

# Programming Languages and Compilers (CS 421)

Sasa Misailovic  
4110 SC, UIUC



<https://courses.engr.illinois.edu/cs421/fa2024/CS421C>

Based on slides by Elsa Gunter, which are based in part on previous slides by Mattox Beckman and updated by Vikram Adve and Gul Agha

# How It's Going

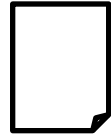
**Program**

```
int array[size], key, i;
// Taking Input In Array
for (int j=0; j<size; j++){
    cout<<"Enter "<<j<<" Element: ";
    cin>>array[j];
}
//Your Entered Array Is
for (int a=0; a<size; a++){
    cout<<"array["<<a<<" ] = ";
    cout<<array[a]<<endl;
}
```

**Compiler**

g++ program.cpp -o executable.exe

**Executable  
Code**



# How It's Going

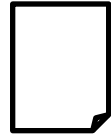
**Program**

```
int array[size], key, i;
// Taking Input In Array
for (int j=0; j<size; j++){
    cout<<"Enter "<<j<<" Element: ";
    cin>>array[j];
}
//Your Entered Array Is
for (int a=0; a<size; a++){
    cout<<"array["<<a<<"] = ";
    cout<<array[a]<<endl;
}
```

**Python Interpreter (REPL\*)**

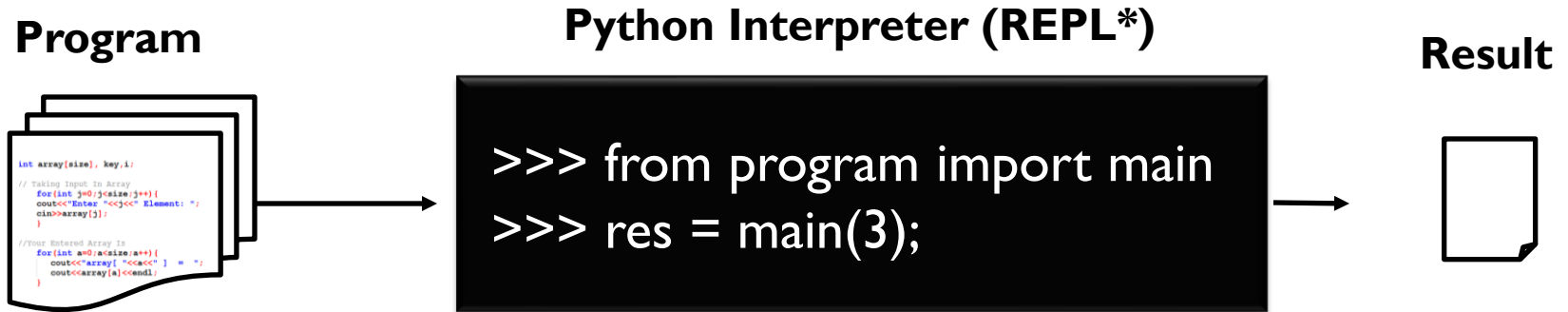
```
>>> from program import main
>>> res = main(3);
```

**Result**



\*REPL = Read, evaluate, print loop

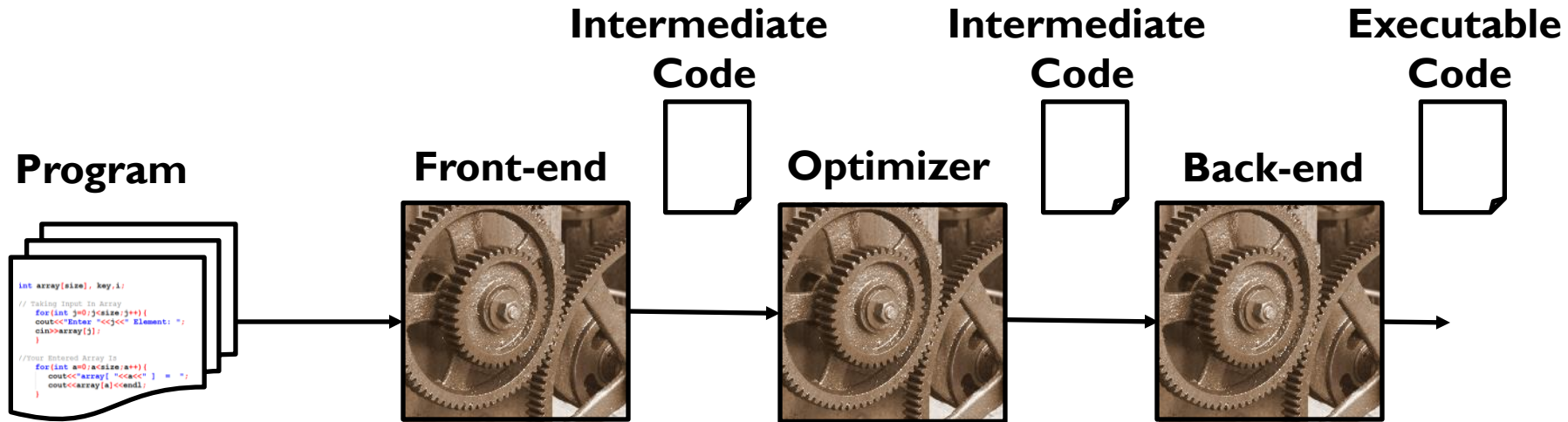
# How It's Going



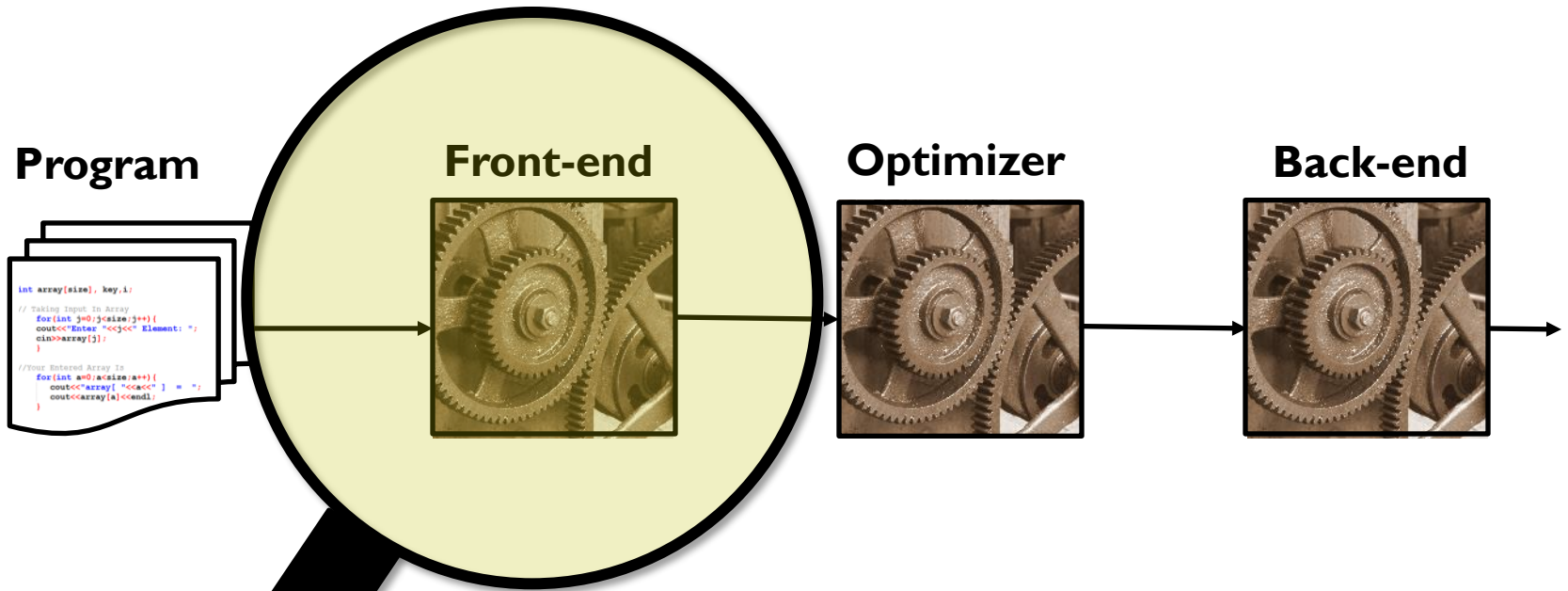
## Our Journey: What's inside?

\*REPL = Read, evaluate, print loop

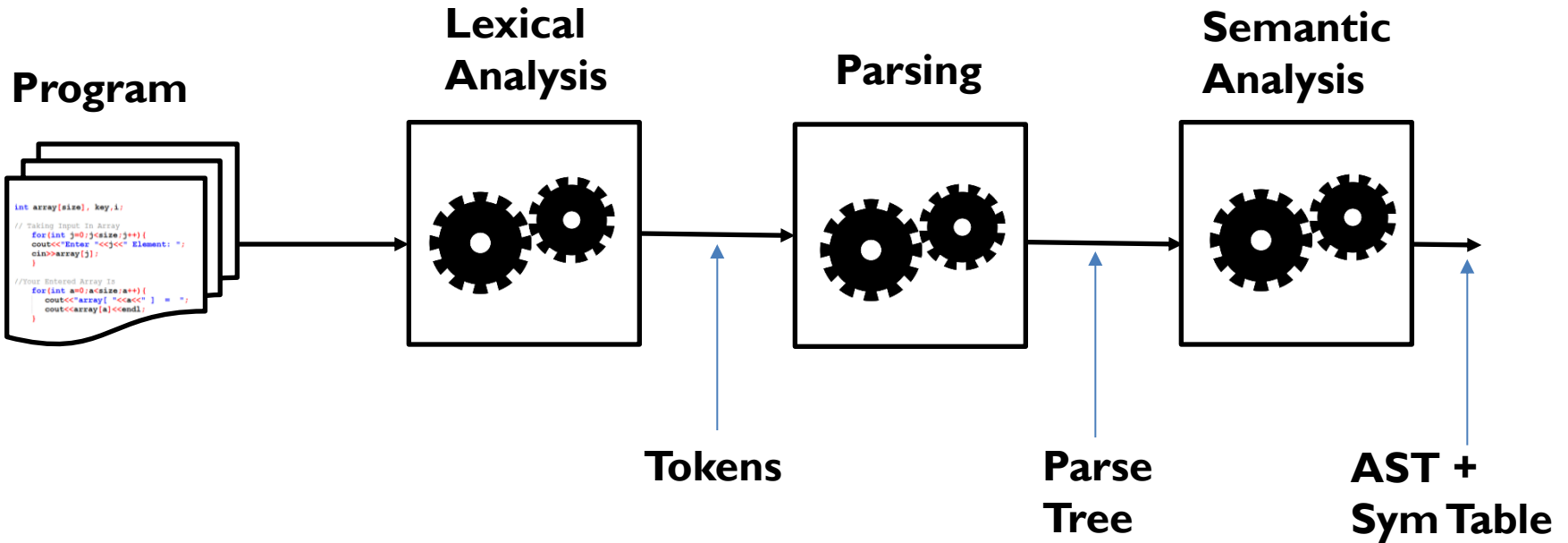
# Compiler Overview



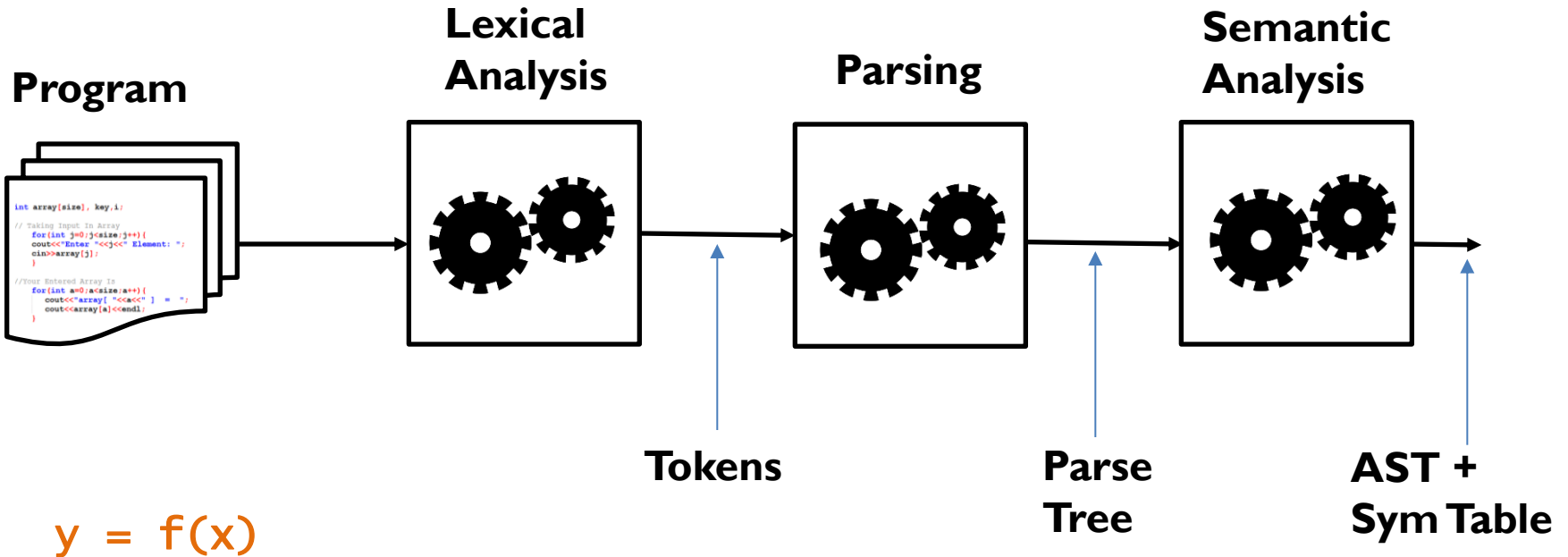
# Compiler Overview



# Role of a frontend

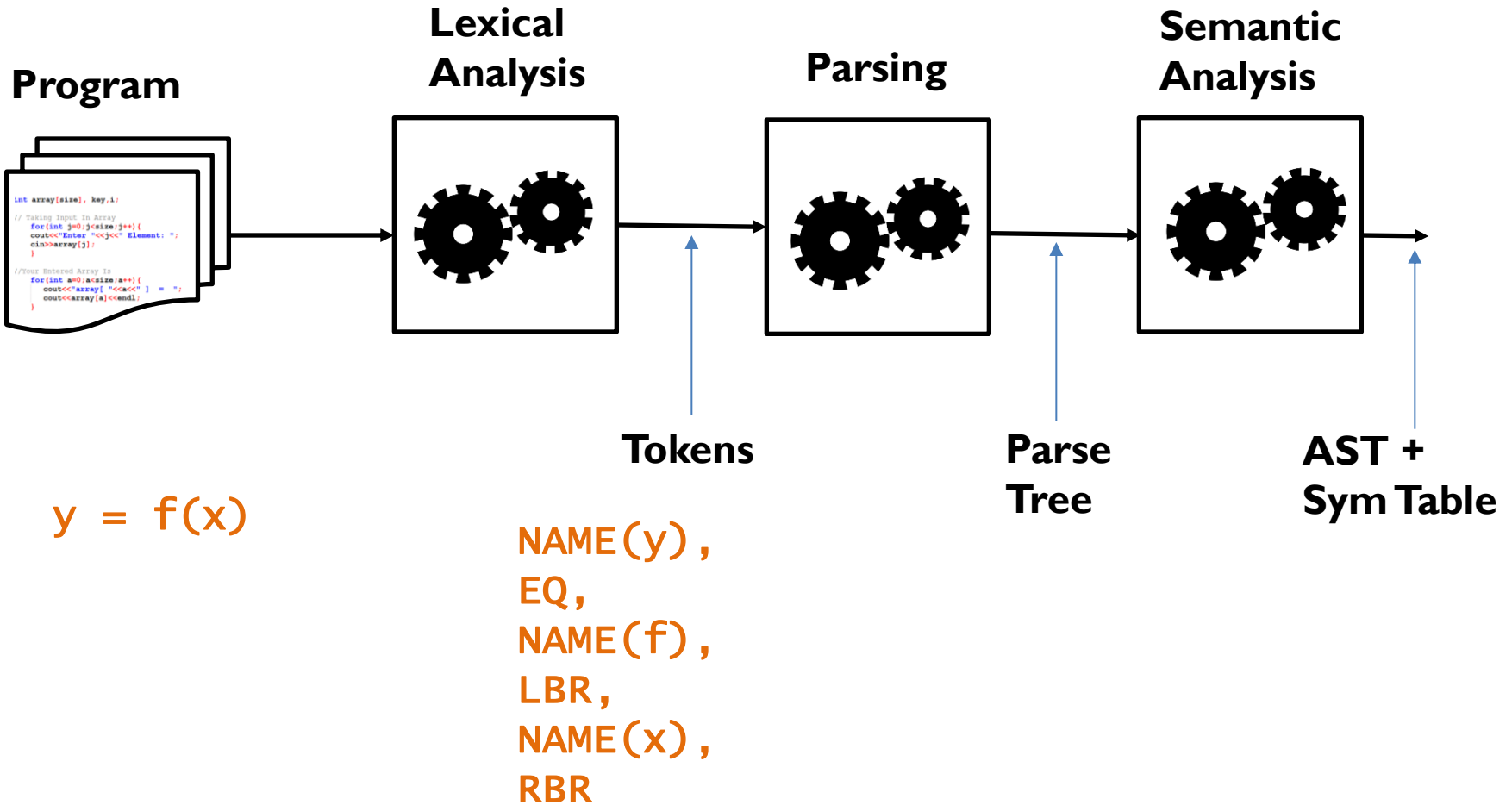


# Role of a frontend

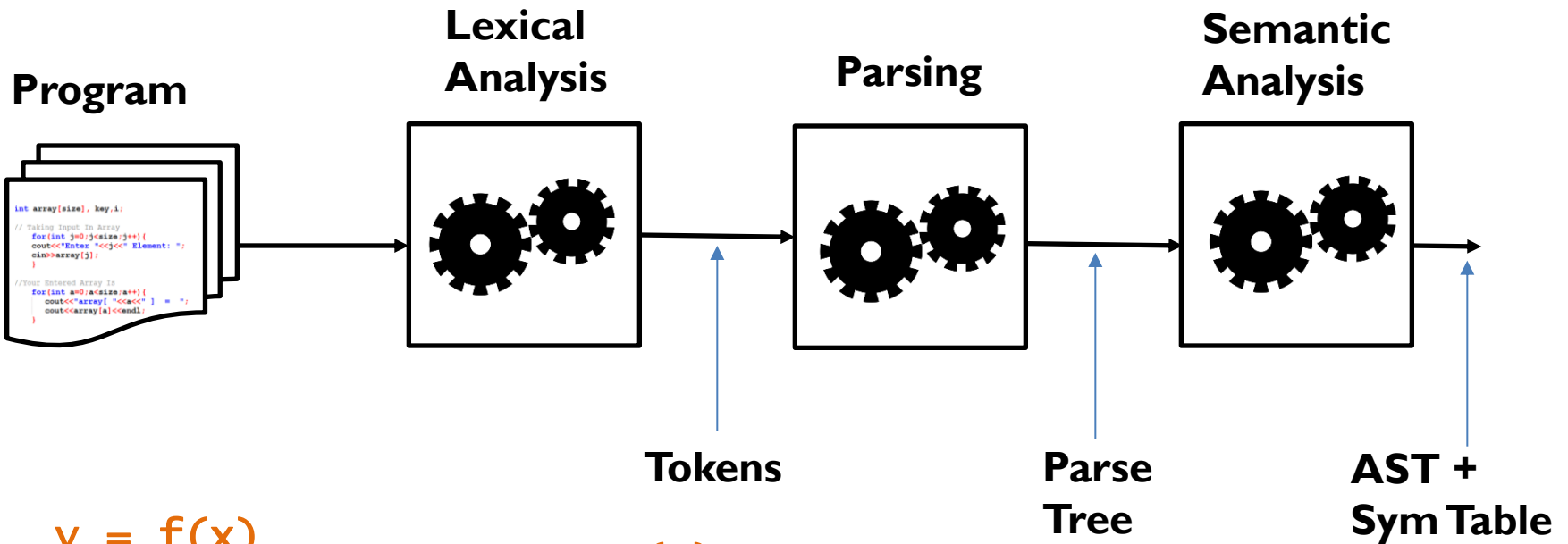




# Role of a frontend

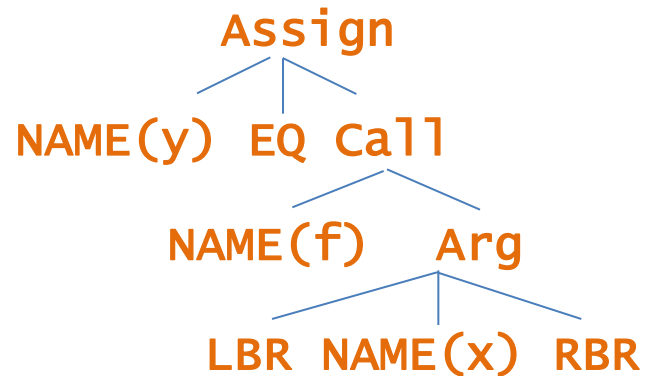


# Role of a frontend

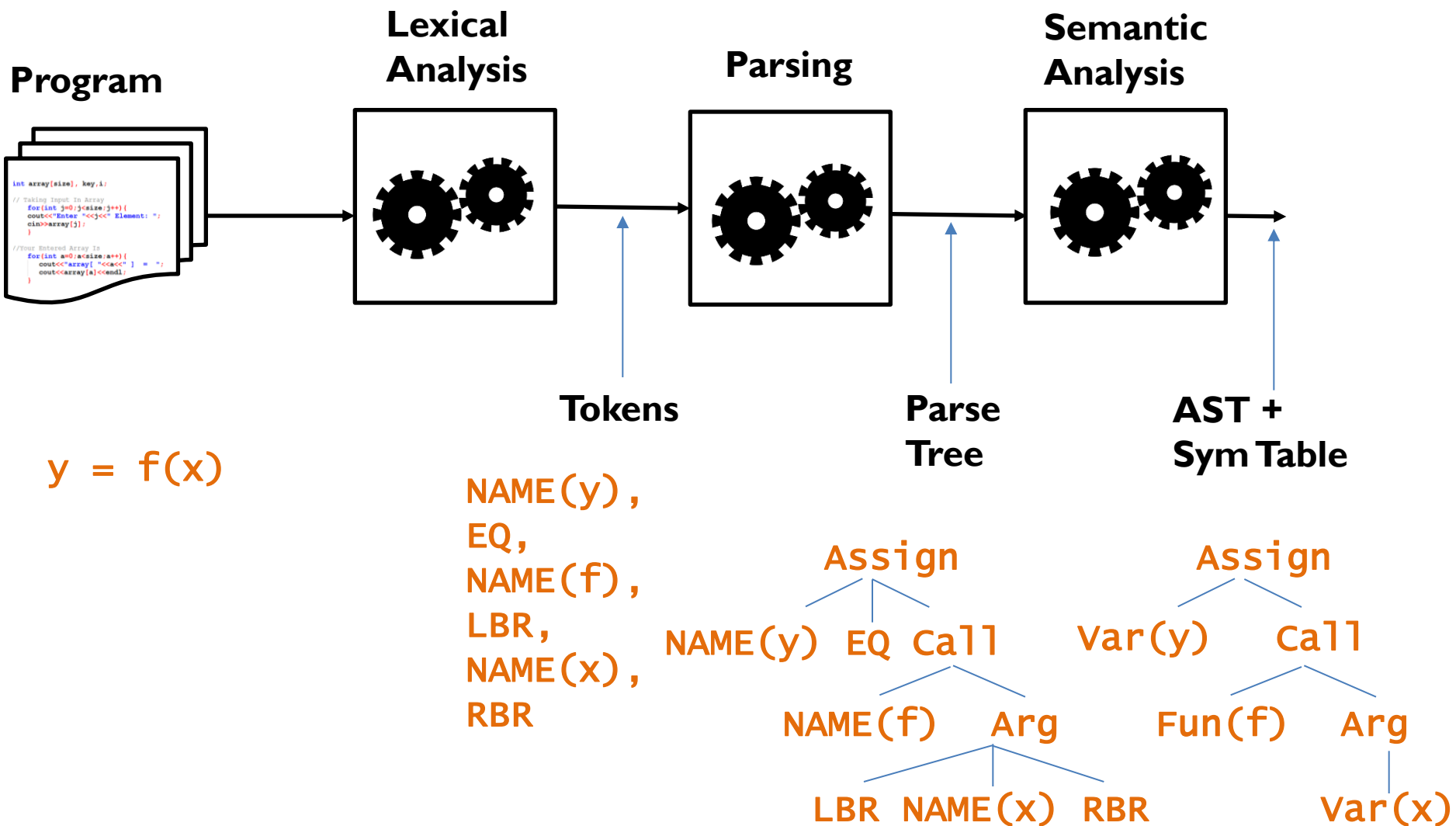


$y = f(x)$

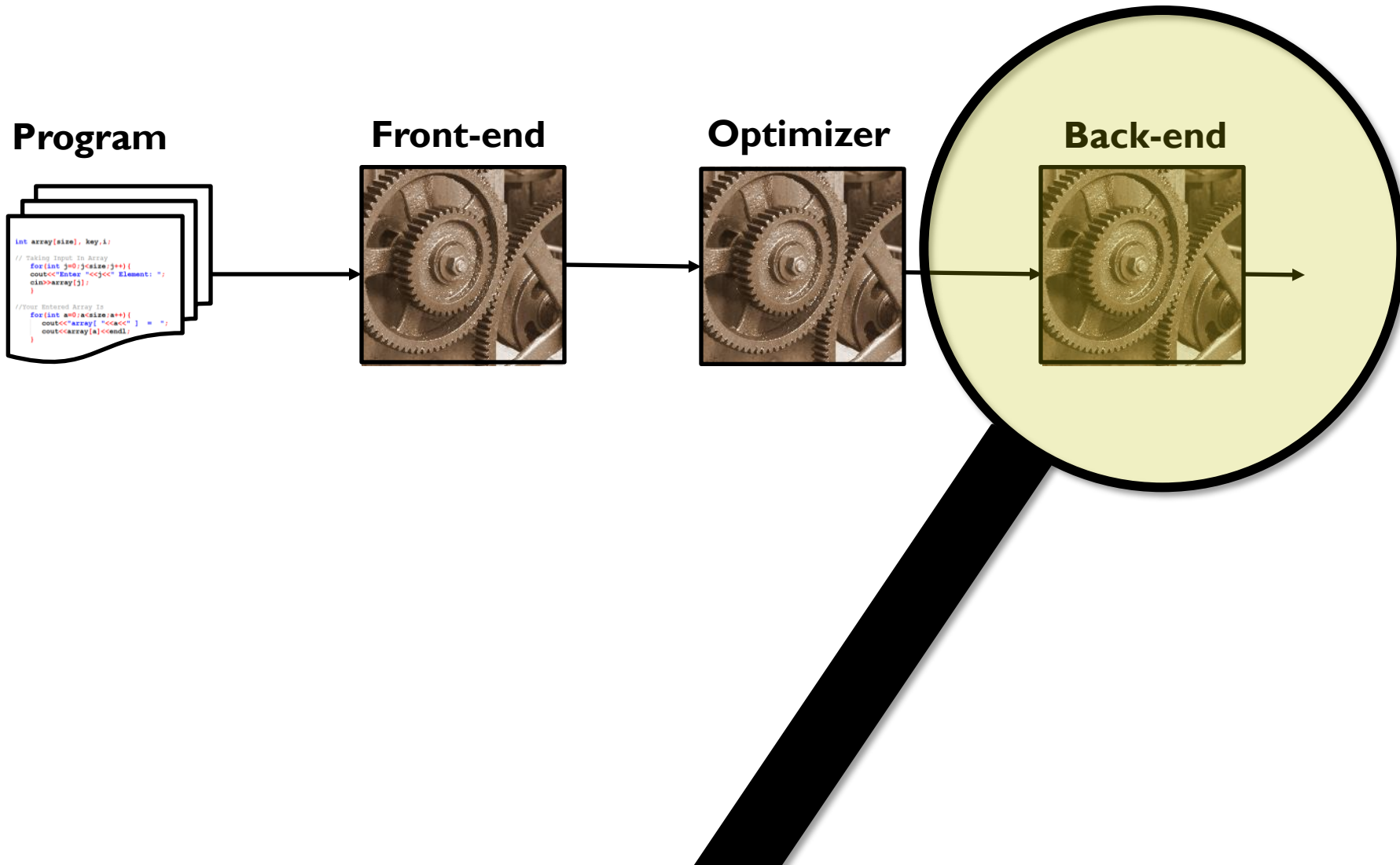
NAME(y),  
EQ,  
NAME(f),  
LBR,  
NAME(x),  
RBR



# Role of a frontend

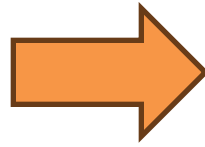
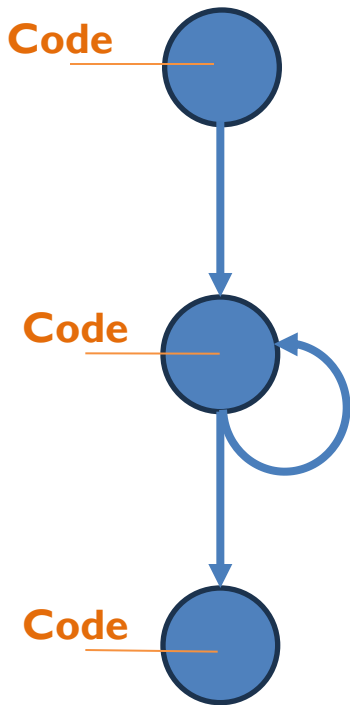


# Compiler Overview



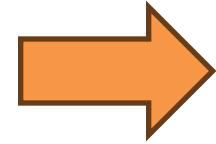
# Role of a backend

## Intermediate Representation



## Assembly Language

```
sumcalc(int, int, int):  
    mov     ecx, edx  
    lea    eax, [4*rdi]  
    cdq  
    idiv   esi  
    test   ecx, ecx  
    js     .LBB2_1  
    lea    edx, [rax + 4]  
    imul   edx, ecx  
    add    eax, 5  
    mov    esi, ecx  
    lea    edi, [rcx - 1]  
    imul   rdi, rsi  
    add    ecx, -2  
    imul   rcx, rdi  
    shr    rdi  
    imul   edi, eax  
    add    edi, edx  
    shr    rcx  
    imul   eax, ecx, 1431655766  
    add    eax, edi  
    add    eax, 1  
    ret  
  
.LBB2_1:  
    xor    eax, eax  
    ret
```

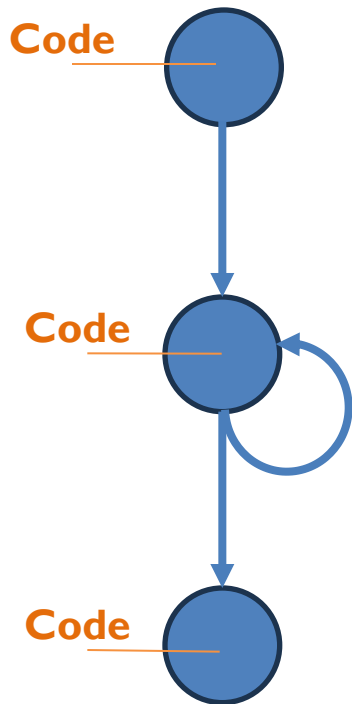


## Binary Code

```
000100011001  
010011001010  
101001010101  
001010001011  
110100101001  
010100101010  
010101001010  
010101001010  
010101001010  
100010010101  
001010000000  
101011111110  
001010010101  
011110001100  
000100011001  
010011001010  
101001010101  
001010001011  
110100101001  
010100101010  
010101001010  
010101001010  
010101001010  
100010010101  
001010000000  
101011111110  
001010010101
```

# Role of a backend

## Intermediate Representation



## Interpreter Language

```
define i32 @sumcalc(int, int, int)
  (i32 noundef %0, i32 noundef %1, i32 noundef %2) {
    %6 = icmp slt i32 %2, 0
    br i1 %6, label %27, label %7

7:%8 = add i32 %5, 4,
  %9 = mul i32 %8, %2,
  %10 = add i32 %5, 5,
  %11 = zext i32 %2 to i33,
  %12 = add nsw i32 %2, -1,
  %13 = zext i32 %12 to i33,
  %14 = mul i33 %11, %13,
  %15 = lshr i33 %14, 1,
  %16 = trunc i33 %15 to i32,
  br label %16,

17:%18 = phi i32 [0, %3], [%16, %7]
  ret i32 %18,
}
```

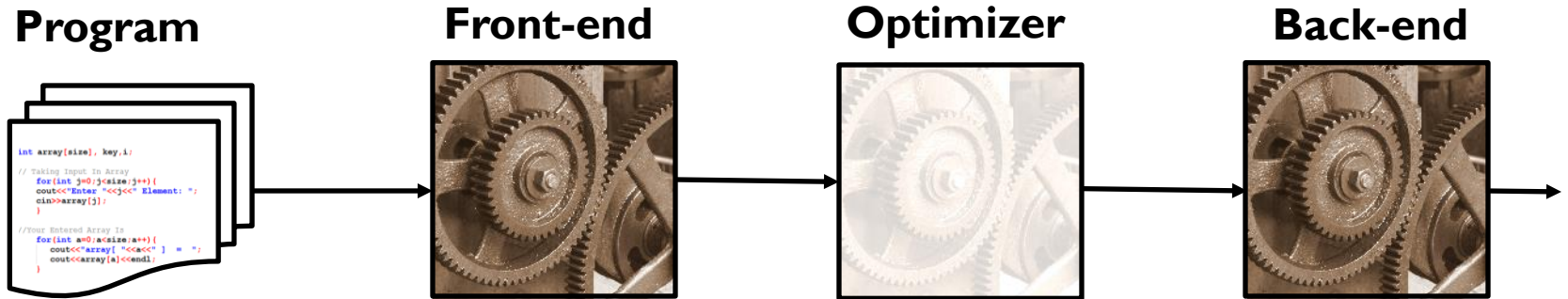
Runtime System

*Interpreter executes those intermediate commands*

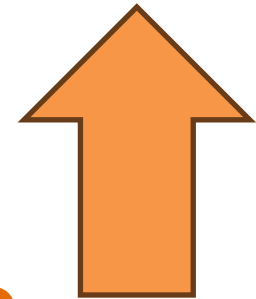
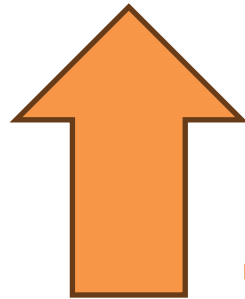
## Direct Eval

result1  
result2  
result3  
result4  
result5  
result6  
result7  
result8  
result8  
result10  
result11  
result12  
result13  
result14  
result15  
result16  
result17  
result18  
result19  
result20  
result21  
....

# Compiler Overview



This is in  
CS426



**This class**

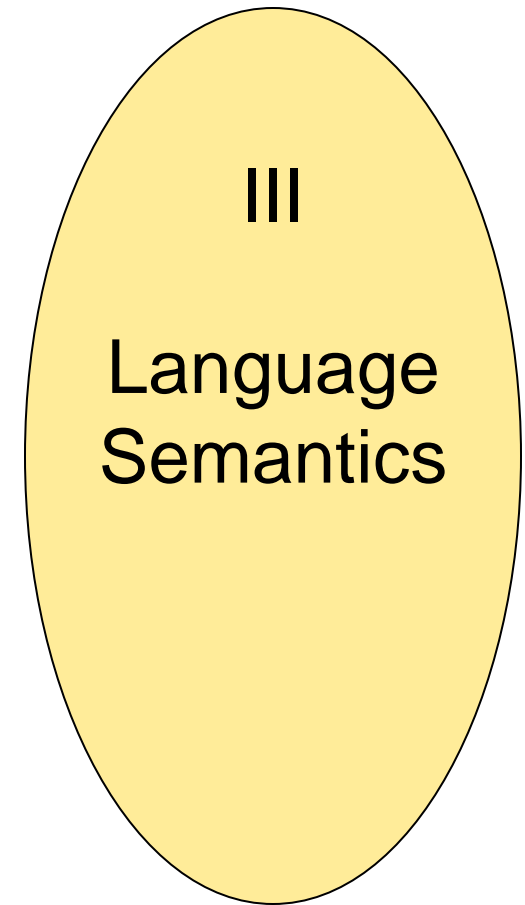
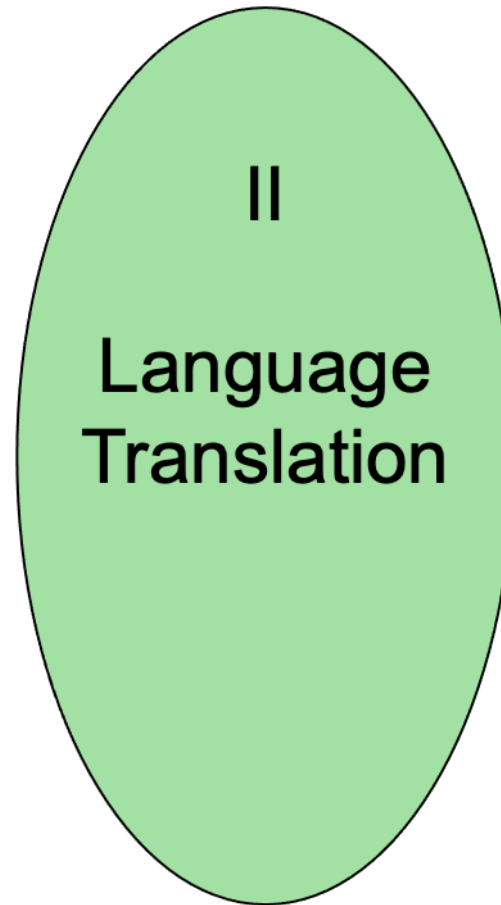
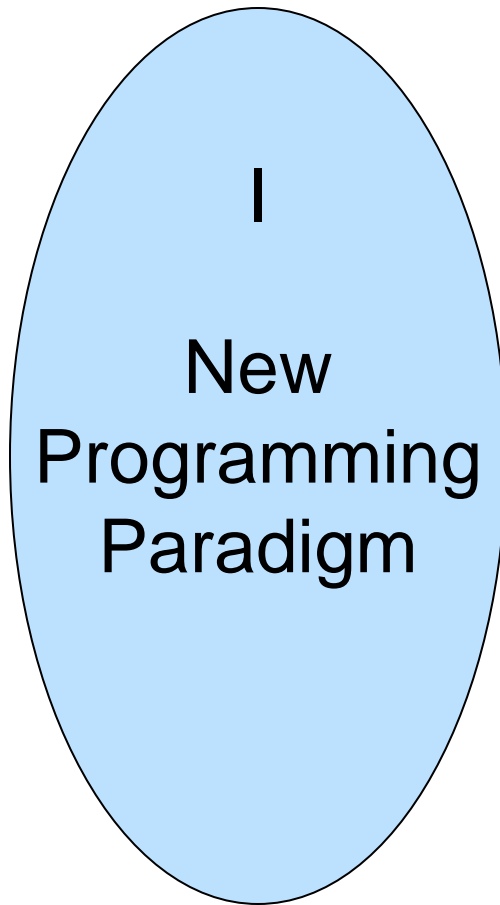
# My Background

- Grew up writing code in C, C++ and Java
- Did my undergrad in ECE
- Explored full stack of programming systems in my PhD
- Interests: Programming systems for fast and accurate computing across the system stack, recently with a focus on ML/AI applications
- Courses taught: Basic and Advanced Compilers (in LLVM; cs 426 and cs526), Formal methods (cs477), Approximate and probabilistic programming systems (cs521), ...



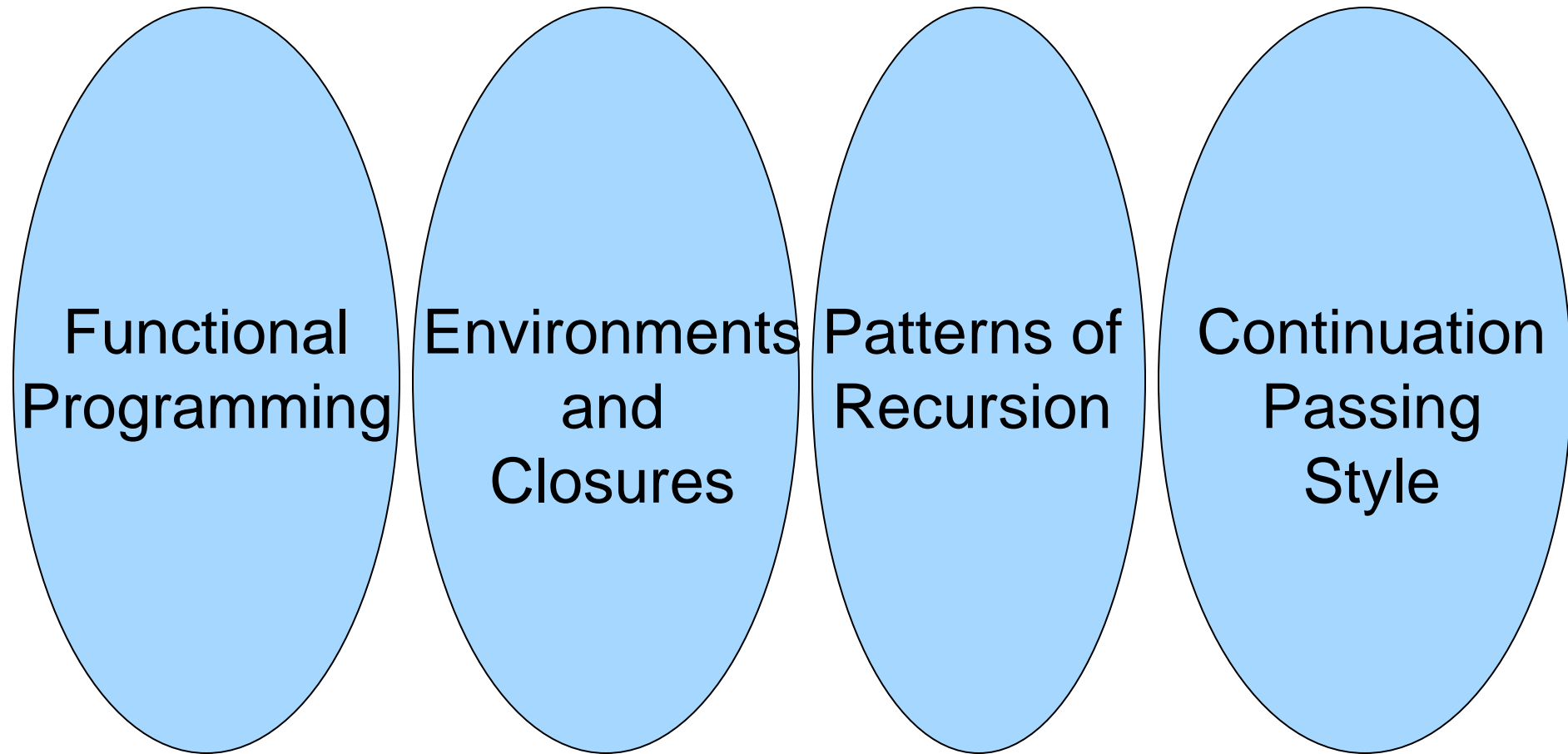
# Programming Languages & Compilers

## Three Main Topics of the Course



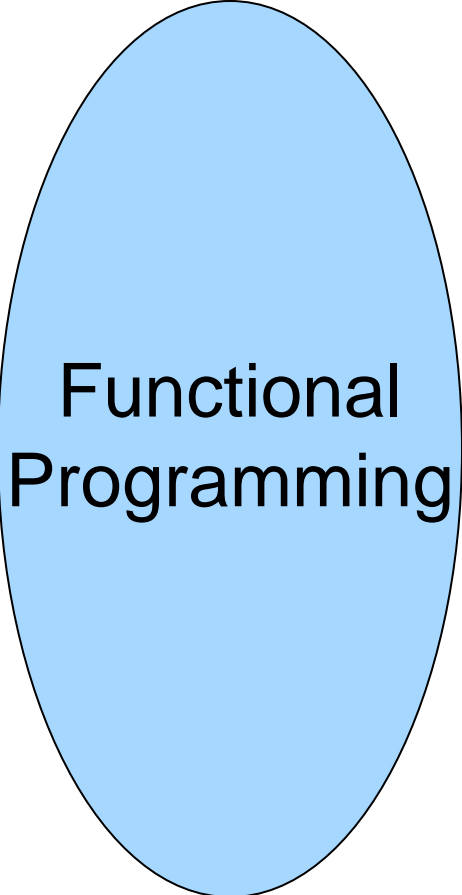
# Programming Languages & Compilers

## I : New Programming Paradigm



# Programming Languages & Compilers

## I : New Programming Paradigm



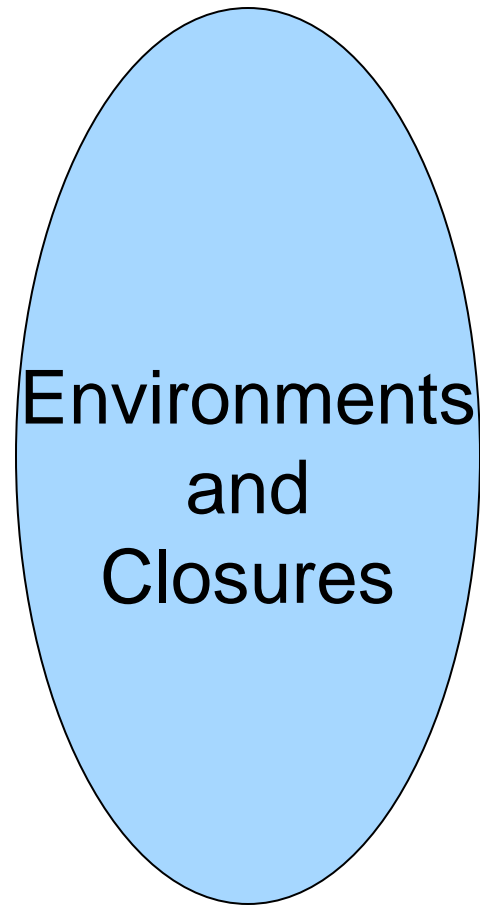
Functional  
Programming

Different discipline of programming from what you're used to:

- Immutable Program State
  - Yes!  $x = y + 1$
  - NO!  $x = x + 1$
- Functions are first-class citizens
  - Pass as arguments to other functions, manipulate as objects

# Programming Languages & Compilers

## I : New Programming Paradigm



Program state we are used to:

- Variables located on stack or heap

We will learn:

- Make the notion of program state more flexible

# Programming Languages & Compilers

## I : New Programming Paradigm



### Patterns of Recursion

Iteration we are used to:

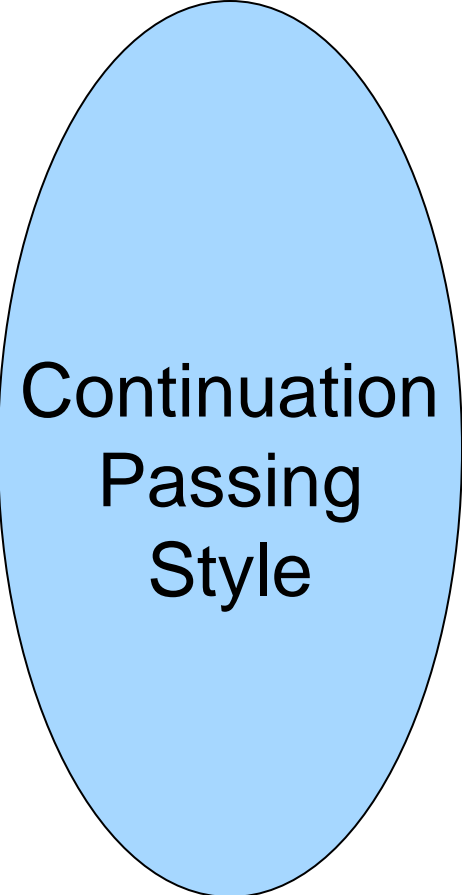
- for/while Loops
- iterators

We will learn:

- How to make functions calling themselves efficient
- Pattern matching

# Programming Languages & Compilers

## I : New Programming Paradigm



Continuation  
Passing  
Style

Program counter we are used to:

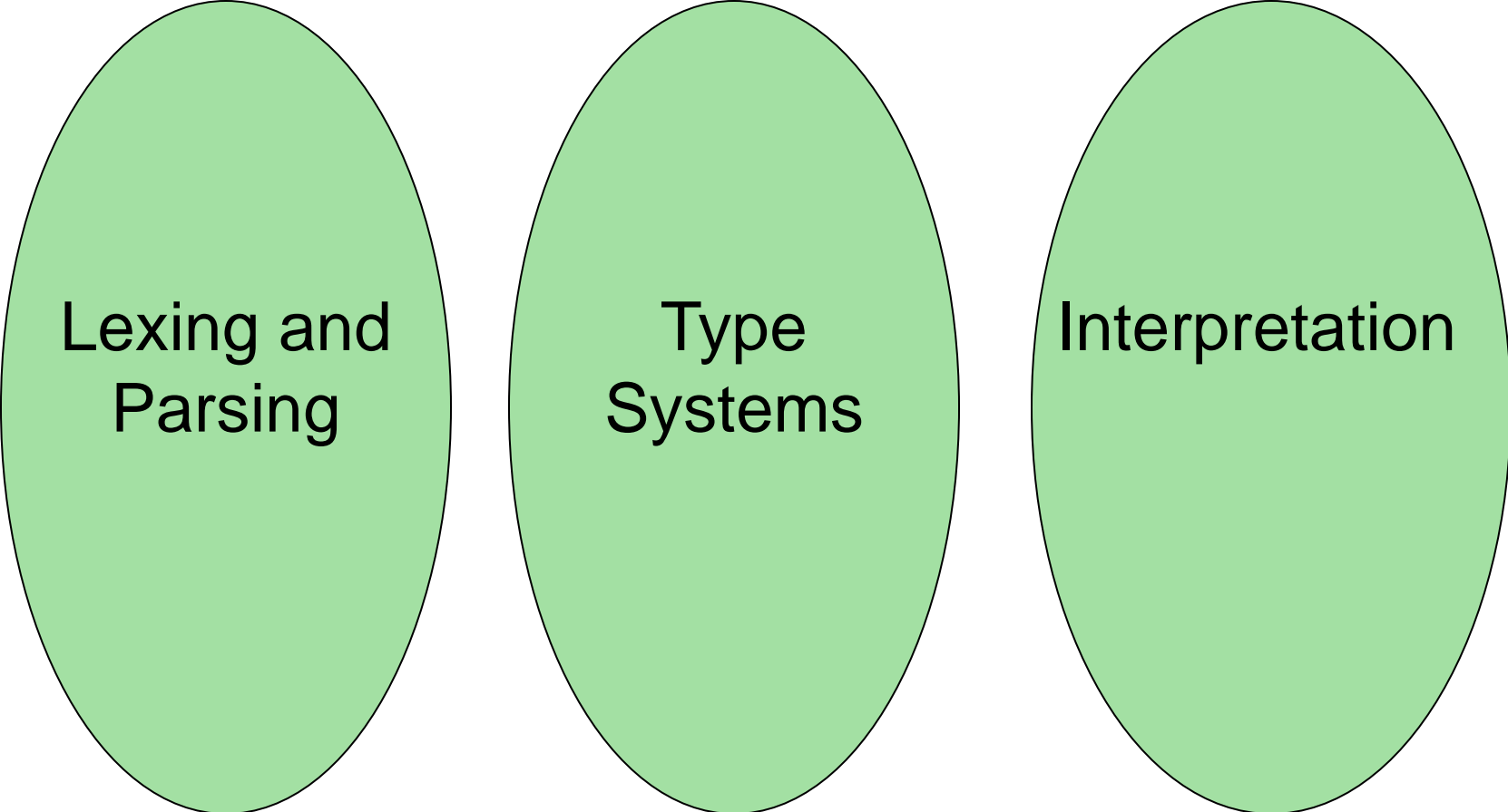
- The pointer to the next instruction to execute
- Function pointer or a label to make jumps

We will learn:

- How to abstract the notion of program counter and location where the execution continues

# Programming Languages & Compilers

## II : Language Translation



Lexing and  
Parsing

Type  
Systems

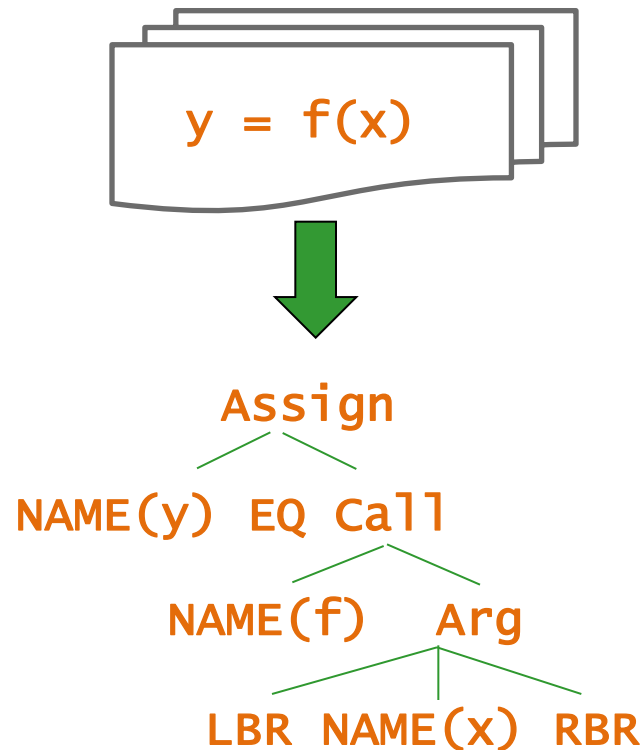
Interpretation

# Programming Languages & Compilers

## II : Language Translation

Lexing and  
Parsing

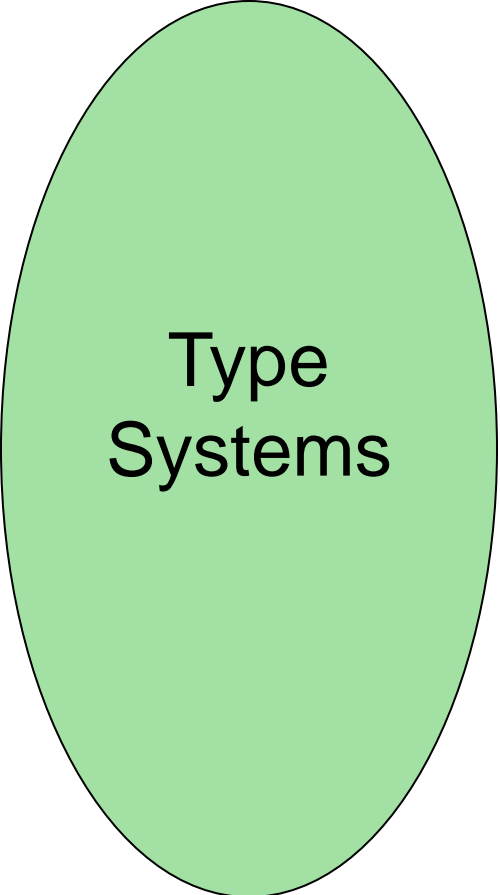
From program text to a data structure we can manipulate:





# Programming Languages & Compilers

## II : Language Translation



Type  
Systems

Is this a legal program:

```
int x = 3
```

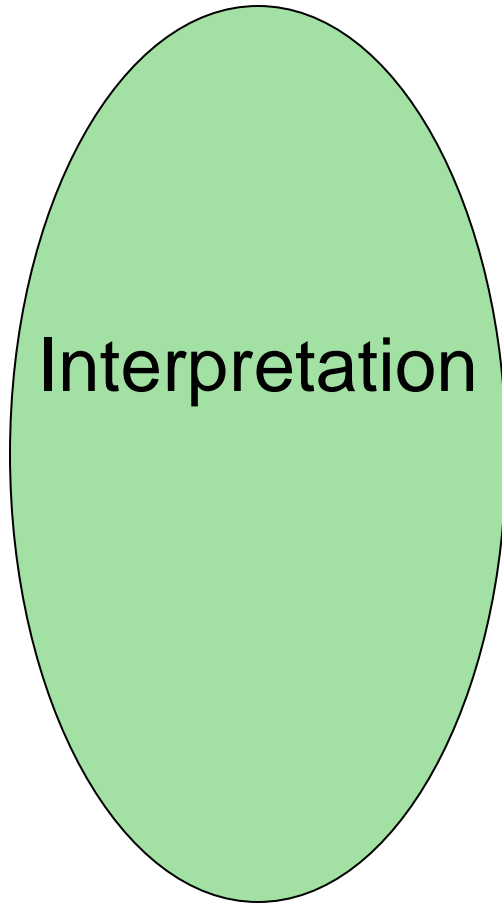
```
int y = x * 2
```

```
int z = y + "one"
```

How to automatically check?

# Programming Languages & Compilers

## II : Language Translation



How do we make this text run on the machine?

```
int x = 3
```

```
int y = x * 2
```

```
X: 0  
Y: 0
```

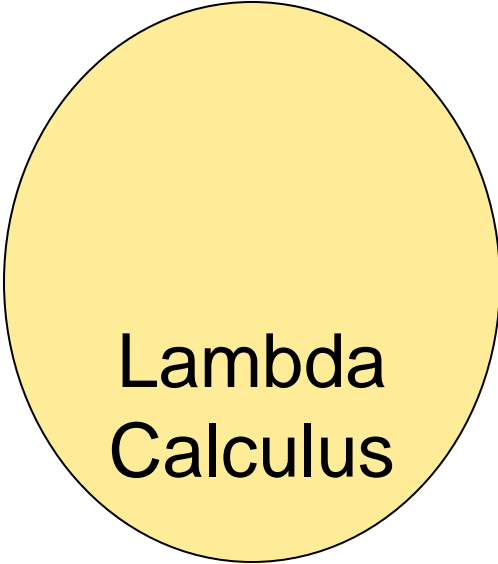
```
X: 3  
Y: 6
```

# Programming Languages & Compilers

## III : Language Semantics



Operational  
Semantics

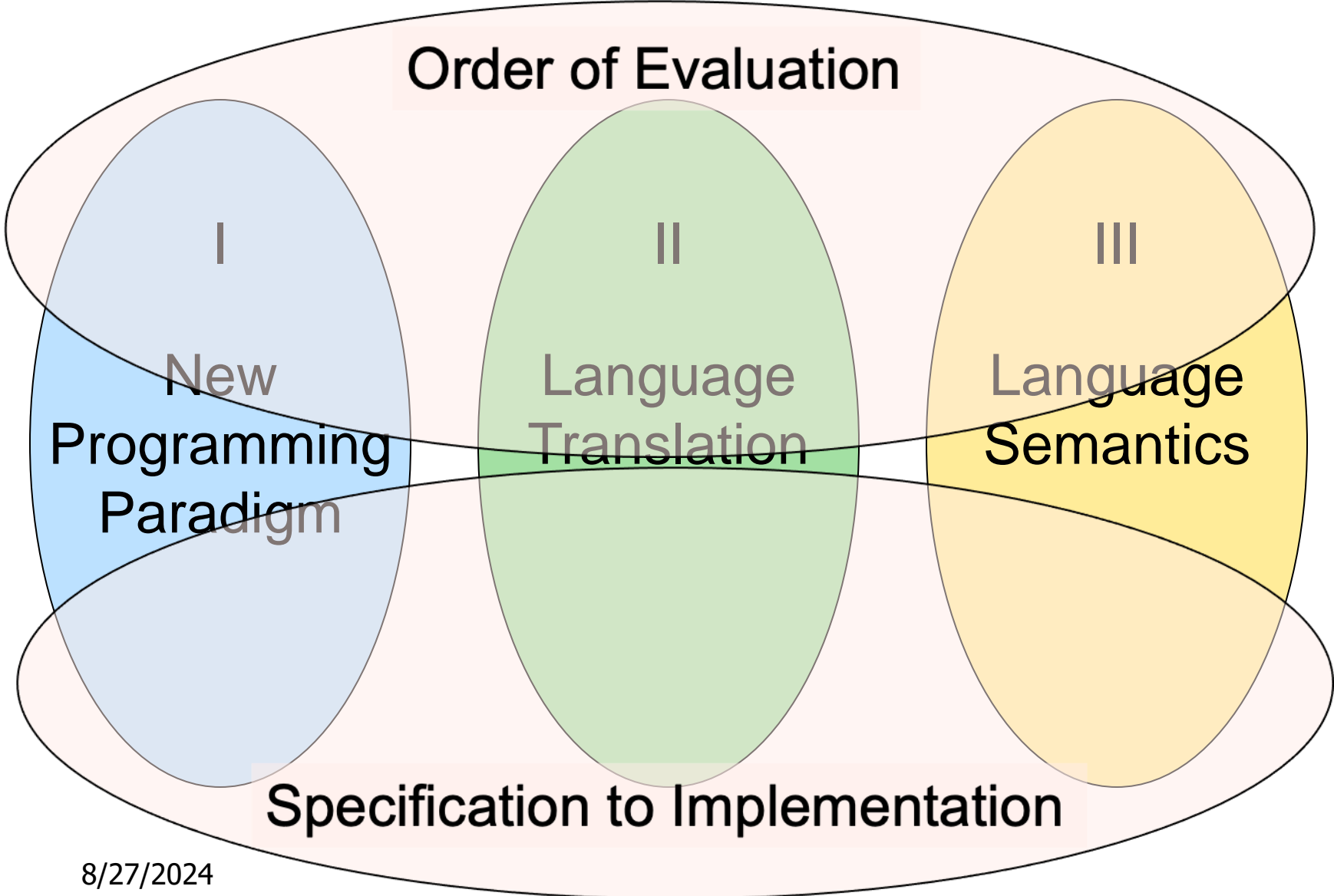


Lambda  
Calculus



Axiomatic  
Semantics

# Programming Languages & Compilers



# Beyond Class

- Functional Programming is used in industry



<https://blog.janestreet.com/why-ocaml/>

<https://ocaml.org/industrial-users>

facebook

Microsoft

docker

Bloomberg



ahrefs



Haskell  
F#

[https://wiki.haskell.org/Haskell\\_in\\_industry](https://wiki.haskell.org/Haskell_in_industry)

<https://github.com/fsprojects/fsharp-companies>



Erlang: Language for High-reliability concurrent systems



Verse: Language for XR based on Haskell

# Beyond Class



- Occasionally we will have several slides that are not part of the exam, but give a broader context about programming
  - Functional patterns in imperative languages
  - Language design choices
  - Practical use cases and advanced theory
- Our friend Zafar the Camel will lead us into these stories. (Zafar is made by Dall-E)

# Example



- Most of today's languages are multi-paradigm (Imperative, OOP, Functional, Concurrent...)
- You can write C/C++ programs in functional styles
- You can also write Ocaml in imperative style (but not in this class)

# Contact Information: Sasa Misailovic

- Office: 4110 SC
- Office hours:
  - Urbana: Tuesday 9:45am – 10:30am
  - Chicago: Thursday 1:45pm – 2:30pm
    - Can attend on zoom
  - Also by appointment
- Email: [misailo@illinois.edu](mailto:misailo@illinois.edu)
  - Use Campuswire



# Course Sections

- Sasa's section (CS421C): 12:30 – 1:45
  - Tuesdays in Urbana and Zoom
  - Thursdays in Chicago and Zoom
    - Urbana students can still use the classroom
- Elsa's section (CS421D):
  - Tuesday, Thursday in Urbana
- Both sections cover the same material for the exam, in the same order
  - The midterms/exams are the same
  - All lectures are recorder

# Course Infrastructure

- Discuss: Campuswire
- Exams: PrairieLearn and PrairieTest
- Autogenerated exams and autograding
  - Big shout-out to Elsa!



PrairieLearn

---

Files
Preview
Settings
Statistics
Issues 1

Polymorphic Type Derivation, app\_fun, autograded

### Instructions

Complete the type derivation below. For this type derivation, if the "for all" operator ( $\forall$ ) needs to be used, please use **ALL**. Use a comma as the separator between entries in environments.

To use the tool below:

- **[+]** adds a subproof above the line to the selected inference.
- **[e]** allows you to edit the selected inference.
- **[x]** deletes the selected inference **and** its subtrees.
- **[sc]** allows you to add a side condition to the selected inference.

You may find the polymorphic type derivation rules by [going here](#).

The following is an example of the kind a tree you are expected to construct.

```

Instance: ('a -> int)
-----
Var -----
{x: 'a}+
x: 'a
-----
Fun -----
{}+ fun x -> x
{}+ fun x -> x
-----
Let -----
{}+ let id = fun x -> x in id (3 = id 2) : bool

Instance: ('a -> bool)
-----
Var -----
{id: ALL 'a.
'a -> 'a}+
id: bool -> bool
-----
App -----
{id: ALL 'a. 'a -> 'a}+ (3 = id 2) : bool

Instance: ('a -> int)
-----
Var -----
{id: ALL 'a.
'a -> 'a}+
id: int -> int
-----
BinOp -----
3: int
-----
App -----
{id: ALL 'a. 'a -> 'a}+ id 2: int

Instance: ('a -> int)
-----
Var -----
{id: ALL 'a.
'a -> 'a}+
id: int -> int
-----
App -----
{id: ALL 'a. 'a -> 'a}+ id 2: int

Const -----
2: int
-----
Var -----
{id: ALL 'a.
'a -> 'a}+
id: int -> int
-----
App -----
{id: ALL 'a. 'a -> 'a}+ id 2: int

Const -----
2: int
-----
Var -----
{id: ALL 'a.
'a -> 'a}+
id: int -> int
-----
App -----
{id: ALL 'a. 'a -> 'a}+ id 2: int
    
```

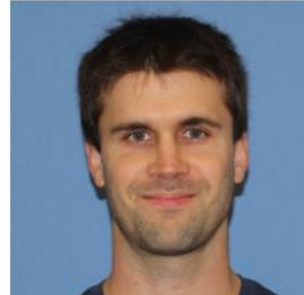
# Course TAs



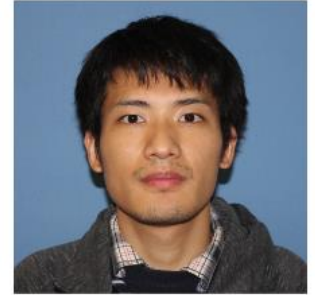
Shams Alshabani



Athena Fung



Paul Krogmeier



Yerong Li



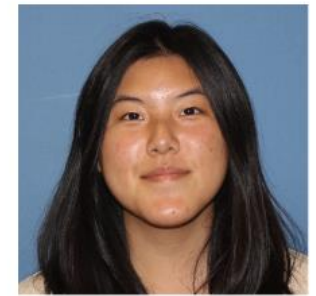
Siheng Pan



Cody Rivera



Uche Uche-Ike



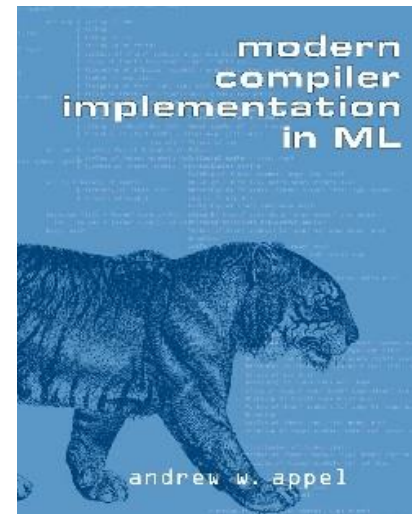
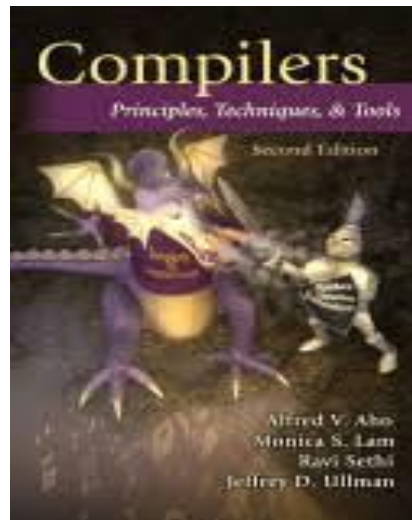
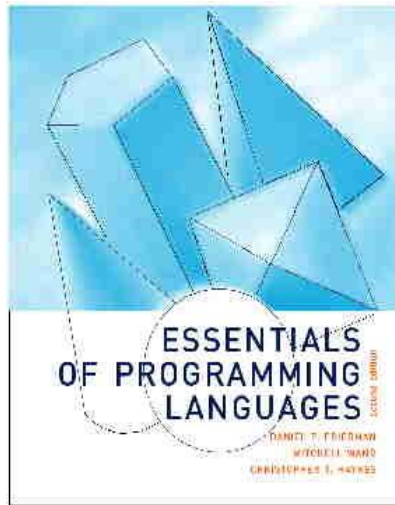
Allison Ye

# Course Website

- <https://courses.engr.illinois.edu/cs421/fa2024/CS421C>
- Main page - summary of news items
- Policy - rules governing course
- Lectures - syllabus and slides
- MPs - information about assignments
- Exams – Syllabi and review material for Midterms and finals
- Unit Projects - for 4 credit students
- Resources - tools and helpful info
- FAQ

# Some Course References

- No required textbook
- Some suggested references



# Some Course References

- No required textbook.
- Pictures of the books on previous slide
- Essentials of Programming Languages (2nd Edition) by Daniel P. Friedman, Mitchell Wand and Christopher T. Haynes, MIT Press 2001.
- Compilers: Principles, Techniques, and Tools, (also known as "The Dragon Book"); by Aho, Sethi, and Ullman. Published by Addison-Wesley. ISBN: 0-201-10088-6.
- Modern Compiler Implementation in ML by Andrew W. Appel, Cambridge University Press 1998
- Additional ones for Ocaml given separately

# Course Grading

- Assignments
  - Web Assignments (WA)
  - MPs (in Ocaml)
  - All WAs and MPs Submitted by **PrairieLearn**
  - Late submission penalty: capped at 80% of total
- Quizzes
  - 3 quizzes (20min) done in class: same as MP
- Midterms
  - 3 midterms: All done in CBTF (Urbana/Chicago)
- Final
  - Done in CBTF (Urbana/Chicago)

# Course Grading

Grading Breakdown		
Work	Weight (3cr)	Weight (4cr)
Machine Problems, and Web Assignments (combined)	14%	10.5%
Quizzes	6%	4.5%
Midterm 1	15%	11.25%
Midterm 2	15%	11.25%
Midterm 3	15%	11.25%
Final Exam	35%	26.25%
Project	NA	25%

Grading Scale	
Grade	If your overall score is at least
A+	100%
A	93%
A-	90%
B+	87%
B	83%
B-	80%
C+	77%
C	73%
C-	70%
D+	67%
D	63%
D-	60%



# Course Assignments – WA & MP

- You may discuss assignments and their solutions with others
  - You may work in groups, but you must **list members with whom you worked** if you share solutions or solution outlines
- **Each student must write up and turn in their own solution separately**
- You may look at examples from class and other similar examples from any source – **cite appropriately**
  - **This includes LLMs! (although you shouldn't use them)**
  - Note: University policy on plagiarism still holds - cite your sources if you are not the sole author of your solution
  - Do not have to cite course notes or me

# OCAML

- Locally:
  - Will use ocaml inside VSCode inside PrairieLearn problems this semester
- Globally:
  - Main OCAML home: <http://ocaml.org>
  - To install OCAML on your computer see: <http://ocaml.org/docs/install.html>
  - For Windows: just install WSL and then do Linux <https://learn.microsoft.com/en-us/windows/wsl/install>
- **To try on the web:** <https://try.ocamlpro.com>

# References for OCaml

- Supplemental texts (not required):
  - The Objective Caml system release 4.05, by Xavier Leroy, online manual
  - Introduction to the Objective Caml Programming Language, by Jason Hickey
  - Developing Applications With Objective Caml, by Emmanuel Chailoux, Pascal Manoury, and Bruno Pagano, on O' Reilly
    - Available online from course resources

# OCAML Background

- CAML is European descendant of original ML
  - American/British version is SML
  - O is for object-oriented extension
- ML stands for Meta-Language
- ML family designed for implementing theorem provers
  - It was the meta-language for programming the “object” language of the theorem prover
  - Despite obscure original application area, OCAML is a full general-purpose programming language

# Features of OCAML

- Higher order applicative language
- Call-by-value parameter passing
- Modern syntax for functional languages
- Parametric polymorphism
  - Aka structural polymorphism
- Automatic garbage collection
- User-defined algebraic data types

# Session in OCAML

```
% ocaml
```

```
Objective Caml version 4.07.1
```

```
# (* Read-eval-print loop; expressions and  
   declarations *)
```

```
    2 + 3;;      (* Expression *)
```

```
- : int = 5
```

```
# 3 < 2;;
```

```
- : bool = false
```

# Declarations; Sequencing of Declarations

```
# let x = 2 + 3;; (* declaration *)
```

```
val x : int = 5
```

```
# let test = 3 < 2;;
```

```
val test : bool = false
```

```
# let a = 1 let b = a + 4;; (* Sequence of dec *)
```

```
val a : int = 1
```

```
val b : int = 5
```

# Functions

```
# let plus_two n = n + 2;;  
val plus_two : int -> int = <fun>  
  
# plus_two 17;;  
- : int = 19
```



# Functions

```
let plus_two n = n + 2;;  
plus_two 17;;  
- : int = 19
```

The diagram illustrates the execution of the function `plus_two`. A red arrow points from the parameter `n` in the function definition to the argument `17` in the function call. Another red arrow points from the constant `2` in the function definition to the result `19`. A third red arrow points from the parameter `n` in the function definition to the parameter `n` in the function call. A fourth red arrow points from the plus sign `+` in the function definition to the plus sign `+` in the function call.

# Fun with Functions

```
# let plus_two n = n + 2;;  
val plus_two : int -> int = <fun>
```

```
# plus_two 17;;  
- : int = 19
```

```
# let just_plus n m = n + m;;  
val just_plus : int -> int -> int = <fun>
```

```
# just_plus 17  
- : int -> int = <fun>
```

**What happens next?**

# Fun with Functions

```
# let just_plus n m = n + m;;
```

```
val just_plus : int -> int -> int = <fun>
```

```
# let plus17 = just_plus 17
```

```
val plus17 : int -> int = <fun>
```

```
# plus17 2
```

```
- : int = 19
```

# No Overloading for Basic Arithmetic Operations

```
# 15 * 2;;  
- : int = 30
```

```
# 1.35 + 0.23;; (* Wrong type of addition *)
```

```
Characters 0-4:
```

```
  1.35 + 0.23;; (* Wrong type of addition *)
```

```
  ^^^^
```

```
Error: This expression has type float but an  
       expression was expected of type  
       int
```

```
# 1.35 +. 0.23;;  
- : float = 1.58
```

# Sequencing Expressions

```
# "Hi there";; (* has type string *)
```

```
- : string = "Hi there"
```

```
# print_string "Hello world\n";; (* has type unit *)
```

```
Hello world
```

```
- : unit = ()
```

```
# (print_string "Bye\n"; 25);; (* Sequence of exp *)
```

```
Bye
```

```
- : int = 25
```

# Recursive Functions

```
# let rec factorial n =  
    if n = 0 then 1  
    else n * factorial (n - 1);;  
val factorial : int -> int = <fun>
```

```
# factorial 5;;  
- : int = 120
```

“**rec**” is needed for recursive function declarations

# Environments

- **Environments** record what value is associated with a given identifier
- Central to the semantics and implementation of a language
- Notation
  - $\rho = \{\text{name}_1 \rightarrow \text{value}_1, \text{name}_2 \rightarrow \text{value}_2, \dots\}$   
Using set notation, but describes a partial function
- Often stored as list, or stack
  - To find value start from left and take first match

# Environments

X → 3

name → "Steve"

...

y → 17

region → (5.4, 3.7)

b → true

id → {Name = "Paul",  
Age = 23,  
SSN = 999888777}



# Global Variable Creation

```
# 2 + 3;;    (* Expression *)
```

```
// doesn't affect the environment
```

```
# let test = 3 < 2;;    (* Declaration *)
```

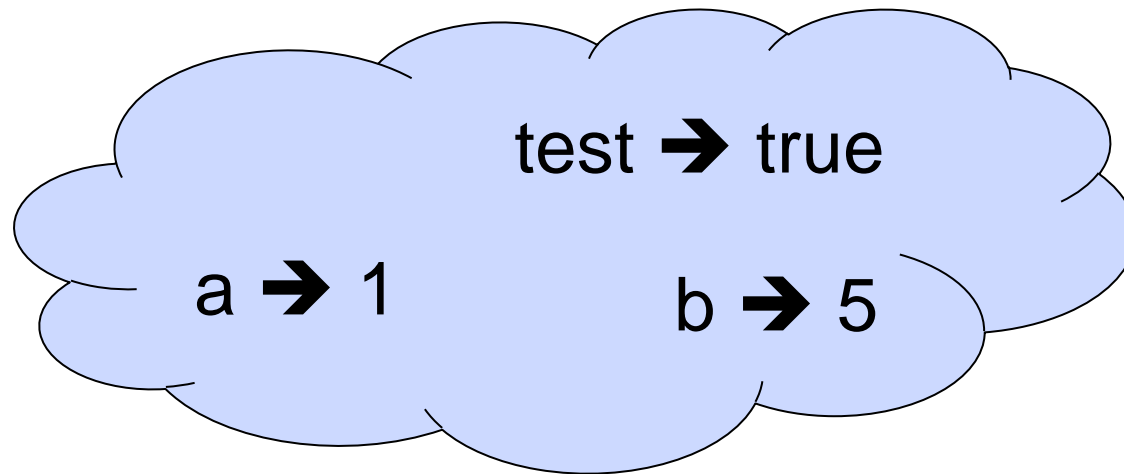
```
val test : bool = false
```

```
//  $\rho_1 = \{\text{test} \rightarrow \text{false}\}$ 
```

```
# let a = 1 let b = a + 4;; (* Seq of dec *)
```

```
//  $\rho_2 = \{b \rightarrow 5, a \rightarrow 1, \text{test} \rightarrow \text{false}\}$ 
```

# Environments



# New Bindings Hide Old

```
//  $\rho_2 = \{b \rightarrow 5, a \rightarrow 1, test \rightarrow false\}$ 
```

```
let test = 3.7;;
```

- What is the environment after this declaration?

# New Bindings Hide Old

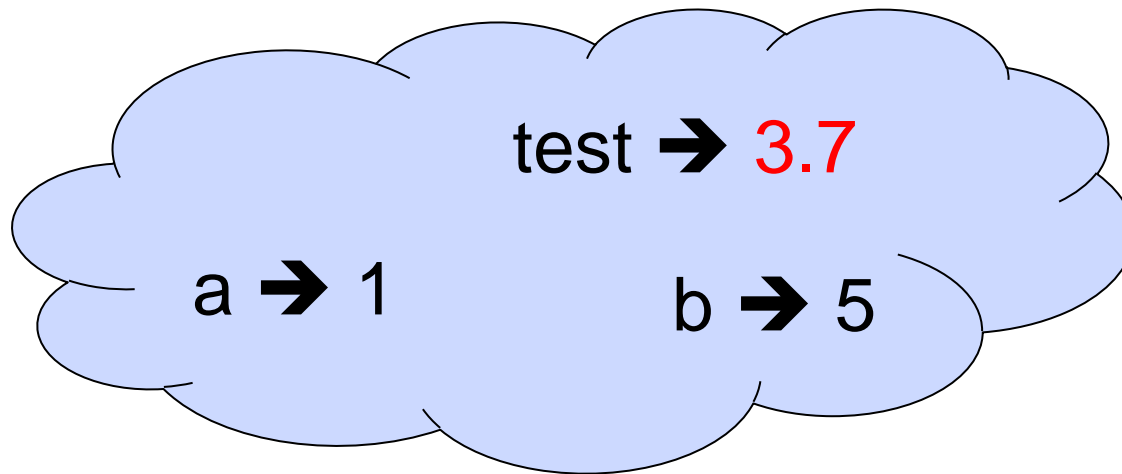
```
//  $\rho_2 = \{b \rightarrow 5, a \rightarrow 1, test \rightarrow false\}$ 
```

```
let test = 3.7;;
```

- What is the environment after this declaration?

```
//  $\rho_3 = \{test \rightarrow 3.7, a \rightarrow 1, b \rightarrow 5\}$ 
```

# Environments



# Local Variable Creation

```
//  $\rho_3 = \{\text{test} \rightarrow 3.7, a \rightarrow 1, b \rightarrow 5\}$ 
```

```
# let b = 5 * 4
```

```
//  $\rho_4 = \{b \rightarrow 20, \text{test} \rightarrow 3.7, a \rightarrow 1\}$ 
```

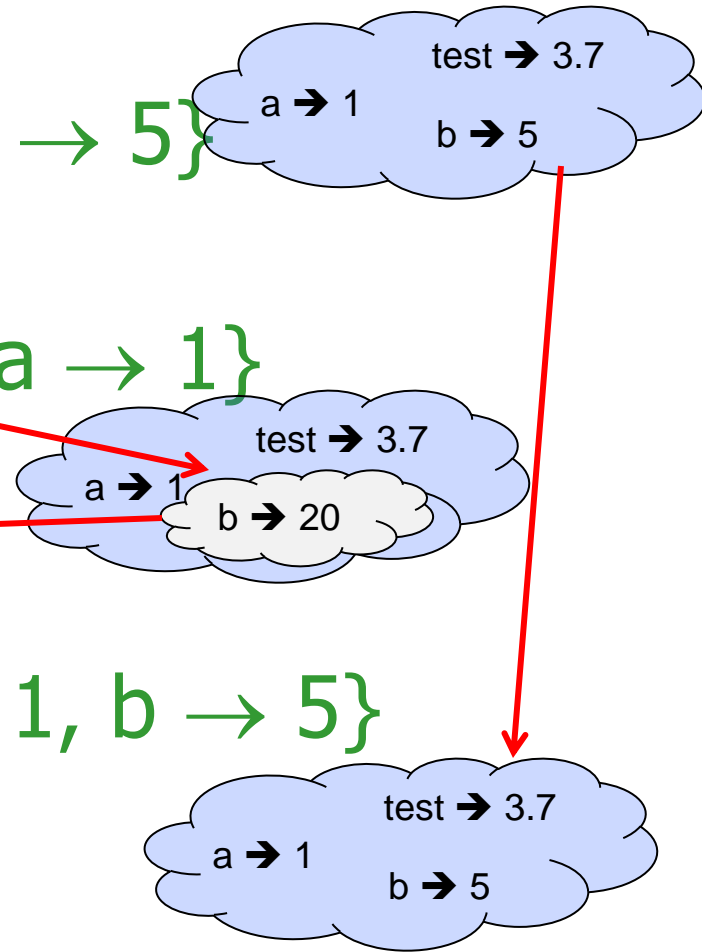
```
in 2 * b;;
```

```
- : int = 40
```

```
//  $\rho_5 = \rho_3 = \{\text{test} \rightarrow 3.7, a \rightarrow 1, b \rightarrow 5\}$ 
```

```
# b;;
```

```
- : int = 5
```



# Local let binding

```
//  $\rho_5 = \{\text{test} \rightarrow 3.7, a \rightarrow 1, b \rightarrow 5\}$ 
```

```
# let c =
```

```
  let b = a + a
```

```
  in b * b;;
```

```
# b;;
```

# Local let binding

```
//  $\rho_5 = \{\text{test} \rightarrow 3.7, a \rightarrow 1, b \rightarrow 5\}$ 
```

```
# let c =
```

```
  let b = a + a
```

```
//  $\rho_6 = \{b \rightarrow 2\} + \rho_5$ 
```

```
//   =  $\{b \rightarrow 2, \text{test} \rightarrow 3.7, a \rightarrow 1\}$ 
```

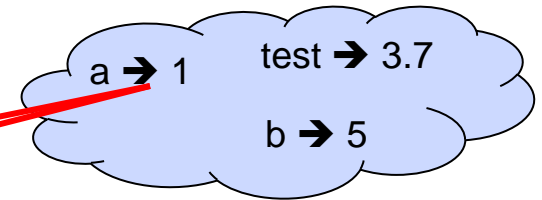
```
  in b * b;;
```

```
val c : int = 4
```

```
//  $\rho_7 = \{c \rightarrow 4, \text{test} \rightarrow 3.7, a \rightarrow 1, b \rightarrow 5\}$ 
```

```
# b;;
```

```
- : int = 5
```





# Local let binding

```
//  $\rho_5 = \{\text{test} \rightarrow 3.7, a \rightarrow 1, b \rightarrow 5\}$ 
```

```
# let c =
```

```
  let b = a + a
```

```
//  $\rho_6 = \{b \rightarrow 2\} + \rho_5$ 
```

```
// =  $\{b \rightarrow 2, \text{test} \rightarrow 3.7, a \rightarrow 1\}$ 
```

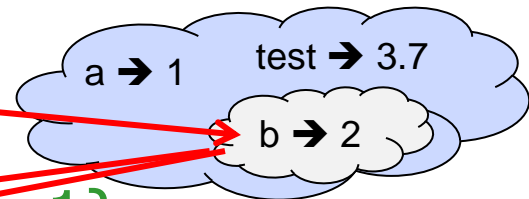
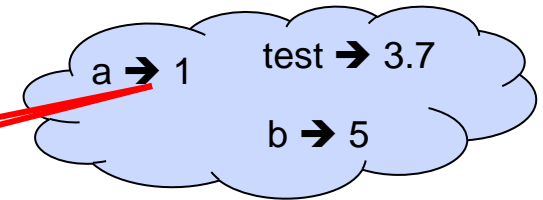
```
  in b * b;;
```

```
val c : int = 4
```

```
//  $\rho_7 = \{c \rightarrow 4, \text{test} \rightarrow 3.7, a \rightarrow 1, b \rightarrow 5\}$ 
```

```
# b;;
```

```
- : int = 5
```



# Local let binding

```
//  $\rho_5 = \{\text{test} \rightarrow 3.7, a \rightarrow 1, b \rightarrow 5\}$ 
```

```
# let c =
```

```
  let b = a + a
```

```
//  $\rho_6 = \{b \rightarrow 2\} + \rho_5$ 
```

```
//  $= \{b \rightarrow 2, \text{test} \rightarrow 3.7, a \rightarrow 1\}$ 
```

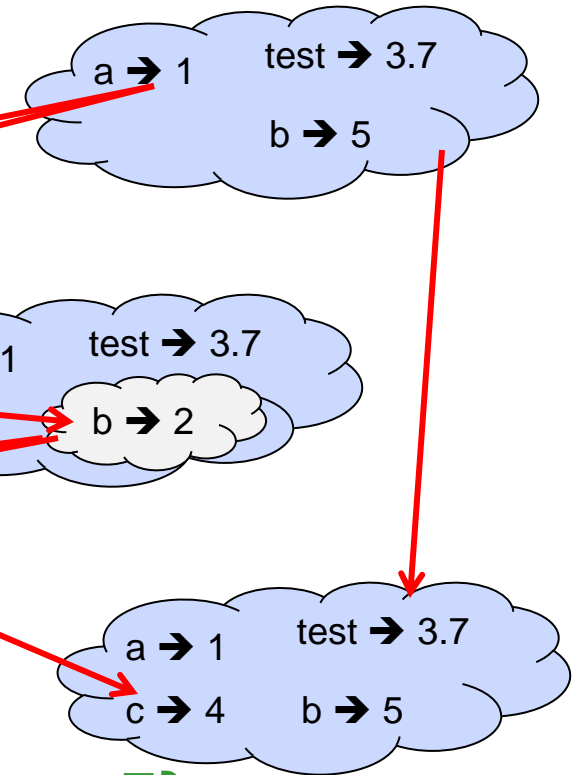
```
  in b * b;;
```

```
val c : int = 4
```

```
//  $\rho_7 = \{c \rightarrow 4, \text{test} \rightarrow 3.7, a \rightarrow 1, b \rightarrow 5\}$ 
```

```
# b;;
```

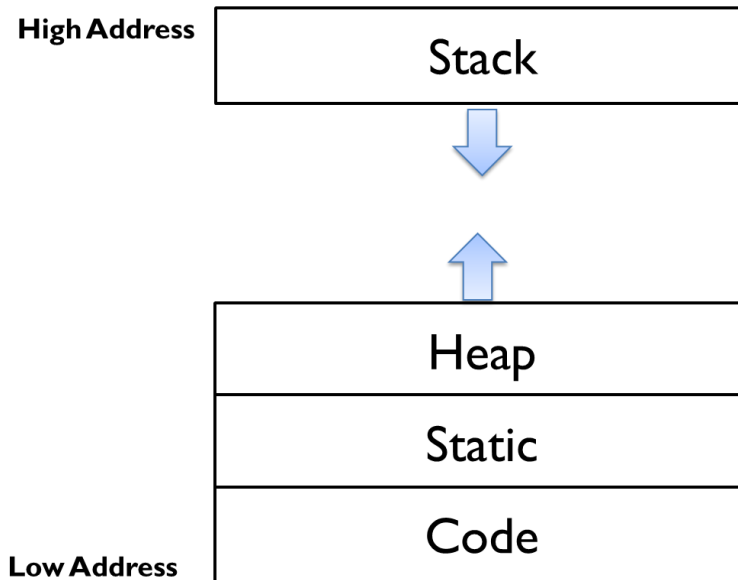
```
- : int = 5
```



# Concrete Environments: System Memory



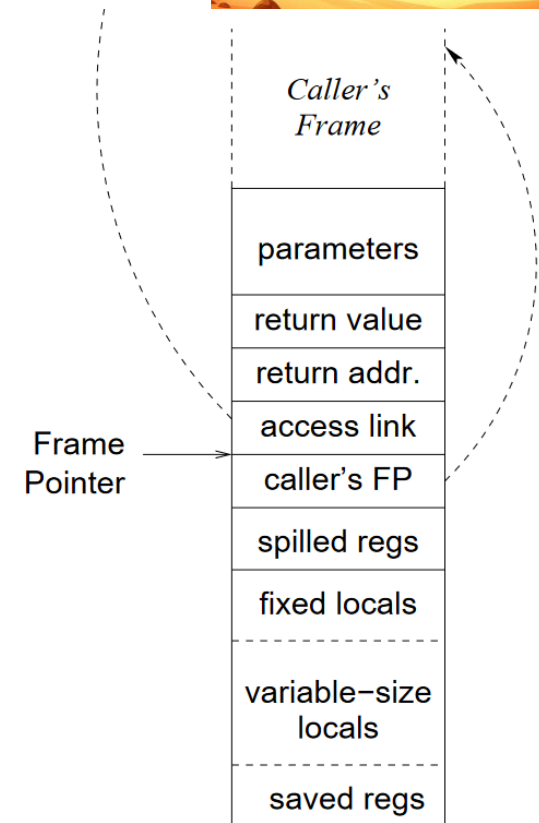
- In systems programming, memory is often divided in different parts:



# Stack Frame (reminder)



- In x86 stack frame contains:
  - At run-time, each procedure invocation has an associated **local storage**.
  - For many languages, this local storage can live on the stack, and then they are also called **stack frames**.
  - Variable names map to the offsets from the frame pointer (FP)



# Environment vs Stack Frame



- Environment is abstraction (language level)  
Stack frame is implementation (system level)
- Semantics of Environment: copied after the statements; only updated variables changed
  - Becomes more interesting when we need to think about functions
- Semantics of Stack frame: updated in-place

Let's think about high-level expressivity, not efficiency at the moment!

# Now it's your turn

You should be able to do WA1-IC  
Problem 1 , parts (\* 1 \*) - (\* 3 \*)

Assessments	
	Available credit
<b>MPs</b>	
<b>MP0</b> <a href="#">OCaml in VSCode</a>	None ?
<b>MP1</b> <a href="#">Basic Ocaml</a>	100% until 23:59, Mon, Sep 2 ?
<b>WAs</b>	
<b>WA1</b> <a href="#">Basic Ocaml Environments</a>	100% until 23:59, Thu, Sep 5 ?

## WA1.1. Basic Ocaml Environments, Problem 2a

Welcome to the Picoml Evaluation Environment Tester!

In the following, you will be given a series of declarations. After each declaration, you are asked to type in the environment in effect after all the declarations up to that point have been executed. You should assume you are starting in the empty environment.

Please enter environments using the following syntax. The environment is a possibly empty sequence of comma separated bindings surrounded by curly braces, { }. A binding is given by an identifier followed by -> followed by its value. The particular value of a closure is written with a starting < followed by the formal parameter of the closure followed by -> followed by the body of the closure, followed by a comma, followed by a closing >. As an example, the environment that maps a to 2 and b to 17 is written { a -> 2, b -> 17} and the closure for fun x -> x + a + b is written < x -> x + a + b, { a -> 2, b -> 17} >.

let x = 4;;

# Functions

```
# let plus_two n = n + 2;;  
val plus_two : int -> int = <fun>
```

```
# plus_two 17;;  
- : int = 19
```

# Functions

```
let plus_two n = n + 2;;
```

The diagram illustrates the execution of a function call. A red arrow points from the argument '17' in the function call below to the parameter 'n' in the function definition above. A second red arrow points from the function call to the result '19' in the prompt below. A red bracket above the function definition highlights the expression 'n + 2'.

```
plus_two 17;;
```

```
- : int = 19
```



# Nameless Functions (aka Lambda Terms)

```
fun n -> n + 2;;  
  
(fun n -> n + 2) 17;;  
- : int = 19 ✓
```

# Functions

```
# let plus_two n = n + 2;;  
val plus_two : int -> int = <fun>  
# plus_two 17;;  
- : int = 19
```

```
# let plus_two = fun n -> n + 2;;  
val plus_two : int -> int = <fun>  
# plus_two 14;;  
- : int = 16
```

First definition syntactic sugar for second

# Using a nameless function

```
# (fun x -> x * 3) 5;;    (* An application *)  
- : int = 15
```

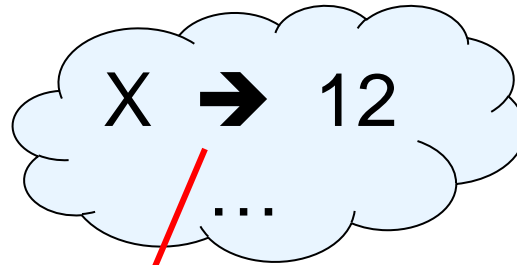
```
# ((fun y -> y +. 2.0), (fun z -> z * 3));;  
(* As data *)  
- : (float -> float) * (int -> int) = (<fun>, <fun>)
```

Note: in `fun v -> expression(v)`, the scope of variable is only the body `expression(v)`

# Values fixed at declaration time

```
# let x = 12;;
```

```
val x : int = 12
```



```
# let plus_x y = y + x;;
```

```
val plus_x : int -> int = <fun>
```

```
# plus_x 3;;
```

What is the result?

# Values fixed at declaration time

```
# let x = 12;;
```

```
val x : int = 12
```

```
# let plus_x y = y + x;;
```

```
val plus_x : int -> int = <fun>
```

```
# plus_x 3;;
```

```
- : int = 15
```

# Values fixed at declaration time

...

```
# let x = 7;;  
    (* New declaration, not an update *)  
val x : int = 7
```

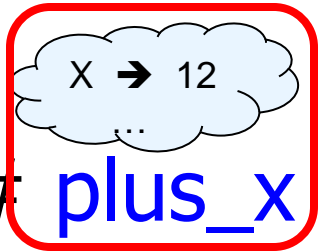
```
# plus_x 3;;
```

What is the result this time?

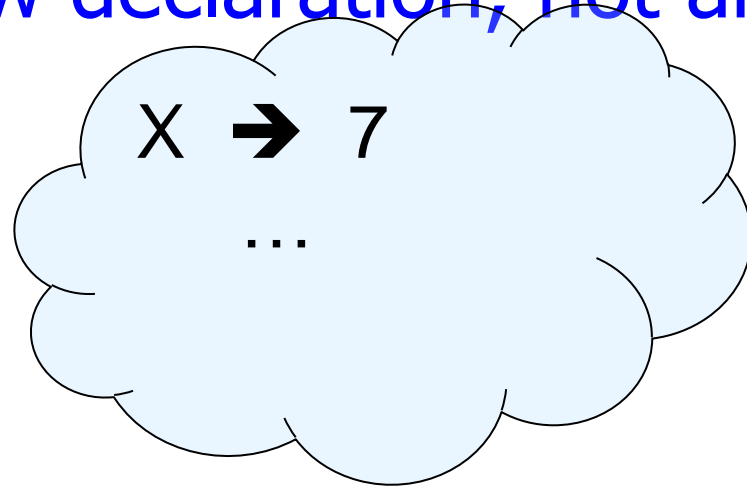
# Values fixed at declaration time

# let x = 7;; (\* New declaration, not an update \*)

val x : int = 7



# plus\_x 3;;



What is the result this time?

# Values fixed at declaration time

```
# let x = 7;; (* New declaration, not an  
update *)
```

```
val x : int = 7
```

```
# plus_x 3;;
```

```
- : int = 15
```



# Question

- Observation: Functions are first-class values in this language
- Question: What value does the environment record for a function variable?
- **Answer: a closure (let's see!)**

# Save the Environment!

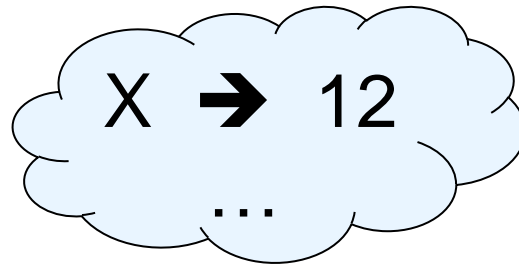
- A *closure* is a pair of an environment and an association of a formal parameter (the input variables)\* with an expression (the function body), written:

$$f \rightarrow \langle (v_1, \dots, v_n) \rightarrow \text{exp}, \rho_f \rangle$$

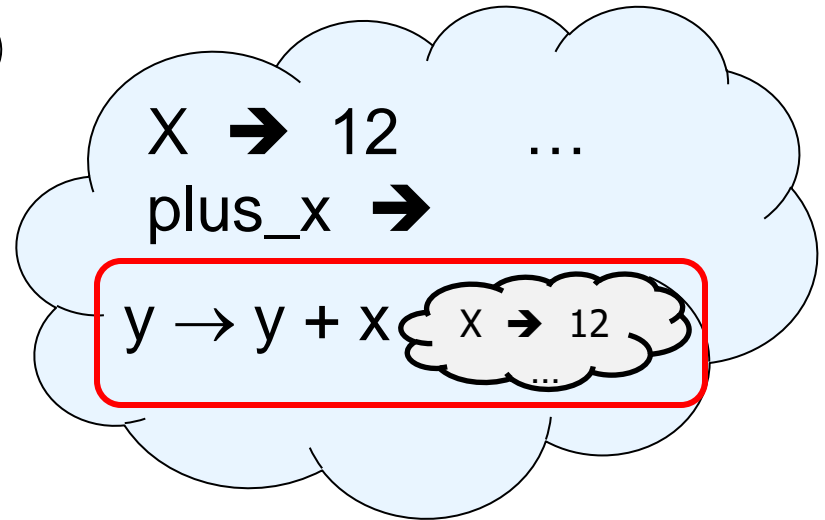
- Where  $\rho_f$  is the environment in effect when  $f$  is defined (if  $f$  is a simple function)
- \* Will come back to the “formal parameter”

# Recall: let plus\_x = fun x => y + x

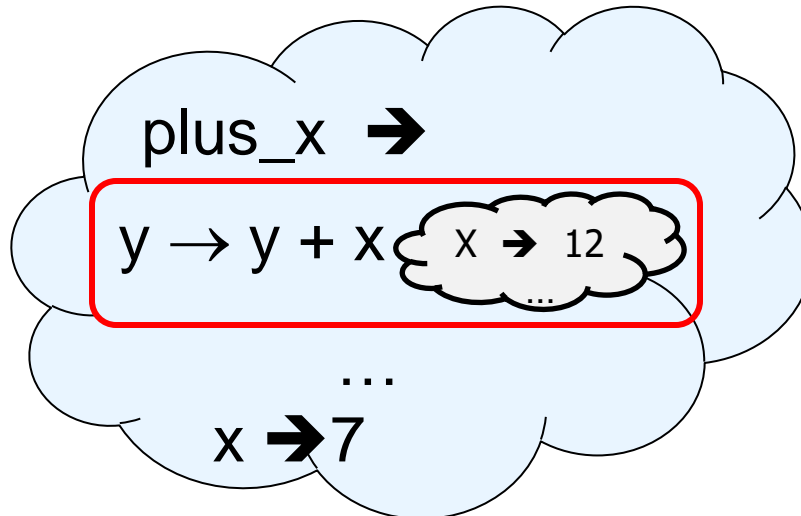
let x = 12



let plus\_x = fun y -> y + x



let x = 7



# Closure for plus\_x

- When plus\_x was defined, had environment:

$$\rho_{\text{plus\_x}} = \{\dots, x \rightarrow 12, \dots\}$$

- Recall: `let plus_x y = y + x`

is really `let plus_x = fun y -> y + x`

- Closure for `fun y -> y + x`:

$$\langle y \rightarrow y + x, \rho_{\text{plus\_x}} \rangle$$

- Environment just after plus\_x defined:

$$\{\text{plus\_x} \rightarrow \langle y \rightarrow y + x, \rho_{\text{plus\_x}} \rangle\} + \rho_{\text{plus\_x}}$$

Similar to set union!  
(but subtle differences;  
new decl. replaces old)

Now it's your turn

You should be able complete ACT1

# Functions with more than one argument

```
# let add_three x y z = x + y + z;;  
val add_three : int -> int -> int -> int = <fun>  
  
# let t = add_three 6 3 2;;  
val t : int = 11  
  
# let add_three =  
    fun x -> (fun y -> (fun z -> x + y + z));;  
val add_three : int -> int -> int -> int = <fun>
```

Again, first syntactic sugar for second

# Functions with more than one argument

```
# let add_three x y z = x + y + z;;
```

```
val add_three : int -> int -> int -> int = <fun>
```

- What is the value of `add_three`?
- Let  $\rho_{\text{add\_three}}$  be the environment before the declaration
- Remember:

```
let add_three =
```

```
  fun x -> (fun y -> (fun z -> x + y + z));;
```

Value:

```
<x ->fun y -> (fun z -> x + y + z),  $\rho_{\text{add\_three}}$  >
```

# Partial application of functions

```
let add_three x y z = x + y + z;;
```

```
# let h = add_three 5 4;;  
val h : int -> int = <fun>
```

```
# h 3;;  
- : int = 12
```

```
# h 7;;  
- : int = 16
```

Partial application also called ***sectioning***



# Functions as arguments

```
# let thrice f x = f (f (f x));;
val thrice : ('a -> 'a) -> 'a -> 'a = <fun>

# let g = thrice plus_two;;
val g : int -> int = <fun>

# g 4;;
- : int = 10

# thrice (fun s -> "Hi! " ^ s) "Good-bye!";;
- : string = "Hi! Hi! Hi! Good-bye!"
```



# Tuples as Values

```
//  $\rho_\theta = \{c \rightarrow 4, a \rightarrow 1, b \rightarrow 5\}$ 
```

```
# let s = (5, "hi", 3.2);;
```

```
val s : int * string * float = (5, "hi", 3.2)
```

```
//  $\rho = \{s \rightarrow (5, "hi", 3.2), c \rightarrow 4, a \rightarrow 1, b \rightarrow 5\}$ 
```

# Pattern Matching with Tuples

```
// ρ = {s → (5, "hi", 3.2), a → 1, b → 5, c → 4}
```

```
# let (a,b,c) = s;;          (* (a,b,c) is a pattern *)
```

```
val a : int = 5
```

```
val b : string = "hi"
```

```
val c : float = 3.2
```

```
# let (a, _, _) = s;;
```

```
val a : int = 5
```

```
# let x = 2, 9.3;;          (* tuples don't require parens in Ocaml *)
```

```
val x : int * float = (2, 9.3)
```

# Nested Tuples

```
# (*Tuples can be nested *)  
# let d = ((1,4,62),("bye",15),73.95);;  
val d : (int * int * int) * (string * int) * float =  
  ((1, 4, 62), ("bye", 15), 73.95)
```

```
# (*Patterns can be nested *)  
# let (p, (st,_), _) = d;  
      (* _ matches all, binds nothing *)  
val p : int * int * int = (1, 4, 62)  
val st : string = "bye"
```

150 minutes

# Match Expressions

```
# let triple_to_pair triple =
```

```
  match triple
```

```
  with (0, x, y) -> (x, y)
```

```
  | (x, 0, y) -> (x, y)
```

```
  | (x, y, _) -> (x, y);;
```

```
val triple_to_pair :
```

```
    int * int * int -> int * int = <fun>
```

- Each clause: pattern on left, expression on right
- Each x, y has scope of only its clause
- Use first matching clause

# Functions on tuples

```
# let plus_pair (n,m) = n + m;;  
val plus_pair : int * int -> int = <fun>
```

```
# plus_pair (3,4);;  
- : int = 7
```

```
# let twice x = (x,x);;  
val twice : 'a -> 'a * 'a = <fun>
```

```
# twice 3;;  
- : int * int = (3, 3)
```

```
# twice "hi";;  
- : string * string = ("hi", "hi")
```



# Curried vs Uncurried

- Recall

```
# let add_three u v w = u + v + w;;
```

```
val add_three : int -> int -> int -> int = <fun>
```

- How does it differ from

```
# let add_triple (u,v,w) = u + v + w;;
```

```
val add_triple : int * int * int -> int = <fun>
```

- add\_three is **curried**;
- add\_triple is **uncurried**

# Curried vs Uncurried

```
# add_three 6 3 2;;
```

```
- : int = 11
```

```
# add_triple (6,3,2);;
```

```
- : int = 11
```

```
# add_triple 5 4;;
```

```
Characters 0-10: add_triple 5 4;;
```

```
^^^^^^^^^^
```

This function is applied to too many arguments,  
maybe you forgot a `;`

```
# fun x -> add_triple (5,4,x);;
```

```
: int -> int = <fun>
```

# Extras

# Evaluating declarations

- Evaluation uses an environment  $\rho$
- To evaluate a (simple) declaration  $\text{let } x = e$ 
  - Evaluate expression  $e$  in  $\rho$  to value  $v$
  - Update  $\rho$  with  $x \ v$ :  $\{x \rightarrow v\} + \rho$
- Update:  $\rho_1 + \rho_2$  has all the bindings in  $\rho_1$  and all those in  $\rho_2$  that are not rebound in  $\rho_1$   
 $\{x \rightarrow 2, y \rightarrow 3, a \rightarrow \text{"hi"}\} + \{y \rightarrow 100, b \rightarrow 6\}$   
 $= \{x \rightarrow 2, y \rightarrow 3, a \rightarrow \text{"hi"}, b \rightarrow 6\}$

# Evaluating expressions in OCaml

- Evaluation uses an environment  $\rho$
- A constant evaluates to itself, including primitive operators like  $+$  and  $=$
- To evaluate a variable, look it up in  $\rho$ :  $\rho(v)$
- To evaluate a tuple  $(e_1, \dots, e_n)$ ,
  - Evaluate each  $e_i$  to  $v_i$ , right to left for OCaml
  - Then make value  $(v_1, \dots, v_n)$

# Evaluating expressions in OCaml

- To evaluate uses of  $+$ ,  $-$ , etc, eval args, then do operation
- Function expression evaluates to its closure
- To evaluate a local dec: `let x = e1 in e2`
  - Eval `e1` to `v`, then eval `e2` using  $\{x \rightarrow v\} + \rho$
- To evaluate a conditional expression:  
`if b then e1 else e2`
  - Evaluate `b` to a value `v`
  - If `v` is `True`, evaluate `e1`
  - If `v` is `False`, evaluate `e2`

# Evaluation of Application with Closures

- Given application expression  $f e$
- In Ocaml, evaluate  $e$  to value  $v$
- In environment  $\rho$ , evaluate left term to closure,  
 $c = \langle (x_1, \dots, x_n) \rightarrow b, \rho' \rangle$ 
  - $(x_1, \dots, x_n)$  variables in (first) argument
  - $v$  must have form  $(v_1, \dots, v_n)$
- Update the environment  $\rho'$  to  
 $\rho'' = \{x_1 \rightarrow v_1, \dots, x_n \rightarrow v_n\} + \rho'$
- Evaluate body  $b$  in environment  $\rho''$