

Programming Languages and Compilers (CS 421)

Elsa L Gunter
2112 SC, UIUC

<http://courses.engr.illinois.edu/cs421>

Based in part on slides by Mattox Beckman, as updated by Vikram Adve and Gul Agha

11/4/19

1

General Input

```
{ header }  
let ident = regexp ...  
rule entrypoint [arg1... argn] = parse  
    regexp { action }  
    | ...  
    | regexp { action }  
and entrypoint [arg1... argn] =  
    parse ...and ...  
{ trailer }
```

11/4/19

2

Ocamllex Input

- *header* and *trailer* contain arbitrary ocaml code put at top and bottom of `<filename>.ml`
- `let ident = regexp ...` Introduces *ident* for use in later regular expressions

11/4/19

3

Ocamllex Input

- `<filename>.ml` contains one lexing function per *entrypoint*
 - Name of function is name given for *entrypoint*
 - Each entry point becomes an Ocaml function that takes $n+1$ arguments, the extra implicit last argument being of type `Lexing.lexbuf`
- `arg1... argn` are for use in *action*

11/4/19

4

Ocamllex Regular Expression

- Single quoted characters for letters: `'a'`
- `_`: (underscore) matches any letter
- `Eof`: special "end_of_file" marker
- Concatenation same as usual
- `"string"`: concatenation of sequence of characters
- `e1 / e2`: choice - what was $e_1 \vee e_2$

11/4/19

5

Ocamllex Regular Expression

- `[c1 - c2]`: choice of any character between first and second inclusive, as determined by character codes
- `[^c1 - c2]`: choice of any character NOT in set
- `e*`: same as before
- `e+`: same as `e e*`
- `e?`: option - was $e_1 \vee \epsilon$

11/4/19

6

Ocamllex Regular Expression

- $e_1 \# e_2$: the characters in e_1 but not in e_2 ; e_1 and e_2 must describe just sets of characters
- *ident*: abbreviation for earlier reg exp in
let *ident* = *regexp*
- *e₁ as id*: binds the result of e_1 to *id* to be used in the associated *action*

11/4/19

7

Ocamllex Manual

- More details can be found at

<http://caml.inria.fr/pub/docs/manual-ocaml/lex yacc.html>

11/4/19

8

Example : test.mll

```
{ type result = Int of int | Float of float |
  String of string }
let digit = ['0'-'9']
let digits = digit +
let lower_case = ['a'-'z']
let upper_case = ['A'-'Z']
let letter = upper_case | lower_case
let letters = letter +
```

11/4/19

9

Example : test.mll

```
rule main = parse
  (digits)'.'digits as f { Float (float_of_string f) }
  | digits as n          { Int (int_of_string n) }
  | letters as s         { String s }
  | _ { main lexbuf }
{ let newlexbuf = (Lexing.from_channel stdin) in
  print_newline ();
  main newlexbuf }
```

11/4/19

10

Example

```
# #use "test.mll";;
...
val main : Lexing.lexbuf -> result = <fun>
val __ocaml_lex_main_rec : Lexing.lexbuf -> int ->
  result = <fun>
hi there 234 5.2
- : result = String "hi"
```

What happened to the rest?!?

11/4/19

11

Example

```
# let b = Lexing.from_channel stdin;;
# main b;;
hi 673 there
- : result = String "hi"
# main b;;
- : result = Int 673
# main b;;
- : result = String "there"
```

11/4/19

12

Your Turn

- Work on ML5
 - Add a few keywords
 - Implement booleans and unit
 - Implement Ints and Floats
 - Implement identifiers

11/4/19

13

Problem

- How to get lexer to look at more than the first token at one time?
 - Generally you DON'T want this
- Answer: *action* has to tell it to -- recursive calls
- Side Benefit: can add "state" into lexing
- Note: already used this with the `_` case

11/4/19

14

Example

```
rule main = parse
  (digits) '!' digits as f { Float (float_of_string f) :: main lexbuf }
  | digits as n           { Int (int_of_string n) ::
  main lexbuf }
  | letters as s          { String s :: main
  lexbuf }
  | eof                   { [] }
  | _                     { main lexbuf }
```

11/4/19

15

Example Results

```
hi there 234 5.2
- : result list = [String "hi"; String "there"; Int
234; Float 5.2]
#
```

Used Ctrl-d to send the end-of-file signal

11/4/19

16

Dealing with comments

First Attempt

```
let open_comment = "("
let close_comment = ")"
rule main = parse
  (digits) '!' digits as f { Float (float_of_string
f) :: main lexbuf }
  | digits as n           { Int (int_of_string n) ::
  main lexbuf }
  | letters as s          { String s :: main lexbuf }
```

11/4/19

17

Dealing with comments

```
| open_comment { comment lexbuf }
| eof          { [] }
| _ { main lexbuf }
and comment = parse
  close_comment { main lexbuf }
  | _           { comment lexbuf }
```

11/4/19

18

Dealing with nested comments

```
rule main = parse ...
| open_comment      { comment 1 lexbuf }
| eof               { [] }
| _ { main lexbuf }
and comment depth = parse
  open_comment      { comment (depth+1)
                    lexbuf }
  | close_comment   { if depth = 1
                    then main lexbuf
                    else comment (depth - 1) lexbuf }
  | _               { comment depth lexbuf }
```

11/4/19

19

Dealing with nested comments

```
rule main = parse
  (digits) '.' digits as f { Float (float_of_string f) ::
  main lexbuf }
  | digits as n           { Int (int_of_string n) :: main
  lexbuf }
  | letters as s          { String s :: main lexbuf }
  | open_comment          { (comment 1 lexbuf)
  | eof                   { [] }
  | _ { main lexbuf }
```

11/4/19

20

Dealing with nested comments

```
and comment depth = parse
  open_comment      { comment (depth+1) lexbuf }
  | close_comment   { if depth = 1
                    then main lexbuf
                    else comment (depth - 1) lexbuf }
  | _               { comment depth lexbuf }
```

11/4/19

21

Types of Formal Language Descriptions

- Regular expressions, regular grammars
- Context-free grammars, BNF grammars, syntax diagrams
- Finite state automata

- Whole family more of grammars and automata – covered in automata theory

11/4/19

22

Sample Grammar

- Language: Parenthesized sums of 0's and 1's
- $\langle \text{Sum} \rangle ::= 0$
- $\langle \text{Sum} \rangle ::= 1$
- $\langle \text{Sum} \rangle ::= \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$
- $\langle \text{Sum} \rangle ::= (\langle \text{Sum} \rangle)$

11/4/19

23

BNF Grammars

- Start with a set of characters, **a,b,c,...**
 - We call these *terminals*
- Add a set of different characters, **X,Y,Z,**
...
 - We call these *nonterminals*
- One special nonterminal **S** called *start symbol*

11/4/19

24

BNF Grammars

- BNF rules (aka *productions*) have form
$$X ::= y$$
where X is any nonterminal and y is a string of terminals and nonterminals
- BNF *grammar* is a set of BNF rules such that every nonterminal appears on the left of some rule

11/4/19

25

Sample Grammar

- Terminals: 0 1 + ()
- Nonterminals: $\langle \text{Sum} \rangle$
- Start symbol = $\langle \text{Sum} \rangle$
- $\langle \text{Sum} \rangle ::= 0$
- $\langle \text{Sum} \rangle ::= 1$
- $\langle \text{Sum} \rangle ::= \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$
- $\langle \text{Sum} \rangle ::= (\langle \text{Sum} \rangle)$
- Can be abbreviated as
$$\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \mid (\langle \text{Sum} \rangle)$$

11/4/19

26

BNF Derivations

- Given rules
$$X ::= yZw \text{ and } Z ::= v$$
we may replace Z by v to say
$$X \Rightarrow yZw \Rightarrow yvw$$
- Sequence of such replacements called *derivation*
- Derivation called *right-most* if always replace the right-most non-terminal

11/4/19

27

BNF Semantics

- The meaning of a BNF grammar is the set of all strings consisting only of terminals that can be derived from the Start symbol

11/4/19

28

BNF Derivations

- Start with the start symbol:

$\langle \text{Sum} \rangle \Rightarrow$

11/4/19

29

BNF Derivations

- Pick a non-terminal

$\langle \text{Sum} \rangle \Rightarrow$

11/4/19

30

BNF Derivations

- Pick a rule and substitute:
 - $\langle \text{Sum} \rangle ::= \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$
- $$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$$

11/4/19

31

BNF Derivations

- Pick a non-terminal:

$$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$$

11/4/19

32

BNF Derivations

- Pick a rule and substitute:
 - $\langle \text{Sum} \rangle ::= (\langle \text{Sum} \rangle)$
- $$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$$
- $$\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$$

11/4/19

33

BNF Derivations

- Pick a non-terminal:

$$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$$
$$\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$$

11/4/19

34

BNF Derivations

- Pick a rule and substitute:
 - $\langle \text{Sum} \rangle ::= \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$
- $$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$$
- $$\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$$
- $$\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$$

11/4/19

35

BNF Derivations

- Pick a non-terminal:

$$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$$
$$\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$$
$$\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$$

11/4/19

36

$\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \mid (\langle \text{Sum} \rangle)$
 $\langle \text{Sum} \rangle \Rightarrow$

11/4/19

43

Regular Grammars

- Subclass of BNF
- Only rules of form $\langle \text{nonterminal} \rangle ::= \langle \text{terminal} \rangle \langle \text{nonterminal} \rangle$ or $\langle \text{nonterminal} \rangle ::= \langle \text{terminal} \rangle$ or $\langle \text{nonterminal} \rangle ::= \epsilon$
- Defines same class of languages as regular expressions
- Important for writing lexers (programs that convert strings of characters into strings of tokens)

11/4/19

44

Example

- Regular grammar:
 - $\langle \text{Balanced} \rangle ::= \epsilon$
 - $\langle \text{Balanced} \rangle ::= 0 \langle \text{OneAndMore} \rangle$
 - $\langle \text{Balanced} \rangle ::= 1 \langle \text{ZeroAndMore} \rangle$
 - $\langle \text{OneAndMore} \rangle ::= 1 \langle \text{Balanced} \rangle$
 - $\langle \text{ZeroAndMore} \rangle ::= 0 \langle \text{Balanced} \rangle$
- Generates even length strings where every initial substring of even length has same number of 0's as 1's

11/4/19

45

Extended BNF Grammars

- Alternatives: allow rules of form $X ::= y/z$
 - Abbreviates $X ::= y, X ::= z$
- Options: $X ::= y[v]z$
 - Abbreviates $X ::= yvz, X ::= yz$
- Repetition: $X ::= y\{v\}^*z$
 - Can be eliminated by adding new nonterminal V and rules $X ::= yz, X ::= yVz, V ::= v, V ::= vV$

11/4/19

46

Parse Trees

- Graphical representation of derivation
- Each node labeled with either non-terminal or terminal
- If node is labeled with a terminal, then it is a leaf (no sub-trees)
- If node is labeled with a non-terminal, then it has one branch for each character in the right-hand side of rule used to substitute for it

11/4/19

47

Example

- Consider grammar:
 - $\langle \text{exp} \rangle ::= \langle \text{factor} \rangle$
 - $\quad \quad \quad \mid \langle \text{factor} \rangle + \langle \text{factor} \rangle$
 - $\langle \text{factor} \rangle ::= \langle \text{bin} \rangle$
 - $\quad \quad \quad \mid \langle \text{bin} \rangle * \langle \text{exp} \rangle$
 - $\langle \text{bin} \rangle ::= 0 \mid 1$
- Problem: Build parse tree for $1 * 1 + 0$ as an $\langle \text{exp} \rangle$

11/4/19

48

Example cont.

- 1 * 1 + 0: <exp>

<exp> is the start symbol for this parse tree

Example cont.

- 1 * 1 + 0: <exp>
 |
 <factor>

Use rule: <exp> ::= <factor>

Example cont.

- 1 * 1 + 0: <exp>
 |
 <factor>
 / | \
 <bin> * <exp>

Use rule: <factor> ::= <bin> * <exp>

Example cont.

- 1 * 1 + 0: <exp>
 |
 <factor>
 / | \
 <bin> * <exp>
 | / | \
 1 <factor> + <factor>

Use rules: <bin> ::= 1 and
 <exp> ::= <factor> +
 <factor>

Example cont.

- 1 * 1 + 0: <exp>
 |
 <factor>
 / | \
 <bin> * <exp>
 | / | \
 1 <factor> + <factor>
 | |
 <bin> <bin>

Use rule: <factor> ::= <bin>

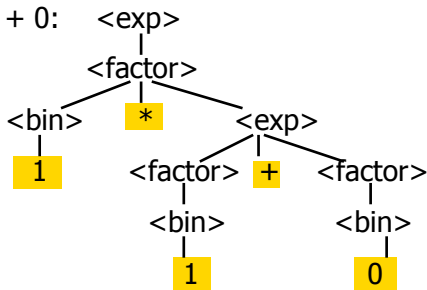
Example cont.

- 1 * 1 + 0: <exp>
 |
 <factor>
 / | \
 <bin> * <exp>
 | / | \
 1 <factor> + <factor>
 | |
 <bin> <bin>
 | |
 1 0

Use rules: <bin> ::= 1 | 0

Example cont.

- 1 * 1 + 0:

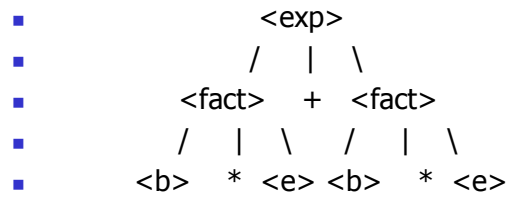


Fringe of tree is string generated by grammar

11/4/19

55

Your Turn: 1 * 0 + 0 * 1



11/4/19

56

Parse Tree Data Structures

- Parse trees may be represented by OCaml datatypes
- One datatype for each nonterminal
- One constructor for each rule
- Defined as mutually recursive collection of datatype declarations

11/4/19

57

Example

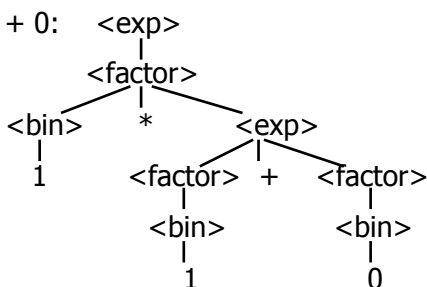
- Recall grammar:
 - $\langle \text{exp} \rangle ::= \langle \text{factor} \rangle \mid \langle \text{factor} \rangle + \langle \text{factor} \rangle$
 - $\langle \text{factor} \rangle ::= \langle \text{bin} \rangle \mid \langle \text{bin} \rangle * \langle \text{exp} \rangle$
 - $\langle \text{bin} \rangle ::= 0 \mid 1$
- type exp = Factor2Exp of factor
 - | Plus of factor * factor
 and factor = Bin2Factor of bin
 - | Mult of bin * exp
 and bin = Zero | One

11/4/19

58

Example cont.

- 1 * 1 + 0:



11/4/19

59

Example cont.

- Can be represented as

```
Factor2Exp
(Mult(One,
      Plus(Bin2Factor One,
            Bin2Factor Zero)))
```

11/4/19

60

Ambiguous Grammars and Languages

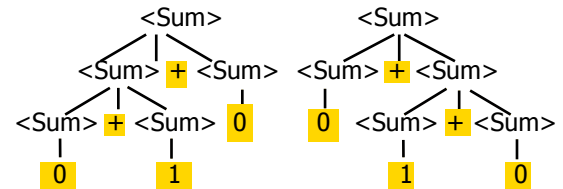
- A BNF grammar is *ambiguous* if its language contains strings for which there is more than one parse tree
- If all BNF's for a language are ambiguous then the language is *inherently ambiguous*

11/4/19

61

Example: Ambiguous Grammar

- $0 + 1 + 0$



11/4/19

62

Example

- What is the result for:

$$3 + 4 * 5 + 6$$

11/4/19

63

Example

- What is the result for:

$$3 + 4 * 5 + 6$$

- Possible answers:

- $41 = ((3 + 4) * 5) + 6$
- $47 = 3 + (4 * (5 + 6))$
- $29 = (3 + (4 * 5)) + 6 = 3 + ((4 * 5) + 6)$
- $77 = (3 + 4) * (5 + 6)$

11/4/19

64

Example

- What is the value of:

$$7 - 5 - 2$$

11/4/19

65

Example

- What is the value of:

$$7 - 5 - 2$$

- Possible answers:

- In Pascal, C++, SML assoc. left
 $7 - 5 - 2 = (7 - 5) - 2 = 0$
- In APL, associate to right
 $7 - 5 - 2 = 7 - (5 - 2) = 4$

11/4/19

66

Two Major Sources of Ambiguity

- Lack of determination of operator precedence
- Lack of determination of operator associativity

- Not the only sources of ambiguity

11/4/19

67

Disambiguating a Grammar

- Given ambiguous grammar G , with start symbol S , find a grammar G' with same start symbol, such that
language of $G = \text{language of } G'$
- Not always possible
- No algorithm in general

11/4/19

68

Disambiguating a Grammar

- Idea: Each non-terminal represents all strings having some property
- Identify these properties (often in terms of things that can't happen)
- Use these properties to inductively guarantee every string in language has a unique parse

11/4/19

69

Steps to Grammar Disambiguation

- Identify the rules and a smallest use that display ambiguity
- Decide which parse to keep; why should others be thrown out?
- What syntactic restrictions on subexpressions are needed to throw out the bad (while keeping the good)?
- Add a new non-terminal and rules to describe this set of restricted subexpressions (called stratifying, or refactoring)
- Replace old rules to use new non-terminals
- Rinse and repeat

11/4/19

70

Example

- Ambiguous grammar:
 $\langle \text{exp} \rangle ::= 0 \mid 1 \mid \langle \text{exp} \rangle + \langle \text{exp} \rangle$
 $\quad \quad \quad \mid \langle \text{exp} \rangle * \langle \text{exp} \rangle$
- String with more than one parse:
 $0 + 1 + 0$
 $1 * 1 + 1$
- Source of ambiguity: associativity and precedence

11/4/19

71

Two Major Sources of Ambiguity

- Lack of determination of operator precedence
- Lack of determination of operator associativity

- Not the only sources of ambiguity

10/4/07

72

How to Enforce Associativity

- Have at most one recursive call per production
- When two or more recursive calls would be natural leave right-most one for right associativity, left-most one for left associativity

10/4/07

73

Example

- $\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \mid (\langle \text{Sum} \rangle)$
- Becomes
 - $\langle \text{Sum} \rangle ::= \langle \text{Num} \rangle \mid \langle \text{Num} \rangle + \langle \text{Sum} \rangle$
 - $\langle \text{Num} \rangle ::= 0 \mid 1 \mid (\langle \text{Sum} \rangle)$

10/4/07

74

Operator Precedence

- Operators of highest precedence evaluated first (bind more tightly).
- Precedence for infix binary operators given in following table
- Needs to be reflected in grammar

10/4/07

75

Precedence Table - Sample

	Fortran	Pascal	C/C++	Ada	SML
highest	**	*, /, div, mod	++, --	**	div, mod, /, *
	*, /	+, -	*, /, %	*, /, mod	+, -, ^
	+, -		+, -	+, -	::

10/4/07

76

First Example Again

- In any above language, $3 + 4 * 5 + 6 = 29$
- In APL, all infix operators have same precedence
 - Thus we still don't know what the value is (handled by associativity)
- How do we handle precedence in grammar?

10/4/07

77

Precedence in Grammar

- Higher precedence translates to longer derivation chain
- Example:
 - $\langle \text{exp} \rangle ::= 0 \mid 1 \mid \langle \text{exp} \rangle + \langle \text{exp} \rangle \mid \langle \text{exp} \rangle * \langle \text{exp} \rangle$
- Becomes
 - $\langle \text{exp} \rangle ::= \langle \text{mult_exp} \rangle \mid \langle \text{exp} \rangle + \langle \text{mult_exp} \rangle$
 - $\langle \text{mult_exp} \rangle ::= \langle \text{id} \rangle \mid \langle \text{mult_exp} \rangle * \langle \text{id} \rangle$
 - $\langle \text{id} \rangle ::= 0 \mid 1$

10/4/07

78

Parser Code

- `<grammar>.ml` defines one parsing function per entry point
- Parsing function takes a lexing function (lexer buffer to token) and a lexer buffer as arguments
- Returns semantic attribute of corresponding entry point

11/4/19

79

Ocamlyacc Input

- File format:

```
%{  
  <header>  
}%  
  <declarations>  
%%  
  <rules>  
%%  
  <trailer>
```

11/4/19

80

Ocamlyacc `<header>`

- Contains arbitrary Ocaml code
- Typically used to give types and functions needed for the semantic actions of rules and to give specialized error recovery
- May be omitted
- `<footer>` similar. Possibly used to call parser

11/4/19

81

Ocamlyacc `<declarations>`

- `%token symbol ... symbol`
 - Declare given symbols as tokens
- `%token <type> symbol ... symbol`
 - Declare given symbols as token constructors, taking an argument of type `<type>`
- `%start symbol ... symbol`
 - Declare given symbols as entry points; functions of same names in `<grammar>.ml`

11/4/19

82

Ocamlyacc `<declarations>`

- `%type <type> symbol ... symbol`
 - Specify type of attributes for given symbols. Mandatory for start symbols
- `%left symbol ... symbol`
- `%right symbol ... symbol`
- `%nonassoc symbol ... symbol`
 - Associate precedence and associativity to given symbols. Same line, same precedence; earlier line, lower precedence (broadest scope)

11/4/19

83

Ocamlyacc `<rules>`

- `nonterminal :`
 - `symbol ... symbol { semantic_action }`
 - ...
 - `symbol ... symbol { semantic_action }`
- ;
- Semantic actions are arbitrary Ocaml expressions
- Must be of same type as declared (or inferred) for `nonterminal`
- Access semantic attributes (values) of symbols by position: \$1 for first symbol, \$2 to second ...

11/4/19

84

Example - Base types

```
(* File: expr.ml *)
type expr =
  Term_as_Expr of term
  | Plus_Expr of (term * expr)
  | Minus_Expr of (term * expr)
and term =
  Factor_as_Term of factor
  | Mult_Term of (factor * term)
  | Div_Term of (factor * term)
and factor =
  Id_as_Factor of string
  | Parenthesized_Expr_as_Factor of expr
```

11/4/19

85

Example - Lexer (exprlex.mll)

```
{ (*open Exprparse*) }
let numeric = ['0' - '9']
let letter = ['a' - 'z' 'A' - 'Z']
rule token = parse
  | "+" {Plus_token}
  | "-" {Minus_token}
  | "*" {Times_token}
  | "/" {Divide_token}
  | "(" {Left_parenthesis}
  | ")" {Right_parenthesis}
  | letter (letter|numeric|"_")* as id {Id_token id}
  | [' '\t' '\n'] {token lexbuf}
  | eof {EOL}
```

11/4/19

86

Example - Parser (exprparse.mly)

```
%{ open Expr
%}
%token <string> Id_token
%token Left_parenthesis Right_parenthesis
%token Times_token Divide_token
%token Plus_token Minus_token
%token EOL
%start main
%type <expr> main
%%
```

11/4/19

87

Example - Parser (exprparse.mly)

```
expr:
  term
    { Term_as_Expr $1 }
  | term Plus_token expr
    { Plus_Expr ($1, $3) }
  | term Minus_token expr
    { Minus_Expr ($1, $3) }
```

11/4/19

88

Example - Parser (exprparse.mly)

```
term:
  factor
    { Factor_as_Term $1 }
  | factor Times_token term
    { Mult_Term ($1, $3) }
  | factor Divide_token term
    { Div_Term ($1, $3) }
```

11/4/19

89

Example - Parser (exprparse.mly)

```
factor:
  Id_token
    { Id_as_Factor $1 }
  | Left_parenthesis expr Right_parenthesis
    { Parenthesized_Expr_as_Factor $2 }
main:
  | expr EOL
    { $1 }
```

11/4/19

90

Example - Using Parser

```
# #use "expr.ml";;
...
# #use "exprparse.ml";;
...
# #use "exprlex.ml";;
...
# let test s =
  let lexbuf = Lexing.from_string (s^"\n") in
    main token lexbuf;;
```

11/4/19

91

Example - Using Parser

```
# test "a + b";;
- : expr =
Plus_Expr
(
  Factor_as_Term (Id_as_Factor "a"),
  Term_as_Expr (
    Factor_as_Term (Id_as_Factor "b")))

```

11/4/19

92

LR Parsing

- Read tokens left to right (L)
- Create a rightmost derivation (R)
- How is this possible?
- Start at the bottom (left) and work your way up
- Last step has only one non-terminal to be replaced so is right-most
- Working backwards, replace mixed strings by non-terminals
- Always proceed so that there are no non-terminals to the right of the string to be replaced

11/4/19

93

Example: $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$= (0 + 1) + 0$ shift

11/4/19

94

Example: $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$= (0 + 1) + 0$ shift
 $= (0 + 1) + 0$ shift

11/4/19

95

Example: $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$\Rightarrow (0 + 1) + 0$ reduce
 $= (0 + 1) + 0$ shift
 $= (0 + 1) + 0$ shift

11/4/19

96

Example

$$(0 + 1) + 0$$

11/4/19

109

Example

$$(0 + 1) + 0$$

11/4/19

110

Example

$$\left(\begin{array}{c} \langle \text{Sum} \rangle \\ | \\ 0 \end{array} + 1 \right) + 0$$

11/4/19

111

Example

$$\left(\begin{array}{c} \langle \text{Sum} \rangle \\ | \\ 0 \end{array} + 1 \right) + 0$$

11/4/19

112

Example

$$\left(\begin{array}{c} \langle \text{Sum} \rangle \\ | \\ 0 \end{array} + 1 \right) + 0$$

11/4/19

113

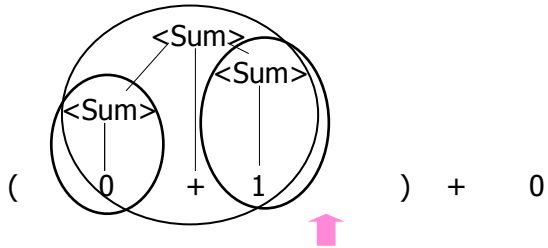
Example

$$\left(\begin{array}{c} \langle \text{Sum} \rangle \\ | \\ 0 \end{array} + \begin{array}{c} \langle \text{Sum} \rangle \\ | \\ 1 \end{array} \right) + 0$$

11/4/19

114

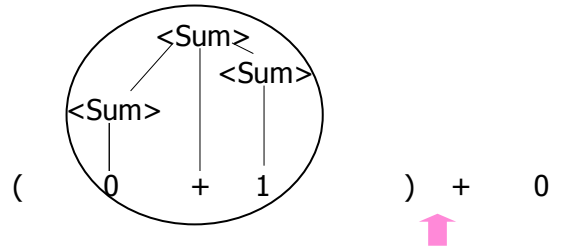
 Example



11/4/19

115

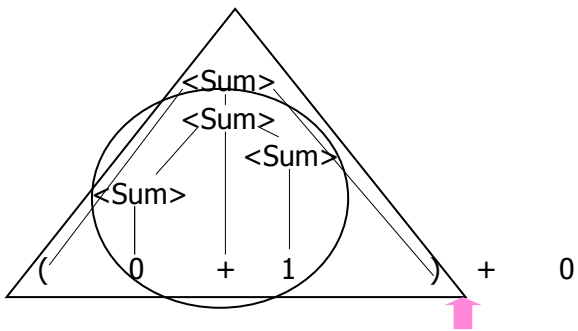
 Example



11/4/19

116

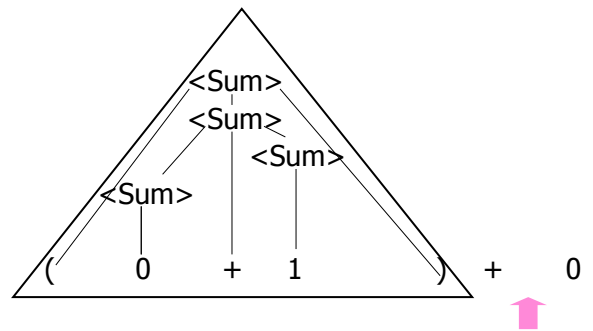
 Example



11/4/19

117

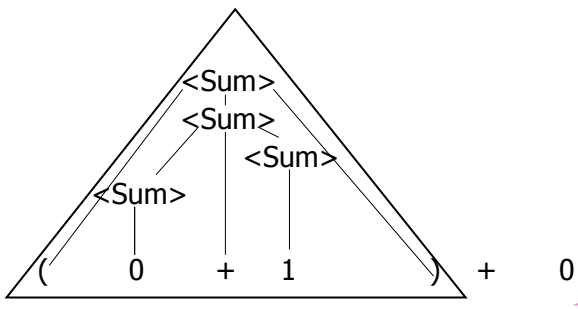
 Example



11/4/19

118

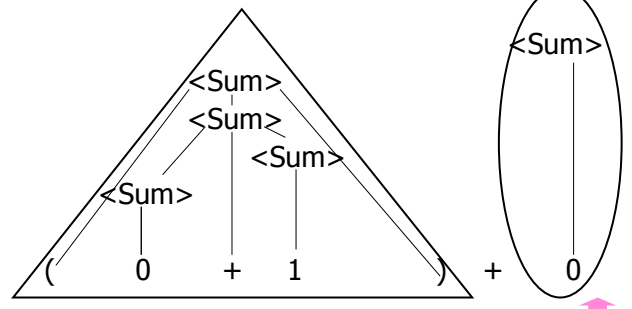
 Example



11/4/19

119

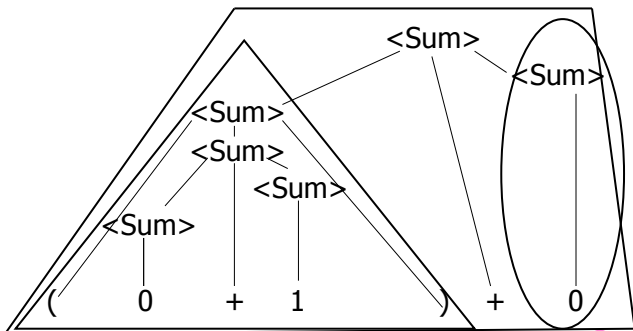
 Example



11/4/19

120

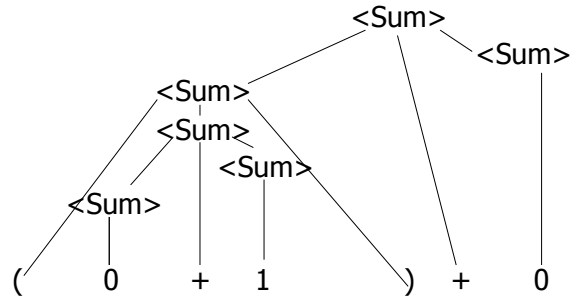
Example



11/4/19

121

Example



11/4/19

122

LR Parsing Tables

- Build a pair of tables, Action and Goto, from the grammar
 - This is the hardest part, we omit here
 - Rows labeled by states
 - For Action, columns labeled by terminals and “end-of-tokens” marker
 - (more generally strings of terminals of fixed length)
 - For Goto, columns labeled by non-terminals

11/4/19

123

Action and Goto Tables

- Given a state and the next input, Action table says either
 - **shift** and go to state n , or
 - **reduce** by production k (explained in a bit)
 - **accept** or **error**
- Given a state and a non-terminal, Goto table says
 - go to state m

11/4/19

124

LR(i) Parsing Algorithm

- Based on push-down automata
- Uses states and transitions (as recorded in Action and Goto tables)
- Uses a stack containing states, terminals and non-terminals

11/4/19

125

LR(i) Parsing Algorithm

0. Insure token stream ends in special “end-of-tokens” symbol
1. Start in state 1 with an empty stack
2. Push **state**(1) onto stack
- 3. Look at next i tokens from token stream ($toks$) (don't remove yet)
4. If top symbol on stack is **state**(n), look up action in Action table at (n , $toks$)

11/4/19

126

LR(i) Parsing Algorithm

5. If action = **shift** m ,
- Remove the top token from token stream and push it onto the stack
 - Push **state**(m) onto stack
 - Go to step 3

11/4/19

127

LR(i) Parsing Algorithm

6. If action = **reduce** k where production k is $E ::= u$
- Remove $2 * \text{length}(u)$ symbols from stack (u and all the interleaved states)
 - If new top symbol on stack is **state**(m), look up new state p in $\text{Goto}(m, E)$
 - Push E onto the stack, then push **state**(p) onto the stack
 - Go to step 3

11/4/19

128

LR(i) Parsing Algorithm

7. If action = **accept**
- Stop parsing, return success
8. If action = **error**,
- Stop parsing, return failure

11/4/19

129

Adding Synthesized Attributes

- Add to each **reduce** a rule for calculating the new synthesized attribute from the component attributes
- Add to each non-terminal pushed onto the stack, the attribute calculated for it
- When performing a **reduce**,
 - gather the recorded attributes from each non-terminal popped from stack
 - Compute new attribute for non-terminal pushed onto stack

11/4/19

130

Shift-Reduce Conflicts

- Problem:** can't decide whether the action for a state and input character should be **shift** or **reduce**
- Caused by ambiguity in grammar
- Usually caused by lack of associativity or precedence information in grammar

11/4/19

131

Example: $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

● 0 + 1 + 0	shift
-> 0 ● + 1 + 0	reduce
-> <Sum> ● + 1 + 0	shift
-> <Sum> + ● 1 + 0	shift
-> <Sum> + 1 ● + 0	reduce
-> <Sum> + <Sum> ● + 0	

11/4/19

132

Example - cont

- **Problem:** shift or reduce?
- You can shift-shift-reduce-reduce or reduce-shift-shift-reduce
- Shift first - right associative
- Reduce first- left associative

11/4/19

133

Reduce - Reduce Conflicts

- **Problem:** can't decide between two different rules to reduce by
- Again caused by ambiguity in grammar
- **Symptom:** RHS of one production suffix of another
- Requires examining grammar and rewriting it
- Harder to solve than shift-reduce errors

11/4/19

134

Example

■ $S ::= A \mid aB$ $A ::= abc$ $B ::= bc$

● abc shift
a ● bc shift
ab ● c shift
abc ●

- Problem: reduce by $B ::= bc$ then by $S ::= aB$, or by $A ::= abc$ then $S::A$?

11/4/19

135