### CS/ECE 439: Wireless Networking

MAC Layer - Road to Wireless





# Multiple Access Media

## Media access

- Controlling which frame should be sent over the link next
  - Easy for point-to-point links; half versus full duplex
  - Harder for multi-access links: who gets to send?
- Multiple senders on some media
  - Buses (Ethernet)
  - Radio, Satellite

## Goals

- Fair arbitration
- Good performance



## Point-to-Point vs. Broadcast Media

#### Point-to-point: dedicated pairwise communication

- Long-distance fiber link
- Point-to-point link between Ethernet switch and host
- Broadcast: shared wire or medium
  - Traditional Ethernet
  - ► 802.11 wireless LAN



## Types of Shared Link Networks



Bus Topology: Shared Ethernet



#### Star Topology: Active or Passive Hub



# Multiple Access Algorithm

#### Single shared broadcast channel

- Must avoid having multiple nodes speaking at once
- Otherwise, collisions lead to garbled data
- Need distributed algorithm for sharing the channel
- Algorithm determines which node can transmit

#### Typical assumptions

- Communication needs vary
  - Over time
  - Between hosts
- Network is not fully utilized

#### • <u>video</u>

# Multiple Access Media

#### Which kind of multiplexing is best?

- Channel partitioning: divide channel into pieces
  - Frequency-division multiplexing (FDM, separate bands)
- Taking turns: scheme for trading off who gets to transmit
  - Time-division multiplexing (TDM, synchronous time slots)
  - Statistical time-division multiplexing (STDM, time slots on demand)

#### These techniques are useful

- But they have a number of limitations
- They do not support bursty traffic efficiently
  - Lots of unused capacity, ...
  - ... while active users squeeze their bit stream through a very thin pipe
- Work best in a provisioned service
  - Management of frequencies, time slots, placement of devices, etc.

# Multiple Access Media: Random Access

## Random access

- Allow collisions, and then recover
- Optimize for the common case (no collision)
- Don't avoid collisions, just recover from them....
- When node has packet to send
  - Transmit at full channel data rate
  - No a priori coordination among nodes
- Two or more transmitting nodes  $\Rightarrow$  collision
  - Data lost
- Random access MAC protocol specifies
  - How to detect collisions
  - How to recover from collisions

# Carrier Sense Multiple Access with Collision Detection (CSMA/CD)

- Aloha Packet Radio Network
  - First data communication system for Hawaiian islands
  - Hub at U. Hawaii, Oahu
  - Two radio channels
    - Random access: for sites sending data
    - Broadcast for hub rebroadcasting data
- Ethernet
  - CSMA/CD for LANs



- Developed in University of Hawaii in early 1970's
- Keep it simple
  - User transmits at will
  - If two or more messages overlap in time  $\rightarrow$  collision
    - Receiver cannot decode packets
  - Wait roundtrip time plus a fixed increment → collision
     Lack of ACK
  - After a collision
    - Colliding stations retransmit
    - Stagger attempts randomly to reduce repeat collisions
  - After several attempts, senders give up
- Simple but wasteful
  - Max efficiency of at most I/(2e) = 18%!

## User model

- N transmitters
  - Each transmitter hooked to one terminal
  - One person at each terminal
- Person types a line, presses return
  - Transmitter sends line
  - $\blacktriangleright$  Each station transmits  $\lambda$  packets/sec on average based on a Poisson arrival process
- Checks for success (no interference)
- If collision occurred, wait random time and resend





## Collisions

- A frame will not suffer a collision if no other frames are sent within one frame time of its start
- Let t = time to send a frame
- If any other user has generated a frame between time t<sub>0</sub> and time t<sub>0</sub> + t, the end of that frame will collide with the beginning of our frame
- Similarly, any other frame started between time  $t_0 + t$  and time  $t_0 + 2t$  will collide with the end of our frame



- Also assume fixed packet sizes (maximizes throughput)
- Arrival and success rates
  - Frames generated at rate S
    - In steady state, must leave at S as well
  - Some frames retransmitted
    - Assume also Poisson with rate G, G > S
- $S = G P_0$ 
  - $P_0$  is the probability of successful transmission

## Pure Aloha Analysis

- Maximum throughput
  - ► G = 0.5
  - ▶ S = 1/2e

- Utilization
  - Maximum of 0.184!



#### Hosts wait for next slot to transmit

- Slot time units = m (message length)
- Modify Aloha by allowing users to attempt transmission at the beginning of a time slot only
- All users need to be synchronized in time.

#### Vulnerable period is now cut in half (T)

Doubles max throughput



## Slotted Aloha



## In each interval *m*

- Mean number of frames generated is G
- The probability of no other traffic being generated during the entire vulnerable period is

$$P_0 = e^{-G}$$
  
 $S = Ge^{-G}$   
Note: Not 2G



## Pros

- Single active node can continuously transmit at full rate of channel
- Highly decentralized: only need slot synchronization
- Simple

# Cons

- Wasted slots:
  - Idle
  - Collisions
- Nodes should detect collision in less than time to transmit packet
- Clock synchronization

## Performance

- Higher values of G
  - Reduces the number of empty slots
  - Increases the number of collisions exponentially
- Small increases in channel load can drastically reduce performance

## Limitations

- Slotted Alohas has twice the performance of basic Aloha, but performance is still poor
  - Slotted design is also not very efficient when carrying variable sized packets!
  - Also (slightly) longer delay than pure Aloha
- Still, not bad for an absolutely minimal protocol!
- How do we go faster?

## ALOHA Analysis

## Tradeoff

- Pure ALOHA provides smaller delays
- Slotted ALOHA provides higher throughput

## From Aloha comes Ethernet

### Ethernet - CSMA/CD

## CS – Carrier Sense

Nodes can distinguish between an idle and a busy link

## MA - Multiple Access

 A set of nodes send and receive frames over a shared link

## CD – Collision Detection

 Nodes listen during transmission to determine if there has been interference

## Ethernet MAC Algorithm



Node A starts transmission at time 0 Node B starts transmission at time T

How can we ensure that A knows about the collision?

# **Collision Detection**

#### Problem

How can A detect a collision?

#### Solution

• A must still be transmitting when it receives B's transmission!

#### Example

- Node A's message reaches node B at time T
- Node B's message reaches node A at time 2T
- For node A to detect a collision, node A must still be transmitting at time 2T

## Ethernet MAC Algorithm



Node A starts transmission at time 0 Node B starts transmission at time T

At time 2T, A is still transmitting and notices a collision

# **Collision Detection**

## ▶ IEEE 802.3

- 2T is bounded to  $51.2\mu s$
- At I0Mbps 51.2µs = 512b or 64 = 512b or 64B
- ▶ Packet length ≥ 64B
- Jam after collision
  - Ensures that all hosts notice the collision

# Ethernet MAC Algorithm

## Sender/Transmitter

- If line is idle (carrier sensed)
  - Send immediately
  - Send maximum of I 500B data (I 527B total)
  - Wait 9.6 μs before sending again
- If line is busy (no carrier sense)
  - Wait until line becomes idle
  - Send immediately (I-persistent)
- If collision detected
  - Stop sending and jam signal
  - Try again later

Why have a max size?

Want to prevent one node from taking over completely

Why 9.6 µs?

Too long: wastes time Too short: doesn't allow other nodes to transmit (fairness)

Incoming signal ≠ outgoing signal!

## Retransmission

- How long should a host wait to retry after a collision?
- What happens if the host waits too long?
  - Wasted bandwidth
- What happens if the host doesn't wait long enough?
  - More collisions

#### Ethernet Solution

- Binary exponential backoff
  - Maximum backoff doubles with each failure
  - After N failures, pick an N-bit number
  - 2<sup>N</sup> discrete possibilities from 0 to maximum

## Binary Exponential Backoff



# Binary Exponential Backoff

- For IEEE 802.3,T = 51.2 μs
- Consider the following
  - *k* hosts collide
  - Each picks a random number from 0 to  $2^{(N-1)}$
  - If the minimum value is unique
    - All other hosts see a busy line
    - Note: Ethernet RTT < 51.2 μs</p>
  - If the minimum value is not unique
    - Hosts with minimum value slot collide again!
    - Next slot is idle
    - Consider the next smallest backoff value

# Binary Exponential backoff algorithm

- When collision first occurs
  - Send a jamming signal to prevent further data being sent
- Resend a frame
  - After either 0 or T seconds, chosen at random
- If resend fails, resend the frame again
  - After either 0, T, 2T, or 3T seconds.
  - In other words, send after kT seconds, where k is a random integer with  $0 \le k \le 2^2$
- If that still doesn't work, resend the frame again
  - After kT, where k is a random number with  $0 \le k < 2^3$
- In general, after the  $n^{th}$  failed attempt, resend the frame after kT, where k is a random number and  $0 \le k < 2^n$

## Medium Access Control

# ▶ IEEE 802.11

 A physical and multiple access layer standard for wireless local area networks (WLAN)



# Medium Access Control

- Wireless channel is a shared medium
- Need access control mechanism to avoid interference
- Why not CSMA/CD?

## Ethernet MAC Algorithm



- Listen for carrier sense before transmitting
- Collision: What you hear is not what you sent!

# CSMA/CD in WLANs?

#### Most radios are functionally half-duplex

- Listening while transmitting is not possible
- Ratio of transmitted signal power to received power is too high at the transmitter
- Transmitter cannot detect competing transmitters (is deaf while transmitting)

#### Collision might not occur at sender

Collision at receiver might not be detected by sender!



#### Why do collisions happen?

- Near simultaneous transmissions
  - Period of vulnerability: propagation delay
# Wireless Ethernet - CSMA/CA

# CS – Carrier Sense

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- MA Multiple Access
  - A set of nodes send and receive frames over a shared link

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# Wireless Ethernet - CSMA/CA

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# CA – Collision Avoidance

 Nodes use protocol to prevent collisions from occurring

# IEEE 802.11 MAC Layer Standard

- Similar to Ethernet
- But consider the following:



# Hidden Terminal Problem

- Node B can communicate with both A and C
- A and C cannot hear each other
- When A transmits to B, C cannot detect the transmission using the carrier sense mechanism
- If C transmits, collision will occur at node B



### MACA Solution for Hidden Terminal Problem

- When node A wants to send a packet to node B
  - Node A first sends a Request-to-Send (RTS) to A
- On receiving RTS
  - Node A responds by sending Clear-to-Send (CTS)
  - provided node A is able to receive the packet
- When a node C overhears a CTS, it keeps quiet for the duration of the transfer



### IEEE 802.11 MAC Layer Standard

### But we still have a problem



# Exposed Terminal Problem

- B talks to A
- C wants to talk to D
- C senses channel and finds it to be busy
- C stays quiet (when it could have ideally transmitted)



### MACA Solution for Exposed Terminal Problem

- Sender transmits Request to Send (RTS)
- Receiver replies with Clear to Send (CTS)
- Neighbors
  - See CTS Stay quiet
  - See RTS, but no CTS OK to transmit



### Capture Effect

### C will almost always "win" if there is a collision at B

- Can lead to extreme unfairness and even starvation
- Solution is power control
  - Very difficult to manage in a non-provisioned environment!



# IEEE 802.11 MAC Layer Standard

- MACAW Multiple Access with Collision Avoidance for Wireless
  - Sender transmits Request to Send (RTS)
  - Receiver replies with Clear to Send (CTS)
  - Neighbors
    - See CTS
      - $\Box$  Stay quiet
    - See RTS, but no CTS
      - $\Box$  OK to transmit
  - Receiver sends ACK for frame
    - Neighbors stay silent until they hear ACK

# Collisions

### Still possible

RTS packets can collide!

#### Binary exponential backoff

- Backoff counter doubles after every collision and reset to minimum value after successful transmission
- Performed by stations that experience RTS collisions

### RTS collisions not as bad as data collisions in CSMA

• Since RTS packets are typically much smaller than DATA packets

# Reliability

### Wireless links are prone to errors

- High packet loss rate detrimental to transport-layer performance
- Mechanisms needed to reduce packet loss rate experienced by upper layers

# A Simple Solution to Improve Reliability - MACAW

- When node B receives a data packet from node A, node B sends an Acknowledgement (ACK)
- If node A fails to receive an ACK
  - Retransmit the packet



### Revisiting the Exposed Terminal Problem

- Problem
  - Exposed terminal solution doesn't consider CTS at node C
- With RTS-CTS, C doesn't wait since it doesn't hear A's CTS
  - With B transmitting DATA, C can't hear intended receiver's CTS
  - C trying RTS while B is transmitting is useless



# Revisiting the Exposed Terminal Problem - MACAW

## One solution

Have C use carrier sense before RTS

# Alternative

- B sends DS (data sending) packet before DATA
  - Short packet lets C know that B received A's CTS
  - Includes length of B's DATA so C knows how long to wait

# Backoff Algorithm

- Binary exponential backoff (BEB)
  - Backoff counter doubles after every collision and reset to minimum value after successful transmission
- Unfair channel allocation!
  - Successful transmitters reset backoff counter to minimum value
    - It is more likely that successful transmitters continue to be successful
  - If there is no maximum backoff
    - One station can get the entire channel bandwidth
- Ideally
  - The backoff counter should reflect the ambient congestion level which is the same for all stations involved!

### Deafness

- For the scenario below
  - Node A sends an RTS to B
    - While node C is receiving from D,
  - Node B cannot reply with a CTS
    - B knows that D is sending to C
    - A keeps retransmitting RTS and increasing its own BO timeout



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# Request for RTS - MACAW

- Have B do contention on behalf of A
  - ▶ If B receives RTS for which it must defer CTS reply
  - Then B later sends RRTS to A when it can send
  - A responds by starting normal RTS-CTS
  - Others hearing RRTS defer long enough for RTS-CTS



# Another MACAW Proposal

- This approach, however, does not work in the scenario below
  - Node B may not receive the RTS from A at all, due to interference with transmission from C



# Problem

Basic RTS-CTS only works for unicast transmissions

### For multicast

- RTS would get CTS from each intended receiver
- Likely to cause (many) collisions back at sender

# Multicast - MACAW

- Sort-of solution
  - Don't use CTS for multicast data
- Receivers recognize multicast destination in RTS
  - Don't return CTS
  - Sender follows RTS immediately by DATA
  - After RTS, all receivers defer for long enough for DATA
- Helps, but doesn't fully solve problem
  - Like normal CSMA, only those in range of sender will defer
  - Others in range of receiver will not defer

## IEEE 802.11

- MAC functionality
  - Addressing
  - CSMA/CA
- Error detection (FCS)
- Error correction (ACK frame)
- Flow control: stop-and-wait
- Fragmentation (More Frag)
- Collision Avoidance (RTS-CTS)

# IEEE 802.11 Wireless MAC

- Distributed and centralized MAC components
  - Distributed Coordination Function (DCF)
  - Point Coordination Function (PCF)
- DCF suitable for multi-hop ad hoc networking
- DCF is a Carrier Sense Multiple Access/Collision Avoidance (CSMA/CA) protocol

## IEEE 802.11 DCF

- Uses RTS-CTS exchange to avoid hidden terminal problem
  - Any node overhearing a CTS cannot transmit for the duration of the transfer
- Uses ACK to achieve reliability
- Any node receiving the RTS cannot transmit for the duration of the transfer
  - To prevent collision with ACK when it arrives at the sender
  - When B is sending data to C, node A keeps quite



# IEEE 802.11 CSMA/CA

### Nodes stay silent when carrier sensed

- Physical carrier sense
- Virtual carrier sense
  - Network Allocation Vector (NAV)
  - NAV is updated based on overheard RTS/CTS/DATA/ACK packets, each of which specified duration of a pending transmission

Backoff intervals used to reduce collision probability











### DATA packet follows CTS



### Successful data reception acknowledged using ACK





### IEEE 802.11


#### More features

#### Use of RTS/CTS is controlled by an RTS threshold

- Only used for data packets > threshold
- Pointless to use RTS/CTS for short data packets
  - High overhead!

#### Number of retries is limited by a Retry Counter

- Short retry counter
  - For packets shorter than RTS threshold
- Long retry counter
  - For packets longer than RTS threshold

#### Packets can be fragmented.

- Each fragment is acknowledged
- But all fragments are sent in one sequence
- Sending shorter frames can reduce impact of bit errors
- Lifetime timer: maximum time for all fragments of frame

## Ethernet vs. IEEE 802.11

#### If carrier is sensed

- Send immediately
- Send maximum of 1500B data (1527B total)
- Wait 9.6 μs before sending again

- If carrier is sensed
  - When should a node transmit?

# Interframe Spacing

- Interframe spacing
  - Plays a large role in coordinating access to the transmission medium
- Varying interframe spacings
  - Creates different priority levels for different types of traffic!
- 802.11 uses 4 different interframe spacings



## IEEE 802.11 - CSMA/CA

- Sensing the medium
- If free for an Inter-Frame Space (IFS)
  - Station can start sending (IFS depends on service type)
- If busy
  - Station waits for a free IFS, then waits a random back-off time (collision avoidance, multiple of slot-time)
- If another station transmits during back-off time



# Types of IFS

## SIFS

- Short interframe space
- Used for highest priority transmissions
- RTS/CTS frames and ACKs

# DIFS

- DCF interframe space
- Minimum idle time for contention-based services (> SIFS)

# Types of IFS

# PIFS

- PCF interframe space
- Minimum idle time for contention-free service (>SIFS, <DIFS)</li>

# EIFS

- Extended interframe space
- Used when there is an error in transmission

### IEEE 802.11 - Competing Stations



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## Backoff Interval

- When transmitting a packet, choose a backoff interval in the range [0,CW]
  - CW is contention window
- Count down the backoff interval when medium is idle
  - Count-down is suspended if medium becomes busy
- When backoff interval reaches 0, transmit RTS

### DCF Example



CW = 31

B1 and B2 are backoff intervals at nodes 1 and 2

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## Backoff Interval

- The time spent counting down backoff intervals is a part of MAC overhead
- Large CW
  - Large backoff intervals
  - Can result in larger overhead

# Small CW

 Larger number of collisions (when two nodes count down to 0 simultaneously)

## Backoff Interval

- The number of nodes attempting to transmit simultaneously may change with time
  - Some mechanism to manage contention is needed

## ► IEEE 802.11 DCF

 Contention window CW is chosen dynamically depending on collision occurrence

## Binary Exponential Backoff in DCF

- When a node fails to receive CTS in response to its RTS, it increases the contention window
  cw is doubled (up to an upper bound)
- When a node successfully completes a data transfer, it restores cw to CW<sub>min</sub>
  - cw follows a sawtooth curve

## IEEE 802.11 Frame Format

## Types

 control frames, management frames, data frames

## Sequence numbers

 important against duplicated frames due to lost ACKs

## Addresses

 receiver, transmitter (physical), BSS identifier, sender (logical)

## Miscellaneous

sending time,
 checksum, frame
 control, data

#### IEEE 802.11 Data Frame Format



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### IEEE 802.11 Control Frame Format

Acknowledgement

Request To Send		byte	es	2	2	6	4	
	ACK		Fr Cc	ame ontrol	Duration	Receiver Address	CRC	
		byt	es	2	2	6	6	4
Clear to Send	RTS		Fi Co	rame ontrol	Duration	Receiver Address	Transmitt Address	er CRC
		byt	es	2	2	6	4	
	CTS		F Co	rame ontrol	Duration	Receiver Address	CRC	

#### Fairness Issue

- Many definitions of fairness plausible
- Simplest definition
  - All nodes should receive equal bandwidth



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#### Fairness Issue

- Assume that initially, A and B both choose a backoff interval in range [0,31] but their RTSs collide
- Nodes A and B then choose from range [0,63]
  - Node A chooses 4 slots and B choose 60 slots
  - After A transmits a packet, it next chooses from range [0,31]
  - It is possible that A may transmit several packets before B transmits its first packet



### Fairness Issue

#### Unfairness

 When one node has backed off much more than some other node

#### MACAW Solution

- When a node transmits a packet
  - Append the CW value to the packet
  - All nodes hearing that CW value use it for their future transmission attempts
- CW is an indication of the level of congestion in the vicinity of a specific receiver node
  - MACAW proposes maintaining CW independently for each receiver
- Per-receiver CW is particularly useful in multi-hop environments
  - Congestion level at different receivers can be very different

## IEEE 802.11 Amendments

- IEEE 802.11-1997:
  - Originally I Mbit/s and 2 Mbit/s
  - 2.4 GHz RF and infrared (IR)
- IEEE 802.11a:
  - 54 Mbit/s, 5 GHz standard (2001)
- IEEE 802.11b:
  - Enhancements to support 5.5 and 11 Mbit/s (1999)
- IEEE 802.11c:
  - Bridge operation procedures;
  - Included in the IEEE 802.1D standard (2001)
- IEEE 802.11d:
  - International (country-to-country) roaming extensions (2001)

- IEEE 802.11e:
  - Enhancements: QoS, including packet bursting (2005)
- IEEE 802.11g:
  - 54 Mbit/s, 2.4 GHz standard (backwards compatible with b) (2003)
- IEEE 802.11h:
  - Spectrum Managed 802.11a (5 GHz) for European compatibility (2004)
- IEEE 802.11i:
  - Enhanced security (2004)
- IEEE 802.11j:
  - Extensions for Japan (2004)
- IEEE 802.11-2007:
  - Updated standard including a, b, d, e, g, h, i and j. (2007)

## IEEE 802.11 Amendments

#### • IEEE 802.11k:

 Radio resource measurement enhancements (2008)

#### • IEEE 802.11n:

 Higher throughput improvements using MIMO (multiple input, multiple output antennas) (September 2009)

#### • IEEE 802.11p:

- WAVE—Wireless Access for the Vehicular Environment (such as ambulances and passenger cars) (2010)
- IEEE 802.11r:
  - Fast BSS transition (FT) (2008)
- IEEE 802.11s:
  - Mesh Networking, Extended Service Set (ESS) (2011)

#### • IEEE 802.11u:

- Improvements related to HotSpots and 3rd party authorization of clients, e.g. cellular network offload (2011)
- IEEE 802.11v:
  - Wireless network management (2011)
- IEEE 802.11w:
  - Protected Management Frames (2009)
- IEEE 802.11y:
  - 3650–3700 MHz Operation in the U.S. (2008)
- IEEE 802.11z:
  - Extensions to Direct Link Setup (DLS) (2010)

### IEEE 802.11 Amendments

- ▶ IEEE 802.11-2012:
  - New release including k, n, p, r, s, u, v, w, y and z (2012)
- IEEE 802.11aa:
  - Robust streaming of Audio Video Transport Streams (2012)
- IEEE 802.11ac:
  - Very High Throughput < 6GHz</p>
  - Potential improvements over 802.11n: better modulation scheme (expected ~10% throughput increase), wider channels (estimate in future time 80 to 160 MHz), multi user MIMO (2012)

- IEEE 802.11ad:
  - Very High Throughput 60 GHz (~ February 2014)
  - IEEE 802.11ae:
  - Prioritization of Management Frames (2012)
- IEEE 802.1 I af:
  - TV Whitespace (February 2014)

## In process amendments

- IEEE 802.11ah:
  - Sub I GHz sensor network, smart metering. (~March 2016)
- IEEE 802.11ai:
  - Fast Initial Link Setup (~November 2015)
- IEEE 802.11aj:
  - China MM Wave (~June 2016)
- IEEE 802.11aq:
  - Pre-association Discovery (~July 2016)
- IEEE 802.11ak:
  - General Links (~ May 2016)
- IEEE 802.11mc:
  - Maintenance of the standard (~ March 2016)

- IEEE 802.1 lax:
  - High Efficiency WLAN (~ May 2018)
- IEEE 802.1 lay:
  - Enhancements for Ultra High Throughput in and around the 60 GHz Band (~TBD)
- IEEE 802.11az:
  - Next Generation Positioning (~ TBD)
- IEEE 802.11ba
  - Wake Up Radio (~ July 2020)
- IEEE 802.11bb:
  - Light Communications

## Other Technologies

- IEEE 802.15 Wireless PAN
- ▶ IEEE 802.15.1
  - Bluetooth certification
- ▶ IEEE 802.15.2
  - IEEE 802.15 and IEEE 802.11 coexistence
- ▶ IEEE 802.15.3
  - High-Rate wireless PAN (e.g., UWB, etc)

- ▶ IEEE 802.15.4
  - Low-Rate wireless PAN (e.g., ZigBee, WirelessHART, MiWi, etc.)
- ▶ IEEE 802.15.5
  - Mesh networking for WPAN
- ▶ IEEE 802.15.6
  - Body area network
- ▶ IEEE 802.16
  - Broadband Wireless Access (WiMAX certification)