

Programming Languages and Compilers (CS 421)

Elsa L Gunter
2112 SC, UIUC

<http://courses.engr.illinois.edu/cs421>

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Types of Formal Language Descriptions

- Regular expressions, regular grammars
- Context-free grammars, BNF grammars, syntax diagrams
- Finite state automata
- Pushdown automata
- Whole family more of grammars and automata – covered in automata theory

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BNF Grammars

- Start with a set of characters, **a,b,c,...**
 - We call these *terminals*
- Add a set of different characters, **X,Y,Z,...**
 - We call these *nonterminals*
- One special nonterminal **S** called *start symbol*

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Sample Grammar

- Language: Parenthesized sums of 0's and 1's
- $\langle \text{Sum} \rangle ::= 0$
- $\langle \text{Sum} \rangle ::= 1$
- $\langle \text{Sum} \rangle ::= \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$
- $\langle \text{Sum} \rangle ::= (\langle \text{Sum} \rangle)$

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BNF Grammars

- BNF rules (aka *productions*) have form $\mathbf{X} ::= \gamma$
where **X** is any nonterminal and γ is a string of terminals and nonterminals
- BNF *grammar* is a set of BNF rules such that every nonterminal appears on the left of some rule

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Sample Grammar

- Terminals: 0 1 + ()
- Nonterminals: $\langle \text{Sum} \rangle$
- Start symbol = $\langle \text{Sum} \rangle$
- $\langle \text{Sum} \rangle ::= 0$
- $\langle \text{Sum} \rangle ::= 1$
- $\langle \text{Sum} \rangle ::= \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$
- $\langle \text{Sum} \rangle ::= (\langle \text{Sum} \rangle)$
- Can be abbreviated as
 $\langle \text{Sum} \rangle ::= 0 \mid 1$
 $\quad \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \mid (\langle \text{Sum} \rangle)$

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BNF Derivations

- Given rules

$$\mathbf{X} ::= y\mathbf{Z}W \text{ and } \mathbf{Z} ::= v$$

we may replace \mathbf{Z} by v to say

$$\mathbf{X} \Rightarrow y\mathbf{Z}W \Rightarrow yvW$$

- Sequence of such replacements called *derivation*
- Derivation called *right-most* if always replace the right-most non-terminal

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BNF Derivations

- Start with the start symbol:

$$\langle \text{Sum} \rangle \Rightarrow$$

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BNF Derivations

- Pick a non-terminal

$$\langle \text{Sum} \rangle \Rightarrow$$

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BNF Derivations

- Pick a rule and substitute:
 - $\langle \text{Sum} \rangle ::= \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$$

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BNF Derivations

- Pick a non-terminal:

$$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$$

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BNF Derivations

- Pick a rule and substitute:

- $\langle \text{Sum} \rangle ::= (\langle \text{Sum} \rangle)$

$$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$$

$$\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$$

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BNF Derivations

- Pick a non-terminal:

$$\begin{aligned}\langle \text{Sum} \rangle &\Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \\ &\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle\end{aligned}$$

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BNF Derivations

- Pick a rule and substitute:

- $\langle \text{Sum} \rangle ::= \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$$\begin{aligned}\langle \text{Sum} \rangle &\Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \\ &\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle \\ &\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + \langle \text{Sum} \rangle\end{aligned}$$

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BNF Derivations

- Pick a non-terminal:

$$\begin{aligned}\langle \text{Sum} \rangle &\Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \\ &\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle \\ &\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + \langle \text{Sum} \rangle\end{aligned}$$

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BNF Derivations

- Pick a rule and substitute:

- $\langle \text{Sum} \rangle ::= 1$

$$\begin{aligned}\langle \text{Sum} \rangle &\Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \\ &\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle \\ &\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + \langle \text{Sum} \rangle \\ &\Rightarrow (\langle \text{Sum} \rangle + 1) + \langle \text{Sum} \rangle\end{aligned}$$

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BNF Derivations

- Pick a non-terminal:

$$\begin{aligned}\langle \text{Sum} \rangle &\Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \\ &\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle \\ &\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + \langle \text{Sum} \rangle \\ &\Rightarrow (\langle \text{Sum} \rangle + 1) + \langle \text{Sum} \rangle\end{aligned}$$

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BNF Derivations

- Pick a rule and substitute:

- $\langle \text{Sum} \rangle ::= 0$

$$\begin{aligned}\langle \text{Sum} \rangle &\Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \\ &\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle \\ &\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + \langle \text{Sum} \rangle \\ &\Rightarrow (\langle \text{Sum} \rangle + 1) + \langle \text{Sum} \rangle \\ &\Rightarrow (\langle \text{Sum} \rangle + 1) + 0\end{aligned}$$

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BNF Derivations

- Pick a non-terminal:

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$
 $\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$
 $\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$
 $\Rightarrow (\langle \text{Sum} \rangle + 1) + \langle \text{Sum} \rangle$
 $\Rightarrow (\langle \text{Sum} \rangle + 1) + 0$

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BNF Derivations

- Pick a rule and substitute

- $\langle \text{Sum} \rangle ::= 0$

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$
 $\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$
 $\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$
 $\Rightarrow (\langle \text{Sum} \rangle + 1) + \langle \text{Sum} \rangle$
 $\Rightarrow (\langle \text{Sum} \rangle + 1) + 0$
 $\Rightarrow (0 + 1) + 0$

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BNF Derivations

- $(0 + 1) + 0$ is generated by grammar

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$
 $\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$
 $\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$
 $\Rightarrow (\langle \text{Sum} \rangle + 1) + \langle \text{Sum} \rangle$
 $\Rightarrow (\langle \text{Sum} \rangle + 1) + 0$
 $\Rightarrow (0 + 1) + 0$

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Extended BNF Grammars

- Alternatives: allow rules of form $X ::= y/z$
 - Abbreviates $X ::= y, X ::= z$
- Options: $X ::= y[v]z$
 - Abbreviates $X ::= yvz, X ::= yz$
- Repetition: $X ::= y\{ v \}^*z$
 - Can be eliminated by adding new nonterminal V and rules $X ::= yz, X ::= yVz, V ::= v, V ::= V$

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Parse Trees

- Graphical representation of derivation
- Each node labeled with either non-terminal or terminal
- If node is labeled with a terminal, then it is a leaf (no sub-trees)
- If node is labeled with a non-terminal, then it has one branch for each character in the right-hand side of rule used to substitute for it

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Example

- Consider grammar:
 $\langle \text{exp} \rangle ::= \langle \text{factor} \rangle$
 $\quad \quad \quad | \langle \text{factor} \rangle + \langle \text{factor} \rangle$
 $\langle \text{factor} \rangle ::= \langle \text{bin} \rangle$
 $\quad \quad \quad | \langle \text{bin} \rangle * \langle \text{exp} \rangle$
 $\langle \text{bin} \rangle ::= 0 \mid 1$
- Problem: Build parse tree for $1 * 1 + 0$ as an $\langle \text{exp} \rangle$

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Example cont.

- 1 * 1 + 0: <exp>

<exp> is the start symbol for this parse tree

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Example cont.

- 1 * 1 + 0: <exp>
|
<factor>

Use rule: <exp> ::= <factor>

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Example cont.

- 1 * 1 + 0: <exp>
|
<factor>
/ | \
<bin> * <exp>

Use rule: <factor> ::= <bin> * <exp>

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Example cont.

- 1 * 1 + 0: <exp>
|
<factor>
/ | \
<bin> * <exp>
| / | \
1 <factor> + <factor>

Use rules: <bin> ::= 1 and
<exp> ::= <factor> +
<factor>

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Example cont.

- 1 * 1 + 0: <exp>
|
<factor>
/ | \
<bin> * <exp>
| / | \
1 <factor> + <factor>
| / | \
<bin> <bin>

Use rule: <factor> ::= <bin>

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Example cont.

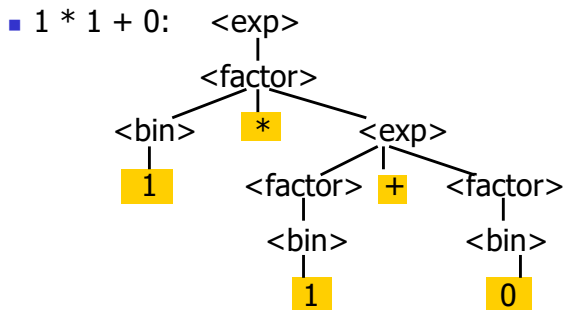
- 1 * 1 + 0: <exp>
|
<factor>
/ | \
<bin> * <exp>
| / | \
1 <factor> + <factor>
| / | \
<bin> <bin>
| 1 0

Use rules: <bin> ::= 1 | 0

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Example cont.

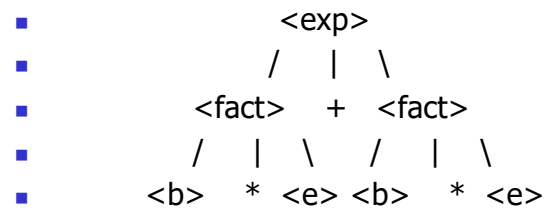


Fringe of tree is string generated by grammar

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Your Turn: 1 * 0 + 0 * 1



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Parse Tree Data Structures

- Parse trees may be represented by OCaml datatypes
- One datatype for each nonterminal
- One constructor for each rule
- Defined as mutually recursive collection of datatype declarations

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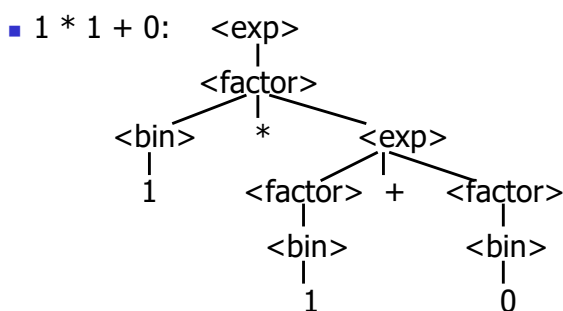
Example

- Recall grammar:
 - $\langle \text{exp} \rangle ::= \langle \text{factor} \rangle \mid \langle \text{factor} \rangle + \langle \text{factor} \rangle$
 - $\langle \text{factor} \rangle ::= \langle \text{bin} \rangle \mid \langle \text{bin} \rangle * \langle \text{exp} \rangle$
 - $\langle \text{bin} \rangle ::= 0 \mid 1$
- type exp = Factor2Exp of factor
 - | Plus of factor * factor
- and factor = Bin2Factor of bin
 - | Mult of bin * exp
- and bin = Zero | One

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Example cont.



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Example cont.

- Can be represented as

```
Factor2Exp
(Mult(One,
      Plus(Bin2Factor One,
            Bin2Factor Zero)))
```

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Ambiguous Grammars and Languages

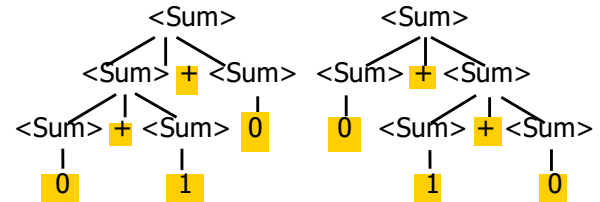
- A BNF grammar is *ambiguous* if its language contains strings for which there is more than one parse tree
- If all BNF's for a language are ambiguous then the language is *inherently ambiguous*

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Example: Ambiguous Grammar

- $0 + 1 + 0$



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Example

- What is the result for:

$$3 + 4 * 5 + 6$$

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Example

- What is the result for:

$$3 + 4 * 5 + 6$$

- Possible answers:

- $41 = ((3 + 4) * 5) + 6$
- $47 = 3 + (4 * (5 + 6))$
- $29 = (3 + (4 * 5)) + 6 = 3 + ((4 * 5) + 6)$
- $77 = (3 + 4) * (5 + 6)$

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Example

- What is the value of:

$$7 - 5 - 2$$

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Example

- What is the value of:

$$7 - 5 - 2$$

- Possible answers:

- In Pascal, C++, SML assoc. left
 $7 - 5 - 2 = (7 - 5) - 2 = 0$
- In APL, associate to right
 $7 - 5 - 2 = 7 - (5 - 2) = 4$

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Two Major Sources of Ambiguity

- Lack of determination of operator precedence
- Lack of determination of operator associativity
- Not the only sources of ambiguity

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Disambiguating a Grammar

- Given ambiguous grammar G , with start symbol S , find a grammar G' with same start symbol, such that
language of $G = \text{language of } G'$
- Not always possible
- No algorithm in general

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Disambiguating a Grammar

- Idea: Each non-terminal represents all strings having some property
- Identify these properties (often in terms of things that can't happen)
- Use these properties to inductively guarantee every string in language has a unique parse

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Steps to Grammar Disambiguation

- Identify the rules and a smallest use that display ambiguity
- Decide which parse to keep; why should others be thrown out?
- What syntactic restrictions on subexpressions are needed to throw out the bad (while keeping the good)?
- Add a new non-terminal and rules to describe this set of restricted subexpressions (called stratifying, or refactoring)
- **Characterize each non-terminal by a language invariant**
- Replace old rules to use new non-terminals
- Rinse and repeat

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Example

- Ambiguous grammar:
 $\langle \text{exp} \rangle ::= 0 \mid 1 \mid \langle \text{exp} \rangle + \langle \text{exp} \rangle$
 $\quad \mid \langle \text{exp} \rangle * \langle \text{exp} \rangle$
- String with more than one parse:
 $0 + 1 + 0$
 $1 * 1 + 1$
- Source of ambiguity: associativity and precedence

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Two Major Sources of Ambiguity

- Lack of determination of operator precedence
- Lack of determination of operator associativity
- Not the only sources of ambiguity

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How to Enforce Associativity

- Have at most one recursive call per production
- When two or more recursive calls would be natural leave right-most one for right associativity, left-most one for left associativity

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Example

- $\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \mid (\langle \text{Sum} \rangle)$
- Becomes
 - $\langle \text{Sum} \rangle ::= \langle \text{Num} \rangle \mid \langle \text{Num} \rangle + \langle \text{Sum} \rangle$
 - $\langle \text{Num} \rangle ::= 0 \mid 1 \mid (\langle \text{Sum} \rangle)$

$\langle \text{Sum} \rangle + \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

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Operator Precedence

- Operators of highest precedence evaluated first (bind more tightly).
- Precedence for infix binary operators given in following table
- Needs to be reflected in grammar

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Precedence Table - Sample

	Fortan	Pascal	C/C++	Ada	SML
highest	**	*, /, div, mod	++, --	**	div, mod, /, *
	*, /	+, -	*, /, %	*, /, mod	+, -, ^
	+, -		+, -	+, -	::

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First Example Again

- In any above language, $3 + 4 * 5 + 6 = 29$
- In APL, all infix operators have same precedence
 - Thus we still don't know what the value is (handled by associativity)
- How do we handle precedence in grammar?

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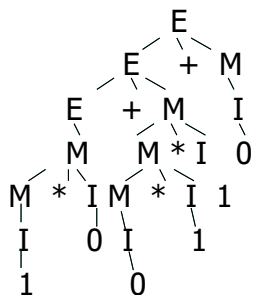
Precedence in Grammar

- Higher precedence translates to longer derivation chain
- Example:
 $\langle \text{exp} \rangle ::= 0 \mid 1 \mid \langle \text{exp} \rangle + \langle \text{exp} \rangle \mid \langle \text{exp} \rangle * \langle \text{exp} \rangle$
- Becomes
 $\langle \text{exp} \rangle ::= \langle \text{mult_exp} \rangle \mid \langle \text{exp} \rangle + \langle \text{mult_exp} \rangle$
 $\langle \text{mult_exp} \rangle ::= \langle \text{id} \rangle \mid \langle \text{mult_exp} \rangle * \langle \text{id} \rangle$
 $\langle \text{id} \rangle ::= 0 \mid 1$

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1 * 0 + 0 * 1 * 1 + 0

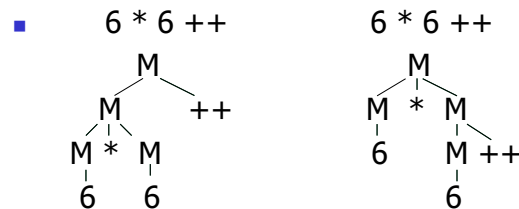


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More Disambiguating Grammars

- $M ::= M * M \mid (M) \mid M ++ \mid 6$
- Ambiguous because of associativity of $*$
- because of conflict between $*$ and $++$:



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$M ::= M * M \mid (M) \mid M ++ \mid 6$

- How to disambiguate?
- Choose associativity for $*$
- Choose precedence between $*$ and $++$
- Four possibilities
- Four different approaches
- Some easier than others
- Will do --- You choose

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$M ::= M * M \mid (M) \mid M ++ \mid 6$

- Think about $6 * 6 ++ * 6 * 6 ++$

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Parser Code

- `<grammar>.mly` defines one parsing function per entry point
- Parsing function takes a lexing function (lexer buffer to token) and a lexer buffer as arguments
- Returns semantic attribute of corresponding entry point

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Ocamlyacc Input

- File format:
%{
 <header>
}%
 <declarations>
%%
 <rules>
%%
 <trailer>

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Ocamlyacc <header>

- Contains arbitrary Ocaml code
- Typically used to give types and functions needed for the semantic actions of rules and to give specialized error recovery
- May be omitted
- <footer> similar. Possibly used to call parser

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Ocamlyacc <declarations>

- **%token** *symbol ... symbol*
- Declare given symbols as tokens
- **%token** <type> *symbol ... symbol*
- Declare given symbols as token constructors, taking an argument of type <type>
- **%start** *symbol ... symbol*
- Declare given symbols as entry points; functions of same names in <grammar>.ml

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Ocamlyacc <declarations>

- **%type** <type> *symbol ... symbol*
Specify type of attributes for given symbols. Mandatory for start symbols
- **%left** *symbol ... symbol*
- **%right** *symbol ... symbol*
- **%nonassoc** *symbol ... symbol*
Associate precedence and associativity to given symbols. Same line, same precedence; earlier line, lower precedence (broadest scope)

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Ocamlyacc <rules>

- *nonterminal* :
symbol ... symbol { semantic_action }
| ...
| *symbol ... symbol { semantic_action }*
;
- Semantic actions are arbitrary Ocaml expressions
- Must be of same type as declared (or inferred) for *nonterminal*
- Access semantic attributes (values) of symbols by position: \$1 for first symbol, \$2 to second ...

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Example - Base types

```
(* File: expr.ml *)
type expr =
  Term_as_Expr of term
  | Plus_Expr of (term * expr)
  | Minus_Expr of (term * expr)
and term =
  Factor_as_Term of factor
  | Mult_Term of (factor * term)
  | Div_Term of (factor * term)
and factor =
  Id_as_Factor of string
  | Parenthesized_Expr_as_Factor of expr
```

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Example - Lexer (exprlex.mll)

```
{ (*open Exprparse*) }
let numeric = ['0' - '9']
let letter = ['a' - 'z' 'A' - 'Z']
rule token = parse
  | "+" {Plus_token}
  | "-" {Minus_token}
  | "*" {Times_token}
  | "/" {Divide_token}
  | "(" {Left_parenthesis}
  | ")" {Right_parenthesis}
  | letter (letter|numeric|"_")* as id {Id_token id}
  | [' ' '\t' '\n'] {token lexbuf}
  | eof {EOL}
```

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Example - Parser (exprparse.mly)

```
%{ open Expr
%}
%token <string> Id_token
%token Left_parenthesis Right_parenthesis
%token Times_token Divide_token
%token Plus_token Minus_token
%token EOL
%start main
%type <expr> main
%%
```

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Example - Parser (exprparse.mly)

```
expr:
  term
  { Term_as_Expr $1 }
| term Plus_token expr
  { Plus_Expr ($1, $3) }
| term Minus_token expr
  { Minus_Expr ($1, $3) }
```

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Example - Parser (exprparse.mly)

```
term:
  factor
  { Factor_as_Term $1 }
| factor Times_token term
  { Mult_Term ($1, $3) }
| factor Divide_token term
  { Div_Term ($1, $3) }
```

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Example - Parser (exprparse.mly)

```
factor:
  Id_token
  { Id_as_Factor $1 }
| Left_parenthesis expr Right_parenthesis
  { Parenthesized_Expr_as_Factor $2 }
main:
  | expr EOL
  { $1 }
```

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Example - Using Parser

```
# #use "expr.ml";;
...
# #use "exprparse.ml";;
...
# #use "exprlex.ml";;
...
# let test s =
  let lexbuf = Lexing.from_string (s^"\n") in
  main token lexbuf;;
```

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Example - Using Parser

```
# test "a + b";;
- : expr =
Plus_Expr
(Factor_as_Term (Id_as_Factor "a"),
Term_as_Expr (Factor_as_Term
(Id_as_Factor "b")))
```

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LR Parsing

- Read tokens left to right (L)
- Create a rightmost derivation (R)
- How is this possible?
- Start at the bottom (left) and work your way up
- Last step has only one non-terminal to be replaced so is right-most
- Working backwards, replace mixed strings by non-terminals
- Always proceed so that there are no non-terminals to the right of the string to be replaced

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Example: $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$= \bullet (0 + 1) + 0$ shift

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Example: $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$= (\bullet 0 + 1) + 0$ shift
 $= \bullet (0 + 1) + 0$ shift

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Example: $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$\Rightarrow (0 \bullet + 1) + 0$ reduce
 $= (\bullet 0 + 1) + 0$ shift
 $= \bullet (0 + 1) + 0$ shift

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Example: $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$= (\langle \text{Sum} \rangle \bullet + 1) + 0$ shift
 $\Rightarrow (0 \bullet + 1) + 0$ reduce
 $= (\bullet 0 + 1) + 0$ shift
 $= \bullet (0 + 1) + 0$ shift

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Example: $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$= (\langle \text{Sum} \rangle + \bullet 1) + 0$ shift
 $= (\langle \text{Sum} \rangle \bullet + 1) + 0$ shift
 $\Rightarrow (0 \bullet + 1) + 0$ reduce
 $= (\bullet 0 + 1) + 0$ shift
 $= \bullet (0 + 1) + 0$ shift

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Example: $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$
 $\Rightarrow \langle \text{Sum} \rangle + 0 \bullet$ reduce
 $= \langle \text{Sum} \rangle + \bullet 0$ shift
 $= \langle \text{Sum} \rangle \bullet + 0$ shift
 $\Rightarrow (\langle \text{Sum} \rangle) \bullet + 0$ reduce
 $= (\langle \text{Sum} \rangle \bullet) + 0$ shift
 $\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle \bullet) + 0$ reduce
 $\Rightarrow (\langle \text{Sum} \rangle + 1 \bullet) + 0$ reduce
 $= (\langle \text{Sum} \rangle + \bullet 1) + 0$ shift
 $= (\langle \text{Sum} \rangle \bullet + 1) + 0$ shift
 $\Rightarrow (0 \bullet + 1) + 0$ reduce
 $= (\bullet 0 + 1) + 0$ shift
 $= \bullet (0 + 1) + 0$ shift

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Example: $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \bullet$ reduce
 $\Rightarrow \langle \text{Sum} \rangle + 0 \bullet$ reduce
 $= \langle \text{Sum} \rangle + \bullet 0$ shift
 $= \langle \text{Sum} \rangle \bullet + 0$ shift
 $\Rightarrow (\langle \text{Sum} \rangle) \bullet + 0$ reduce
 $= (\langle \text{Sum} \rangle \bullet) + 0$ shift
 $\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle \bullet) + 0$ reduce
 $\Rightarrow (\langle \text{Sum} \rangle + 1 \bullet) + 0$ reduce
 $= (\langle \text{Sum} \rangle + \bullet 1) + 0$ shift
 $= (\langle \text{Sum} \rangle \bullet + 1) + 0$ shift
 $\Rightarrow (0 \bullet + 1) + 0$ reduce
 $= (\bullet 0 + 1) + 0$ shift
 $= \bullet (0 + 1) + 0$ shift

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Example: $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \bullet \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \bullet$ reduce
 $\Rightarrow \langle \text{Sum} \rangle + 0 \bullet$ reduce
 $= \langle \text{Sum} \rangle + \bullet 0$ shift
 $= \langle \text{Sum} \rangle \bullet + 0$ shift
 $\Rightarrow (\langle \text{Sum} \rangle) \bullet + 0$ reduce
 $= (\langle \text{Sum} \rangle \bullet) + 0$ shift
 $\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle \bullet) + 0$ reduce
 $\Rightarrow (\langle \text{Sum} \rangle + 1 \bullet) + 0$ reduce
 $= (\langle \text{Sum} \rangle + \bullet 1) + 0$ shift
 $= (\langle \text{Sum} \rangle \bullet + 1) + 0$ shift
 $\Rightarrow (0 \bullet + 1) + 0$ reduce
 $= (\bullet 0 + 1) + 0$ shift
 $= \bullet (0 + 1) + 0$ shift

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Example

(0 + 1) + 0

↑

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Example

(0 + 1) + 0

↑

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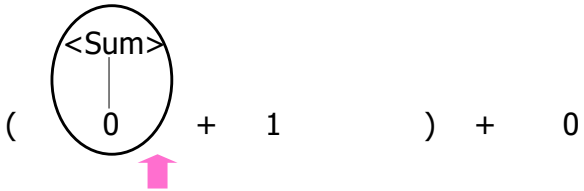
Example

(0 + 1) + 0

↑

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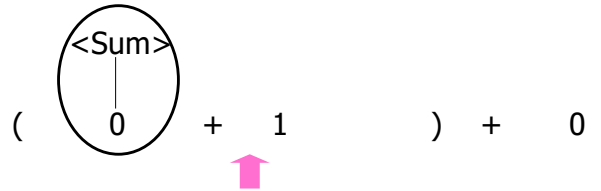
Example



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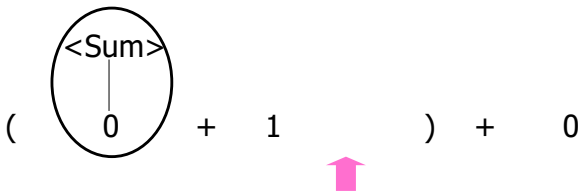
Example



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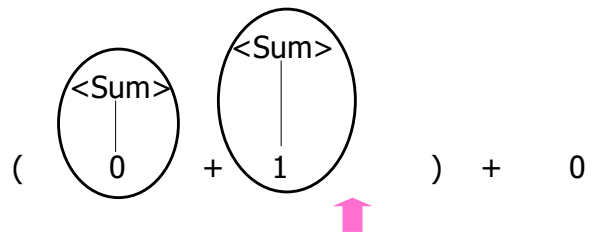
Example



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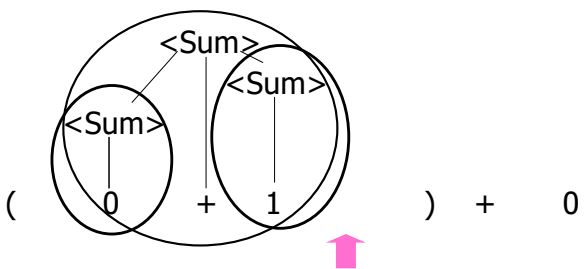
Example



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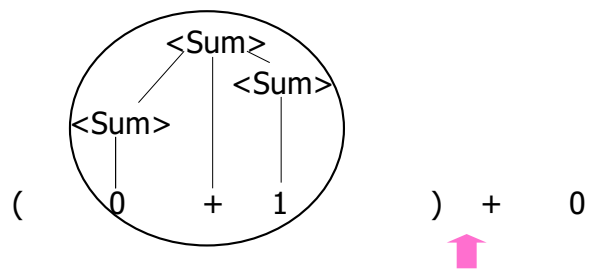
Example



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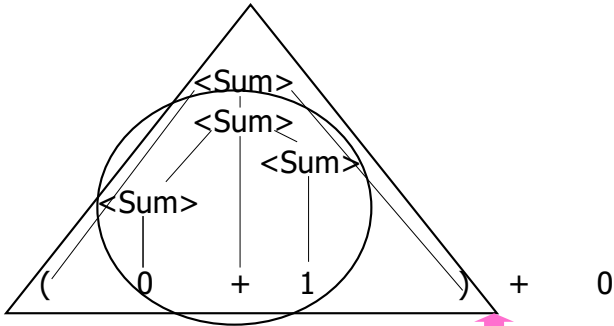
Example



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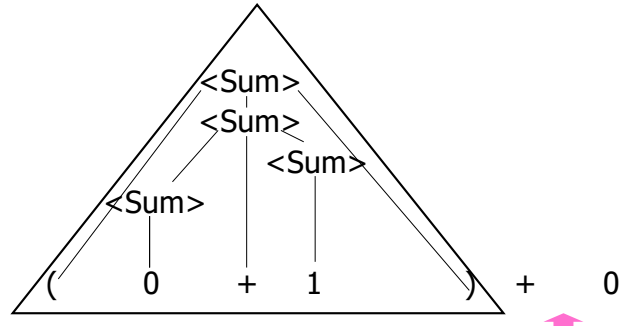
Example



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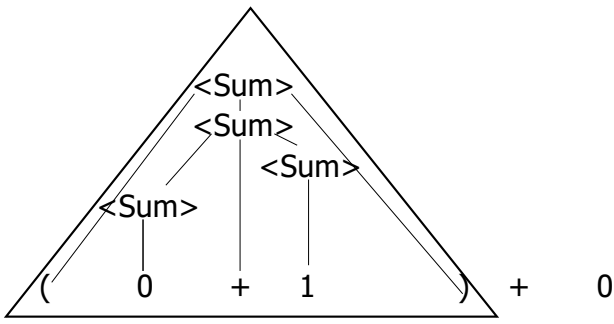
Example



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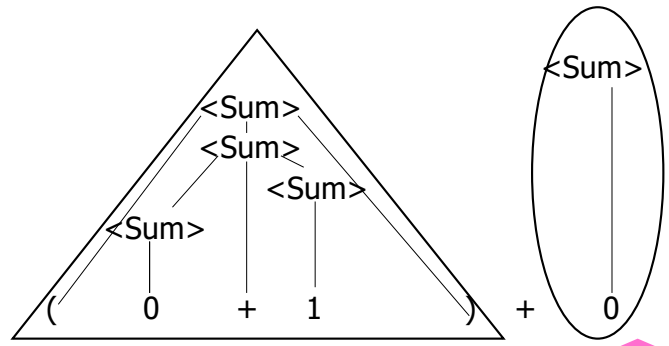
Example



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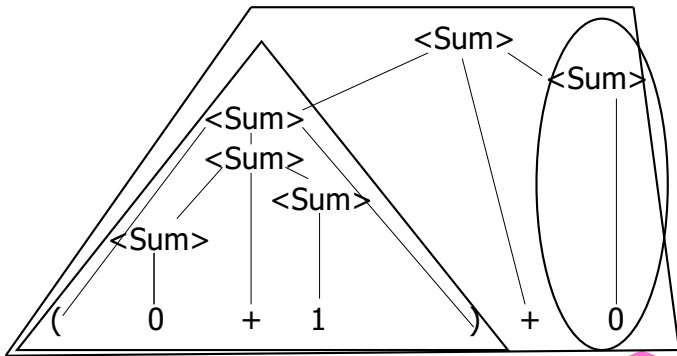
Example



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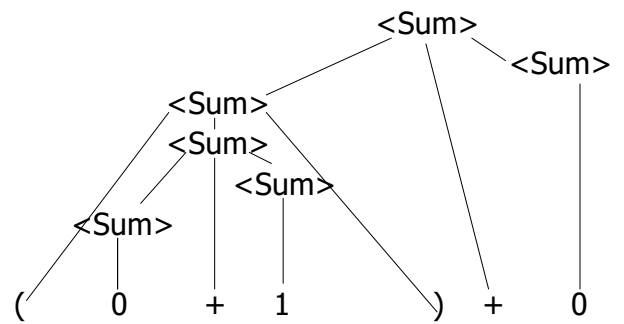
Example



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Example



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LR Parsing Tables

- Build a pair of tables, Action and Goto, from the grammar
 - This is the hardest part, we omit here
 - Rows labeled by states
 - For Action, columns labeled by terminals and “end-of-tokens” marker
 - (more generally strings of terminals of fixed length)
 - For Goto, columns labeled by non-terminals

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Action and Goto Tables

- Given a state and the next input, Action table says either
 - **shift** and go to state n , or
 - **reduce** by production k (explained in a bit)
 - **accept** or **error**
- Given a state and a non-terminal, Goto table says
 - go to state m

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LR(i) Parsing Algorithm

- Based on push-down automata
- Uses states and transitions (as recorded in Action and Goto tables)
- Uses a stack containing states, terminals and non-terminals

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LR(i) Parsing Algorithm

0. Insure token stream ends in special “end-of-tokens” symbol
1. Start in state 1 with an empty stack
2. Push **state**(1) onto stack
- 3. Look at next i tokens from token stream ($toks$) (don't remove yet)
4. If top symbol on stack is **state**(n), look up action in Action table at ($n, toks$)

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LR(i) Parsing Algorithm

5. If action = **shift** m ,
 - a) Remove the top token from token stream and push it onto the stack
 - b) Push **state**(m) onto stack
 - c) Go to step 3

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LR(i) Parsing Algorithm

6. If action = **reduce** k where production k is $E ::= u$
 - a) Remove $2 * \text{length}(u)$ symbols from stack (u and all the interleaved states)
 - b) If new top symbol on stack is **state**(m), look up new state p in $\text{Goto}(m, E)$
 - c) Push E onto the stack, then push **state**(p) onto the stack
 - d) Go to step 3

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LR(i) Parsing Algorithm

7. If action = **accept**
 - Stop parsing, return success
8. If action = **error**,
 - Stop parsing, return failure

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Adding Synthesized Attributes

- Add to each **reduce** a rule for calculating the new synthesized attribute from the component attributes
- Add to each non-terminal pushed onto the stack, the attribute calculated for it
- When performing a **reduce**,
 - gather the recorded attributes from each non-terminal popped from stack
 - Compute new attribute for non-terminal pushed onto stack

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Shift-Reduce Conflicts

- **Problem:** can't decide whether the action for a state and input character should be **shift** or **reduce**
- Caused by ambiguity in grammar
- Usually caused by lack of associativity or precedence information in grammar

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Example: $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

● 0 + 1 + 0 shift
-> 0 ● + 1 + 0 reduce
-> <Sum> ● + 1 + 0 shift
-> <Sum> + ● 1 + 0 shift
-> <Sum> + 1 ● + 0 reduce
-> <Sum> + <Sum> ● + 0

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Example - cont

- **Problem:** shift or reduce?
- You can shift-shift-reduce-reduce or reduce-shift-shift-reduce
- Shift first - right associative
- Reduce first- left associative

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Reduce - Reduce Conflicts

- **Problem:** can't decide between two different rules to reduce by
- Again caused by ambiguity in grammar
- **Symptom:** RHS of one production suffix of another
- Requires examining grammar and rewriting it
- Harder to solve than shift-reduce errors

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Example

■ $S ::= A \mid aB$ $A ::= abc$ $B ::= bc$

● abc shift

a ● bc shift

ab ● c shift

abc ●

■ Problem: reduce by $B ::= bc$ then by $S ::= aB$, or by $A ::= abc$ then $S ::= A$?