

## Programming Languages and Compilers (CS 421)

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2/25/2014

1

## Objective

- The objective of today's class is to discuss a formal type system for a simple functional language
- We will only discuss the monomorphic case today; polymorphism is covered next lecture
- We first briefly review material about types and various types of type checkers, to set the ground and motivation for a type system

2/25/2014

2

## Why Data Types?

- Data types play a key role in:
  - *Data abstraction* in the design of programs
  - *Type checking* in the analysis of programs
  - *Compile-time code generation* in the translation and execution of programs

2/25/2014

3

## Terminology

- Type: A type  $t$  defines a set of possible data values
  - E.g. `short` in C is  $\{x \mid -2^{15} \leq x \leq 2^{15} - 1\}$
  - A value in this set is said to have type  $t$
- Type system: rules of a language assigning types to expressions

2/25/2014

4

## Types as Specifications

- Types describe properties
- Different type systems describe different properties, eg
  - Data is read-write versus read-only
  - Operation has authority to access data
  - Data came from "right" source
  - Operation might or could not raise an exception
- Common type systems focus on types describing same data layout and access methods

2/25/2014

5

## Sound Type System

- If an expression is assigned type  $t$ , and it evaluates to a value  $v$ , then  $v$  is in the set of values defined by  $t$
- SML, OCAML, Scheme and Ada have sound type systems
- Most implementations of C and C++ do not

2/25/2014

6

## Strongly Typed Language

- When no application of an operator to arguments can lead to a run-time type error, language is *strongly typed*
  - Eg: `1 + 2.3;;`
- Depends on definition of “type error”

2/25/2014

7

## Strongly Typed Language

- C++ claimed to be “strongly typed”, but
  - Union types allow creating a value at one type and using it at another
  - Type coercions may cause unexpected (undesirable) effects
  - No array bounds check (in fact, no runtime checks at all)
- SML, OCAML “strongly typed” but still must do dynamic array bounds checks, runtime type case analysis, and other checks

2/25/2014

8

## Static vs Dynamic Types

- *Static type*: type assigned to an expression at compile time
- *Dynamic type*: type assigned to a storage location at run time
- *Statically typed language*: static type assigned to every expression at compile time
- *Dynamically typed language*: type of an expression determined at run time

2/25/2014

9

## Type Checking

- When is `op(arg1,...,argn)` allowed?
- *Type checking* assures that operations are applied to the right number of arguments of the right types
  - Right type may mean same type as was specified, or may mean that there is a predefined implicit coercion that will be applied
- Used to resolve overloaded operations

2/25/2014

10

## Type Checking

- Type checking may be done *statically* at compile time or *dynamically* at run time
- Dynamically typed (aka untyped) languages (eg LISP, Prolog) do only dynamic type checking
- Statically typed languages can do most type checking statically

2/25/2014

11

## Dynamic Type Checking

- Performed at run-time before each operation is applied
- Types of variables and operations left unspecified until run-time
  - Same variable may be used at different types

2/25/2014

12

## Dynamic Type Checking

- Data object must contain type information
- Errors aren't detected until violating application is executed (maybe years after the code was written)

2/25/2014

13

## Static Type Checking

- Performed after parsing, before code generation
- Type of every variable and signature of every operator must be known at compile time

2/25/2014

14

## Static Type Checking

- Can eliminate need to store type information in data object if no dynamic type checking is needed
- Catches many programming errors at earliest point
- Can't check types that depend on dynamically computed values
  - Eg: array bounds

2/25/2014

15

## Static Type Checking

- Typically places restrictions on languages
  - Garbage collection
  - References instead of pointers
  - All variables initialized when created
  - Variable only used at one type
    - Union types allow for work-arounds, but effectively introduce dynamic type checks

2/25/2014

16

## Type Declarations

- **Type declarations:** explicit assignment of types to variables (signatures to functions) in the code of a program
  - Must be checked in a strongly typed language
  - Often not necessary for strong typing or even static typing (depends on the type system)

2/25/2014

17

## Type Inference

- **Type inference:** A program analysis to assign a type to an expression from the program context of the expression
  - Fully static type inference first introduced by Robin Miller in ML
  - Haskell, OCAML, SML all use type inference
    - Records are a problem for type inference

2/25/2014

18

## Format of Type Judgments

- A *type judgement* has the form  

$$\Gamma \vdash \text{exp} : \tau$$
- $\Gamma$  is a typing environment
  - Supplies the types of variables and functions
  - $\Gamma$  is a list of the form  $[x : \sigma, \dots]$
- $\text{exp}$  is a program expression
- $\tau$  is a type to be assigned to  $\text{exp}$
- $\vdash$  pronounced “turnstile”, or “entails” (or “satisfies”)

2/25/2014

19

## Types Systems as Proof Systems

- Type systems are usually defined as proof systems, using proof derivation rules

Hypothesis 1    ...    Hypothesis n

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Conclusion

read as: if I have proofs for Hypothesis 1, ..., Hypothesis n, then this rule allows me to construct a proof derivation for Conclusion

- If  $n = 0$  then rule is called “axiom”

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20

## Axioms - Constants

$\vdash n : \text{int}$  (assuming  $n$  is an integer constant)

$\vdash \text{true} : \text{bool}$

$\vdash \text{false} : \text{bool}$

- These rules are true with any typing environment
- $n$  is a meta-variable

2/25/2014

21

## Axioms – Variables (Monomorphic Rule)

Notation: Let  $\Gamma(x) = \sigma$  if  $x : \sigma \in \Gamma$  and there is no  $x : \tau$  to the left of  $x : \sigma$  in  $\Gamma$

Variable axiom:

$\Gamma \vdash x : \sigma$  if  $\Gamma(x) = \sigma$

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22

## Simple Rules - Arithmetic

Primitive operators ( $\oplus \in \{+, -, *, \dots\}$ ):

$$\frac{\Gamma \vdash e_1 : \tau \quad \Gamma \vdash e_2 : \tau \quad (\oplus) : \tau \rightarrow \tau \rightarrow \tau}{\Gamma \vdash e_1 \oplus e_2 : \tau}$$

Relations ( $\sim \in \{<, >, =, <=, >= \}$ ):

$$\frac{\Gamma \vdash e_1 : \tau \quad \Gamma \vdash e_2 : \tau}{\Gamma \vdash e_1 \sim e_2 : \text{bool}}$$

For the moment, think  $\tau$  is int

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23

## Simple Rules - Booleans

Connectives

$$\frac{\Gamma \vdash e_1 : \text{bool} \quad \Gamma \vdash e_2 : \text{bool}}{\Gamma \vdash e_1 \ \&\& \ e_2 : \text{bool}}$$

$$\frac{\Gamma \vdash e_1 : \text{bool} \quad \Gamma \vdash e_2 : \text{bool}}{\Gamma \vdash e_1 \ || \ e_2 : \text{bool}}$$

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24

## Type Variables in Rules

- If\_then\_else rule:

$$\frac{\Gamma \vdash e_1 : \text{bool} \quad \Gamma \vdash e_2 : \tau \quad \Gamma \vdash e_3 : \tau}{\Gamma \vdash (\text{if } e_1 \text{ then } e_2 \text{ else } e_3) : \tau}$$

- $\tau$  is a type variable (meta-variable)
- Can take any type at all
- All instances in a rule application must get same type
- Then branch, else branch and if\_then\_else must all have same type

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25

## Function Application

- Application rule:

$$\frac{\Gamma \vdash e_1 : \tau_1 \rightarrow \tau_2 \quad \Gamma \vdash e_2 : \tau_1}{\Gamma \vdash (e_1 \ e_2) : \tau_2}$$

- If you have a function expression  $e_1$  of type  $\tau_1 \rightarrow \tau_2$  applied to an argument of type  $\tau_1$ , the resulting expression has type  $\tau_2$

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26

## Fun Rule

- Rules describe types, but also how the environment  $\Gamma$  may change
- Can only do what rule allows!
- fun rule:

$$\frac{[x : \tau_1] + \Gamma \vdash e : \tau_2}{\Gamma \vdash \text{fun } x \rightarrow e : \tau_1 \rightarrow \tau_2}$$

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27

## Fun Examples

$$\frac{[y : \text{int}] + \Gamma \vdash y + 3 : \text{int}}{\Gamma \vdash \text{fun } y \rightarrow y + 3 : \text{int} \rightarrow \text{int}}$$

$$\frac{[f : \text{int} \rightarrow \text{bool}] + \Gamma \vdash f \ 2 :: [\text{true}] : \text{bool list}}{\Gamma \vdash (\text{fun } f \rightarrow f \ 2 :: [\text{true}]) : (\text{int} \rightarrow \text{bool}) \rightarrow \text{bool list}}$$

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28

## (Monomorphic) Let and Let Rec

- let rule:

$$\frac{\Gamma \vdash e_1 : \tau_1 \quad [x : \tau_1] + \Gamma \vdash e_2 : \tau_2}{\Gamma \vdash (\text{let } x = e_1 \text{ in } e_2) : \tau_2}$$

- let rec rule:

$$\frac{[x : \tau_1] + \Gamma \vdash e_1 : \tau_1 \quad [x : \tau_1] + \Gamma \vdash e_2 : \tau_2}{\Gamma \vdash (\text{let rec } x = e_1 \text{ in } e_2) : \tau_2}$$

2/25/2014

29

## Example

- Which rule do we apply?

$$\frac{?}{\vdash (\text{let rec one} = 1 :: \text{one in} \\ \text{let } x = 2 \text{ in} \\ \text{fun } y \rightarrow (x :: y :: \text{one})) : \text{int} \rightarrow \text{int list}}$$

2/25/2014

30

### Example

- Let rec rule:  $\textcircled{2}$   $[one : \text{int list}] \vdash$   
 $\textcircled{1}$   $(\text{let } x = 2 \text{ in}$   
 $[one : \text{int list}] \vdash \quad \text{fun } y \rightarrow (x :: y :: one))$   
 $\frac{(1 :: one) : \text{int list} \quad : \text{int} \rightarrow \text{int list}}{\vdash (\text{let rec one} = 1 :: one \text{ in}$   
 $\text{let } x = 2 \text{ in}$   
 $\text{fun } y \rightarrow (x :: y :: one)) : \text{int} \rightarrow \text{int list}}$

2/25/2014 31

### Proof of 1

- Which rule?

$[one : \text{int list}] \vdash (1 :: one) : \text{int list}$

2/25/2014 32

### Proof of 1

- Application

$$\frac{\textcircled{3} [one : \text{int list}] \vdash ((::) 1) : \text{int list} \rightarrow \text{int list} \quad \textcircled{4} [one : \text{int list}] \vdash one : \text{int list}}{[one : \text{int list}] \vdash (1 :: one) : \text{int list}}$$

2/25/2014 33

### Proof of 3

Constants Rule	Constants Rule
$\frac{}{[one : \text{int list}] \vdash (1 ::) : \text{int} \rightarrow \text{int list} \rightarrow \text{int list}}$	$\frac{}{[one : \text{int list}] \vdash 1 : \text{int}}$
$[one : \text{int list}] \vdash ((::) 1) : \text{int list} \rightarrow \text{int list}$	

2/25/2014 34

### Proof of 4

- Rule for variables

$$\frac{}{[one : \text{int list}] \vdash one : \text{int list}}$$

2/25/2014 35

### Proof of 2

- Constant

$$\textcircled{5} \frac{[x : \text{int}; one : \text{int list}] \vdash \text{fun } y \rightarrow (x :: y :: one)) : \text{int} \rightarrow \text{int list}}{[one : \text{int list}] \vdash 2 : \text{int} \rightarrow \text{int list}}$$

$$[one : \text{int list}] \vdash (\text{let } x = 2 \text{ in fun } y \rightarrow (x :: y :: one)) : \text{int} \rightarrow \text{int list}$$

2/25/2014 36

### Proof of 5

$$\frac{?}{[x:\text{int}; \text{one} : \text{int list}] \vdash \text{fun } y \rightarrow (x :: y :: \text{one})) : \text{int} \rightarrow \text{int list}}$$

2/25/2014 37

### Proof of 5

$$\frac{?}{\frac{[y:\text{int}; x:\text{int}; \text{one} : \text{int list}] \vdash (x :: y :: \text{one}) : \text{int list}}{[x:\text{int}; \text{one} : \text{int list}] \vdash \text{fun } y \rightarrow (x :: y :: \text{one})) : \text{int} \rightarrow \text{int list}}}$$

2/25/2014 38

### Proof of 5

$$\frac{\textcircled{6} \quad \textcircled{7}}{\frac{[y:\text{int}; x:\text{int}; \text{one} : \text{int list}] \vdash [y:\text{int}; x:\text{int}; \text{one} : \text{int list}] \vdash ((::) x):\text{int list} \rightarrow \text{int list} \quad (y :: \text{one}) : \text{int list}}{[y:\text{int}; x:\text{int}; \text{one} : \text{int list}] \vdash (x :: y :: \text{one}) : \text{int list}} \quad \frac{[y:\text{int}; x:\text{int}; \text{one} : \text{int list}] \vdash (x :: y :: \text{one}) : \text{int list}}{[x:\text{int}; \text{one} : \text{int list}] \vdash \text{fun } y \rightarrow (x :: y :: \text{one})) : \text{int} \rightarrow \text{int list}}$$

2/25/2014 39

### Proof of 6

Constant	Variable
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$$\frac{[...] \vdash (::)}{: \text{int} \rightarrow \text{int list} \rightarrow \text{int list} \quad \frac{[...; x:\text{int}; ...] \vdash x:\text{int}}{[y:\text{int}; x:\text{int}; \text{one} : \text{int list}] \vdash ((::) x) : \text{int list} \rightarrow \text{int list}}}$$

2/25/2014 40

### Proof of 7

Pf of 6 [y/x]	Variable
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$$\frac{\vdots}{\frac{[y:\text{int}; ...] \vdash ((::) y) : \text{int list} \rightarrow \text{int list} \quad [...; \text{one} : \text{int list}] \vdash \text{one} : \text{int list}}{[y:\text{int}; x:\text{int}; \text{one} : \text{int list}] \vdash (y :: \text{one}) : \text{int list}}$$

2/25/2014 41

### Curry - Howard Isomorphism

- Type Systems are logics; logics are type systems
- Types are propositions; propositions are types
- Terms are proofs; proofs are terms
- Functions space arrow corresponds to implication; application corresponds to modus ponens

2/25/2014 42

## Curry - Howard Isomorphism

- Modus Ponens

$$\frac{A \Rightarrow B \quad A}{B}$$

- Application

$$\frac{\Gamma \vdash e_1 : \alpha \rightarrow \beta \quad \Gamma \vdash e_2 : \alpha}{\Gamma \vdash (e_1 e_2) : \beta}$$

2/25/2014

43

## Mia Copa

- The above system can't handle polymorphism as in OCAML
- No type variables in type language (only meta-variable in the logic)
- Would need:
  - Object level type variables and some kind of type quantification
  - **let** and **let rec** rules to introduce polymorphism
  - Explicit rule to eliminate (instantiate) polymorphism

2/25/2014

44