

Programming Languages and Compilers (CS 421)



Elsa L Gunter

2112 SC, UIUC

<http://courses.engr.illinois.edu/cs421>

Based in part on slides by Mattox Beckman, as updated by Vikram Adve and Gul Agha



Continuations

- A programming technique for all forms of “non-local” control flow:
 - non-local jumps
 - exceptions
 - general conversion of non-tail calls to tail calls
- Essentially it's a higher-order function version of GOTO



Continuations

- Idea: Use functions to represent the control flow of a program
- Method: Each procedure takes a function as an extra argument to which to pass its result; outer procedure “returns” no result
- Function receiving the result called a continuation
- Continuation acts as “accumulator” for work still to be done



Continuation Passing Style

- Writing procedures such that all procedure calls take a continuation to which to give (pass) the result, and return no result, is called continuation passing style (CPS)



Continuation Passing Style

- A compilation technique to implement non-local control flow, especially useful in interpreters.
- A formalization of non-local control flow in denotational semantics
- Possible intermediate state in compiling functional code



Why CPS?

- Makes order of evaluation explicitly clear
- Allocates variables (to become registers) for each step of computation
- Essentially converts functional programs into imperative ones
 - Major step for compiling to assembly or byte code
- Tail recursion easily identified
- Strict forward recursion converted to tail recursion
 - At the expense of building large closures in heap



Other Uses for Continuations

- CPS designed to preserve order of evaluation
- Continuations used to express order of evaluation
- Can be used to change order of evaluation
- Implements:
 - Exceptions and exception handling
 - Co-routines
 - (pseudo, aka green) threads



Example

- Simple reporting continuation:

```
# let report x = (print_int x; print_newline( ) );;  
val report : int -> unit = <fun>
```

- Simple function using a continuation:

```
# let addk (a, b) k = k (a + b);;  
val addk : int * int -> (int -> 'a) -> 'a = <fun>  
# addk (22, 20) report;;  
2  
- : unit = ()
```




Simple Functions Taking Continuations

- Given a primitive operation, can convert it to pass its result forward to a continuation

- Examples:

```
# let subk (x, y) k = k(x - y);;
```

```
val subk : int * int -> (int -> 'a) -> 'a = <fun>
```

```
# let eqk (x, y) k = k(x = y);;
```

```
val eqk : 'a * 'a -> (bool -> 'b) -> 'b = <fun>
```

```
# let timesk (x, y) k = k(x * y);;
```

```
val timesk : int * int -> (int -> 'a) -> 'a = <fun>
```



Nesting Continuations

```
# let add_triple (x, y, z) = (x + y) + z;;  
val add_triple : int * int * int -> int = <fun>  
# let add_triple (x,y,z)=let p = x + y in p + z;;  
val add_triple : int * int * int -> int = <fun>  
# let add_triple_k (x, y, z) k =  
  addk (x, y) (fun p -> addk (p, z) k);;  
val add_triple_k: int * int * int -> (int -> 'a) ->  
  'a = <fun>
```



add_three: a different order

- `# let add_triple (x, y, z) = x + (y + z);;`
- How do we write `add_triple_k` to use a different order?

- `let add_triple_k (x, y, z) k =`



add_three: a different order

- `# let add_triple (x, y, z) = x + (y + z);;`
- How do we write `add_triple_k` to use a different order?
- `let add_triple_k (x, y, z) k =
 addk (y,z) (fun r -> addk(x,r) k)`



Recursive Functions

■ Recall:

```
# let rec factorial n =
```

```
  if n = 0 then 1 else n * factorial (n - 1);;
```

```
val factorial : int -> int = <fun>
```

```
# factorial 5;;
```

```
- : int = 120
```



Recursive Functions

```
# let rec factorial n =  
  let b = (n = 0) in (* First computation *)  
  if b then 1 (* Returned value *)  
  else let s = n - 1 in (* Second computation *)  
        let r = factorial s in (* Third computation *)  
        n * r (* Returned value *) ;;  
val factorial : int -> int = <fun>  
# factorial 5;;  
- : int = 120
```



Recursive Functions

```
# let rec factorialk n k =  
  eqk (n, 0)  
  (fun b -> (* First computation *)  
    if b then k 1 (* Passed value *)  
    else subk (n, 1) (* Second computation *)  
    (fun s -> factorialk s (* Third computation *)  
      (fun r -> timesk (n, r) k))) (* Passed value *)  
val factorialk : int -> (int -> 'a) -> 'a = <fun>  
# factorialk 5 report;;  
120  
- : unit = ()
```



Recursive Functions

- To make recursive call, must build intermediate continuation to
 - take recursive value: r
 - build it to final result: $n * r$
 - And pass it to final continuation:
 - $\text{times } (n, r) \text{ } k = k (n * r)$



Example: CPS for length

```
let rec length list = match list with [] -> 0  
  | (a :: bs) -> 1 + length bs
```

What is the let-expanded version of this?



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let rec length list = match list with [] -> 0
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```
#let rec length list = match list with [] -> 0
  | (a :: bs) -> let r1 = length bs in 1 + r1
```

What is the CSP version of this?

```
#let rec lengthk list k = match list with [ ] -> k 0
  | x :: xs -> lengthk xs (fun r -> addk (r,1) k);;
```

```
val lengthk : 'a list -> (int -> 'b) -> 'b = <fun>
```

```
# lengthk [2;4;6;8] report;;
```

```
4
```

```
- : unit = ()
```



CPS for Higher Order Functions

- In CPS, every procedure / function takes a continuation to receive its result
- Procedures passed as arguments take continuations
- Procedures returned as results take continuations
- CPS version of higher-order functions must expect input procedures to take continuations



Example: all

```
#let rec all (p, l) = match l with [] -> true  
  | (x :: xs) -> let b = p x in  
    if b then all (p, xs) else false
```

```
val all : ('a -> bool) -> 'a list -> bool = <fun>
```

- What is the CPS version of this?



Example: all

```
#let rec all (p, l) = match l with [] -> true
  | (x :: xs) -> let b = p x in
    if b then all (p, xs) else false
```

```
val all : ('a -> bool) -> 'a list -> bool = <fun>
```

- What is the CPS version of this?

```
#let rec allk (pk, l) k =
```



Example: all

```
#let rec all (p, l) = match l with [] -> true
  | (x :: xs) -> let b = p x in
    if b then all (p, xs) else false
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val all : ('a -> bool) -> 'a list -> bool = <fun>
```

- What is the CPS version of this?

```
#let rec allk (pk, l) k = match l with [] -> true
```




Example: all

```
#let rec all (p, l) = match l with [] -> true
  | (x :: xs) -> let b = p x in
    if b then all (p, xs) else false
```

```
val all : ('a -> bool) -> 'a list -> bool = <fun>
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- What is the CPS version of this?

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#let rec allk (pk, l) k = match l with [] -> k true
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Example: all

```
#let rec all (p, l) = match l with [] -> true
  | (x :: xs) -> let b = p x in
    if b then all (p, xs) else false
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val all : ('a -> bool) -> 'a list -> bool = <fun>
```

- What is the CPS version of this?

```
#let rec allk (pk, l) k = match l with [] -> k true
  | (x :: xs) ->
```



Example: all

```
#let rec all (p, l) = match l with [] -> true
  | (x :: xs) -> let b = p x in
    if b then all (p, xs) else false
```

```
val all : ('a -> bool) -> 'a list -> bool = <fun>
```

- What is the CPS version of this?

```
#let rec allk (pk, l) k = match l with [] -> k true
  | (x :: xs) -> pk x
```



Example: all

```
#let rec all (p, l) = match l with [] -> true
  | (x :: xs) -> let b = p x in
    if b then all (p, xs) else false
```

```
val all : ('a -> bool) -> 'a list -> bool = <fun>
```

- What is the CPS version of this?

```
#let rec allk (pk, l) k = match l with [] -> k true
  | (x :: xs) -> pk x
    (fun b -> if b then
    ) else
```



Example: all

```
#let rec all (p, l) = match l with [] -> true
  | (x :: xs) -> let b = p x in
    if b then all (p, xs) else false
```

```
val all : ('a -> bool) -> 'a list -> bool = <fun>
```

- What is the CPS version of this?

```
#let rec allk (pk, l) k = match l with [] -> k true
  | (x :: xs) -> pk x
    (fun b -> if b then allk (pk, xs) k else k
false)
```

```
val allk : ('a -> (bool -> 'b) -> 'b) * 'a list ->
(bool -> 'b) -> 'b = <fun>
```



CPS for sum

```
# let rec sum list = match list with [ ] -> 0
  | x :: xs -> x + sum xs ;;
val sum : int list -> int = <fun>
```



CPS for sum

```
# let rec sum list = match list with [ ] -> 0
  | x :: xs -> x + sum xs ;;
val sum : int list -> int = <fun>
# let rec sum list = match list with [ ] -> 0
  | x :: xs -> let r1 = sum xs in x + r1;;
```



CPS for sum

```
# let rec sum list = match list with [ ] -> 0
  | x :: xs -> x + sum xs ;;
```

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val sum : int list -> int = <fun>
```

```
# let rec sum list = match list with [ ] -> 0
  | x :: xs -> let r1 = sum xs in x + r1;;
```

```
val sum : int list -> int = <fun>
```

```
# let rec sumk list k = match list with [ ] -> k 0
  | x :: xs -> sumk xs (fun r1 -> addk x r1 k);;
```




CPS for sum

```
# let rec sum list = match list with [ ] -> 0
```

```
  | x :: xs -> x + sum xs ;;
```

```
val sum : int list -> int = <fun>
```

```
# let rec sum list = match list with [ ] -> 0
```

```
  | x :: xs -> let r1 = sum xs in x + r1;;
```

```
val sum : int list -> int = <fun>
```

```
# let rec sumk list k = match list with [ ] -> k 0
```

```
  | x :: xs -> sumk xs (fun r1 -> addk (x, r1) k);;
```

```
val sumk : int list -> (int -> 'a) -> 'a = <fun>
```

```
# sumk [2;4;6;8] report;;
```

```
20
```

```
- : unit = ()
```

```
9/22/19
```



Terms

- A function is in **Direct Style** when it returns its result back to the caller.
- A **Tail Call** occurs when a function returns the result of another function call without any more computations (eg tail recursion)
- A function is in **Continuation Passing Style** when it, and every function call in it, passes its result to another function.
- Instead of returning the result to the caller, we pass it forward to another function.



Terminology

- Tail Position: A subexpression s of expressions e , such that if evaluated, will be taken as the value of e
 - if $(x > 3)$ then $x + 2$ else $x - 4$
 - let $x = 5$ in $x + 4$
- Tail Call: A function call that occurs in tail position
 - if $(h\ x)$ then $f\ x$ else $(x + g\ x)$

Terminology

- **Available:** A function call that can be executed by the current expression
- The fastest way to be unavailable is to be guarded by an abstraction (anonymous function, lambda lifted).

- if `(h x)` then `f x` else `(x + g x)`
- if `(h x)` then `(fun x -> f x)` else `(g (x + x))`



Not available



CPS Transformation

- Step 1: Add continuation argument to any function definition:
 - $\text{let } f \text{ arg} = e \Rightarrow \text{let } f \text{ arg } k = e$
 - Idea: Every function takes an extra parameter saying where the result goes
- Step 2: A simple expression in tail position should be passed to a continuation instead of returned:
 - $\text{return } a \Rightarrow k \ a$
 - Assuming a is a constant or variable.
 - “Simple” = “No available function calls.”



CPS Transformation

- Step 3: Pass the current continuation to every function call in tail position
 - $\text{return } f \text{ arg} \Rightarrow f \text{ arg } k$
 - The function “isn’t going to return,” so we need to tell it where to put the result.



CPS Transformation

- Step 4: Each function call not in tail position needs to be converted to take a new continuation (containing the old continuation as appropriate)
 - $\text{return op (f arg)} \Rightarrow \text{f arg (fun r -> k(op r))}$
 - op represents a primitive operation

 - $\text{return f(g arg)} \Rightarrow \text{g arg (fun r-> f r k)}$



Example

Before:

```
let rec add_list lst =  
  match lst with  
  | [] -> 0  
  | 0 :: xs -> add_list xs  
  | x :: xs -> (+) x  
    (add_list xs);;
```

After:

```
let rec add_listk lst k =  
  (* rule 1 *)  
  match lst with  
  | [] -> k 0 (* rule 2 *)  
  | 0 :: xs -> add_listk xs k  
    (* rule 3 *)  
  | x :: xs -> add_listk xs  
    (fun r -> k ((+) x r));;  
  (* rule 4 *)
```




Other Uses for Continuations

- CPS designed to preserve order of evaluation
- Continuations used to express order of evaluation
- Can be used to change order of evaluation
- Implements:
 - Exceptions and exception handling
 - Co-routines
 - (pseudo, aka green) threads



Exceptions - Example

```
# exception Zero;;  
exception Zero  
# let rec list_mult_aux list =  
  match list with [ ] -> 1  
  | x :: xs ->  
    if x = 0 then raise Zero  
    else x * list_mult_aux xs;;  
val list_mult_aux : int list -> int = <fun>
```



Exceptions - Example

```
# let list_mult list =  
  try list_mult_aux list with Zero -> 0;;  
val list_mult : int list -> int = <fun>  
# list_mult [3;4;2];;  
- : int = 24  
# list_mult [7;4;0];;  
- : int = 0  
# list_mult_aux [7;4;0];;  
Exception: Zero.
```



Exceptions

- When an exception is raised
 - The current computation is aborted
 - Control is “thrown” back up the call stack until a matching handler is found
 - All the intermediate calls waiting for a return values are thrown away



Implementing Exceptions

```
# let multkp (m, n) k =
```

```
  let r = m * n in
```

```
    (print_string "product result: ";
```

```
      print_int r; print_string "\n";
```

```
      k r);;
```

```
val multkp : int ( int -> (int -> 'a) -> 'a =  
  <fun>
```



Implementing Exceptions

```
# let rec list_multk_aux list k kexcp =  
  match list with [ ] -> k 1  
  | x :: xs -> if x = 0 then kexcp 0  
               else list_multk_aux xs  
                (fun r -> multkp (x, r) k) kexcp;;  
val list_multk_aux : int list -> (int -> 'a) -> (int -> 'a)  
  -> 'a = <fun>  
# let rec list_multk list k = list_multk_aux list k k;;  
val list_multk : int list -> (int -> 'a) -> 'a = <fun>
```



Implementing Exceptions

```
# list_multk [3;4;2] report;;
```

```
product result: 2
```

```
product result: 8
```

```
product result: 24
```

```
24
```

```
- : unit = ()
```

```
# list_multk [7;4;0] report;;
```

```
0
```

```
- : unit = ()
```