Operating Systems Design (CS 423)



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Based on slides by Roy Campbell, Sam King, and Andrew S Tanenbaum



Producer-consumer: Example

Coke machine

- Delivery person (producer)
- Customers buy cokes (consumer)
- Coke machine has finite space (buffer)

Basic behavior

```
producer()
                        consumer()
 lock(cokeLock);
                          lock(cokeLock);
 while (numCokes ==
                          while(numCokes == 0){
                            wait(cokrLock,hasCoke);
      maxCokes){
 wait(cokeLock,hasRoom);
                           take one coke out
 put one coke
                           of machine;
 in machine;
 signal(cokeLock;hasCoke); signal(cokeLock,hasRoom);
 unlock(cokeLock);
                           unlock(cokeLock);
```

2/10/11

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What if producer loops? Is it OK?

```
Producer() {
lock(cokeLock);
while(1) {
  while(numCokes == max) {
   wait(cokeLock, hasRoom);
  add coke to machine;
 signal(hasCoke);
unlock(cokeLock);
```

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What if we add sleep?

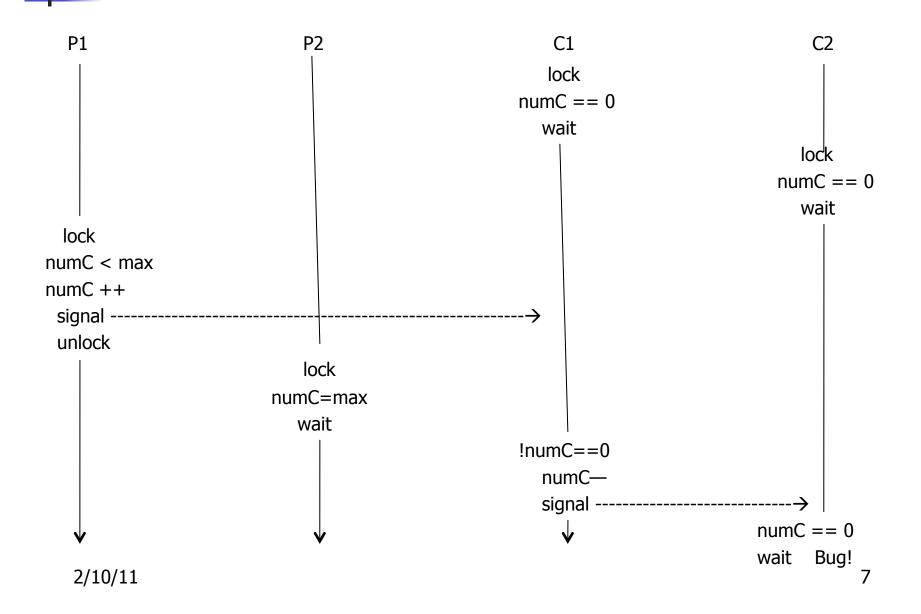
```
Producer() {
lock(cokeLock);
while(1) {
 sleep(1 hour);
 while(numCokes == max) {
   wait(cokeLock, hasRoom);
  add coke to machine;
  signal(hasCoke);
unlock(cokeLock);
```

What is wrong here? (hard)

```
producer()
                        consumer()
 lock(cokeLock);
                          lock(cokeLock);
                          while(numCokes == 0){
 while (numCokes
                           wait(cokeLock,condVar);
      == maxCokes){
 wait(cokeLock,condVar)};
                           take one coke out
 put one coke
 in machine;
                           of machine;
 signal(cokeLock;condVar); signal(cokeLock,condVar);
 unlock(cokeLock);
                           unlock(cokeLock);
```

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Problem Scenario (max = 1)





Solution to too few Cond Vars

- Use broadcast
- Will wake everyone up
- Each will check its own progress condition
- First one to check and get true will go
- Much more inefficient than signal and multiple condition variables



Reader – Writer Locks

- Problem: With standard locks, threads acquire lock to read shared data
- Prevents other reader threads from accessing data
- Can we allow more concurrency?



Reader – Writer Locks

Problem definition:

- Shared data that will be read and written by multiple threads
- Allow multiple readers to access shared data when no threads are writing data
- A thread can write shared data only when no other thread is reading or writing the shared data

Interface

- readerStart()
- readerFinish()
- writerStart()
- writerFinish()
- Many threads can be in between a readStart and readerFinish
- Only one thread can be between writerStart and writierFinish



Example: Calendar

- Goal: online calendar for a class
- Lots of people may read it at the same time
- Only one person updates it (prof, Tas)
- Shared data
- map<date, listOfEvents> EventMap
- listOfEvents GetEvents(date)
- AddEvent(data, newEvent)

Basic Code – Single Threaded

```
GetEvents(date) {
List events = EventMap.find(date).copy();
return events;
AddEvent(data, newEvent) {
EventMap.find(date) += newEvent;
```

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Inefficient Multi-threaded code

```
GetEvents(date) {
lock(mapLock);
List events = EventMap.find(date).copy();
unlock(mapLock);
return events;
AddEvent(data, newEvent) {
lock(mapLock);
EventMap.find(date) += newEvent;
unlock(mapLock);
```

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How to do with reader – write locks?

```
GetEvents(date) {
List events = EventMap.find(date).copy();
return events;
AddEvent(data, newEvent) {
EventMap.find(date) += newEvent;
```

How to do with reader – write locks?

```
GetEvents(date) {
readerStart(maRWLock);
List events = EventMap.find(date).copy();
readerFinish(mapRWLock);
return events;
AddEvent(data, newEvent) {
writerStart(maRWLock);
EventMap.find(date) += newEvent;
writerFinish(mapRWLock);
}
```

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Additional Layer of Synchronization

Concurrent programs

Even higher-level synchronization

High-level synchronization provided by software

Low-level atomic operations provided by hardware



- Note: Implement Reader/Writer Locks as an abstractions, not as an integrated part of code
- Central Questions:
 - Shared Data?
 - Ordering Constraints?

How many Condition Variables?



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 - readerStart must wait if there are writers
 - writerStart must wait if there are readers or writes
 - How many Condition Variables?

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- Central Questions:
 - Shared Data? NumReaders NumWriters
 - Ordering Constraints?
 - readerStart must wait if there are writers
 - writerStart must wait if there are readers or writes
 - How many Condition Variables?
 - One: condRW (no readers or writers)

Basic Implementation

}

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Basic Implementation

```
readerStart() {
                               readerFinish() {
 lock(lockRW);
                                lock(lockRW);
 while(numWriters > 0){
                                numReaders--;
  wait(lockRW,condRW);
 };
                                broadcast(lockRW,condWR);
 numReaders++;
                                unlock(lockRW);
 unlock(lockRW);
```

Basic Implementation

```
writerStart() {
                               writerFinish() {
 lock(lockRW);
                                lock(lockRW);
 while(numReaders > 0||
                                numWriters--;
       numWriters >0){
  wait(lockRW,condRW);
 };
                                broadcast(lockRW,condWR);
                                unlock(lockRW);
 numWriters++;
 unlock(lockRW);
```

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Better Implementation

```
readerStart() {
                               readerFinish() {
 lock(lockRW);
                                lock(lockRW);
 while(numWriters > 0){
                                numReaders--.
  wait(lockRW,condRW);
 };
                                if(numReaders == 0){
                                 signal(lockRW,condWR);
 numReaders++;
                                };
                                unlock(lockRW);
 unlock(lockRW);
```

Better Implementation

Can we change broadcast to signal in writerFinish() in a similar way?

- Many Readers at a time, but only one Writer
- How long will one writer wait?
 - Starvation process never gets a turn
- How to give priority to writer?

Write Priority

```
readerStart() {
 lock(lockRW);
 while(activeWriters + waitingWriters > 0){
  wait(lockRW,condRW);
 numReaders++;
 unlock(lockRW);
```

Write Priority

```
writerStart() {
 lock(lockRW);
 waitingWriters ++;
 while(numReaders > 0||
       numWriters >0){
  wait(lockRW,condRW);
 };
 waitingWriters--;
 numWriters++;
 unlock(lockRW);
```

Lock and Reader / Writer Locks

- Reader-writer functions are similar to standard locks
 - Call readerStart before read shared data
 - Call readerFinish after done reading data
 - Call writerStart before writing shared data
 - Call writerFinish after done writing data
- These are known as "reader-writer locks"
 - Thread in between readerStart and readerFinish "holds a read lock"
 - Thread in between writerStart and writerFinish "holds a write lock"
- Compare reader-writer locks with standard locks



Semaphores (not used in this class)

- Like a generalized lock
- Semaphore has a non-negative integer value (>= 0) and supports
 - Down(): wait for semaphore to become positive, decrement semaphore by 1 (originally called "P" for Dutch "proberen")
 - Up(): increment semaphore by 1 (originally called "V" for Dutch "verhogen"). This wakes up a thread waiting in down(), if there are any.
 - Can also set the initial value for the semaphore



Semaphores – Quick Review

- The key parts in down() and up() are atomic
 - Two down calls at the same time cannot decrement the value below 0
- Binary semaphore
 - Value is either 0 or 1
 - Down() waits for value to become 1, then sets to0
 - Up() sets value to 1, waking up waiting down