

## Programming Languages and Compilers (CS 421)

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<https://courses.engr.illinois.edu/cs421/fa2017/CS421D>

Based in part on slides by Mattox Beckman, as updated by Vikram Adve and Gul Agha

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## Recursive Functions

```
# let rec factorial n =  
  if n = 0 then 1 else n * factorial (n - 1);;  
val factorial : int -> int = <fun>  
# factorial 5;;  
- : int = 120  
# (* rec is needed for recursive function  
  declarations *)
```

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## Recursion Example

Compute  $n^2$  recursively using:  
$$n^2 = (2 * n - 1) + (n - 1)^2$$

```
# let rec nthsq n = (* rec for recursion *)  
  match n (* pattern matching for cases *)  
  with 0 -> 0 (* base case *)  
  | n -> (2 * n - 1) (* recursive case *)  
    + nthsq (n - 1);; (* recursive call *)  
val nthsq : int -> int = <fun>  
# nthsq 3;;  
- : int = 9
```

Structure of recursion similar to inductive proof

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## Recursion and Induction

```
# let rec nthsq n = match n with 0 -> 0  
  | n -> (2 * n - 1) + nthsq (n - 1) ;;
```

- Base case is the last case; it stops the computation
- Recursive call must be to arguments that are somehow smaller - must progress to base case
- **if** or **match** must contain base case
- Failure of these may cause failure of termination

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## Evaluating expressions in OCaml

- Evaluation uses an environment  $\rho$ 
  - $\text{Eval}(e, \rho)$
- A constant evaluates to itself, including primitive operators like + and =
  - $\text{Eval}(c, \rho) \Rightarrow \text{Val } c$
- To evaluate a variable  $v$ , look it up in  $\rho$ :
  - $\text{Eval}(v, \rho) \Rightarrow \text{Val}(\rho(v))$

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## Evaluating expressions in OCaml

- To evaluate a tuple  $(e_1, \dots, e_n)$ ,
  - Evaluate each  $e_i$  to  $v_i$ , right to left for OCaml
  - Then make value  $(v_1, \dots, v_n)$
  - $\text{Eval}((e_1, \dots, e_n), \rho) \Rightarrow \text{Eval}((e_1, \dots, \text{Eval}(e_n, \rho)), \rho)$
  - $\text{Eval}((e_1, \dots, e_i, \text{Val } v_{i+1}, \dots, \text{Val } v_n), \rho) \Rightarrow \text{Eval}((e_1, \dots, \text{Eval}(e_i, \rho), \text{Val } v_{i+1}, \dots, \text{Val } v_n), \rho)$
  - $\text{Eval}((\text{Val } v_1, \dots, \text{Val } v_n), \rho) \Rightarrow \text{Val}(v_1, \dots, v_n)$

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## Evaluating expressions in OCaml

- To evaluate uses of  $+$ ,  $-$ , etc, eval args, then do operation  $\odot$  ( $+$ ,  $-$ ,  $*$ ,  $+$ ,  $+$ , ...)
  - $\text{Eval}(e_1 \odot e_2, \rho) \Rightarrow \text{Eval}(e_1 \odot \text{Eval}(e_2, \rho), \rho)$
  - $\text{Eval}(e_1 \odot \text{Val } v_2, \rho) \Rightarrow \text{Eval}(\text{Eval}(e_1, \rho) \odot \text{Val } v_2, \rho)$
  - $\text{Eval}(\text{Val } v_1 \odot \text{Val } v_2) \Rightarrow \text{Val } (v_1 \odot v_2)$
- Function expression evaluates to its closure
  - $\text{Eval}(\text{fun } x \rightarrow e, \rho) \Rightarrow \text{Val } \langle x \rightarrow e, \rho \rangle$

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## Evaluating expressions in OCaml

- To evaluate a local dec:  $\text{let } x = e_1 \text{ in } e_2$ 
  - Eval  $e_1$  to  $v$ , then eval  $e_2$  using  $\{x \rightarrow v\} + \rho$
  - $\text{Eval}(\text{let } x = e_1 \text{ in } e_2, \rho) \Rightarrow \text{Eval}(\text{let } x = \text{Eval}(e_1, \rho) \text{ in } e_2, \rho)$
  - $\text{Eval}(\text{let } x = \text{Val } v \text{ in } e_2, \rho) \Rightarrow \text{Eval}(e_2, \{x \rightarrow v\} + \rho)$

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## Evaluating expressions in OCaml

- To evaluate a conditional expression:  $\text{if } b \text{ then } e_1 \text{ else } e_2$ 
  - Evaluate  $b$  to a value  $v$
  - If  $v$  is **True**, evaluate  $e_1$
  - If  $v$  is **False**, evaluate  $e_2$
  - $\text{Eval}(\text{if } b \text{ then } e_1 \text{ else } e_2, \rho) \Rightarrow \text{Eval}(\text{if } \text{Eval}(b, \rho) \text{ then } e_1 \text{ else } e_2, \rho)$
  - $\text{Eval}(\text{if } \text{Val true} \text{ then } e_1 \text{ else } e_2, \rho) \Rightarrow \text{Eval}(e_1, \rho)$
  - $\text{Eval}(\text{if } \text{Val false} \text{ then } e_1 \text{ else } e_2, \rho) \Rightarrow \text{Eval}(e_2, \rho)$

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## Evaluation of Application with Closures

- Given application expression  $f e$
- In OCaml, evaluate  $e$  to value  $v$
- In environment  $\rho$ , evaluate left term to closure,  $c = \langle (x_1, \dots, x_n) \rightarrow b, \rho' \rangle$ 
  - $(x_1, \dots, x_n)$  variables in (first) argument
  - $v$  must have form  $(v_1, \dots, v_n)$
- Update the environment  $\rho'$  to  $\rho'' = \{x_1 \rightarrow v_1, \dots, x_n \rightarrow v_n\} + \rho'$
- Evaluate body  $b$  in environment  $\rho''$

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## Evaluation of Application with Closures

- $\text{Eval}(f e, \rho) \Rightarrow \text{Eval}(f (\text{Eval}(e, \rho)), \rho)$
- $\text{Eval}(f (\text{Val } v), \rho) \Rightarrow \text{Eval}((\text{Eval}(f, \rho)) (\text{Val } v), \rho)$
- $\text{Eval}((\text{Val } \langle (x_1, \dots, x_n) \rightarrow b, \rho' \rangle) (\text{Val } (v_1, \dots, v_n)), \rho) \Rightarrow \text{Eval}(b, \{x_1 \rightarrow v_1, \dots, x_n \rightarrow v_n\} + \rho')$

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## Evaluation of Application of plus\_x;;

- Have environment:  $\rho = \{\text{plus}_x \rightarrow \langle y \rightarrow y + x, \rho_{\text{plus}_x} \rangle, \dots, y \rightarrow 19, x \rightarrow 17, z \rightarrow 3, \dots\}$  where  $\rho_{\text{plus}_x} = \{x \rightarrow 12, \dots, y \rightarrow 24, \dots\}$
- $\text{Eval}(\text{plus}_x z, \rho) \Rightarrow$
- $\text{Eval}(\text{plus}_x (\text{Eval}(z, \rho))) \Rightarrow \dots$

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## Evaluation of Application of plus\_x;;

- Have environment:

$$\rho = \{\text{plus\_x} \rightarrow \langle y \rightarrow y + x, \rho_{\text{plus\_x}} \rangle, \dots, y \rightarrow 19, x \rightarrow 17, z \rightarrow 3, \dots\}$$

where  $\rho_{\text{plus\_x}} = \{x \rightarrow 12, \dots, y \rightarrow 24, \dots\}$

- Eval (plus\_x z,  $\rho$ ) =>
- Eval (plus\_x (Eval(z,  $\rho$ )),  $\rho$ ) =>
- Eval (plus\_x (Val 3),  $\rho$ ) => ...

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## Evaluation of Application of plus\_x;;

- Have environment:

$$\rho = \{\text{plus\_x} \rightarrow \langle y \rightarrow y + x, \rho_{\text{plus\_x}} \rangle, \dots, y \rightarrow 19, x \rightarrow 17, z \rightarrow 3, \dots\}$$

where  $\rho_{\text{plus\_x}} = \{x \rightarrow 12, \dots, y \rightarrow 24, \dots\}$

- Eval (plus\_x z,  $\rho$ ) =>
- Eval (plus\_x (Eval(z,  $\rho$ )),  $\rho$ ) =>
- Eval (plus\_x (Val 3),  $\rho$ ) =>
- Eval ((Eval(plus\_x,  $\rho$ )) (Val 3),  $\rho$ ) => ...

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## Evaluation of Application of plus\_x;;

- Have environment:

$$\rho = \{\text{plus\_x} \rightarrow \langle y \rightarrow y + x, \rho_{\text{plus\_x}} \rangle, \dots, y \rightarrow 19, x \rightarrow 17, z \rightarrow 3, \dots\}$$

where  $\rho_{\text{plus\_x}} = \{x \rightarrow 12, \dots, y \rightarrow 24, \dots\}$

- Eval (plus\_x z,  $\rho$ ) =>
- Eval (plus\_x (Eval(z,  $\rho$ )),  $\rho$ ) =>
- Eval (plus\_x (Val 3),  $\rho$ ) =>
- Eval ((Eval(plus\_x,  $\rho$ )) (Val 3),  $\rho$ ) =>
- Eval ((Val  $\langle y \rightarrow y + x, \rho_{\text{plus\_x}} \rangle$ ) (Val 3),  $\rho$ ) => ...

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## Evaluation of Application of plus\_x;;

- Have environment:

$$\rho = \{\text{plus\_x} \rightarrow \langle y \rightarrow y + x, \rho_{\text{plus\_x}} \rangle, \dots, y \rightarrow 19, x \rightarrow 17, z \rightarrow 3, \dots\}$$

where  $\rho_{\text{plus\_x}} = \{x \rightarrow 12, \dots, y \rightarrow 24, \dots\}$

- Eval ((Val  $\langle y \rightarrow y + x, \rho_{\text{plus\_x}} \rangle$ ) (Val 3),  $\rho$ ) => ...

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## Evaluation of Application of plus\_x;;

- Have environment:

$$\rho = \{\text{plus\_x} \rightarrow \langle y \rightarrow y + x, \rho_{\text{plus\_x}} \rangle, \dots, y \rightarrow 19, x \rightarrow 17, z \rightarrow 3, \dots\}$$

where  $\rho_{\text{plus\_x}} = \{x \rightarrow 12, \dots, y \rightarrow 24, \dots\}$

- Eval ((Val  $\langle y \rightarrow y + x, \rho_{\text{plus\_x}} \rangle$ ) (Val 3),  $\rho$ ) =>
- Eval (y + x, {y → 3} +  $\rho_{\text{plus\_x}}$ ) => ...

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## Evaluation of Application of plus\_x;;

- Have environment:

$$\rho = \{\text{plus\_x} \rightarrow \langle y \rightarrow y + x, \rho_{\text{plus\_x}} \rangle, \dots, y \rightarrow 19, x \rightarrow 17, z \rightarrow 3, \dots\}$$

where  $\rho_{\text{plus\_x}} = \{x \rightarrow 12, \dots, y \rightarrow 24, \dots\}$

- Eval ((Val  $\langle y \rightarrow y + x, \rho_{\text{plus\_x}} \rangle$ ) (Val 3),  $\rho$ ) =>
- Eval (y + x, {y → 3} +  $\rho_{\text{plus\_x}}$ ) =>
- Eval (y + Eval(x, {y → 3} +  $\rho_{\text{plus\_x}}$ ), {y → 3} +  $\rho_{\text{plus\_x}}$ ) => ...

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## Evaluation of Application of plus\_x;;

- Have environment:

$$\rho = \{\text{plus\_x} \rightarrow \langle y \rightarrow y + x, \rho_{\text{plus\_x}} \rangle, \dots, y \rightarrow 19, x \rightarrow 17, z \rightarrow 3, \dots\}$$

where  $\rho_{\text{plus\_x}} = \{x \rightarrow 12, \dots, y \rightarrow 24, \dots\}$

- Eval ((Val <y → y + x, ρ<sub>plus\_x</sub>>)(Val 3), ρ) =>
- Eval (y + x, {y → 3} + ρ<sub>plus\_x</sub>) =>
- Eval(y+Eval(x, {y → 3} + ρ<sub>plus\_x</sub>), {y → 3} + ρ<sub>plus\_x</sub>) =>
- Eval(y+Val 12, {y → 3} + ρ<sub>plus\_x</sub>) => ...

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## Evaluation of Application of plus\_x;;

- Have environment:

$$\rho = \{\text{plus\_x} \rightarrow \langle y \rightarrow y + x, \rho_{\text{plus\_x}} \rangle, \dots, y \rightarrow 19, x \rightarrow 17, z \rightarrow 3, \dots\}$$

where  $\rho_{\text{plus\_x}} = \{x \rightarrow 12, \dots, y \rightarrow 24, \dots\}$

- Eval(y+Eval(x, {y → 3} + ρ<sub>plus\_x</sub>), {y → 3} + ρ<sub>plus\_x</sub>) =>
- Eval(y+Val 12, {y → 3} + ρ<sub>plus\_x</sub>) =>
- Eval(Eval(y, {y → 3} + ρ<sub>plus\_x</sub>) + Val 12, {y → 3} + ρ<sub>plus\_x</sub>) =>...

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## Evaluation of Application of plus\_x;;

- Have environment:

$$\rho = \{\text{plus\_x} \rightarrow \langle y \rightarrow y + x, \rho_{\text{plus\_x}} \rangle, \dots, y \rightarrow 19, x \rightarrow 17, z \rightarrow 3, \dots\}$$

where  $\rho_{\text{plus\_x}} = \{x \rightarrow 12, \dots, y \rightarrow 24, \dots\}$

- Eval(Eval(y, {y → 3} + ρ<sub>plus\_x</sub>) + Val 12, {y → 3} + ρ<sub>plus\_x</sub>) =>
- Eval(Val 3 + Val 12, {y → 3} + ρ<sub>plus\_x</sub>) =>...

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## Evaluation of Application of plus\_x;;

- Have environment:

$$\rho = \{\text{plus\_x} \rightarrow \langle y \rightarrow y + x, \rho_{\text{plus\_x}} \rangle, \dots, y \rightarrow 19, x \rightarrow 17, z \rightarrow 3, \dots\}$$

where  $\rho_{\text{plus\_x}} = \{x \rightarrow 12, \dots, y \rightarrow 24, \dots\}$

- Eval(Eval(y, {y → 3} + ρ<sub>plus\_x</sub>) + Val 12, {y → 3} + ρ<sub>plus\_x</sub>) =>
- Eval(Val 3 + Val 12, {y → 3} + ρ<sub>plus\_x</sub>) =>
- Val (3 + 12) = Val 15

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## Evaluation of Application of plus\_pair

- Assume environment

$$\rho = \{x \rightarrow 3, \dots, \text{plus\_pair} \rightarrow \langle (n,m) \rightarrow n + m, \rho_{\text{plus\_pair}} \rangle\} + \rho_{\text{plus\_pair}}$$

- Eval (plus\_pair (4,x), ρ) =>
- Eval (plus\_pair (Eval ((4, x), ρ)), ρ) =>
- Eval (plus\_pair (Eval ((4, Eval (x, ρ)), ρ)), ρ) =>
- Eval (plus\_pair (Eval ((4, Val 3), ρ)), ρ) =>
- Eval (plus\_pair (Eval ((Eval (4, ρ), Val 3), ρ)), ρ) =>
- Eval (plus\_pair (Eval ((Val 4, Val 3), ρ)), ρ) =>

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## Evaluation of Application of plus\_pair

- Assume environment

$$\rho = \{x \rightarrow 3, \dots, \text{plus\_pair} \rightarrow \langle (n,m) \rightarrow n+m, \rho_{\text{plus\_pair}} \rangle\} + \rho_{\text{plus\_pair}}$$

- Eval (plus\_pair (Eval ((Val 4, Val 3), ρ)), ρ) =>
- Eval (plus\_pair (Val (4, 3)), ρ) =>
- Eval (Eval (plus\_pair, ρ), Val (4, 3)), ρ) => ...
- Eval ((Val <(n,m) → n+m, ρ<sub>plus\_pair</sub>>)(Val(4,3)), ρ) =>
- Eval (n + m, {n -> 4, m -> 3} + ρ<sub>plus\_pair</sub>) =>
- Eval (4 + 3, {n -> 4, m -> 3} + ρ<sub>plus\_pair</sub>) => 7

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## Lists

- List can take one of two forms:
  - Empty list, written `[]`
  - Non-empty list, written `x :: xs`
    - `x` is head element, `xs` is tail list, `::` called “cons”
  - Syntactic sugar: `[x] == x :: []`
  - `[x1; x2; ...; xn] == x1 :: x2 :: ... :: xn :: []`

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## Lists

```
# let fib5 = [8;5;3;2;1;1];;
val fib5 : int list = [8; 5; 3; 2; 1; 1]
# let fib6 = 13 :: fib5;;
val fib6 : int list = [13; 8; 5; 3; 2; 1; 1]
# (8::5::3::2::1::1::[]) = fib5;;
- : bool = true
# fib5 @ fib6;;
- : int list = [8; 5; 3; 2; 1; 1; 13; 8; 5; 3; 2; 1; 1]
```

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## Lists are Homogeneous

```
# let bad_list = [1; 3.2; 7];;
```

Characters 19-22:

```
let bad_list = [1; 3.2; 7];;
                ^^^
```

This expression has type float but is here used with type int

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## Question

- Which one of these lists is invalid?
  - `[2; 3; 4; 6]`
  - `[2,3; 4,5; 6,7]`
  - `[(2.3,4); (3.2,5); (6,7.2)]`
  - `[["hi"; "there"]; ["wahcha"]; [ ]; ["doin"]]`

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## Answer

- Which one of these lists is invalid?
  - `[2; 3; 4; 6]`
  - `[2,3; 4,5; 6,7]`
  - `[(2.3,4); (3.2,5); (6,7.2)]`
  - `[["hi"; "there"]; ["wahcha"]; [ ]; ["doin"]]`
- 3 is invalid because of last pair

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## Functions Over Lists

```
# let rec double_up list =
  match list
  with [] -> [] (* pattern before ->,
                 expression after *)
       | (x :: xs) -> (x :: x :: double_up xs);;
val double_up : 'a list -> 'a list = <fun>
# let fib5_2 = double_up fib5;;
val fib5_2 : int list = [8; 8; 5; 5; 3; 3; 2; 2; 1; 1; 1]
```

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## Functions Over Lists

```
# let silly = double_up ["hi"; "there"];  
val silly : string list = ["hi"; "hi"; "there"; "there"]  
# let rec poor_rev list =  
  match list  
  with [] -> []  
       | (x::xs) -> poor_rev xs @ [x];;  
val poor_rev : 'a list -> 'a list = <fun>  
# poor_rev silly;;  
- : string list = ["there"; "there"; "hi"; "hi"]
```

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## Structural Recursion

- Functions on recursive datatypes (eg lists) tend to be recursive
- Recursion over recursive datatypes generally by structural recursion
  - Recursive calls made to components of structure of the same recursive type
  - Base cases of recursive types stop the recursion of the function

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## Question: Length of list

- Problem: write code for the length of the list
    - How to start?
- ```
let rec length list =
```

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## Question: Length of list

- Problem: write code for the length of the list
    - How to start?
- ```
let rec length list =  
  match list with
```

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## Question: Length of list

- Problem: write code for the length of the list
    - What patterns should we match against?
- ```
let rec length list =  
  match list with
```

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## Question: Length of list

- Problem: write code for the length of the list
    - What patterns should we match against?
- ```
let rec length list =  
  match list with [] ->  
                 | (a :: bs) ->
```

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## Question: Length of list

- Problem: write code for the length of the list
  - What result do we give when `list` is empty?

```
let rec length list =  
  match list with [] -> 0  
  | (a :: bs) ->
```

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## Question: Length of list

- Problem: write code for the length of the list
  - What result do we give when `list` is not empty?

```
let rec length list =  
  match list with [] -> 0  
  | (a :: bs) ->
```

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## Question: Length of list

- Problem: write code for the length of the list
  - What result do we give when `list` is not empty?

```
let rec length list =  
  match list with [] -> 0  
  | (a :: bs) -> 1 + length bs
```

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## Structural Recursion : List Example

```
# let rec length list = match list  
  with [] -> 0 (* Nil case *)  
  | a :: bs -> 1 + length bs;; (* Cons case *)  
val length : 'a list -> int = <fun>  
# length [5; 4; 3; 2];;  
- : int = 4
```

- Nil case `[]` is base case
- Cons case recurses on component list `bs`

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## Same Length

- How can we efficiently answer if two lists have the same length?

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## Same Length

- How can we efficiently answer if two lists have the same length?

```
let rec same_length list1 list2 =  
  match list1 with [] ->  
    (match list2 with [] -> true  
     | (y::ys) -> false)  
  | (x::xs) ->  
    (match list2 with [] -> false  
     | (y::ys) -> same_length xs ys)
```

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### Your turn: doubleList : int list -> int list

- Write a function that takes a list of int and returns a list of the same length, where each element has been multiplied by 2

```
let rec doubleList list =
```

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### Your turn: doubleList : int list -> int list

- Write a function that takes a list of int and returns a list of the same length, where each element has been multiplied by 2

```
let rec doubleList list =
```

```
  match list
```

```
  with [] -> []
```

```
       | x :: xs -> (2 * x) :: doubleList xs
```

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### Your turn: doubleList : int list -> int list

- Write a function that takes a list of int and returns a list of the same length, where each element has been multiplied by 2

```
let rec doubleList list =
```

```
  match list
```

```
  with [] -> []
```

```
       | x :: xs -> (2 * x) :: doubleList xs
```

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### Higher-Order Functions Over Lists

```
# let rec map f list =
```

```
  match list
```

```
  with [] -> []
```

```
       | (h::t) -> (f h) :: (map f t);;
```

```
val map : ('a -> 'b) -> 'a list -> 'b list = <fun>
```

```
# map plus_two fib5;;
```

```
- : int list = [10; 7; 5; 4; 3; 3]
```

```
# map (fun x -> x - 1) fib6;;
```

```
: int list = [12; 7; 4; 2; 1; 0; 0]
```

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### Higher-Order Functions Over Lists

```
# let rec map f list =
```

```
  match list
```

```
  with [] -> []
```

```
       | (h::t) -> (f h) :: (map f t);;
```

```
val map : ('a -> 'b) -> 'a list -> 'b list = <fun>
```

```
# map plus_two fib5;;
```

```
- : int list = [10; 7; 5; 4; 3; 3]
```

```
# map (fun x -> x - 1) fib6;;
```

```
: int list = [12; 7; 4; 2; 1; 0; 0]
```

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### Mapping Recursion

- Can use the higher-order recursive map function instead of direct recursion

```
# let doubleList list =
```

```
  List.map (fun x -> 2 * x) list;;
```

```
val doubleList : int list -> int list = <fun>
```

```
# doubleList [2;3;4];;
```

```
- : int list = [4; 6; 8]
```

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## Mapping Recursion

- Can use the higher-order recursive map function instead of direct recursion

```
# let doubleList list =  
  List.map (fun x -> 2 * x) list;;  
val doubleList : int list -> int list = <fun>  
# doubleList [2;3;4];;  
- : int list = [4; 6; 8]
```

- Same function, but no explicit recursion

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## Folding Recursion

- Another common form “folds” an operation over the elements of the structure

```
# let rec multList list = match list  
with [ ] -> 1  
| x::xs -> x * multList xs;;  
val multList : int list -> int = <fun>  
# multList [2;4;6];;  
- : int = 48
```

- Computes  $(2 * (4 * (6 * 1)))$

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## Folding Recursion : Length Example

```
# let rec length list = match list  
with [ ] -> 0 (* Nil case *)  
| a :: bs -> 1 + length bs;; (* Cons case *)  
val length : 'a list -> int = <fun>  
# length [5; 4; 3; 2];;  
- : int = 4
```

- Nil case `[ ]` is base case, `0` is the base value
- Cons case recurses on component list `bs`
- What do `multList` and `length` have in common?

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## Forward Recursion

- In Structural Recursion, split input into components and (eventually) recurse
- Forward Recursion form of Structural Recursion
- In forward recursion, first call the function recursively on all recursive components, and then build final result from partial results
- Wait until whole structure has been traversed to start building answer

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## Forward Recursion: Examples

```
# let rec double_up list =  
  match list  
  with [ ] -> [ ]  
       | (x :: xs) -> (x :: x :: double_up xs);;  
val double_up : 'a list -> 'a list = <fun>  
  
# let rec poor_rev list =  
  match list  
  with [] -> []  
       | (x::xs) -> let r = poor_rev xs in r @ [x];;  
val poor_rev : 'a list -> 'a list = <fun>
```

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## Forward Recursion: Examples

```
# let rec double_up list =  
  match list  
  with [ ] -> [ ]  
       | (x :: xs) -> (x :: x :: double_up xs);;  
val double_up : 'a list -> 'a list = <fun>  
# let rec poor_rev list =  
  match list  
  with [] -> []  
       | (x::xs) -> let r = poor_rev xs in r @ [x];;  
val poor_rev : 'a list -> 'a list = <fun>
```

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## Recurring over lists

```
# let rec fold_right f list b =
  match list
  with [] -> b
  | (x :: xs) -> f x (fold_right f xs b);;
val fold_right : ('a -> 'b -> 'b) -> 'a list -> 'b -> 'b =
<fun>
# fold_right
  (fun s -> fun () -> print_string s)
  ["hi"; "there"]
  ();;
therehi- : unit = ()w
```



The Primitive  
Recursion Fairy

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## Folding Recursion : Length Example

```
# let rec length list = match list
  with [] -> 0 (* Nil case *)
  | a :: bs -> 1 + length bs;; (* Cons case *)
val length : 'a list -> int = <fun>
# let length list =
  fold_right (fun a -> fun r -> 1 + r) list 0;;
val length : 'a list -> int = <fun>
# length [5; 4; 3; 2];;
- : int = 4
```

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## Folding Recursion

- multList folds to the right
- Same as:

```
# let multList list =
  List.fold_right
  (fun x -> fun p -> x * p)
  list 1;;
val multList : int list -> int = <fun>
# multList [2;4;6];;
- : int = 48
```

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## Forward Recursion: Examples

```
# let rec double_up list =
  match list
  with [] -> []
  | (x :: xs) -> (x :: x :: double_up xs);;
val double_up : 'a list -> 'a list = <fun>
Base Case Operator Recursive Call
# let double_up =
  fold_right (fun x -> fun r -> x :: x :: r) list []
Operator Recursive result Base Case
# double_up ["a";"b"];;
- : string list = ["a"; "a"; "b"; "b"]
```

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## Encoding Forward Recursion with Fold

```
# let rec append list1 list2 =

val append : 'a list -> 'a list -> 'a list = <fun>
```

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## Encoding Forward Recursion with Fold

```
# let rec append list1 list2 = match list1 with

val append : 'a list -> 'a list -> 'a list = <fun>
```

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## Encoding Forward Recursion with Fold

```
# let rec append list1 list2 = match list1 with  
[ ] -> list2  
val append : 'a list -> 'a list -> 'a list = <fun>
```

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## Encoding Forward Recursion with Fold

```
# let rec append list1 list2 = match list1 with  
[ ] -> list2  
val append : 'a list -> 'a list -> 'a list = <fun>
```

Base Case

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## Encoding Forward Recursion with Fold

```
# let rec append list1 list2 = match list1 with  
[ ] -> list2 | x::xs ->  
val append : 'a list -> 'a list -> 'a list = <fun>
```

Base Case

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## Encoding Forward Recursion with Fold

```
# let rec append list1 list2 = match list1 with  
[ ] -> list2 | x::xs -> x :: append xs list2;;  
val append : 'a list -> 'a list -> 'a list = <fun>
```

Base Case

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## Encoding Forward Recursion with Fold

```
# let rec append list1 list2 = match list1 with  
[ ] -> list2 | x::xs -> x :: append xs list2;;  
val append : 'a list -> 'a list -> 'a list = <fun>
```

Base Case    Operation    Recursive Call

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## Encoding Forward Recursion with Fold

```
# let rec append list1 list2 = match list1 with  
[ ] -> list2 | x::xs -> x :: append xs list2;;  
val append : 'a list -> 'a list -> 'a list = <fun>
```

Base Case    Operation    Recursive Call

```
# let append list1 list2 =  
fold_right (fun x -> fun y -> x :: y) list1 list2;;  
val append : 'a list -> 'a list -> 'a list = <fun>
```

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## Encoding Forward Recursion with Fold

```
# let rec append list1 list2 = match list1 with
  [ ] -> list2 | x::xs -> x :: append xs list2;;
val append : 'a list -> 'a list -> 'a list = <fun>
```

Base Case      Operation      Recursive Call

```
# let append list1 list2 =
  fold_right (fun x -> fun y -> x :: y) list1 list2;;
val append : 'a list -> 'a list -> 'a list = <fun>
# append [1;2;3] [4;5;6];;
- : int list = [1; 2; 3; 4; 5; 6]
```

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## Tail Recursion

- A recursive program is tail recursive if all recursive calls are tail calls
- Tail recursive programs may be optimized to be implemented as loops, thus removing the function call overhead for the recursive calls
- Tail recursion generally requires extra “accumulator” arguments to pass partial results
  - May require an auxiliary function

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## Tail Recursion - length

- How can we write length with tail recursion?

```
let length list =
  let rec length_aux list acc_length =
    match list
    with [ ] -> acc_length
         | (x::xs) ->
           length_aux xs (1 + acc_length)
  in length_aux list 0
```

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## Tail Recursion - Example

```
# let rec rev_aux list revlist =
  match list with [ ] -> revlist
  | x :: xs -> rev_aux xs (x::revlist);;
val rev_aux : 'a list -> 'a list -> 'a list = <fun>
```

```
# let rev list = rev_aux list [ ];;
val rev : 'a list -> 'a list = <fun>
```

- What is its running time?

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## Comparison

- poor\_rev [1;2;3] =
- (poor\_rev [2;3]) @ [1] =
- ((poor\_rev [3]) @ [2]) @ [1] =
- (((poor\_rev [ ]) @ [3]) @ [2]) @ [1] =
- (([ ] @ [3]) @ [2]) @ [1] =
- ([3] @ [2]) @ [1] =
- (3:: ([ ] @ [2])) @ [1] =
- [3;2] @ [1] =
- 3 :: ([2] @ [1]) =
- 3 :: (2:: ([ ] @ [1])) = [3; 2; 1]

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## Comparison

- rev [1;2;3] =
- rev\_aux [1;2;3] [ ] =
- rev\_aux [2;3] [1] =
- rev\_aux [3] [2;1] =
- rev\_aux [ ] [3;2;1] = [3;2;1]

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## Iterating over lists

```
# let rec fold_left f a list =
  match list
  with [] -> a
  | (x :: xs) -> fold_left f (f a x) xs;;
val fold_left : ('a -> 'b -> 'a) -> 'a -> 'b list -> 'a =
  <fun>
# fold_left
  (fun () -> print_string)
  ()
  ["hi"; "there"];;
hithere- : unit = ()
```

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## Folding - Tail Recursion

```
- # let rev list =
-   fold_left
-   (fun l -> fun x -> x :: l) //comb op
  [] //accumulator cell
  list
```

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## Folding

```
# let rec fold_left f a list = match list
  with [] -> a | (x :: xs) -> fold_left f (f a x) xs;;
val fold_left : ('a -> 'b -> 'a) -> 'a -> 'b list -> 'a =
  <fun>
fold_left f a [x1; x2; ...; xn] = f(...(f (f a x1) x2)...)xn
# let rec fold_right f list b = match list
  with [] -> b | (x :: xs) -> f x (fold_right f xs b);;
val fold_right : ('a -> 'b -> 'b) -> 'a list -> 'b -> 'b =
  <fun>
fold_right f [x1; x2; ...; xn] b = f x1(f x2(...(f xn b)...) )
```

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## Folding

- Can replace recursion by fold\_right in any forward primitive recursive definition
  - Primitive recursive means it only recurses on immediate subcomponents of recursive data structure
- Can replace recursion by fold\_left in any tail primitive recursive definition

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## How long will it take?

- Remember the big-O notation from CS 225 and CS 374
- Question: given input of size  $n$ , how long to generate output?
- Express output time in terms of input size, omit constants and take biggest power

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## How long will it take?

Common big-O times:

- Constant time  $O(1)$ 
  - input size doesn't matter
- Linear time  $O(n)$ 
  - double input  $\Rightarrow$  double time
- Quadratic time  $O(n^2)$ 
  - double input  $\Rightarrow$  quadruple time
- Exponential time  $O(2^n)$ 
  - increment input  $\Rightarrow$  double time

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## Linear Time

- Expect most list operations to take linear time  $O(n)$
- Each step of the recursion can be done in constant time
- Each step makes only one recursive call
- List example: `multList`, `append`
- Integer example: `factorial`

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## Quadratic Time

- Each step of the recursion takes time proportional to input
- Each step of the recursion makes only one recursive call.
- List example:

```
# let rec poor_rev list = match list
with [] -> []
  | (x::xs) -> poor_rev xs @ [x];;
val poor_rev : 'a list -> 'a list = <fun>
```

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## Exponential running time

- Poor worst-case running times on input of any size
- Each step of recursion takes constant time
- Each recursion makes two recursive calls
- Easy to write naïve code that is exponential for functions that can be linear

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## Exponential running time

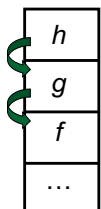
```
# let rec slow n =
  if n <= 1
  then 1
  else 1+slow (n-1) + slow(n-2);;
val slow : int -> int = <fun>
# List.map slow [1;2;3;4;5;6;7;8;9];;
- : int list = [1; 3; 5; 9; 15; 25; 41; 67; 109]
```

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## An Important Optimization

Normal call



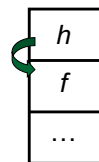
- When a function call is made, the return address needs to be saved to the stack so we know to where to return when the call is finished
- What if `f` calls `g` and `g` calls `h`, but calling `h` is the last thing `g` does (a *tail call*)?

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## An Important Optimization

Tail call



- When a function call is made, the return address needs to be saved to the stack so we know to where to return when the call is finished
- What if `f` calls `g` and `g` calls `h`, but calling `h` is the last thing `g` does (a *tail call*)?
- Then `h` can return directly to `f` instead of `g`

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