x,y)$ ’

$$\forall x \exists y P(x,y)$$

is **NOT EQUIVALENT** to

‘there is a  $y$  such that for each  $x$   $P(x,y)$ ’

$$\exists y \forall x P(x,y)$$