

# Programming Languages and Compilers (CS 421)



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Based in part on slides by Mattox Beckman, as updated by Vikram Adve and Gul Agha



# LR Parsing Tables

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- Build a pair of tables, Action and Goto, from the grammar
  - This is the hardest part, we omit here
  - Rows labeled by states
  - For Action, columns labeled by terminals and “end-of-tokens” marker
    - (more generally strings of terminals of fixed length)
  - For Goto, columns labeled by non-terminals



# Action and Goto Tables

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- Given a state and the next input, Action table says either
  - **shift** and go to state  $n$ , or
  - **reduce** by production  $k$  (explained in a bit)
  - **accept** or **error**
- Given a state and a non-terminal, Goto table says
  - go to state  $m$



# LR(i) Parsing Algorithm

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- Based on push-down automata
- Uses states and transitions (as recorded in Action and Goto tables)
- Uses a stack containing states, terminals and non-terminals



# LR(i) Parsing Algorithm

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0. Insure token stream ends in special “end-of-tokens” symbol
1. Start in state 1 with an empty stack
2. Push **state**(1) onto stack
- 3. Look at next  $i$  tokens from token stream ( $toks$ ) (don't remove yet)
4. If top symbol on stack is **state**( $n$ ), look up action in Action table at  $(n, toks)$



## LR(i) Parsing Algorithm

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5. If action = **shift**  $m$ ,
- a) Remove the top token from token stream and push it onto the stack
  - b) Push **state**( $m$ ) onto stack
  - c) Go to step 3



## LR(i) Parsing Algorithm

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6. If action = **reduce**  $k$  where production  $k$  is  
 $E ::= u$
- a) Remove  $2 * \text{length}(u)$  symbols from stack ( $u$  and all the interleaved states)
  - b) If new top symbol on stack is **state**( $m$ ), look up new state  $p$  in  $\text{Goto}(m, E)$
  - c) Push  $E$  onto the stack, then push **state**( $p$ ) onto the stack
  - d) Go to step 3



# LR(i) Parsing Algorithm

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7. If action = **accept**

- Stop parsing, return success

8. If action = **error**,

- Stop parsing, return failure





# Adding Synthesized Attributes

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- Add to each **reduce** a rule for calculating the new synthesized attribute from the component attributes
- Add to each non-terminal pushed onto the stack, the attribute calculated for it
- When performing a **reduce**,
  - gather the recorded attributes from each non-terminal popped from stack
  - Compute new attribute for non-terminal pushed onto stack



## Shift-Reduce Conflicts

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- **Problem:** can't decide whether the action for a state and input character should be **shift** or **reduce**
- Caused by ambiguity in grammar
- Usually caused by lack of associativity or precedence information in grammar

Example:  $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$   
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\bullet 0 + 1 + 0$                       shift  
 $\rightarrow 0 \bullet + 1 + 0$                       reduce  
 $\rightarrow \langle \text{Sum} \rangle \bullet + 1 + 0$                       shift  
 $\rightarrow \langle \text{Sum} \rangle + \bullet 1 + 0$                       shift  
 $\rightarrow \langle \text{Sum} \rangle + 1 \bullet + 0$                       reduce  
 $\rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \bullet + 0$



## Example - cont

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- **Problem:** shift or reduce?
- You can shift-shift-reduce-reduce or reduce-shift-shift-reduce
- Shift first - right associative
- Reduce first- left associative



# Reduce - Reduce Conflicts

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- **Problem:** can't decide between two different rules to reduce by
- Again caused by ambiguity in grammar
- **Symptom:** RHS of one production suffix of another
- Requires examining grammar and rewriting it
- Harder to solve than shift-reduce errors



## Example

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- $S ::= A \mid aB$      $A ::= abc$      $B ::= bc$

● abc                    shift

a ● bc                    shift

ab ● c                    shift

abc ●

- Problem: reduce by  $B ::= bc$  then by  $S ::= aB$ , or by  $A ::= abc$  then  $S ::= A$ ?



# Semantics

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- Expresses the meaning of syntax
- Static semantics
  - Meaning based only on the form of the expression without executing it
  - Usually restricted to type checking / type inference



# Dynamic semantics

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- Method of describing meaning of executing a program
- Several different types:
  - Operational Semantics
  - Axiomatic Semantics
  - Denotational Semantics





# Dynamic Semantics

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- Different languages better suited to different types of semantics
- Different types of semantics serve different purposes



# Operational Semantics

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- Start with a simple notion of machine
- Describe how to execute (implement) programs of language on virtual machine, by describing how to execute each program statement (ie, following the *structure* of the program)
- Meaning of program is how its execution changes the state of the machine
- Useful as basis for implementations



# Axiomatic Semantics

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- Also called Floyd-Hoare Logic
- Based on formal logic (first order predicate calculus)
- Axiomatic Semantics is a logical system built from *axioms* and *inference rules*
- Mainly suited to simple imperative programming languages



# Axiomatic Semantics

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- Used to formally prove a property (*post-condition*) of the *state* (the values of the program variables) after the execution of program, assuming another property (*pre-condition*) of the state before execution
- Written :  
    {Precondition} Program {Postcondition}
- Source of idea of *loop invariant*



# Denotational Semantics

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- Construct a function  $\mathcal{M}$  assigning a mathematical meaning to each program construct
- Lambda calculus often used as the range of the meaning function
- Meaning function is compositional: meaning of construct built from meaning of parts
- Useful for proving properties of programs



# Natural Semantics

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- Aka Structural Operational Semantics, aka “Big Step Semantics”
- Provide value for a program by rules and derivations, similar to type derivations
- Rule conclusions look like

$$(C, m) \Downarrow m'$$

or

$$(E, m) \Downarrow v$$



# Simple Imperative Programming Language

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- $I \in \text{Identifiers}$
- $N \in \text{Numerals}$
- $B ::= \text{true} \mid \text{false} \mid B \ \& \ B \mid B \ \text{or} \ B \mid \text{not} \ B$   
 $\mid E < E \mid E = E$
- $E ::= N \mid I \mid E + E \mid E * E \mid E - E \mid - E$
- $C ::= \text{skip} \mid C; C \mid I ::= E$   
 $\mid \text{if } B \text{ then } C \text{ else } C \text{ fi} \mid \text{while } B \text{ do } C \text{ od}$



# Natural Semantics of Atomic Expressions

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- Identifiers:  $(I, m) \Downarrow m(I)$
- Numerals are values:  $(N, m) \Downarrow N$
- Booleans:  $(\text{true}, m) \Downarrow \text{true}$   
 $(\text{false}, m) \Downarrow \text{false}$





## Booleans:

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$$\frac{(B, m) \Downarrow \text{false}}{(B \& B', m) \Downarrow \text{false}}$$

$$\frac{(B, m) \Downarrow \text{true} \quad (B', m) \Downarrow b}{(B \& B', m) \Downarrow b}$$

$$\frac{(B, m) \Downarrow \text{true}}{(B \text{ or } B', m) \Downarrow \text{true}}$$

$$\frac{(B, m) \Downarrow \text{false} \quad (B', m) \Downarrow b}{(B \text{ or } B', m) \Downarrow b}$$

$$\frac{(B, m) \Downarrow \text{true}}{(\text{not } B, m) \Downarrow \text{false}}$$

$$\frac{(B, m) \Downarrow \text{false}}{(\text{not } B, m) \Downarrow \text{true}}$$



# Relations

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$$\frac{(E, m) \Downarrow U \quad (E', m) \Downarrow V \quad U \sim V = b}{(E \sim E', m) \Downarrow b}$$

- By  $U \sim V = b$ , we mean does (the meaning of) the relation  $\sim$  hold on the meaning of  $U$  and  $V$
- May be specified by a mathematical expression/equation or rules matching  $U$  and  $V$



# Arithmetic Expressions

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$$\frac{(E, m) \Downarrow U \quad (E', m) \Downarrow V \quad U \text{ op } V = N}{(E \text{ op } E', m) \Downarrow N}$$

where  $N$  is the specified value for  $U \text{ op } V$



# Commands

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Skip:  $(\text{skip}, m) \Downarrow m$

Assignment: 
$$\frac{(E, m) \Downarrow V}{(I ::= E, m) \Downarrow m[I \leftarrow V]}$$

Sequencing: 
$$\frac{(C, m) \Downarrow m' \quad (C', m') \Downarrow m''}{(C; C', m) \Downarrow m''}$$



# If Then Else Command

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$$\frac{(B,m) \Downarrow \text{true} \quad (C,m) \Downarrow m'}{(\text{if } B \text{ then } C \text{ else } C' \text{ fi}, m) \Downarrow m'}$$

$$\frac{(B,m) \Downarrow \text{false} \quad (C',m) \Downarrow m'}{(\text{if } B \text{ then } C \text{ else } C' \text{ fi}, m) \Downarrow m'}$$



# While Command

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$$\frac{(B, m) \Downarrow \text{false}}{(\text{while } B \text{ do } C \text{ od}, m) \Downarrow m}$$

$$\frac{(B, m) \Downarrow \text{true} \quad (C, m) \Downarrow m' \quad (\text{while } B \text{ do } C \text{ od}, m') \Downarrow m''}{(\text{while } B \text{ do } C \text{ od}, m) \Downarrow m''}$$



## Example: If Then Else Rule

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(if  $x > 5$  then  $y := 2 + 3$  else  $y := 3 + 4$  fi,  
 $\{x \rightarrow 7\}) \Downarrow ?$



# Example: If Then Else Rule

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$(x > 5, \{x \rightarrow 7\}) \Downarrow ?$

---

$(\text{if } x > 5 \text{ then } y := 2 + 3 \text{ else } y := 3 + 4 \text{ fi, } \{x \rightarrow 7\}) \Downarrow ?$





# Example: Arith Relation

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? > ? = ?

$(x, \{x \rightarrow 7\}) \Downarrow ? \quad (5, \{x \rightarrow 7\}) \Downarrow ?$

---

$(x > 5, \{x \rightarrow 7\}) \Downarrow ?$

---

(if  $x > 5$  then  $y := 2 + 3$  else  $y := 3 + 4$  fi,  
 $\{x \rightarrow 7\}) \Downarrow ?$



# Example: Identifier(s)

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$7 > 5 = \text{true}$

$(x, \{x \rightarrow 7\}) \Downarrow 7 \quad (5, \{x \rightarrow 7\}) \Downarrow 5$

$(x > 5, \{x \rightarrow 7\}) \Downarrow ?$

---

$(\text{if } x > 5 \text{ then } y := 2 + 3 \text{ else } y := 3 + 4 \text{ fi, } \{x \rightarrow 7\}) \Downarrow ?$



# Example: Arith Relation

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$7 > 5 = \text{true}$

$(x, \{x \rightarrow 7\}) \Downarrow 7 \quad (5, \{x \rightarrow 7\}) \Downarrow 5$

$(x > 5, \{x \rightarrow 7\}) \Downarrow \text{true}$

---

$(\text{if } x > 5 \text{ then } y := 2 + 3 \text{ else } y := 3 + 4 \text{ fi, } \{x \rightarrow 7\}) \Downarrow ?$



# Example: If Then Else Rule

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$7 > 5 = \text{true}$

$(x, \{x \rightarrow 7\}) \Downarrow 7$     $(5, \{x \rightarrow 7\}) \Downarrow 5$

$(x > 5, \{x \rightarrow 7\}) \Downarrow \text{true}$

$(y := 2 + 3, \{x \rightarrow 7\})$

$\Downarrow ?$

$(\text{if } x > 5 \text{ then } y := 2 + 3 \text{ else } y := 3 + 4 \text{ fi,}$

$\{x \rightarrow 7\}) \Downarrow ?$



# Example: Assignment

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$$\begin{array}{c}
 7 > 5 = \text{true} \\
 \hline
 (x, \{x \rightarrow 7\}) \Downarrow 7 \quad (5, \{x \rightarrow 7\}) \Downarrow 5 \\
 \hline
 (x > 5, \{x \rightarrow 7\}) \Downarrow \text{true} \\
 \hline
 (\text{if } x > 5 \text{ then } y := 2 + 3 \text{ else } y := 3 + 4 \text{ fi,} \\
 \{x \rightarrow 7\}) \Downarrow ?
 \end{array}
 \qquad
 \begin{array}{c}
 \hline
 (2+3, \{x \rightarrow 7\}) \Downarrow ? \\
 \hline
 (y := 2 + 3, \{x \rightarrow 7\}) \\
 \Downarrow ? \\
 \hline
 \end{array}$$



# Example: Arith Op

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$$? + ? = ?$$

$$\frac{(2, \{x \rightarrow 7\}) \Downarrow ? \quad (3, \{x \rightarrow 7\}) \Downarrow ?}{}$$

$$7 > 5 = \text{true}$$

$$\frac{(2+3, \{x \rightarrow 7\}) \Downarrow ?}{}$$

$$\frac{(x, \{x \rightarrow 7\}) \Downarrow 7 \quad (5, \{x \rightarrow 7\}) \Downarrow 5}{}$$

$$(y := 2 + 3, \{x \rightarrow 7\}) \Downarrow ?$$

$$(x > 5, \{x \rightarrow 7\}) \Downarrow \text{true}$$

$$\Downarrow ?$$

$$\frac{(x > 5, \{x \rightarrow 7\}) \Downarrow \text{true} \quad (y := 2 + 3, \{x \rightarrow 7\}) \Downarrow ?}{(\text{if } x > 5 \text{ then } y := 2 + 3 \text{ else } y := 3 + 4 \text{ fi, } \{x \rightarrow 7\}) \Downarrow ?}$$



# Example: Numerals

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$$2 + 3 = 5$$

$$\frac{(2, \{x \rightarrow 7\}) \Downarrow 2 \quad (3, \{x \rightarrow 7\}) \Downarrow 3}{\quad}$$

$$7 > 5 = \text{true}$$

$$\frac{(2+3, \{x \rightarrow 7\}) \Downarrow ?}{\quad}$$

$$\frac{(x, \{x \rightarrow 7\}) \Downarrow 7 \quad (5, \{x \rightarrow 7\}) \Downarrow 5}{\quad}$$

$$(y := 2 + 3, \{x \rightarrow 7\})$$

$$(x > 5, \{x \rightarrow 7\}) \Downarrow \text{true}$$

$$\Downarrow ?$$

$$\frac{\quad}{(\text{if } x > 5 \text{ then } y := 2 + 3 \text{ else } y := 3 + 4 \text{ fi,}$$

$$\{x \rightarrow 7\}) \Downarrow ?$$



# Example: Arith Op

---

$$2 + 3 = 5$$

$$\frac{(2, \{x \rightarrow 7\}) \Downarrow 2 \quad (3, \{x \rightarrow 7\}) \Downarrow 3}{\quad}$$

$$7 > 5 = \text{true}$$

$$\frac{(2+3, \{x \rightarrow 7\}) \Downarrow 5}{\quad}$$

$$\frac{(x, \{x \rightarrow 7\}) \Downarrow 7 \quad (5, \{x \rightarrow 7\}) \Downarrow 5}{\quad}$$

$$(y := 2 + 3, \{x \rightarrow 7\})$$

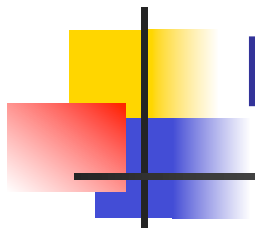
$$(x > 5, \{x \rightarrow 7\}) \Downarrow \text{true}$$

$$\Downarrow ?$$

$$\frac{(x > 5, \{x \rightarrow 7\}) \Downarrow \text{true} \quad (y := 2 + 3, \{x \rightarrow 7\}) \Downarrow ?}{\quad}$$

$$\frac{\quad}{(y := 3 + 4, \{x \rightarrow 7\}) \Downarrow ?}$$





# Example: Assignment

$$\begin{array}{c}
\frac{7 > 5 = \text{true}}{(x, \{x \rightarrow 7\}) \Downarrow 7 \quad (5, \{x \rightarrow 7\}) \Downarrow 5} \\
\frac{}{(x > 5, \{x \rightarrow 7\}) \Downarrow \text{true}} \\
\hline
\text{(if } x > 5 \text{ then } y := 2 + 3 \text{ else } y := 3 + 4 \text{ fi,} \\
\{x \rightarrow 7\}) \Downarrow ?
\end{array}$$

$$\begin{array}{c}
2 + 3 = 5 \\
\frac{(2, \{x \rightarrow 7\}) \Downarrow 2 \quad (3, \{x \rightarrow 7\}) \Downarrow 3}{(2+3, \{x \rightarrow 7\}) \Downarrow 5} \\
\frac{}{(y := 2 + 3, \{x \rightarrow 7\}) \Downarrow \{x \rightarrow 7, y \rightarrow 5\}}
\end{array}$$



# Example: If Then Else Rule

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$$\begin{array}{c}
 2 + 3 = 5 \\
 \frac{(2, \{x \rightarrow 7\}) \Downarrow 2 \quad (3, \{x \rightarrow 7\}) \Downarrow 3}{(2+3, \{x \rightarrow 7\}) \Downarrow 5} \\
 7 > 5 = \text{true} \\
 \frac{(x, \{x \rightarrow 7\}) \Downarrow 7 \quad (5, \{x \rightarrow 7\}) \Downarrow 5}{(x > 5, \{x \rightarrow 7\}) \Downarrow \text{true}} \quad \frac{(y := 2 + 3, \{x \rightarrow 7\}) \Downarrow 5}{\Downarrow \{x \rightarrow 7, y \rightarrow 5\}} \\
 \hline
 (\text{if } x > 5 \text{ then } y := 2 + 3 \text{ else } y := 3 + 4 \text{ fi,} \\
 \{x \rightarrow 7\}) \Downarrow \{x \rightarrow 7, y \rightarrow 5\}
 \end{array}$$



## Let in Command

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$$\frac{(E, m) \Downarrow v \quad (C, m[I \leftarrow v]) \Downarrow m'}{(\text{let } I = E \text{ in } C, m) \Downarrow m''}$$

Where  $m''(y) = m'(y)$  for  $y \neq I$  and  
 $m''(I) = m(I)$  if  $m(I)$  is defined,  
and  $m''(I)$  is undefined otherwise



# Example

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$$\frac{(x, \{x \rightarrow 5\}) \Downarrow 5 \quad (3, \{x \rightarrow 5\}) \Downarrow 3}{(x+3, \{x \rightarrow 5\}) \Downarrow 8}$$

$$(x+3, \{x \rightarrow 5\}) \Downarrow 8$$

$$\frac{(5, \{x \rightarrow 17\}) \Downarrow 5 \quad (x := x + 3, \{x \rightarrow 5\}) \Downarrow \{x \rightarrow 8\}}{(\text{let } x = 5 \text{ in } (x := x + 3), \{x \rightarrow 17\}) \Downarrow ?}$$

$$(\text{let } x = 5 \text{ in } (x := x + 3), \{x \rightarrow 17\}) \Downarrow ?$$



# Example

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$$\frac{(x, \{x \rightarrow 5\}) \Downarrow 5 \quad (3, \{x \rightarrow 5\}) \Downarrow 3}{(x+3, \{x \rightarrow 5\}) \Downarrow 8}$$

$$\frac{(x+3, \{x \rightarrow 5\}) \Downarrow 8}{(5, \{x \rightarrow 17\}) \Downarrow 5 \quad (x := x+3, \{x \rightarrow 5\}) \Downarrow \{x \rightarrow 8\}}$$

$$\frac{(5, \{x \rightarrow 17\}) \Downarrow 5 \quad (x := x+3, \{x \rightarrow 5\}) \Downarrow \{x \rightarrow 8\}}{(\text{let } x = 5 \text{ in } (x := x+3), \{x \rightarrow 17\}) \Downarrow \{x \rightarrow 17\}}$$



# Comment

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- Simple Imperative Programming Language introduces variables *implicitly* through assignment
- The let-in command introduces scoped variables *explicitly*
- Clash of constructs apparent in awkward semantics



# Interpretation Versus Compilation

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- A **compiler** from language L1 to language L2 is a program that takes an L1 program and for each piece of code in L1 generates a piece of code in L2 of same meaning
- An **interpreter** of L1 in L2 is an L2 program that executes the meaning of a given L1 program
- Compiler would examine the body of a loop once; an interpreter would examine it every time the loop was executed



# Interpreter

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- An *Interpreter* represents the operational semantics of a language L1 (source language) in the language of implementation L2 (target language)
- Built incrementally
  - Start with literals
  - Variables
  - Primitive operations
  - Evaluation of expressions
  - Evaluation of commands/declarations





# Interpreter

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- Takes abstract syntax trees as input
  - In simple cases could be just strings
- One procedure for each syntactic category (nonterminal)
  - eg one for expressions, another for commands
- If Natural semantics used, tells how to compute final value from code
- If Transition semantics used, tells how to compute next “state”
  - To get final value, put in a loop



# Natural Semantics Example

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- $\text{compute\_exp} (\text{Var}(v), m) = \text{look\_up } v \ m$
- $\text{compute\_exp} (\text{Int}(n), \_) = \text{Num } (n)$
- ...
- $\text{compute\_com}(\text{IfExp}(b,c1,c2),m) =$   
if  $\text{compute\_exp} (b,m) = \text{Bool}(\text{true})$   
then  $\text{compute\_com} (c1,m)$   
else  $\text{compute\_com} (c2,m)$



# Natural Semantics Example

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- $\text{compute\_com}(\text{While}(b,c), m) =$   
if  $\text{compute\_exp}(b,m) = \text{Bool}(\text{false})$   
then  $m$   
else  $\text{compute\_com}$   
     $(\text{While}(b,c), \text{compute\_com}(c,m))$
- May fail to terminate - exceed stack limits
- Returns no useful information then