

Programming Languages and Compilers (CS 421)

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Based in part on slides by Mattox Beckman, as updated by Vikram Adve, Gul Agha, and Elsa L Gunter

BNF Grammars

- Start with a set of characters, **a,b,c,...**
 - We call these *terminals*
- Add a set of different characters, **X,Y,Z,...**
 - We call these *nonterminals*
- One special nonterminal **S** called *start symbol*

BNF Grammars

- BNF rules (aka *productions*) have form

$$\mathbf{X} ::= y$$

where $\mathbf{X}$ is any nonterminal and y is a string of terminals and nonterminals

- BNF *grammar* is a set of BNF rules such that every nonterminal appears on the left of some rule

Sample Grammar

- Terminals: 0 1 + ()
- Nonterminals: $\langle \text{Sum} \rangle$
- Start symbol = $\langle \text{Sum} \rangle$
- $\langle \text{Sum} \rangle ::= 0$
- $\langle \text{Sum} \rangle ::= 1$
- $\langle \text{Sum} \rangle ::= \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$
- $\langle \text{Sum} \rangle ::= (\langle \text{Sum} \rangle)$
- Can be abbreviated as
$$\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \mid ()$$

BNF Derivations

- Given rules

$$\mathbf{X} ::= y\mathbf{Z}w \text{ and } \mathbf{Z} ::= v$$

we may replace $\mathbf{Z}$ by v to say

$$\mathbf{X} \Rightarrow y\mathbf{Z}w \Rightarrow yvw$$

- Sequence of such replacements called *derivation*
- Derivation called *right-most* if always replace the right-most non-terminal

BNF Semantics

- The meaning of a BNF grammar is the set of all strings consisting only of terminals that can be derived from the Start symbol

BNF Derivations

$\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \mid (\langle \text{Sum} \rangle)$

- Start with the start symbol:

$\langle \text{Sum} \rangle \Rightarrow$

BNF Derivations

$\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \mid (\langle \text{Sum} \rangle)$

- Pick a non-terminal

$\langle \text{Sum} \rangle \Rightarrow$

BNF Derivations

$\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \mid (\langle \text{Sum} \rangle)$

■ Pick a rule and substitute:

■ $\langle \text{Sum} \rangle ::= \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

BNF Derivations

$\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \mid (\langle \text{Sum} \rangle)$

- Pick a non-terminal:

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

BNF Derivations

$\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \mid (\langle \text{Sum} \rangle)$

- Pick a rule and substitute:

- $\langle \text{Sum} \rangle ::= (\langle \text{Sum} \rangle)$

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$

BNF Derivations

$\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \mid (\langle \text{Sum} \rangle)$

- Pick a non-terminal:

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$
 $\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$

BNF Derivations

$\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \mid (\langle \text{Sum} \rangle)$

■ Pick a rule and substitute:

■ $\langle \text{Sum} \rangle ::= \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$

BNF Derivations

$\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \mid (\langle \text{Sum} \rangle)$

- Pick a non-terminal:

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$

BNF Derivations

$\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \mid (\langle \text{Sum} \rangle)$

■ Pick a rule and substitute:

■ $\langle \text{Sum} \rangle ::= 1$

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle + 1) + \langle \text{Sum} \rangle$

BNF Derivations

$\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \mid (\langle \text{Sum} \rangle)$

- Pick a non-terminal:

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle + 1) + \langle \text{Sum} \rangle$

BNF Derivations

$\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \mid (\langle \text{Sum} \rangle)$

■ Pick a rule and substitute:

■ $\langle \text{Sum} \rangle ::= 0$

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle + 1) + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle + 1) + 0$

BNF Derivations

$\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \mid (\langle \text{Sum} \rangle)$

- Pick a non-terminal:

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle + 1) + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle + 1) + 0$

BNF Derivations

$\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \mid (\langle \text{Sum} \rangle)$

- Pick a rule and substitute

- $\langle \text{Sum} \rangle ::= 0$

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle + 1) + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle + 1) 0$

$\Rightarrow (0 + 1) + 0$

BNF Derivations

$\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \mid (\langle \text{Sum} \rangle)$

- $(0 + 1) + 0$ is generated by grammar

$\begin{aligned} \langle \text{Sum} \rangle &\Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \\ &\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle \\ &\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + \langle \text{Sum} \rangle \\ &\Rightarrow (\langle \text{Sum} \rangle + 1) + \langle \text{Sum} \rangle \\ &\Rightarrow (\langle \text{Sum} \rangle + 1) + 0 \\ &\Rightarrow (0 + 1) + 0 \end{aligned}$

Regular Grammars

- Subclass of BNF
- Only rules of form
 $\langle \text{nonterminal} \rangle ::= \langle \text{terminal} \rangle \langle \text{nonterminal} \rangle$ or
 $\langle \text{nonterminal} \rangle ::= \langle \text{terminal} \rangle$ or
 $\langle \text{nonterminal} \rangle ::= \epsilon$
- Defines same class of languages as regular expressions
- Important for writing lexers (programs that convert strings of characters into strings of tokens)

Example

- Regular grammar:
 - $\langle \text{Balanced} \rangle ::= \varepsilon$
 - $\langle \text{Balanced} \rangle ::= 0 \langle \text{OneAndMore} \rangle$
 - $\langle \text{Balanced} \rangle ::= 1 \langle \text{ZeroAndMore} \rangle$
 - $\langle \text{OneAndMore} \rangle ::= 1 \langle \text{Balanced} \rangle$
 - $\langle \text{ZeroAndMore} \rangle ::= 0 \langle \text{Balanced} \rangle$
- Generates even length strings where every initial substring of even length has same number of 0's as 1's

Extended BNF Grammars

- Alternatives: allow rules of form $X ::= y/z$
 - Abbreviates $X ::= y, X ::= z$
- Options: $X ::= y[v]z$
 - Abbreviates $X ::= yvz, X ::= yz$
- Repetition: $X ::= y\{v\}^*z$
 - Can be eliminated by adding new nonterminal V and rules $X ::= yz, X ::= yVz, V ::= v, V ::= V$

Parse Trees

- Graphical representation of derivation
- Each node labeled with either non-terminal or terminal
- If node is labeled with a terminal, then it is a leaf (no sub-trees)
- If node is labeled with a non-terminal, then it has one branch for each character in the right-hand side of rule used to substitute for it

Example

- Consider grammar:

$$\begin{aligned} \langle \text{exp} \rangle &::= \langle \text{factor} \rangle \\ &\quad | \langle \text{factor} \rangle + \langle \text{factor} \rangle \\ \langle \text{factor} \rangle &::= \langle \text{bin} \rangle \\ &\quad | \langle \text{bin} \rangle * \langle \text{exp} \rangle \\ \langle \text{bin} \rangle &::= 0 \mid 1 \end{aligned}$$

- Problem: Build parse tree for $1 * 1 + 0$ as an $\langle \text{exp} \rangle$

Example cont.

- $1 * 1 + 0$: $\langle \text{exp} \rangle$

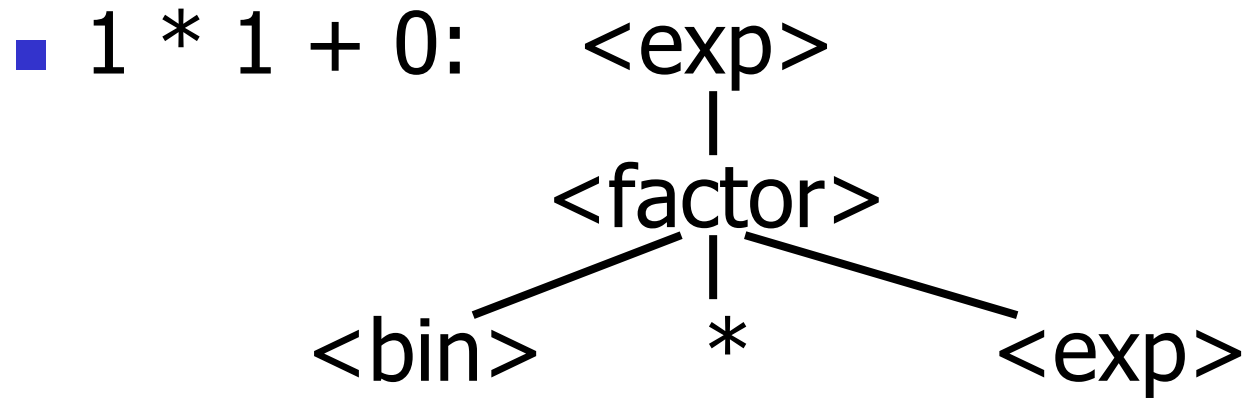
$\langle \text{exp} \rangle$ is the start symbol for this parse tree

Example cont.

■ $1 * 1 + 0$: $\begin{array}{c} \langle \text{exp} \rangle \\ | \\ \langle \text{factor} \rangle \end{array}$

Use rule: $\langle \text{exp} \rangle ::= \langle \text{factor} \rangle$

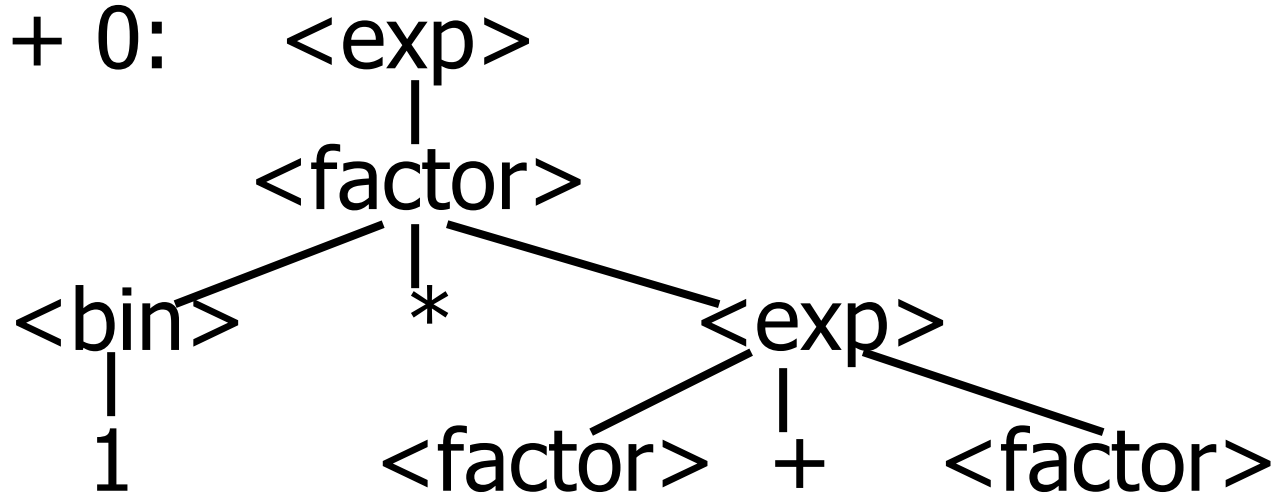
Example cont.



Use rule: $\langle \text{factor} \rangle ::= \langle \text{bin} \rangle * \langle \text{exp} \rangle$

Example cont.

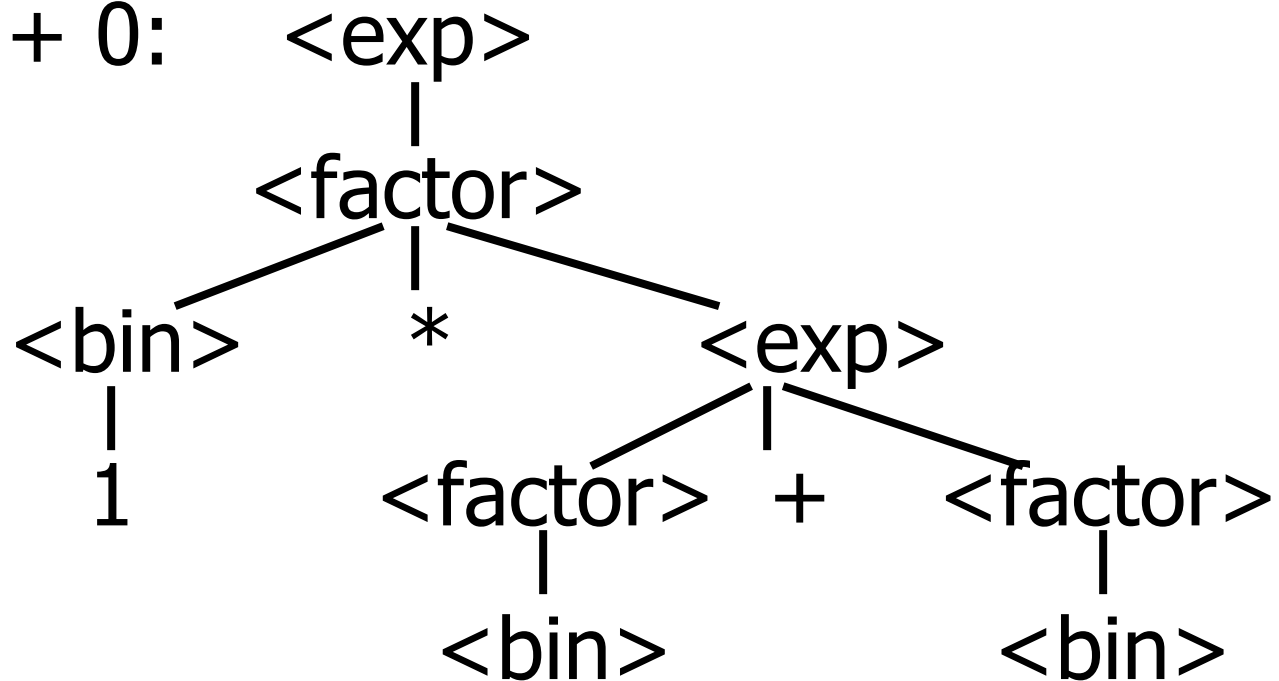
- 1 * 1 + 0:



Use rules: `<bin> ::= 1` and
`<exp> ::= <factor> +`
`<factor>`

Example cont.

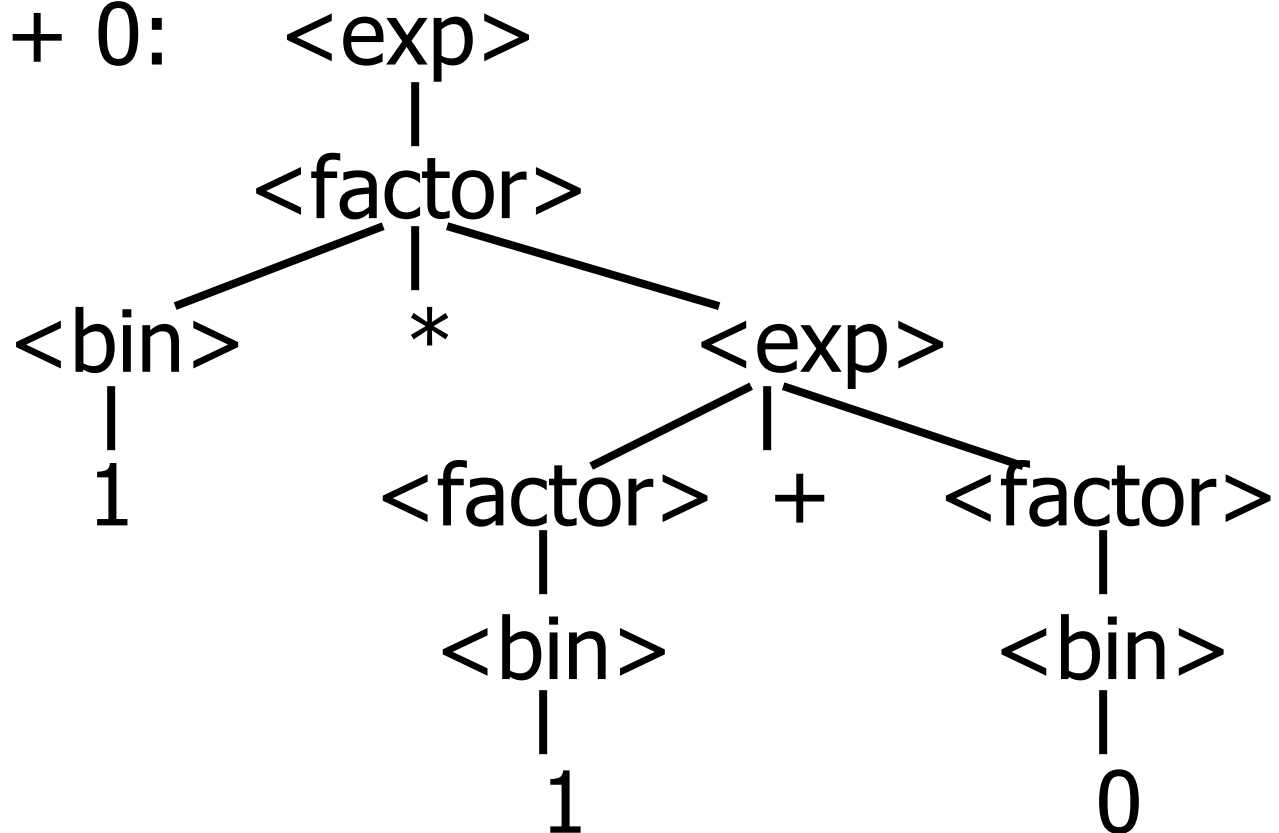
- 1 * 1 + 0:



Use rule: `<factor> ::= <bin>`

Example cont.

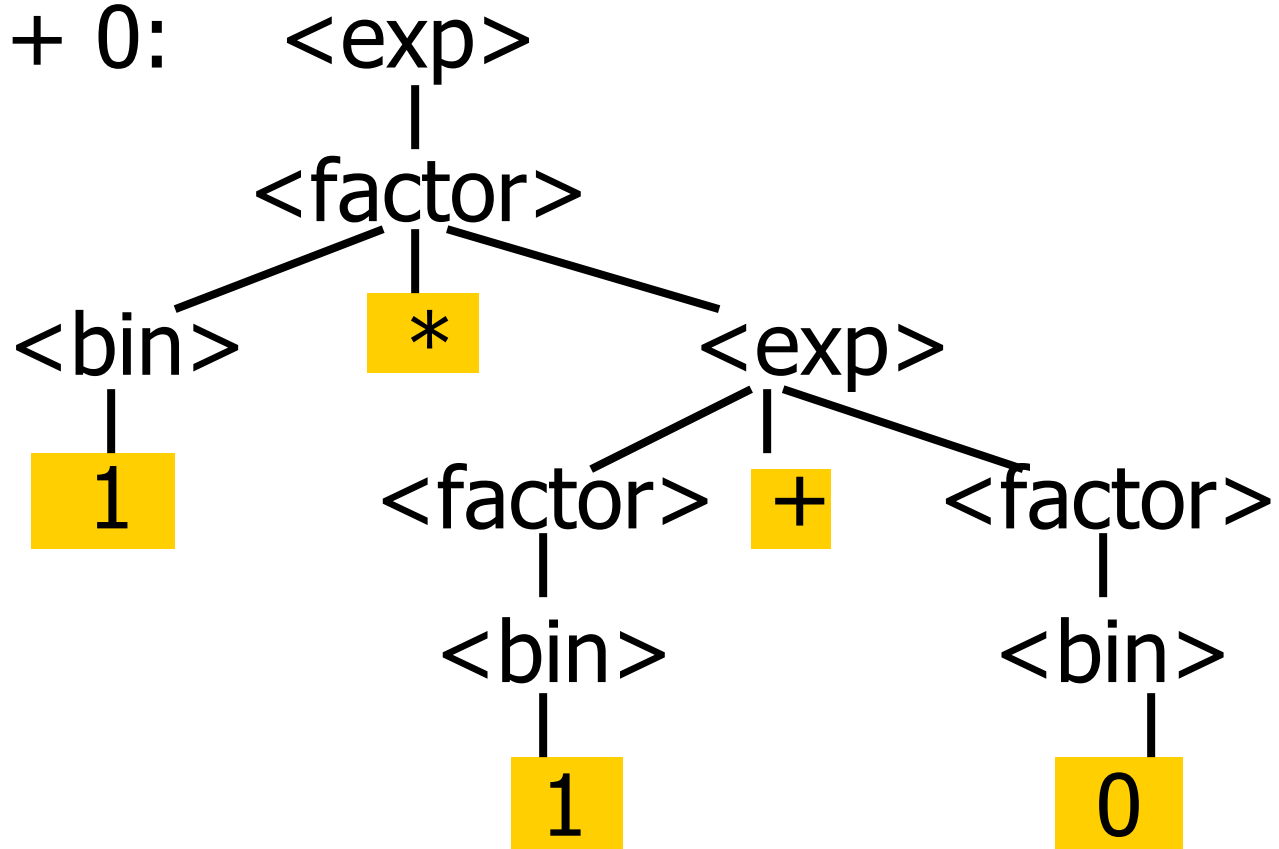
- 1 * 1 + 0:



Use rules: `<bin> ::= 1 | 0`

Example cont.

- 1 * 1 + 0:



Fringe of tree is string generated by grammar

Your Turn: $1 * 0 + 0 * 1$

```
<exp> ::= <factor>
        | <factor> + <factor>
<factor> ::= <bin>
           | <bin> * <exp>
<bin> ::= 0 | 1
```

Parse Tree Data Structures

- Parse trees may be represented by OCaml datatypes
- One datatype for each nonterminal
- One constructor for each rule
- Defined as mutually recursive collection of datatype declarations

Example

- Recall grammar:

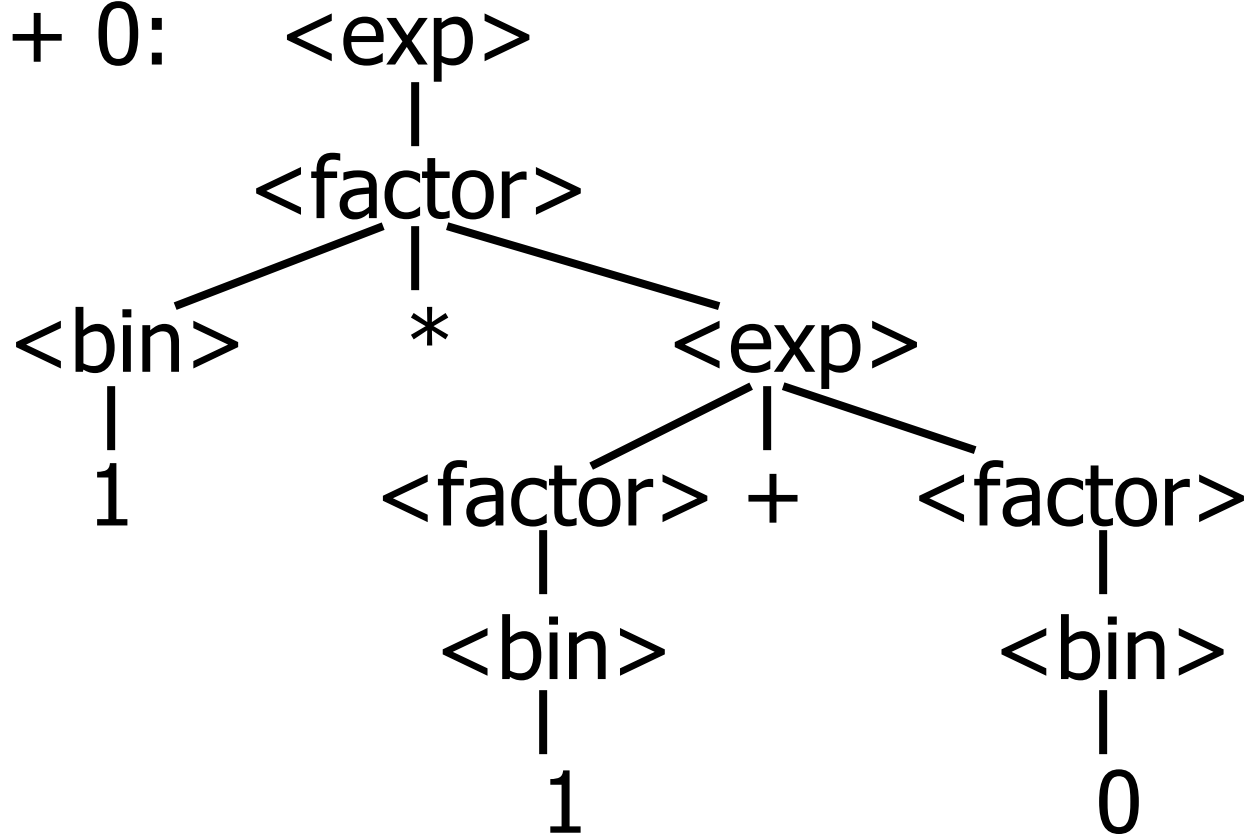
$$\begin{aligned} \langle \text{exp} \rangle & ::= \langle \text{factor} \rangle \mid \langle \text{factor} \rangle + \langle \text{factor} \rangle \\ \langle \text{factor} \rangle & ::= \langle \text{bin} \rangle \mid \langle \text{bin} \rangle * \langle \text{exp} \rangle \\ \langle \text{bin} \rangle & ::= 0 \mid 1 \end{aligned}$$

- type exp = Factor2Exp of factor
 | Plus of factor * factor
and factor = Bin2Factor of bin
 | Mult of bin * exp
and bin = Zero | One

Example cont.

- type exp = Factor2Exp of factor
 | Plus of factor * factor
and factor = Bin2Factor of bin
 | Mult of bin * exp
and bin = Zero | One

- 1 * 1 + 0:



Example cont.

- Can be represented as

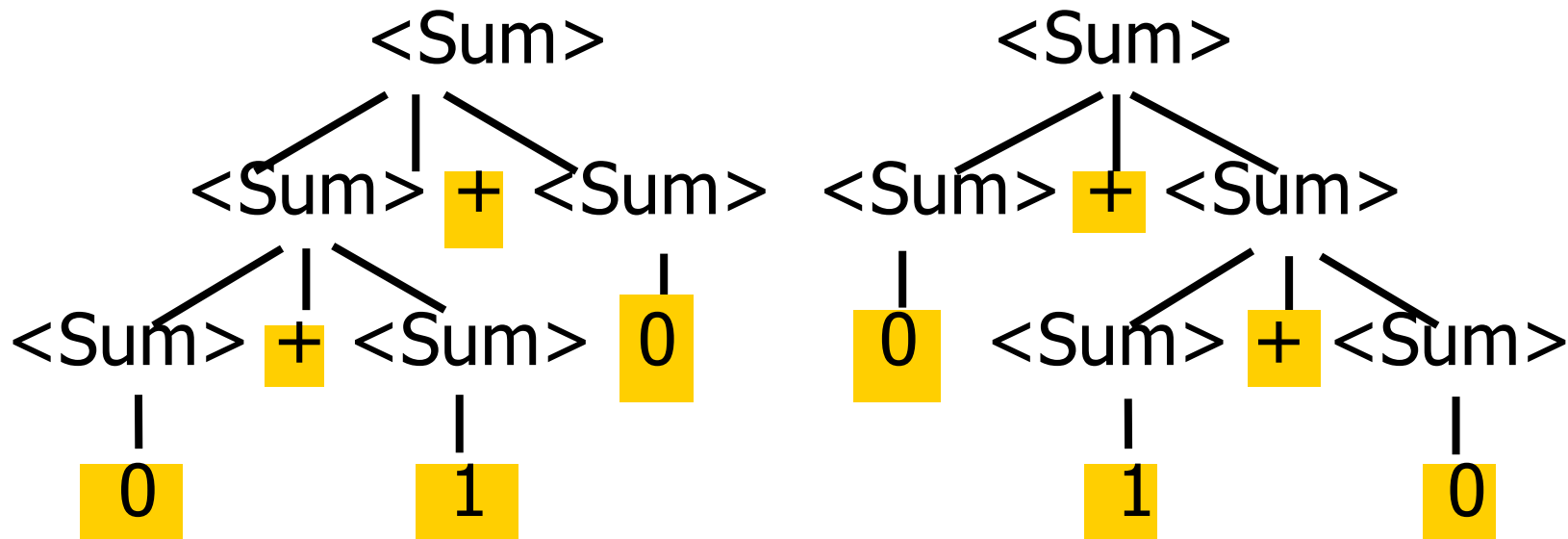
```
Factor2Exp  
(Mult(One,  
      Plus(Bin2Factor One,  
            Bin2Factor Zero)))
```

Ambiguous Grammars and Languages

- A BNF grammar is *ambiguous* if its language contains strings for which there is more than one parse tree
- If all BNF's for a language are ambiguous then the language is *inherently ambiguous*

Example: Ambiguous Grammar

■ $0 + 1 + 0$



Example

- What is the result for:

$$3 + 4 * 5 + 6$$

Example

- What is the result for:

$$3 + 4 * 5 + 6$$

- Possible answers:

- $41 = ((3 + 4) * 5) + 6$
- $47 = 3 + (4 * (5 + 6))$
- $29 = (3 + (4 * 5)) + 6 = 3 + ((4 * 5) + 6)$
- $77 = (3 + 4) * (5 + 6)$

Example

- What is the value of:

$$7 - 5 - 2$$

Example

- What is the value of:

$$7 - 5 - 2$$

- Possible answers:
 - In Pascal, C++, SML assoc. left
$$7 - 5 - 2 = (7 - 5) - 2 = 0$$
 - In APL, associate to right
$$7 - 5 - 2 = 7 - (5 - 2) = 4$$

Two Major Sources of Ambiguity

- Lack of determination of operator precedence
- Lack of determination of operator associativity
- Not the only sources of ambiguity

Disambiguating a Grammar

- Given ambiguous grammar G , with start symbol S , find a grammar G' with same start symbol, such that
$$\text{language of } G = \text{language of } G'$$
- Not always possible
- No algorithm in general

Disambiguating a Grammar

- Idea: Each non-terminal represents all strings having some property
- Identify these properties (often in terms of things that can't happen)
- Use these properties to inductively guarantee every string in language has a unique parse

Steps to Grammar Disambiguation

- Identify the rules and a smallest use that display ambiguity
- Decide which parse to keep; why should others be thrown out?
- What syntactic restrictions on subexpressions are needed to throw out the bad (while keeping the good)?
- Add a new non-terminal and rules to describe this set of restricted subexpressions (called stratifying, or refactoring)
- Replace old rules to use new non-terminals
- Rinse and repeat

Example

- Ambiguous grammar:

$$\begin{aligned} \langle \text{exp} \rangle ::= & 0 \mid 1 \mid \langle \text{exp} \rangle + \langle \text{exp} \rangle \\ & \mid \langle \text{exp} \rangle * \langle \text{exp} \rangle \end{aligned}$$

- String with more than one parse:

$$0 + 1 + 0$$
$$1 * 1 + 1$$

- Source of ambiguity: associativity and precedence

Two Major Sources of Ambiguity

- Lack of determination of operator precedence
- Lack of determination of operator associativity
- Not the only sources of ambiguity

How to Enforce Associativity

- Have at most one recursive call per production
- When two or more recursive calls would be natural leave right-most one for right associativity, left-most one for left associativity

Example

- $\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$
 $\mid (\langle \text{Sum} \rangle)$
- Becomes
 - $\langle \text{Sum} \rangle ::= \langle \text{Num} \rangle \mid \langle \text{Num} \rangle + \langle \text{Sum} \rangle$
 - $\langle \text{Num} \rangle ::= 0 \mid 1 \mid (\langle \text{Sum} \rangle)$

Operator Precedence

- Operators of highest precedence evaluated first (bind more tightly).
- Precedence for infix binary operators given in following table
- Needs to be reflected in grammar

Precedence Table - Sample

	Fortan	Pascal	C/C++	Ada	SML
highest	**	*, /, div, mod	++, --	**	div, mod, /, *
	*, /	+, -	*, /, %	*, /, mod	+, -, ^
	+, -		+, -	+, -	::

First Example Again

- In any above language, $3 + 4 * 5 + 6 = 29$
- In APL, all infix operators have same precedence
 - Thus we still don't know what the value is (handled by associativity)
- How do we handle precedence in grammar?

Predence in Grammar

- Higher precedence translates to longer derivation chain

- Example:

$$\begin{aligned} \langle \text{exp} \rangle ::= & 0 \mid 1 \mid \langle \text{exp} \rangle + \langle \text{exp} \rangle \\ & \mid \langle \text{exp} \rangle * \langle \text{exp} \rangle \end{aligned}$$

- Becomes

$$\begin{aligned} \langle \text{exp} \rangle ::= & \langle \text{mult_exp} \rangle \\ & \mid \langle \text{exp} \rangle + \langle \text{mult_exp} \rangle \\ \langle \text{mult_exp} \rangle ::= & \langle \text{id} \rangle \mid \langle \text{mult_exp} \rangle * \langle \text{id} \rangle \\ \langle \text{id} \rangle ::= & 0 \mid 1 \end{aligned}$$

Parser Code

- `<grammar>.ml` defines one parsing function per entry point
- Parsing function takes a lexing function (lexer buffer to token) and a lexer buffer as arguments
- Returns semantic attribute of corresponding entry point

Ocamlyacc Input

- File format:

%{

<header>

%}

<declarations>

%%

<rules>

%%

<trailer>

Ocamlyacc <*header*>

- Contains arbitrary Ocaml code
- Typically used to give types and functions needed for the semantic actions of rules and to give specialized error recovery
- May be omitted
- <*footer*> similar. Possibly used to call parser

Ocamlyacc <declarations>

- **%token** *symbol ... symbol*
- Declare given symbols as tokens
- **%token** *<type> symbol ... symbol*
- Declare given symbols as token constructors, taking an argument of type *<type>*
- **%start** *symbol ... symbol*
- Declare given symbols as entry points; functions of same names in *<grammar>.ml*

Ocamlyacc <declarations>

- **%type** <type> symbol ... symbol

Specify type of attributes for given symbols.
Mandatory for start symbols

- **%left** symbol ... symbol

- **%right** symbol ... symbol

- **%nonassoc** symbol ... symbol

Associate precedence and associativity to given symbols. Same line, same precedence; earlier line, lower precedence (broadest scope)

Ocamlyacc <rules>

- *nonterminal* :
 symbol ... symbol { semantic_action }
 |
 ...
 | *symbol ... symbol { semantic_action }*
 ;
■ Semantic actions are arbitrary Ocaml expressions
■ Must be of same type as declared (or inferred) for *nonterminal*
■ Access semantic attributes (values) of symbols by position: \$1 for first symbol, \$2 to second ...

Example - Base types

(* File: expr.ml *)

type expr =

Term_as_Expr of term

| Plus_Expr of (term * expr)

| Minus_Expr of (term * expr)

and term =

Factor_as_Term of factor

| Mult_Term of (factor * term)

| Div_Term of (factor * term)

and factor =

Id_as_Factor of string

| Parenthesized_Expr_as_Factor of expr

Example - Lexer (exprlex.mll)

```
{ (*open Exprparse*) }  
let numeric = ['0' - '9']  
let letter = ['a' - 'z' 'A' - 'Z']  
rule token = parse  
  | "+" {Plus_token}  
  | "-" {Minus_token}  
  | "*" {Times_token}  
  | "/" {Divide_token}  
  | "(" {Left_parenthesis}  
  | ")" {Right_parenthesis}  
  | letter (letter|numeric|"_" )* as id {Id_token id}  
  | [' ' '\t' '\n'] {token lexbuf}  
  | eof {EOL}
```

Example - Parser (exprparse.mly)

```
%{ open Expr
%}
%token <string> Id_token
%token Left_parenthesis Right_parenthesis
%token Times_token Divide_token
%token Plus_token Minus_token
%token EOL
%start main
%type <expr> main
%%
```


Example - Parser (exprparse.mly)

expr:

term

{ Term_as_Expr \$1 }

| term Plus_token expr

{ Plus_Expr (\$1, \$3) }

| term Minus_token expr

{ Minus_Expr (\$1, \$3) }

Example - Base types

```
(* File: expr.ml *)
type expr =
  Term_as_Expr of term
| Plus_Expr of (term * expr)
| Minus_Expr of (term * expr)
```

Example - Parser (exprparse.mly)

term:

factor

{ Factor_as_Term \$1 }

| factor Times_token term

{ Mult_Term (\$1, \$3) }

| factor Divide_token term

{ Div_Term (\$1, \$3) }

Example - Base types

```
(* File: expr.ml *)
type expr =
  Term_as_Expr of term
  | Plus_Expr of (term * expr)
  | Minus_Expr of (term * expr)
and term =
  Factor_as_Term of factor
  | Mult_Term of (factor * term)
  | Div_Term of (factor * term)
```

Example - Parser (exprparse.mly)

factor:

Id_token

{ Id_as_Factor \$1 }

| Left_parenthesis expr Right_parenthesis

{ Parenthesized_Expr_as_Factor \$2 }

main:

| expr EOL

{ \$1 }

Example - Base types

```
(* File: expr.ml *)
type expr =
  Term_as_Expr of term
  | Plus_Expr of (term * expr)
  | Minus_Expr of (term * expr)
and term =
  Factor_as_Term of factor
  | Mult_Term of (factor * term)
  | Div_Term of (factor * term)
and factor =
  Id_as_Factor of string
  | Parenthesized_Expr_as_Factor of expr
```

Example - Using Parser

```
# #use "expr.ml";;
```

```
...
```

```
# #use "exprparse.ml";;
```

```
...
```

```
# #use "exprlex.ml";;
```

```
...
```

```
# let test s =
```

```
  let lexbuf = Lexing.from_string (s^"\n") in  
    main token lexbuf;;
```

Example - Using Parser

```
# test "a + b";;
```

```
- : expr =
```

```
Plus_Expr
```

```
(Factor_as_Term (Id_as_Factor "a"),
```

```
Term_as_Expr
```

```
(Factor_as_Term (Id_as_Factor "b"))
```

```
)
```

Example - Base types

```
(* File: expr.ml *)  
type expr =  
  Term_as_Expr of term  
  | Plus_Expr of (term * expr)  
  | Minus_Expr of (term * expr)  
and term =  
  Factor_as_Term of factor  
  | Mult_Term of (factor * term)  
  | Div_Term of (factor * term)  
and factor =  
  Id_as_Factor of string  
  | Parenthesized_Expr_as_Factor of expr
```

LR Parsing

- Read tokens left to right (L)
- Create a rightmost derivation (R)
- How is this possible?
- Start at the bottom (left) and work your way up
- Last step has only one non-terminal to be replaced so is right-most
- Working backwards, replace mixed strings by non-terminals
- Always proceed so that there are no non-terminals to the right of the string to be replaced

Example

(0 + 1) + 0



Example

(0 + 1) + 0

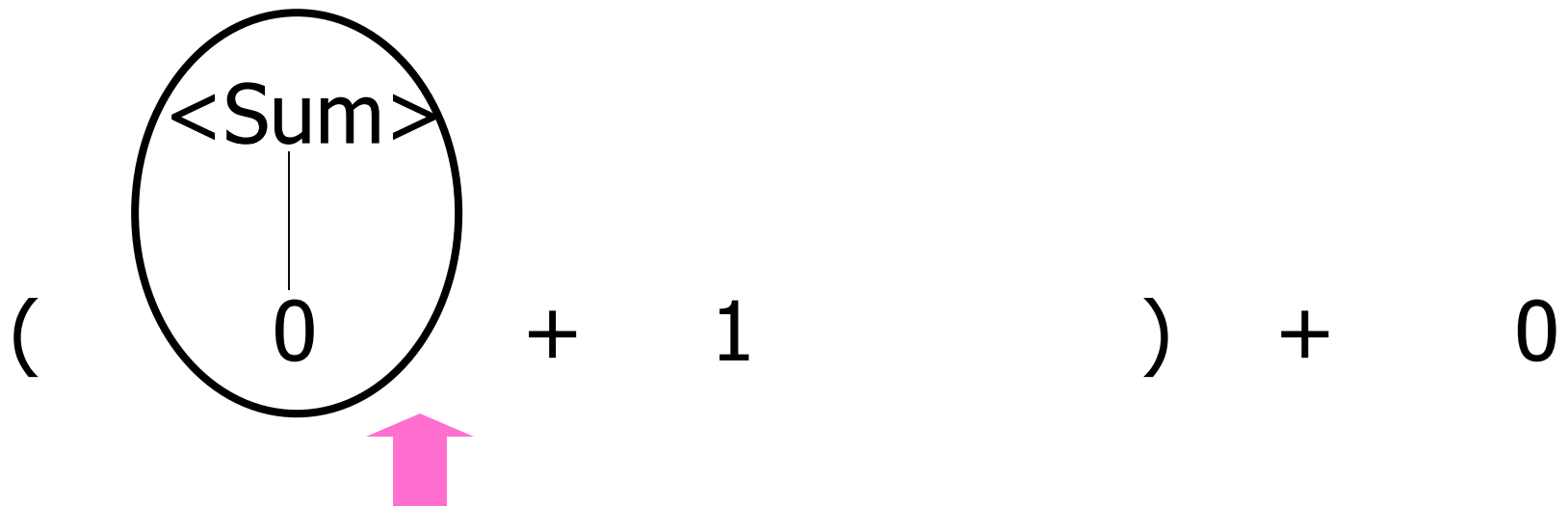


Example

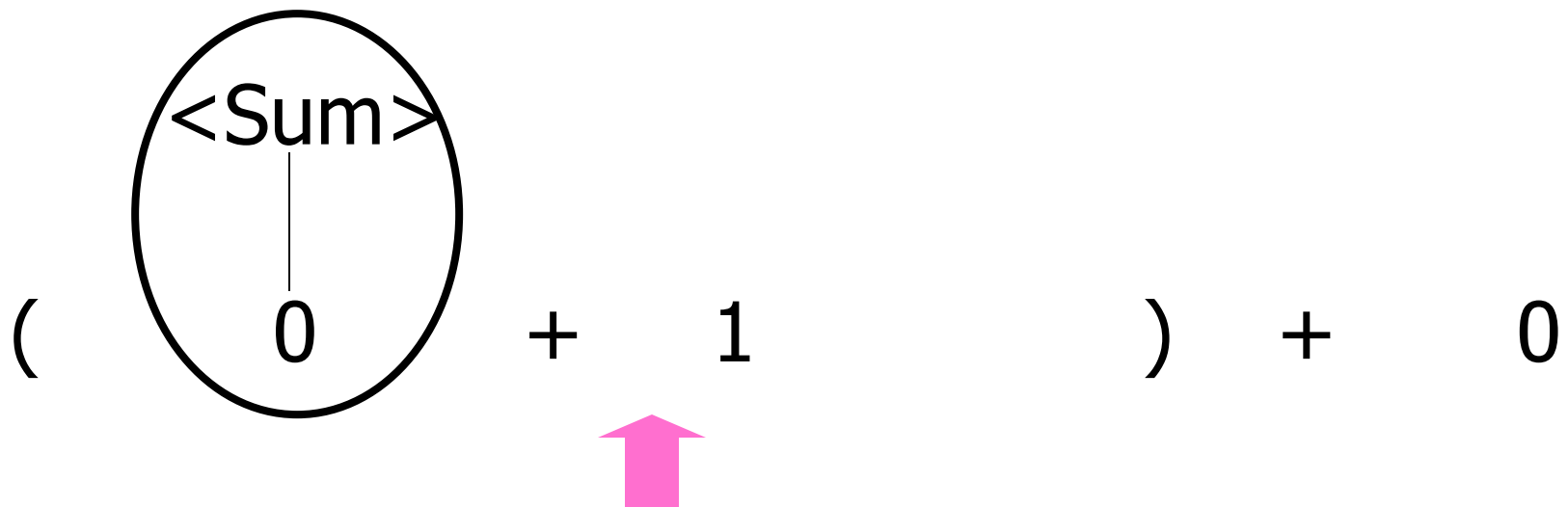
(0 + 1) + 0



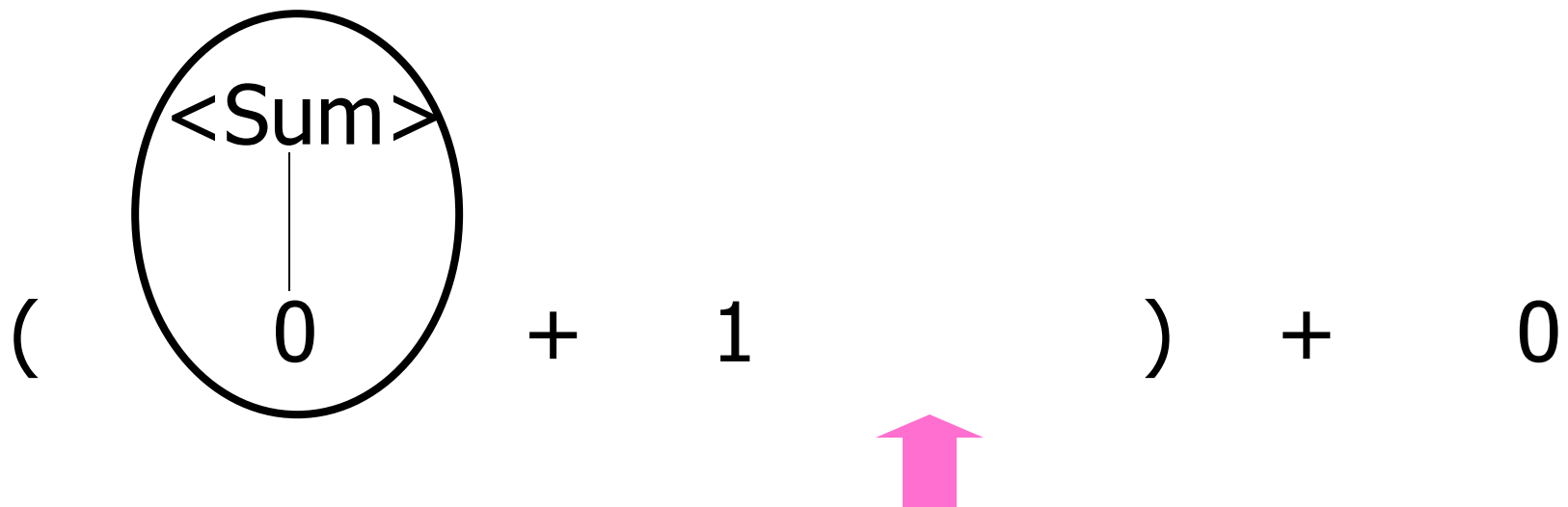
Example



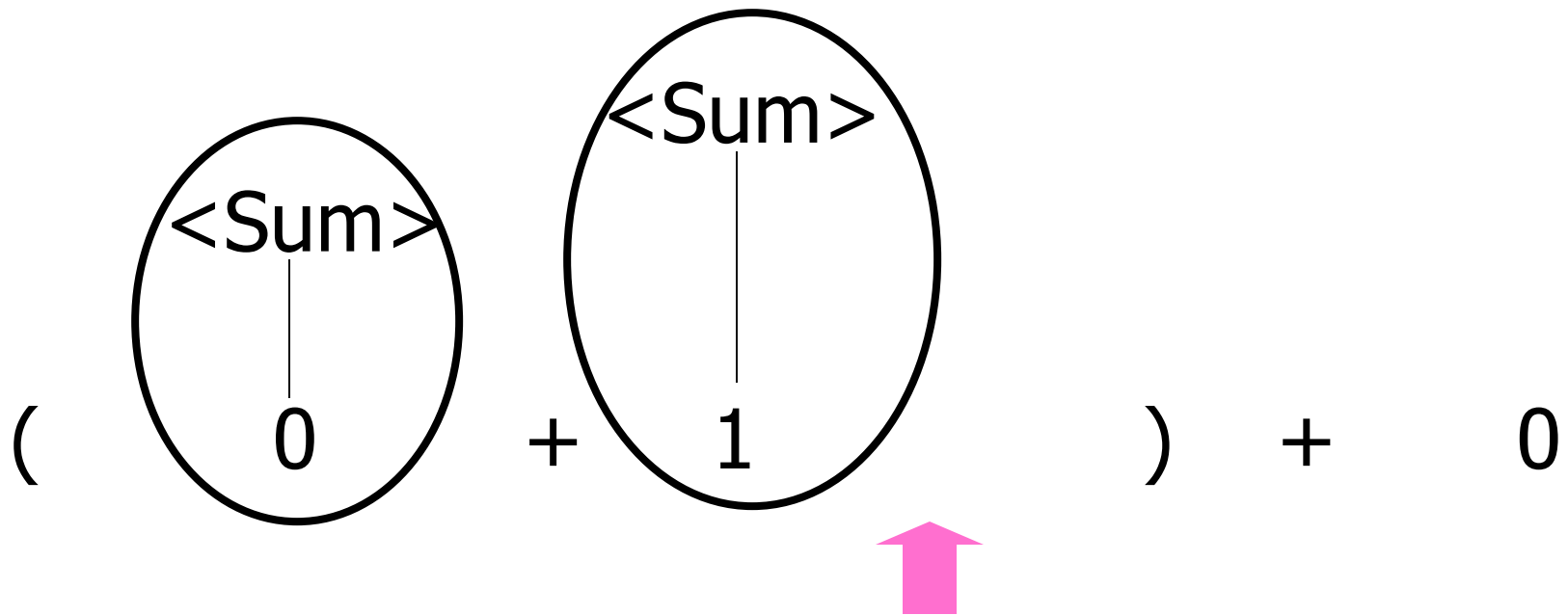
Example



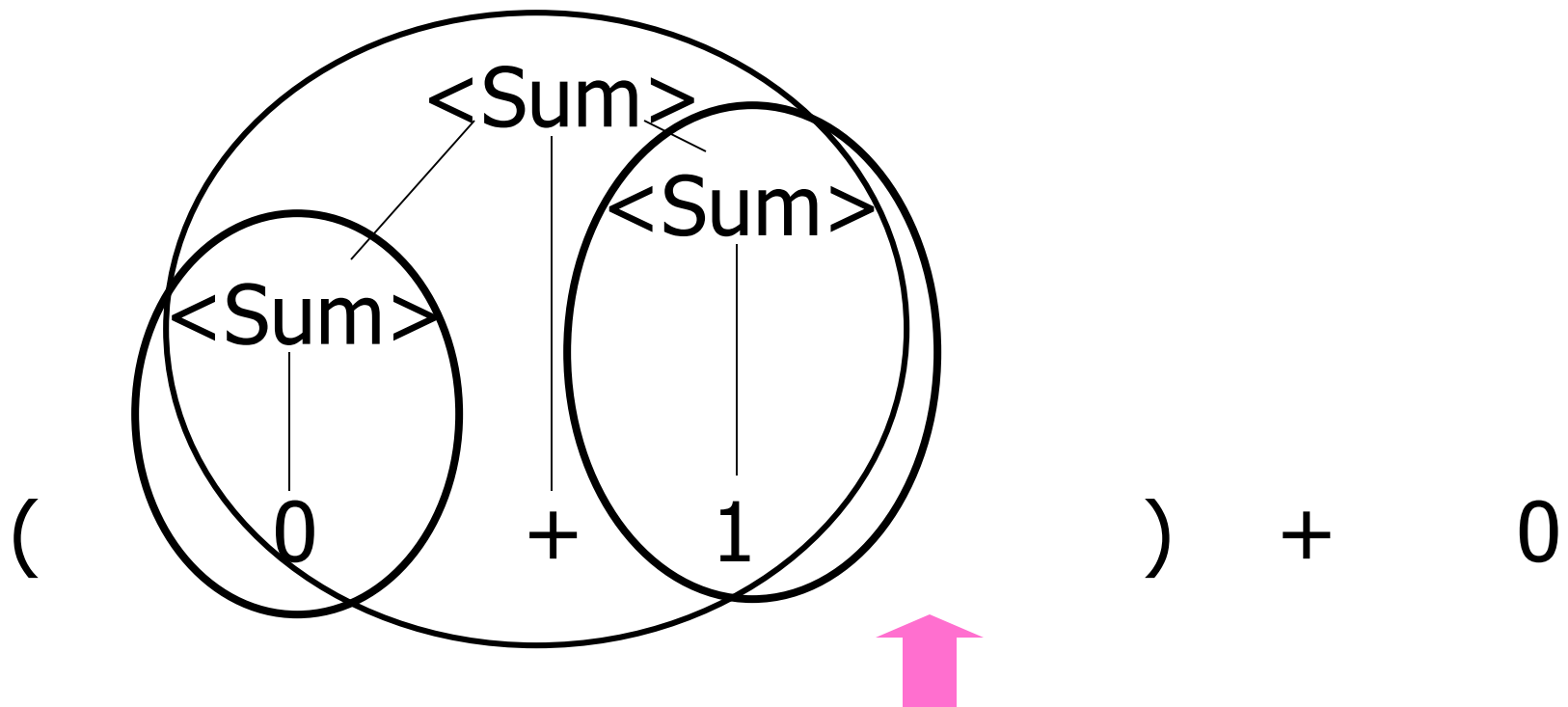
Example



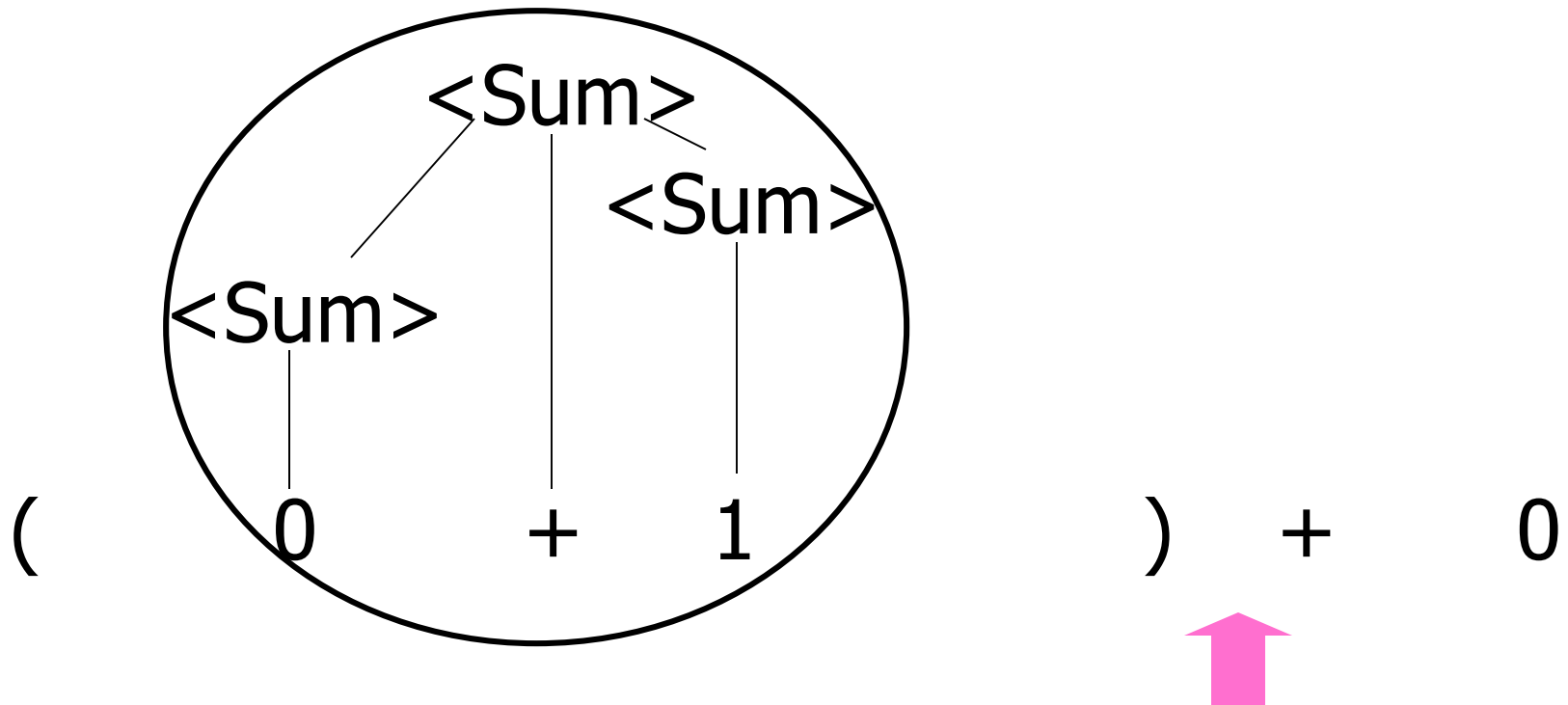
Example



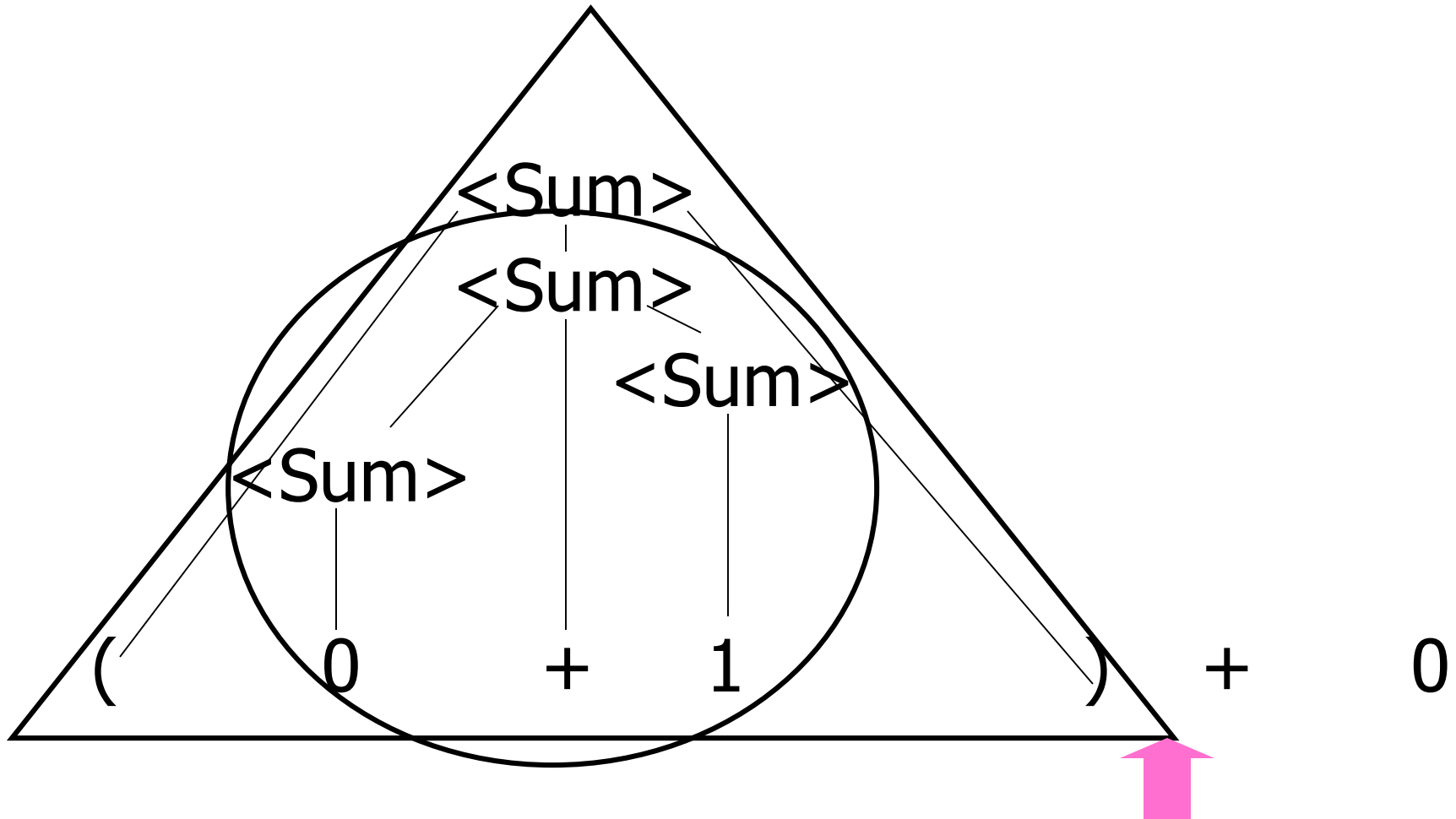
Example



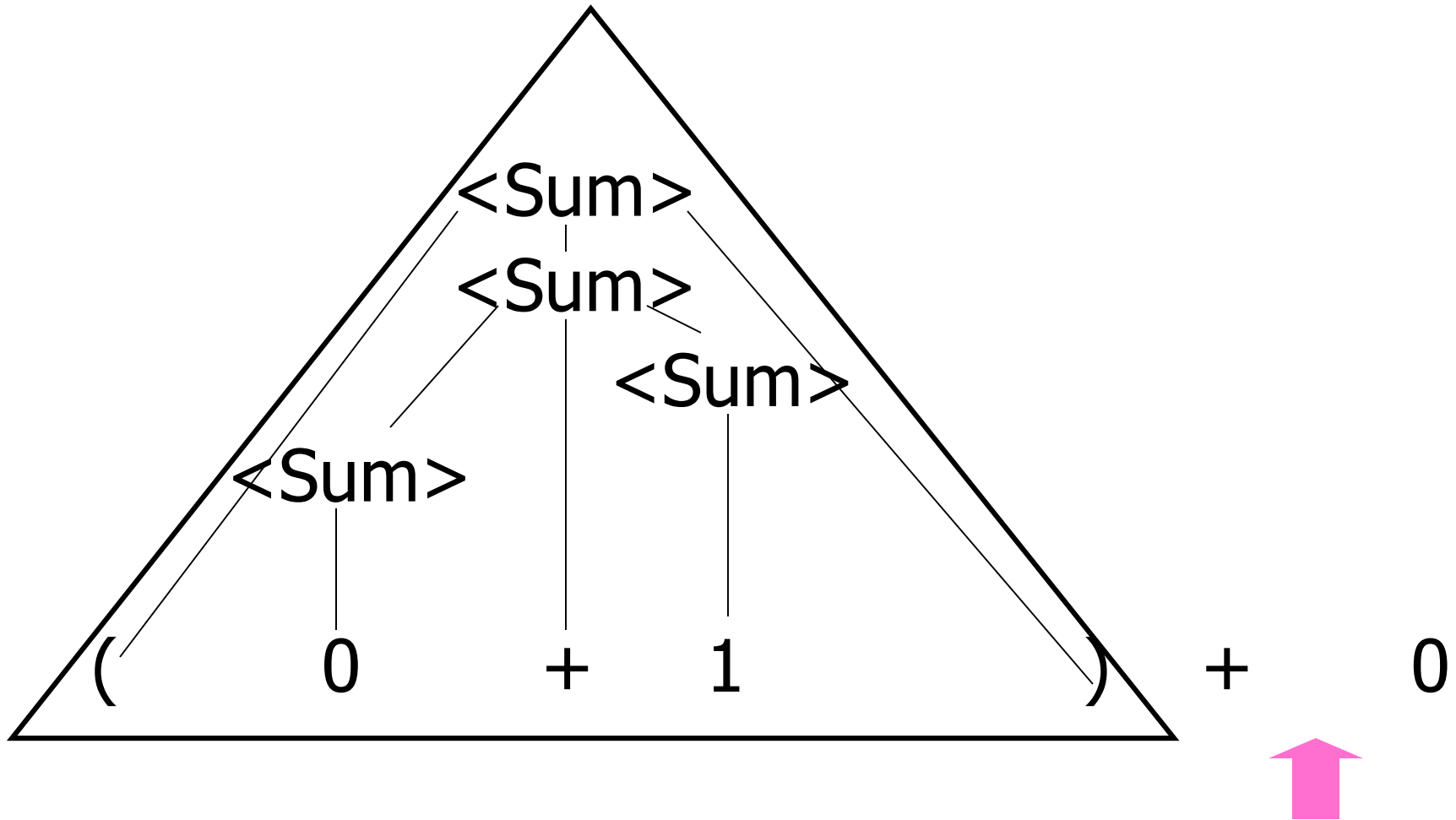
Example



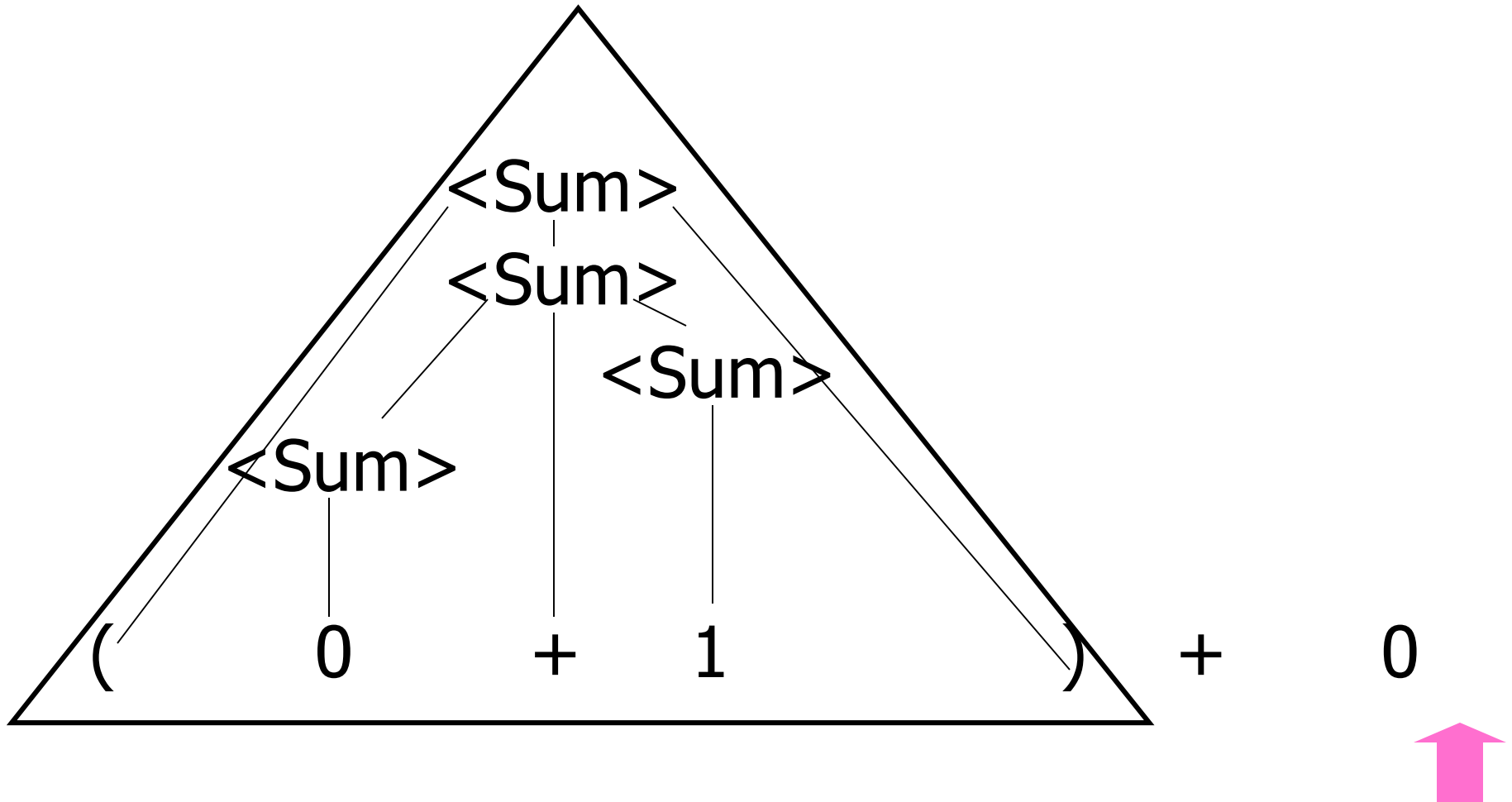
Example



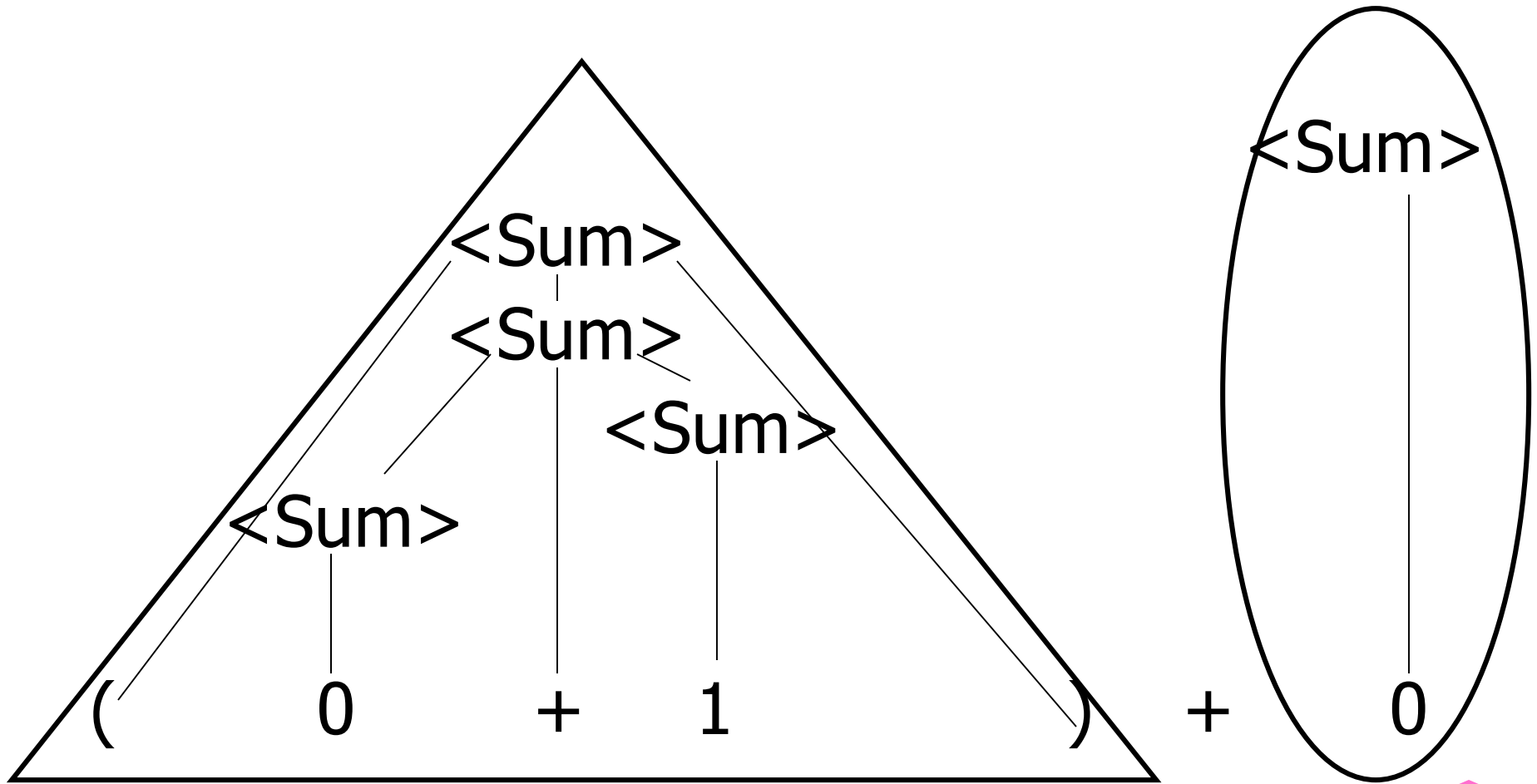
Example



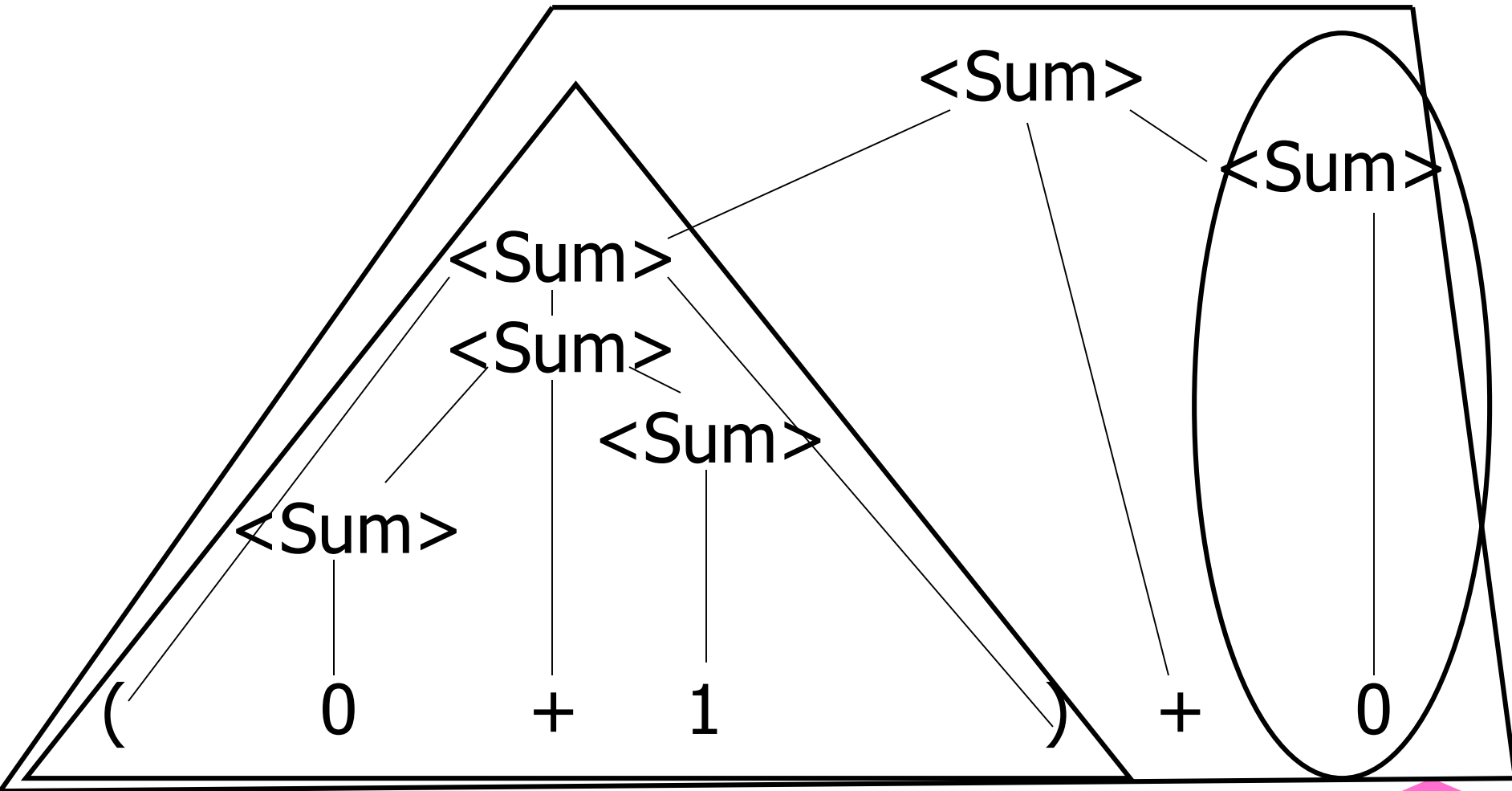
Example



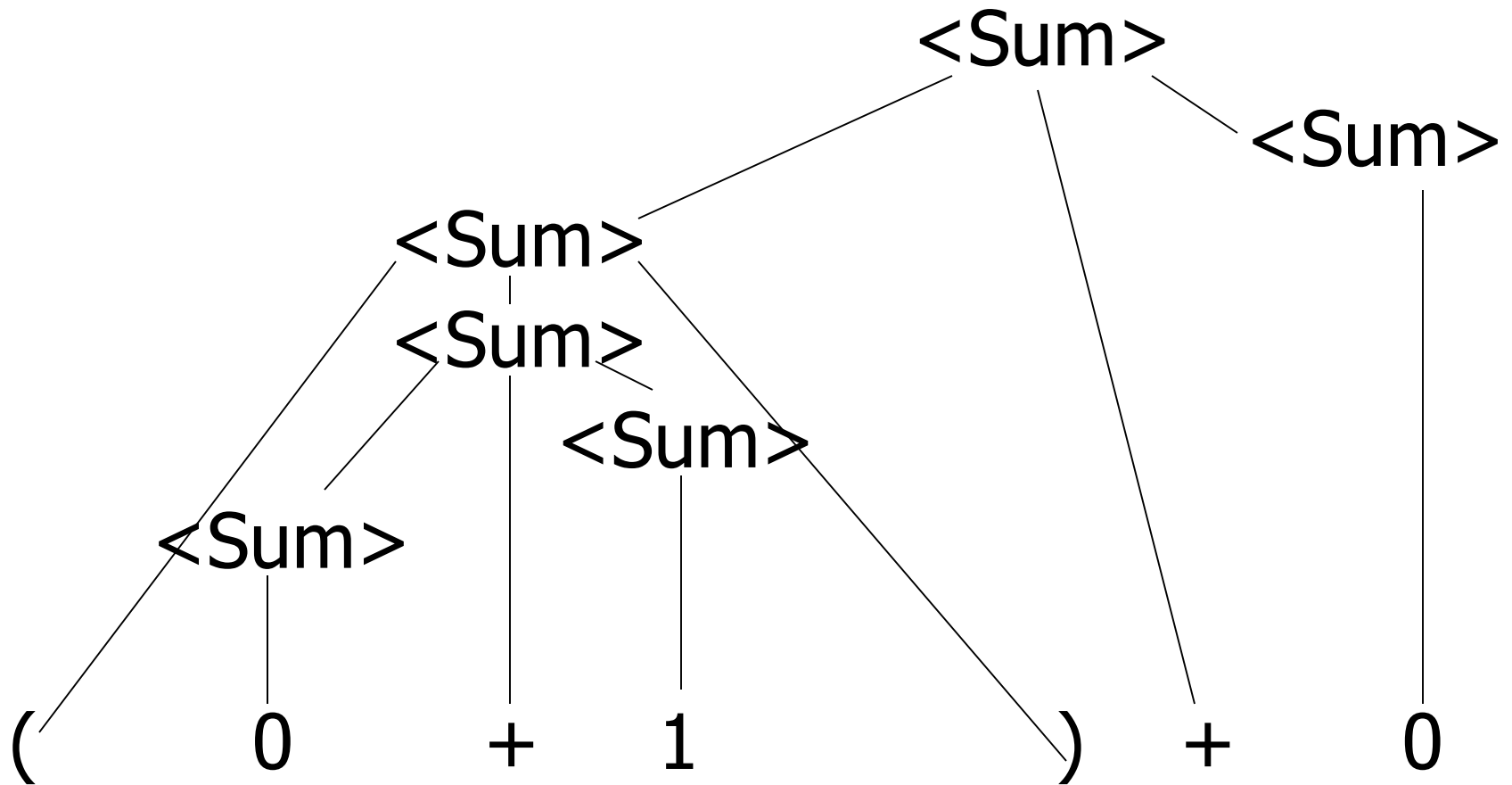
Example



Example



Example



LR Parsing Tables

- Build a pair of tables, Action and Goto, from the grammar
 - This is the hardest part, we omit here
 - Rows labeled by states
 - For Action, columns labeled by terminals and “end-of-tokens” marker
 - (more generally strings of terminals of fixed length)
 - For Goto, columns labeled by non-terminals

Action and Goto Tables

- Given a state and the next input, Action table says either
 - **shift** and go to state n , or
 - **reduce** by production k (explained in a bit)
 - **accept** or **error**
- Given a state and a non-terminal, Goto table says
 - go to state m

Example: $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$= \bullet (0 + 1) + 0$ shift

Example: $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$$= (\text{●} 0 + 1) + 0$$

$$= \text{●} (0 + 1) + 0$$

shift

shift

Example: $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$$\Rightarrow (0 \bullet + 1) + 0$$

$$= (\bullet 0 + 1) + 0$$

$$= \bullet (0 + 1) + 0$$

reduce

shift

shift

Example: $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$=$	$(\langle \text{Sum} \rangle \bullet + 1) + 0$	shift
$\Rightarrow$	$(0 \bullet + 1) + 0$	reduce
$=$	$(\bullet 0 + 1) + 0$	shift
$=$	$\bullet (0 + 1) + 0$	shift

Example: $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$= (\langle \text{Sum} \rangle + \bullet 1) + 0$	shift
$= (\langle \text{Sum} \rangle \bullet + 1) + 0$	shift
$\Rightarrow (0 \bullet + 1) + 0$	reduce
$= (\bullet 0 + 1) + 0$	shift
$= \bullet (0 + 1) + 0$	shift

Example: $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$\Rightarrow (\langle \text{Sum} \rangle + 1 \bullet) + 0$	reduce
$= (\langle \text{Sum} \rangle + \bullet 1) + 0$	shift
$= (\langle \text{Sum} \rangle \bullet + 1) + 0$	shift
$\Rightarrow (0 \bullet + 1) + 0$	reduce
$= (\bullet 0 + 1) + 0$	shift
$= \bullet (0 + 1) + 0$	shift

Example: $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle \bullet) + 0$ reduce
 $\Rightarrow (\langle \text{Sum} \rangle + 1 \bullet) + 0$ reduce
 $= (\langle \text{Sum} \rangle + \bullet 1) + 0$ shift
 $= (\langle \text{Sum} \rangle \bullet + 1) + 0$ shift
 $\Rightarrow (0 \bullet + 1) + 0$ reduce
 $= (\bullet 0 + 1) + 0$ shift
 $= \bullet (0 + 1) + 0$ shift

Example: $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$=$	$(\langle \text{Sum} \rangle \bullet) + 0$	shift
$\Rightarrow$	$(\langle \text{Sum} \rangle + \langle \text{Sum} \rangle \bullet) + 0$	reduce
$\Rightarrow$	$(\langle \text{Sum} \rangle + 1 \bullet) + 0$	reduce
$=$	$(\langle \text{Sum} \rangle + \bullet 1) + 0$	shift
$=$	$(\langle \text{Sum} \rangle \bullet + 1) + 0$	shift
$\Rightarrow$	$(0 \bullet + 1) + 0$	reduce
$=$	$(\bullet 0 + 1) + 0$	shift
$=$	$\bullet (0 + 1) + 0$	shift

Example: $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$\Rightarrow (\langle \text{Sum} \rangle) \bullet + 0$	reduce
$= (\langle \text{Sum} \rangle \bullet) + 0$	shift
$\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle \bullet) + 0$	reduce
$\Rightarrow (\langle \text{Sum} \rangle + 1 \bullet) + 0$	reduce
$= (\langle \text{Sum} \rangle + \bullet 1) + 0$	shift
$= (\langle \text{Sum} \rangle \bullet + 1) + 0$	shift
$\Rightarrow (0 \bullet + 1) + 0$	reduce
$= (\bullet 0 + 1) + 0$	shift
$= \bullet (0 + 1) + 0$	shift

Example: $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$= \langle \text{Sum} \rangle \bullet + 0$	shift
$\Rightarrow (\langle \text{Sum} \rangle) \bullet + 0$	reduce
$= (\langle \text{Sum} \rangle \bullet) + 0$	shift
$\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle \bullet) + 0$	reduce
$\Rightarrow (\langle \text{Sum} \rangle + 1 \bullet) + 0$	reduce
$= (\langle \text{Sum} \rangle + \bullet 1) + 0$	shift
$= (\langle \text{Sum} \rangle \bullet + 1) + 0$	shift
$\Rightarrow (0 \bullet + 1) + 0$	reduce
$= (\bullet 0 + 1) + 0$	shift
$= \bullet (0 + 1) + 0$	shift

Example: $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

=	$\langle \text{Sum} \rangle + \bullet 0$	shift
=	$\langle \text{Sum} \rangle \bullet + 0$	shift
=>	$(\langle \text{Sum} \rangle) \bullet + 0$	reduce
=	$(\langle \text{Sum} \rangle \bullet) + 0$	shift
=>	$(\langle \text{Sum} \rangle + \langle \text{Sum} \rangle \bullet) + 0$	reduce
=>	$(\langle \text{Sum} \rangle + 1 \bullet) + 0$	reduce
=	$(\langle \text{Sum} \rangle + \bullet 1) + 0$	shift
=	$(\langle \text{Sum} \rangle \bullet + 1) + 0$	shift
=>	$(0 \bullet + 1) + 0$	reduce
=	$(\bullet 0 + 1) + 0$	shift
=	$\bullet (0 + 1) + 0$	shift

Example: $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle$	$\Rightarrow$		
	$\Rightarrow$	$\langle \text{Sum} \rangle + 0 \bullet$	reduce
	$=$	$\langle \text{Sum} \rangle + \bullet 0$	shift
	$=$	$\langle \text{Sum} \rangle \bullet + 0$	shift
	$\Rightarrow$	$(\langle \text{Sum} \rangle) \bullet + 0$	reduce
	$=$	$(\langle \text{Sum} \rangle \bullet) + 0$	shift
	$\Rightarrow$	$(\langle \text{Sum} \rangle + \langle \text{Sum} \rangle \bullet) + 0$	reduce
	$\Rightarrow$	$(\langle \text{Sum} \rangle + 1 \bullet) + 0$	reduce
	$=$	$(\langle \text{Sum} \rangle + \bullet 1) + 0$	shift
	$=$	$(\langle \text{Sum} \rangle \bullet + 1) + 0$	shift
	$\Rightarrow$	$(0 \bullet + 1) + 0$	reduce
	$=$	$(\bullet 0 + 1) + 0$	shift
	$=$	$\bullet (0 + 1) + 0$	shift

Example: $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle$	$\Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \bullet$	reduce
	$\Rightarrow \langle \text{Sum} \rangle + 0 \bullet$	reduce
	$= \langle \text{Sum} \rangle + \bullet 0$	shift
	$= \langle \text{Sum} \rangle \bullet + 0$	shift
	$\Rightarrow (\langle \text{Sum} \rangle) \bullet + 0$	reduce
	$= (\langle \text{Sum} \rangle \bullet) + 0$	shift
	$\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle \bullet) + 0$	reduce
	$\Rightarrow (\langle \text{Sum} \rangle + 1 \bullet) + 0$	reduce
	$= (\langle \text{Sum} \rangle + \bullet 1) + 0$	shift
	$= (\langle \text{Sum} \rangle \bullet + 1) + 0$	shift
	$\Rightarrow (0 \bullet + 1) + 0$	reduce
	$= (\bullet 0 + 1) + 0$	shift
	$= \bullet (0 + 1) + 0$	shift

Example: $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \bullet$	$\Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \bullet$	reduce
	$\Rightarrow \langle \text{Sum} \rangle + 0 \bullet$	reduce
	$= \langle \text{Sum} \rangle + \bullet 0$	shift
	$= \langle \text{Sum} \rangle \bullet + 0$	shift
	$\Rightarrow (\langle \text{Sum} \rangle) \bullet + 0$	reduce
	$= (\langle \text{Sum} \rangle \bullet) + 0$	shift
	$\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle \bullet) + 0$	reduce
	$\Rightarrow (\langle \text{Sum} \rangle + 1 \bullet) + 0$	reduce
	$= (\langle \text{Sum} \rangle + \bullet 1) + 0$	shift
	$= (\langle \text{Sum} \rangle \bullet + 1) + 0$	shift
	$\Rightarrow (0 \bullet + 1) + 0$	reduce
	$= (\bullet 0 + 1) + 0$	shift
	$= \bullet (0 + 1) + 0$	shift

LR(i) Parsing Algorithm

- Based on push-down automata
- Uses states and transitions (as recorded in Action and Goto tables)
- Uses a stack containing states, terminals and non-terminals

LR(i) Parsing Algorithm

0. Insure token stream ends in special “end-of-tokens” symbol
1. Start in state 1 with an empty stack
2. Push **state**(1) onto stack
- 3. Look at next i tokens from token stream ($toks$) (don't remove yet)
4. If top symbol on stack is **state**(n), look up action in Action table at $(n, toks)$

LR(i) Parsing Algorithm

5. If action = **shift** m ,

- a) Remove the top token from token stream and push it onto the stack
- b) Push **state**(m) onto stack
- c) Go to step 3

LR(i) Parsing Algorithm

6. If action = **reduce** k where production k is

$E ::= u$

- a) Remove $2 * \text{length}(u)$ symbols from stack (u and all the interleaved states)
- b) If new top symbol on stack is **state**(m), look up new state p in $\text{Goto}(m, E)$
- c) Push E onto the stack, then push **state**(p) onto the stack
- d) Go to step 3

LR(i) Parsing Algorithm

7. If action = **accept**

- Stop parsing, return success

8. If action = **error**,

- Stop parsing, return failure

Adding Synthesized Attributes

- Add to each **reduce** a rule for calculating the new synthesized attribute from the component attributes
- Add to each non-terminal pushed onto the stack, the attribute calculated for it
- When performing a **reduce**,
 - gather the recorded attributes from each non-terminal popped from stack
 - Compute new attribute for non-terminal pushed onto stack

Shift-Reduce Conflicts

- **Problem:** can't decide whether the action for a state and input character should be **shift** or **reduce**
- Caused by ambiguity in grammar
- Usually caused by lack of associativity or precedence information in grammar

Example: $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

● 0 + 1 + 0	shift
-> 0 ● + 1 + 0	reduce
-> <Sum> ● + 1 + 0	shift
-> <Sum> + ● 1 + 0	shift
-> <Sum> + 1 ● + 0	reduce
-> <Sum> + <Sum> ● + 0	

Example - cont

- **Problem:** shift or reduce?
- You can shift-shift-reduce-reduce or reduce-shift-shift-reduce
- Shift first - right associative
- Reduce first- left associative

Reduce - Reduce Conflicts

- **Problem:** can't decide between two different rules to reduce by
- Again caused by ambiguity in grammar
- **Symptom:** RHS of one production suffix of another
- Requires examining grammar and rewriting it
- Harder to solve than shift-reduce errors

Example

- $S ::= A \mid aB$ $A ::= abc$ $B ::= bc$

● abc	shift
a ● bc	shift
ab ● c	shift
abc ●	

- Problem: reduce by $B ::= bc$ then by $S ::= aB$ or by $A ::= abc$ then $S ::= A$?