

# Unifier

A *unifier* (also *substitution*, *binding list*\*) is a set of pairings of variables with terms:

$$\{v_1 = e_1, v_2 = e_2, v_3 = e_3, \dots v_n = e_n\}$$

such that

- each variable is paired at most once
- a variable's pairing term may not contain the variable directly or indirectly

$$\{x = \text{Socrates}\}$$

\* Do not confuse with bound / free variables!!!

# Most General Unifier MGU

The MGU imposes the fewest constraints, specifying the *weakest* conditions for matching

MGU is unique

- order is not important

- variable names are not important  
(alphabetic variants)

Applying the MGU to an expression yields a *most general unification instance*.

Variable substitutions are always interpreted with the unifier applied

# What is the MGU?

$M(\text{Ann}, x, \text{Bob})$

$M(\text{Ann}, x, \text{Bob})$

$M(\text{Ann}, x, \text{Bob})$

$M(y, x, \text{Chuck})$

$M(\text{Ann}, x, \text{Bob})$

$M(y, x, \text{Father-of}(\text{Chuck}))$

$P(w, w, \text{Fred})$

$P(x, y, y)$

$Q(r, r)$

$Q(x, F(x))$

$Q(r, r)$

$Q(x, F(y))$

$R(G(x, \text{Bob}), y, y)$

$R(z, G(\text{Fred}, w), z)$

# Negation and Quantifiers

- $\neg \forall x P(x) \equiv \exists x \neg P(x)$
- $\neg \exists x P(x) \equiv \forall x \neg P(x)$
- $\forall x P(x) \wedge \forall y Q(y) \equiv \forall x \forall y [P(x) \wedge Q(y)]$
- $\forall x \forall y [P(x) \wedge Q(y)] \equiv \forall y \forall x [P(x) \wedge Q(y)]$   
(also  $\vee$ , also all  $\exists$ 's)

BUT

- $\forall x \exists y P(x,y)$  is NOT the same as  
 $\exists y \forall x P(x,y)$

# Unification is easier without Quantifiers

Precludes FOPC? No:

Eliminate existentials by *skolemizing*

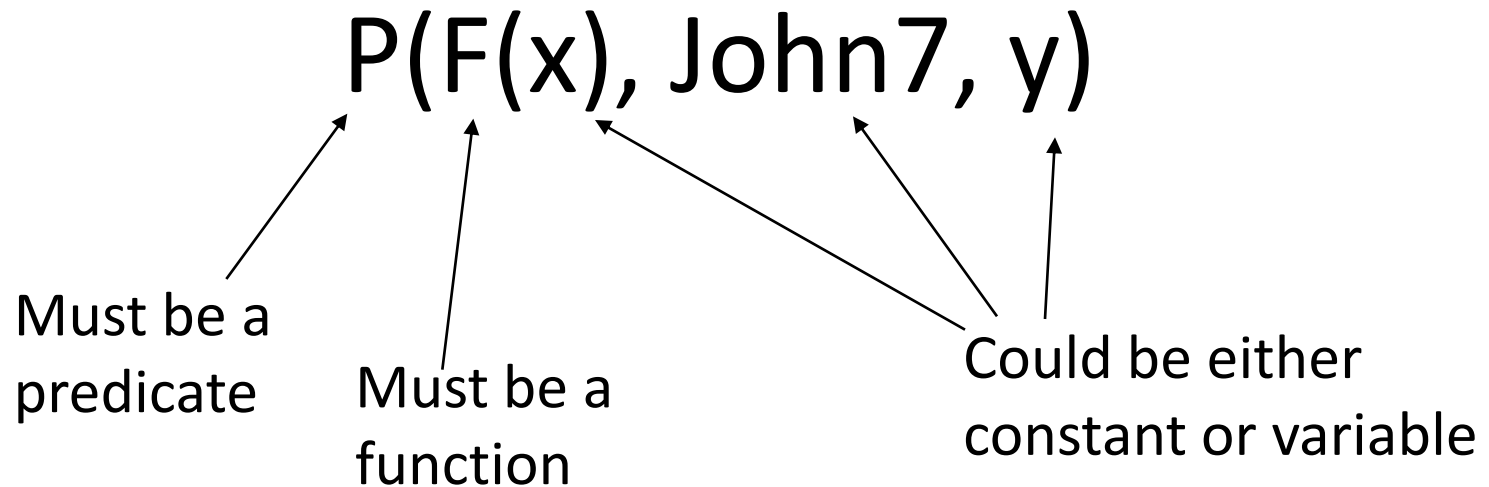
Drop explicit universals (carefully) & rename

All variables are implicitly universally quantified

Need naming convention...

# Syntactic Cues/Restrictions

(without quantifiers)



Need a naming convention to distinguish constants from variables

Common:

For constants: first letter upper case

For variables:

first letter “?”

single characters from end of alphabet:  $v, w, x, y, z$

# Unification Assumptions

(see text for algorithm)

- Skolemized: all variables are universally quantified
- Variables Standardized Apart: unique names
- Unifiers are *different* if they result in *different* instances and impose *different constraints*  
(alphabetic variant unifiers do not impose different constraints)

# Skolemization

We have a WFF:

$$\forall x \exists y R(x,y)$$

For any way of choosing  $x$  there is *guaranteed* to be a way of choosing  $y$  such that “ $R$ ” holds between them.

Since  $y$  can depend on  $x$ , we can replace all occurrences of  $y$  by a new function of  $x$ , say  $F(x)$ .

$$\forall x \exists y R(x,y)$$

is equivalent to

(“embodies the same constraints as”  
“means the same as”)

$$\forall x R(x, F(x))$$



# A Skolem Function

- Introduces a new function symbol
- Directly replaces all occurrences of an existential variable
- Has as arguments all universal variables in whose scope it appears

$$\forall x \forall y \exists w \forall z Q(x, y, w, z, G(w, x))$$

is equivalent to

$$\forall x \forall y \forall z Q(x, y, P(x,y), z, G(P(x,y), x))$$

where  $P$  is the Skolem function for  $w$

# Consider

$$\neg[\forall x \exists y \forall z P(x, y, z)]$$

**“Every boy owns a dog.”**

**where the dog need not be owned in common**

**where there is one shared dog**

# After Skolemization

- All variables are universally quantified
- Drop universal quantifier indicators “ $\forall x$ ”
- This loses scoping information
- So we must rename variables before Skolemizing
- Standardize variables apart

# Clause Form

(also clausal form)

- Set notation of CNF (conjunctive normal form - also POS)
- R & N stop with CNF – we do not
- Write axioms as a conjunction of sentences
- Each sentence is a disjunction of literals  
(recall literal: atomic WFF or negated atomic WFF)
- Braces { } denote sets; comma separates literals

# Convert FOPC to Clause Form

1. Eliminate equivalence  $\Leftrightarrow$  and implication  $\Rightarrow$  symbols
2. Move  $\neg$  inwards forming literals
3. Standardize variables apart - unique variable names eliminating scoping conflicts
4. Skolemize
5. Drop universal quantifiers
6. Distribute AND  $\wedge$  over OR  $\vee$
7. Flatten nested ANDs  $\wedge$  and ORs  $\vee$  yielding CNF (POS)
8. Write in set notation standardizing variables apart in different clauses

**Example:  $\forall x \exists y [(\exists z (R(x, z) \vee P(y, z))) \Rightarrow \forall z Q(y, z)]$**

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1.  $\forall x \exists y [(\neg(\exists z (R(x, z) \vee P(y, z)))) \vee \forall z Q(y, z)]$
2.  $\forall x \exists y [(\forall z (\neg R(x, z) \wedge \neg P(y, z))) \vee \forall z Q(y, z)]$
3.  $\forall x1 \exists y1 [(\forall z1 (\neg R(x1, z1) \wedge \neg P(y1, z1))) \vee \forall z2 Q(y1, z2)]$
4.  $\forall x1 [(\forall z1 (\neg R(x1, z1) \wedge \neg P(\text{Sk1}(x1), z1))) \vee \forall z2 Q(\text{Sk1}(x1), z2)]$
5.  $[(\neg R(x1, z1) \wedge \neg P(\text{Sk1}(x1), z1)) \vee Q(\text{Sk1}(x1), z2)]$
6.  $[(\neg R(x1, z1) \vee Q(\text{Sk1}(x1), z2)) \wedge (\neg P(\text{Sk1}(x1), z1) \vee Q(\text{Sk1}(x1), z2))]$
7.  $[(\neg R(x1, z1) \vee Q(\text{Sk1}(x1), z2)) \wedge (\neg P(\text{Sk1}(x1), z1) \vee Q(\text{Sk1}(x1), z2))]$
8.  $\{\neg R(x2, z3), Q(\text{Sk1}(x2), z4)\}$   
 $\{\neg P(\text{Sk1}(x3), z5), Q(\text{Sk1}(x3), z6)\}$