

# Programming Languages and Compilers (CS 421)



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Slides by Elsa Gunter, based in part on slides by Mattox Beckman, as updated by Vikram Adve and Gul Agha

- Expresses the meaning of syntax
- Static semantics
  - Meaning based only on the form of the expression without executing it
  - Usually restricted to type checking / type inference



# Dynamic semantics

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- Method of describing meaning of executing a program
- Several different types:
  - Operational Semantics
  - Axiomatic Semantics
  - Denotational Semantics



# Dynamic Semantics

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- Different languages better suited to different types of semantics
- Different types of semantics serve different purposes



# Operational Semantics

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- Start with a simple notion of machine
- Describe how to execute (implement) programs of language on virtual machine, by describing how to execute each program statement (ie, following the *structure* of the program)
- Meaning of program is how its execution changes the state of the machine
- Useful as basis for implementations



# Axiomatic Semantics

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- Also called Floyd-Hoare Logic
- Based on formal logic (first order predicate calculus)
- Axiomatic Semantics is a logical system built from *axioms* and *inference rules*
- Mainly suited to simple imperative programming languages



# Axiomatic Semantics

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- Used to formally prove a property (*post-condition*) of the *state* (the values of the program variables) after the execution of program, assuming another property (*pre-condition*) of the state before execution
- Written :  
    {Precondition} Program {Postcondition}
- Source of idea of *loop invariant*



# Denotational Semantics

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- Construct a function  $\mathcal{M}$  assigning a mathematical meaning to each program construct
- Lambda calculus often used as the range of the meaning function
- Meaning function is compositional: meaning of construct built from meaning of parts
- Useful for proving properties of programs





# Natural Semantics

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- Aka Structural Operational Semantics, aka “Big Step Semantics”
- Provide value for a program by rules and derivations, similar to type derivations
- Rule conclusions look like

$$(C, m) \Downarrow m'$$

or

$$(E, m) \Downarrow v$$



# Simple Imperative Programming Language

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- $I \in \textit{Identifiers}$
- $N \in \textit{Numerals}$
- $B ::= \text{true} \mid \text{false} \mid B \ \& \ B \mid B \ \text{or} \ B \mid \text{not} \ B$   
 $\mid E < E \mid E = E$
- $E ::= N \mid I \mid E + E \mid E * E \mid E - E \mid - E$
- $C ::= \text{skip} \mid C; C \mid I ::= E$   
 $\mid \text{if } B \text{ then } C \text{ else } C \text{ fi} \mid \text{while } B \text{ do } C \text{ od}$



# Natural Semantics of Atomic Expressions

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- Identifiers:  $(I, m) \Downarrow m(I)$
- Numerals are values:  $(N, m) \Downarrow N$
- Booleans:  $(\text{true}, m) \Downarrow \text{true}$   
 $(\text{false}, m) \Downarrow \text{false}$



# Booleans:

$$\frac{(B, m) \Downarrow \text{false}}{(B \ \& \ B', m) \Downarrow \text{false}}$$

$$\frac{(B, m) \Downarrow \text{true} \quad (B', m) \Downarrow b}{(B \ \& \ B', m) \Downarrow b}$$

$$\frac{(B, m) \Downarrow \text{true}}{(B \ \text{or} \ B', m) \Downarrow \text{true}}$$

$$\frac{(B, m) \Downarrow \text{false} \quad (B', m) \Downarrow b}{(B \ \text{or} \ B', m) \Downarrow b}$$

$$\frac{(B, m) \Downarrow \text{true}}{(\text{not } B, m) \Downarrow \text{false}}$$

$$\frac{(B, m) \Downarrow \text{false}}{(\text{not } B, m) \Downarrow \text{true}}$$



# Relations

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$$\frac{(E, m) \Downarrow U \quad (E', m) \Downarrow V \quad U \sim V = b}{(E \sim E', m) \Downarrow b}$$

- By  $U \sim V = b$ , we mean does (the meaning of) the relation  $\sim$  hold on the meaning of  $U$  and  $V$
- May be specified by a mathematical expression/equation or rules matching  $U$  and  $V$



# Arithmetic Expressions

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$$\frac{(E, m) \Downarrow U \quad (E', m) \Downarrow V \quad U \text{ op } V = N}{(E \text{ op } E', m) \Downarrow N}$$

where  $N$  is the specified value for  $U \text{ op } V$



# Commands

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Skip:  $(\text{skip}, m) \Downarrow m$

Assignment: 
$$\frac{(E, m) \Downarrow V}{(I ::= E, m) \Downarrow m[I \leftarrow V]}$$

Sequencing: 
$$\frac{(C, m) \Downarrow m' \quad (C', m') \Downarrow m''}{(C; C', m) \Downarrow m''}$$



# If Then Else Command

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$$\frac{(B, m) \Downarrow \text{true} \quad (C, m) \Downarrow m'}{(\text{if } B \text{ then } C \text{ else } C' \text{ fi}, m) \Downarrow m'}$$

$$\frac{(B, m) \Downarrow \text{false} \quad (C', m) \Downarrow m'}{(\text{if } B \text{ then } C \text{ else } C' \text{ fi}, m) \Downarrow m'}$$





# While Command

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$$\frac{(B, m) \Downarrow \text{false}}{(\text{while } B \text{ do } C \text{ od}, m) \Downarrow m}$$

$$\frac{(B, m) \Downarrow \text{true} \quad (C, m) \Downarrow m' \quad (\text{while } B \text{ do } C \text{ od}, m') \Downarrow m''}{(\text{while } B \text{ do } C \text{ od}, m) \Downarrow m''}$$



# Example: If Then Else Rule

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(if  $x > 5$  then  $y := 2 + 3$  else  $y := 3 + 4$  fi,  
 $\{x \rightarrow 7\}) \Downarrow ?$



# Example: If Then Else Rule

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$$(x > 5, \{x \rightarrow 7\}) \Downarrow ?$$

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$$(\text{if } x > 5 \text{ then } y := 2 + 3 \text{ else } y := 3 + 4 \text{ fi,} \\ \{x \rightarrow 7\}) \Downarrow ?$$



# Example: Arith Relation

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$? > ? = ?$

$(x, \{x \rightarrow 7\}) \Downarrow? \quad (5, \{x \rightarrow 7\}) \Downarrow?$

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$(x > 5, \{x \rightarrow 7\}) \Downarrow?$

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$(\text{if } x > 5 \text{ then } y := 2 + 3 \text{ else } y := 3 + 4 \text{ fi, } \\ \{x \rightarrow 7\}) \Downarrow ?$



# Example: Identifier(s)

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$7 > 5 = \text{true}$

$(x, \{x \rightarrow 7\}) \Downarrow 7 \quad (5, \{x \rightarrow 7\}) \Downarrow 5$

$(x > 5, \{x \rightarrow 7\}) \Downarrow ?$

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$(\text{if } x > 5 \text{ then } y := 2 + 3 \text{ else } y := 3 + 4 \text{ fi, } \{x \rightarrow 7\}) \Downarrow ?$



# Example: Arith Relation

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$7 > 5 = \text{true}$

$(x, \{x \rightarrow 7\}) \Downarrow 7 \quad (5, \{x \rightarrow 7\}) \Downarrow 5$

---

$(x > 5, \{x \rightarrow 7\}) \Downarrow \text{true}$

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$(\text{if } x > 5 \text{ then } y := 2 + 3 \text{ else } y := 3 + 4 \text{ fi},$   
 $\{x \rightarrow 7\}) \Downarrow ?$



# Example: If Then Else Rule

$7 > 5 = \text{true}$

$\frac{(x, \{x \rightarrow 7\}) \Downarrow 7 \quad (5, \{x \rightarrow 7\}) \Downarrow 5}{(x > 5, \{x \rightarrow 7\}) \Downarrow \text{true}}$

$\frac{(y := 2 + 3, \{x \rightarrow 7\})}{\Downarrow ?}$

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$\frac{(x > 5 \text{ then } y := 2 + 3 \text{ else } y := 3 + 4 \text{ fi, } \{x \rightarrow 7\}) \Downarrow ?$

# Example: Assignment

$7 > 5 = \text{true}$

$\frac{(x, \{x \rightarrow 7\}) \Downarrow 7 \quad (5, \{x \rightarrow 7\}) \Downarrow 5}{(x > 5, \{x \rightarrow 7\}) \Downarrow \text{true}}$

$(x > 5, \{x \rightarrow 7\}) \Downarrow \text{true}$

$\frac{}{(\text{if } x > 5 \text{ then } y := 2 + 3 \text{ else } y := 3 + 4 \text{ fi, } \{x \rightarrow 7\}) \Downarrow ?}$

$\frac{(2+3, \{x \rightarrow 7\}) \Downarrow ?}{(y := 2 + 3, \{x \rightarrow 7\}) \Downarrow ?}$

$(y := 2 + 3, \{x \rightarrow 7\}) \Downarrow ?$

$\Downarrow ?$



# Example: Arith Op

$$\begin{array}{c}
 \text{? + ? = ?} \\
 \hline
 (2, \{x \rightarrow 7\}) \Downarrow? \quad (3, \{x \rightarrow 7\}) \Downarrow? \\
 \hline
 (2+3, \{x \rightarrow 7\}) \Downarrow? \\
 \hline
 (y := 2 + 3, \{x \rightarrow 7\}) \Downarrow? \\
 \hline
 \cdot
 \end{array}$$
  

$$\begin{array}{c}
 7 > 5 = \text{true} \\
 \hline
 (x, \{x \rightarrow 7\}) \Downarrow 7 \quad (5, \{x \rightarrow 7\}) \Downarrow 5 \\
 \hline
 (x > 5, \{x \rightarrow 7\}) \Downarrow \text{true} \\
 \hline
 (\text{if } x > 5 \text{ then } y := 2 + 3 \text{ else } y := 3 + 4 \text{ fi,} \\
 \{x \rightarrow 7\}) \Downarrow ?
 \end{array}$$

# Example: Numerals

$$\begin{array}{c}
 2 + 3 = 5 \\
 \hline
 (2, \{x \rightarrow 7\}) \Downarrow 2 \quad (3, \{x \rightarrow 7\}) \Downarrow 3 \\
 \hline
 (2+3, \{x \rightarrow 7\}) \Downarrow ? \\
 \hline
 (y := 2 + 3, \{x \rightarrow 7\}) \\
 \Downarrow ? \\
 \hline
 \begin{array}{c}
 7 > 5 = \text{true} \\
 \hline
 (x, \{x \rightarrow 7\}) \Downarrow 7 \quad (5, \{x \rightarrow 7\}) \Downarrow 5 \\
 \hline
 (x > 5, \{x \rightarrow 7\}) \Downarrow \text{true} \\
 \hline
 \end{array}
 \end{array}$$

(if  $x > 5$  then  $y := 2 + 3$  else  $y := 3 + 4$  fi,  
 $\{x \rightarrow 7\}) \Downarrow ?$

# Example: Arith Op

$$\begin{array}{c}
 2 + 3 = 5 \\
 \hline
 (2, \{x \rightarrow 7\}) \Downarrow 2 \quad (3, \{x \rightarrow 7\}) \Downarrow 3 \\
 \hline
 (2+3, \{x \rightarrow 7\}) \Downarrow 5 \\
 \hline
 (y := 2 + 3, \{x \rightarrow 7\}) \Downarrow ? \\
 \\
 7 > 5 = \text{true} \\
 \hline
 (x, \{x \rightarrow 7\}) \Downarrow 7 \quad (5, \{x \rightarrow 7\}) \Downarrow 5 \\
 \hline
 (x > 5, \{x \rightarrow 7\}) \Downarrow \text{true} \\
 \hline
 \text{(if } x > 5 \text{ then } y := 2 + 3 \text{ else } y := 3 + 4 \text{ fi,} \\
 \{x \rightarrow 7\}) \Downarrow ?
 \end{array}$$

# Example: Assignment

$$\begin{array}{c}
 \begin{array}{c}
 7 > 5 = \text{true} \\
 \hline
 (x, \{x \rightarrow 7\}) \Downarrow 7 \quad (5, \{x \rightarrow 7\}) \Downarrow 5 \\
 \hline
 (x > 5, \{x \rightarrow 7\}) \Downarrow \text{true}
 \end{array}
 \quad
 \begin{array}{c}
 2 + 3 = 5 \\
 (2, \{x \rightarrow 7\}) \Downarrow 2 \quad (3, \{x \rightarrow 7\}) \Downarrow 3 \\
 \hline
 (2+3, \{x \rightarrow 7\}) \Downarrow 5 \\
 \hline
 (y := 2 + 3, \{x \rightarrow 7\}) \\
 \Downarrow \{x \rightarrow 7, y \rightarrow 5\}
 \end{array} \\
 \hline
 (\text{if } x > 5 \text{ then } y := 2 + 3 \text{ else } y := 3 + 4 \text{ fi,} \\
 \{x \rightarrow 7\}) \Downarrow ?
 \end{array}$$

# Example: If Then Else Rule

$$\begin{array}{c}
 \begin{array}{c}
 7 > 5 = \text{true} \\
 \hline
 (x, \{x \rightarrow 7\}) \Downarrow 7 \quad (5, \{x \rightarrow 7\}) \Downarrow 5 \\
 \hline
 (x > 5, \{x \rightarrow 7\}) \Downarrow \text{true}
 \end{array}
 \quad
 \begin{array}{c}
 2 + 3 = 5 \\
 \hline
 (2, \{x \rightarrow 7\}) \Downarrow 2 \quad (3, \{x \rightarrow 7\}) \Downarrow 3 \\
 \hline
 (2+3, \{x \rightarrow 7\}) \Downarrow 5 \\
 \hline
 (y := 2 + 3, \{x \rightarrow 7\}) \\
 \Downarrow \{x \rightarrow 7, y \rightarrow 5\}
 \end{array} \\
 \hline
 (\text{if } x > 5 \text{ then } y := 2 + 3 \text{ else } y := 3 + 4 \text{ fi,} \\
 \{x \rightarrow 7\}) \Downarrow \{x \rightarrow 7, y \rightarrow 5\}
 \end{array}$$



# Let in Command

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$$\frac{(E, m) \Downarrow v \quad (C, m[I \leftarrow v]) \Downarrow m'}{(\text{let } I = E \text{ in } C, m) \Downarrow m''}$$

Where  $m''(y) = m'(y)$  for  $y \neq I$  and  
 $m''(I) = m(I)$  if  $m(I)$  is defined,  
and  $m''(I)$  is undefined otherwise



# Example

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$$\frac{\frac{(x, \{x \rightarrow 5\}) \Downarrow 5 \quad (3, \{x \rightarrow 5\}) \Downarrow 3}{(x+3, \{x \rightarrow 5\}) \Downarrow 8}}{(5, \{x \rightarrow 17\}) \Downarrow 5 \quad (x := x+3, \{x \rightarrow 5\}) \Downarrow \{x \rightarrow 8\}} \Downarrow ?$$

(let x = 5 in (x := x+3), {x -> 17})



# Example

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$$\frac{\frac{(x, \{x \rightarrow 5\}) \Downarrow 5 \quad (3, \{x \rightarrow 5\}) \Downarrow 3}{(x+3, \{x \rightarrow 5\}) \Downarrow 8}}{(5, \{x \rightarrow 17\}) \Downarrow 5 \quad (x := x+3, \{x \rightarrow 5\}) \Downarrow \{x \rightarrow 8\}} \Downarrow \{x \rightarrow 17\}$$

(let x = 5 in (x := x+3), {x → 17}) ↓ {x → 17}



- Simple Imperative Programming Language introduces variables *implicitly* through assignment
- The let-in command introduces scoped variables *explicitly*
- Clash of constructs apparent in awkward semantics



# Interpretation Versus Compilation

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- A **compiler** from language L1 to language L2 is a program that takes an L1 program and for each piece of code in L1 generates a piece of code in L2 of same meaning
- An **interpreter** of L1 in L2 is an L2 program that executes the meaning of a given L1 program
- Compiler would examine the body of a loop once; an interpreter would examine it every time the loop was executed



# Interpreter

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- An *Interpreter* represents the operational semantics of a language L1 (source language) in the language of implementation L2 (target language)
- Built incrementally
  - Start with literals
  - Variables
  - Primitive operations
  - Evaluation of expressions
  - Evaluation of commands/declarations



# Interpreter

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- Takes abstract syntax trees as input
  - In simple cases could be just strings
- One procedure for each syntactic category (nonterminal)
  - eg one for expressions, another for commands
- If Natural semantics used, tells how to compute final value from code
- If Transition semantics used, tells how to compute next “state”
  - To get final value, put in a loop



# Natural Semantics Example

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- $\text{compute\_exp}(\text{Var}(v), m) = \text{look\_up } v \ m$
- $\text{compute\_exp}(\text{Int}(n), \_) = \text{Num } (n)$
- ...
- $\text{compute\_com}(\text{IfExp}(b, c1, c2), m) =$   
    if  $\text{compute\_exp}(b, m) = \text{Bool}(\text{true})$   
    then  $\text{compute\_com}(c1, m)$   
    else  $\text{compute\_com}(c2, m)$



# Natural Semantics Example

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- $\text{compute\_com}(\text{While}(b,c), m) =$   
    if  $\text{compute\_exp}(b,m) = \text{Bool}(\text{false})$   
    then  $m$   
    else  $\text{compute\_com}$   
         $(\text{While}(b,c), \text{compute\_com}(c,m))$
- May fail to terminate - exceed stack limits
- Returns no useful information then