Programming Languages and Compilers (CS 421)

Talia Ringer (they/them) 4218 SC, UIUC



https://courses.grainger.illinois.edu/cs421/fa2023/

Based heavily on slides by Elsa Gunter, which were based in part on slides by Mattox Beckman, as updated by Vikram Adve and Gul Agha

Objectives for Today

- **Reminder:** We want to turn strings (code) into computer instructions
- Done in **phases**
 - Turn strings into abstract syntax trees (parse)
 - Translate abstract syntax trees into executable instructions (interpret or compile)
- Tuesday we finished lexing those strings into tokens, and started the rest of parsing
- Today we will continue parsing

Objectives for Today

- **Reminder:** We want to turn strings (code) into computer instructions
- Done in phases
 - Turn strings into abstract syntax trees (parse)
 - Translate abstract syntax trees into executable instructions (interpret or compile)
- Tuesday we finished lexing those strings into tokens, and started the rest of parsing
- Today we will continue parsing

Questions from Tuesday?

Parsing, Continued

Lexing and Parsing



To **parse** our source program and get **abstract syntax**, we need a **grammar** defined in terms of the kinds of **tokens** we get out of our lexer.



Lexing and Parsing



To **parse** our source program and get **abstract syntax**, we need a **grammar** defined in terms of the kinds of **tokens** we get out of our lexer.

The output, an **abstract syntax tree**, will track not just categories, but also **structure**.

Parsing

Parse Trees

- Abstract syntax tree with more detail
- Graphical representation of derivation
- Each node labeled with either nonterminal or terminal
 - If node is labeled with a terminal, then it is a leaf (no sub-trees)
 - If node is labeled with a nonterminal, then it has one branch for each element in the right-hand side of rule used to substitute for it

Parse Trees

- Abstract syntax tree with more detail
- Graphical representation of derivation
- Each node labeled with either nonterminal or terminal
 - If node is labeled with a terminal, then it is a leaf (no sub-trees)
 - If node is labeled with a nonterminal, then it has one branch for each element in the right-hand side of rule used to substitute for it

Consider grammar: <exp> ::= <factor> | <factor> + <factor> <factor> ::= <bin> | <bin> * <exp> <bin> ::= 0 | 1

Example

Problem: Build parse tree for 1 * 1 + 0 as an <exp>



Consider grammar:

<exp> ::= <factor> | <factor> + <factor>
<factor> ::= <bin> | <bin> * <exp>
<bin> ::= 0 | 1

Problem: Build parse tree for 1 * 1 + 0 as an <exp>

We could derive this **more than one way**, but for now we fix one



Consider grammar:

<exp> ::= <factor> | <factor> + <factor> <factor> ::= <bin> | <bin> * <exp> <bin> ::= 0 | 1

1 * 1 + 0 : <exp>

Consider grammar: <exp> ::= <factor>| <factor> + <factor> <factor> ::= <bin> | <bin> * <exp> <bin> ::= 0 | 1 1 * 1 + 0 :<exp> <factor> now derive <factor>



Consider grammar: <exp> ::= <factor> | <factor> + <factor> <factor> ::= <bin> | <bin> * <exp> <bin> ::= 0 | 1 1 * 1 + 0 : <exp> <factor> <factor> ::= <bin> * <exp> <bin> * <exp>







Consider grammar: <exp> ::= <factor> | <factor> + <factor> <factor> ::= <bin> | <bin> * <exp> <bin> ::= 0 | 1 1 * 1 + 0 : <exp> use <bin> ::= 1 and <exp> ::= <factor> + <factor> <<u>factor></u> derive each <factor> <bin> * _<exp> <factor> <factor> ╋



21





Consider grammar: <exp> ::= <factor> | <factor> + <factor> <factor> ::= <bin> | <bin> * <exp> <bin> ::= 0 | 1 1 * 1 + 0 : <exp>1 | 0 terminal <factor> <bin> * ,<exp> <factor> <factor> +<bin> <bin> Parsing * 74



Consider grammar: <exp> ::= <factor> | <factor> + <factor> <factor> ::= <bin> | <bin> * <exp> <bin> ::= 0 | 1 1 * 1 + 0 : <exp>Fringe of tree is string generated by grammar <factor> <bin> <exp> <factor> <factor> <bin> <bin> Parsing *

26

Consider grammar: <exp> ::= <factor> | <factor> + <factor> <factor> ::= <bin> | <bin> * <exp> <bin> ::= 0 | 1 Note that we could derive this **more than** 1 * 1 + 0 : <exp>one way ... we'll get <factor> there soon. <bin> <exp> <factor> <factor> <bin> <bin> Parsing *





Parse Tree Data Structures

Parse trees may be represented by OCaml datatypes

- One datatype for each nonterminal
- One constructor for each rule
- Defined as mutually recursive collection of datatype declarations



Parse trees may be represented by OCaml datatypes

- One datatype for each nonterminal
- One constructor for each rule
- Defined as **mutually recursive** collection of datatype declarations

Recall grammar:

<exp> ::= <factor> | <factor> + <factor>
<factor> ::= <bin> | <bin> * <exp>
<bin> ::= 0 | 1

type exp = Factor of factor | Plus of factor * factor and factor = Bin of bin | Mult of bin * exp and bin = Zero | One

Recall grammar:

<exp> ::= <factor> | <factor> + <factor>
<factor> ::= <bin> | <bin> * <exp>
<bin> ::= 0 | 1



type exp = Factor of factor | Plus of factor * factor and factor = Bin of bin | Mult of bin * exp and bin = Zero | One



type exp = Factor of factor | Plus of factor * factor and factor = Bin of bin | Mult of bin * exp and bin = Zero | One







Consider grammar: <exp> ::= <factor> | <factor> + <factor> <factor> ::= <bin> | <bin> * <exp> <bin> ::= 0 | 1 Note that we could derive this more than 1 * 1 + 0 : <exp>one way ... we'll get <factor> there soon. <bin> <exp> <factor> <factor> <bin> <bin> Ambiguity *
Ambiguous Grammars and Languages

A BNF grammar is **ambiguous** if its language contains strings for which there is more than one parse tree

- Common sources of ambiguity:
 - Lack of determination of operator precedence
 - Lack of determination of operator associativity
- Not the only sources of ambiguity
- If *all* BNFs for a language are ambiguous, then the language is inherently ambiguous
 - Otherwise, we will try to disambiguate



Ambiguous Grammars and Languages

A BNF grammar is **ambiguous** if its language contains strings for which there is more than one parse tree

Common sources of ambiguity:

- Lack of determination of operator **precedence**
- Lack of determination of operator associativity
- Not the only sources of ambiguity
- If *all* BNFs for a language are ambiguous, then the language is inherently ambiguous
 - Otherwise, we will try to **disambiguate**

Ambiguous Grammars and Languages

A BNF grammar is **ambiguous** if its language contains strings for which there is more than one parse tree

Common sources of ambiguity:

- Lack of determination of operator **precedence**
- Lack of determination of operator associativity
- Not the only sources of ambiguity
- If *all* BNFs for a language are ambiguous, then the language is inherently ambiguous
 - Otherwise, we will try to **disambiguate**

<Sum> ::= 0 | 1 | <Sum> + <Sum> | (<Sum>)

Problem: How can we derive 0 + 1 + 0: <Sum>?



<Sum> ::= 0 | 1 | <Sum> + <Sum> | (<Sum>)

Problem: How can we derive 0 + 1 + 0: <Sum>?



<Sum> ::= 0 | 1 | <Sum> + <Sum> | (<Sum>)

Problem: How can we derive 0 + 1 + 0: <Sum>?







<Sum> ::= 0 | 1 | <Sum> + <Sum> | (<Sum>)

Problem: How can we derive 0 + 1 + 0: <Sum>?



- Given an ambiguous grammar G with start symbol
 S, find a grammar G' with the same start symbol S, such that language of G = language of G'
- Not always possible
- No algorithm in general
- But often in programming languages, when faced with an undecidable problem, we can either
 - solve some useful decidable subproblems, or
 - approximately solve the whole problem.

How do we disambiguate?

- Given an ambiguous grammar G with start symbol
 S, find a grammar G' with the same start symbol S, such that language of G = language of G'
- Not always possible
- No algorithm in general
- But often in programming languages, when faced with an undecidable problem, we can either
 - solve some useful **decidable subproblems**, or
 - approximately solve the whole problem.

How do we disambiguate?

- Idea: Each nonterminal represents all strings having some property
- Identify these properties (often in terms of things that cannot happen)
- Use these properties to inductively guarantee every string in language has a unique parse
- We'll handle this in more detail later, but let's start with some examples

- Idea: Each nonterminal represents all strings having some property
- Identify these properties (often in terms of things that cannot happen)
- Use these properties to inductively guarantee every string in language has a unique parse
- We'll handle this in more detail later, but let's start with some examples

<Sum> ::= 0 | 1 | <Sum> + <Sum> | (<Sum>)

Problem: How can we derive 0 + 1 + 0: <Sum>?



How to Enforce Associativity

- Have at most one recursive call per production
- When two or more recursive calls would be natural, to refactor:
 - Leave rightmost call for right associativity
 - Leave leftmost call for left associativity



<Sum> ::= 0 | 1 | <Sum> + <Sum> | (<Sum>)

Problem: How can we derive 0 + 1 + 0: <Sum>?



<Sum> ::= 0 | 1 | **<Sum> + <Sum>** | (<Sum>)

Problem: How can we derive 0 + 1 + 0: <Sum>?



<Sum> ::= 0 | 1 | **<Sum> + <Sum>** | (<Sum>)



<Sum> ::= 0 | 1 | <Sum> + <Sum> | (<Sum>)



<Sum> ::= 0 | 1 | <Sum> + <Sum> | (<Sum>)



<Sum> ::= 0 | 1 | <Sum> + <Sum> | (<Sum>)



<Sum> ::= 0 | 1 | <Sum> + <Sum> | (<Sum>)



<Sum> ::= 0 | 1 | **<Sum> + <Sum>** | (<Sum>)



<Sum> ::= 0 | 1 | **<Sum> + <Sum>** | (<Sum>)



<Sum> ::= 0 | 1 | **<Sum> + <Sum>** | (<Sum>)



<Sum> ::= 0 | 1 | **<Sum> + <Sum>** | (<Sum>)



<Sum> ::= 0 | 1 | **<Sum> + <Sum>** | (<Sum>)

<Sum> ::= <Num> | <Num> + <Sum> <Num> ::= 0 | 1 | (<Sum>)



<Sum> ::= 0 | 1 | **<Sum> + <Sum>** | (<Sum>)

<Sum> ::= <Num> | <Num> + <Sum> <Num> ::= 0 | 1 | (<Sum>)



Ambiguity ₆₃

<Sum> ::= 0 | 1 | **<Sum> + <Sum>** | (<Sum>)



Ambiguous grammar: <exp> ::= 0 | 1 | <exp> + <exp> | <exp> * <exp>

Strings with more then one parse:

0 + 1 + 01 * 1 + 1

Sources of ambiguity:

associativity and precedence



Ambiguous grammar: <exp> ::= 0 | 1 | <exp> + <exp> | <exp> * <exp>

Strings with more then one parse:



Sources of ambiguity: associativity and precedence



Ambiguous grammar: <exp> ::= 0 | 1 | <exp> + <exp> | <exp> * <exp>

Strings with more then one parse:



Sources of ambiguity: associativity and precedence



Operator Precedence

- Operators of highest precedence evaluated first (that is, they bind more tightly)
- Precedence for infix binary operators given in following table
- Needs to be reflected in grammar



Precedence Table - Dated Sample

	Fortran	Pascal	C/C++	Ada	SML
highest	**	*, /, div, mod	++,	**	div, mod, /, *
	*,/	+, -	*,/,%	*, /, mod	+, -, ^
lowest	+, -		+, -	+, -	••

Precedence in Grammar

Higher precedence translates to longer derivation chain

Example:

<exp> ::= 0 | 1 | <exp> + <exp> | <exp> * <exp>

Becomes

<exp> ::= <mult_exp> | <exp> + <mult_exp> <mult_exp> ::= <id> | <mult_exp> * <id> <id> ::= 0 | 1 Ambiguity

Precedence in Grammar

- Higher precedence translates to longer derivation chain
- Example:
 - <exp> ::= 0 | 1 | <exp> + <exp> | <exp> * <exp>
- Becomes
 <exp> ::= <mult_exp>
 | <exp> + <mult_exp>
 <mult_exp> ::= <id> | <mult_exp> * <id><id> ::= 0 | 1

Precedence in Grammar

- Higher precedence translates to longer derivation chain
- Example:


Parser Code

- *<grammar>*.mly defines one parsing function per entry point
- Parsing function takes a lexing function (lexer buffer to token) and a lexer buffer as arguments
- Returns semantic attribute of corresponding entry point

Ocamlyacc Input

File format: %{ <header> %} <declarations> %% <rules> %% <trailer>

Implementing Parsers

Ocamlyacc < header>

- Contains arbitrary Ocaml code
- Typically used to give types and functions needed for the semantic actions of rules and to give specialized error recovery
- May be omitted
- *<footer>* similar. Possibly used to call parser

Ocamlyacc <declarations>

- %token symbol ... symbol Declare given symbols as tokens
- %token <type> symbol ... symbol Declare given symbols as token constructors, taking an argument of type <type>
- Start symbol ... symbol Declare given symbols as entry points; functions of same names in <grammar>.ml

Ocamlyacc < declarations >

%type <type> symbol ... symbol

- Specify type of attributes for given symbols. Mandatory for start symbols
- %left symbol ... symbol
- %right symbol ... symbol
- %nonassoc symbol ... symbol

Associate precedence and associativity to given symbols. Same line, same precedence; earlier line, lower precedence (broadest scope)

Ocamlyacc <*rules*>

```
nonterminal :
    symbol ... symbol { semantic_action }
    ...
    symbol ... symbol { semantic_action }
```

- Semantic actions are arbitrary Ocaml expressions
- Must be of same type as declared (or inferred) for *nonterminal*
- Access semantic attributes (values) of symbols by position: \$1 for first symbol, \$2 to second ...

Example - Base types

```
(* File: expr.ml *)
type expr =
  Term_as_Expr of term
  Plus_Expr of (term * expr)
  Minus Expr of (term * expr)
and term =
  Factor as Term of factor
  Mult _Term of (factor * term)
  Div Term of (factor * term)
and factor =
  Id_as_Factor of string
  Parenthesized Expr as Factor of expr
```

Example - Lexer (exprlex.mll)

```
{ (*open Exprparse*) }
let numeric = ['0' - '9']
let letter = ['a' - 'z' 'A' - 'Z']
rule token = parse
   "+" {Plus_token}
  "-" {Minus_token}
   "*" {Times_token}
  "/" {Divide token}
  | "(" {Left_parenthesis}
  ")" {Right_parenthesis}
  letter (letter numeric "_")* as id {Id_token id}
  [' ' ' t' ' n'] \{token lexbuf\}
  eof {EOL}
```

Implementing Parsers

Example - Parser (exprparse.mly)

%{ open Expr %} %token <string> Id token %token Left_parenthesis Right_parenthesis %token Times token Divide token %token Plus token Minus token %token EOL %start main %type <expr> main %%

Example - Parser (exprparse.mly)

expr:

- term { Term_as_Expr \$1 }
- | term Plus_token expr { Plus_Expr (\$1, \$3) }
- | term Minus_token expr { Minus_Expr (\$1, \$3) }

term:

- | factor { Factor_as_Term \$1 }
- | factor Times_token term { Mult_Term (\$1, \$3) }
- | factor Divide_token term { Div_Term (\$1, \$3) }

Example - Parser (exprparse.mly)

factor:

- Id_token { Id_as_Factor \$1 }
- | Left_parenthesis expr Right_parenthesis
 {Parenthesized_Expr_as_Factor \$2 }

main:

 $| expr EOL \{ \$1 \}$

Implementing Parsers

Example - Using Parser

```
# #use "expr.ml";;
# #use "exprparse.ml";;
# #use "exprlex.ml";;
. . .
# let test s =
 let lexbuf = Lexing.from string (s^{n}) in
     main token lexbuf;;
```

Example - Using Parser

- # test "a + b";;
- -: expr =

Plus_Expr

(Factor_as_Term

(Id_as_Factor "a"),

Term_as_Expr

(Factor_as_Term (Id_as_Factor "b")))

Implementing Parsers



Next Class: Underlying Algorithm

Next Class

- MP8 due next Tuesday
- WA8 due next Thursday
- All deadlines can be found on **course website**
- Use office hours and class forums for help