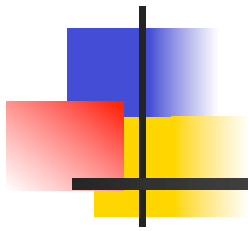


# Programming Languages and Compilers (CS 421)



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Based in part on slides by Mattox Beckman, as updated by Vikram Adve and Gul Agha



# Types of Formal Language Descriptions

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- Regular expressions, regular grammars
- Context-free grammars, BNF grammars, syntax diagrams
- Finite state automata
  
- Whole family more of grammars and automata – covered in automata theory



# Sample Grammar

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- Language: Parenthesized sums of 0's and 1's
- $\langle \text{Sum} \rangle ::= 0$
- $\langle \text{Sum} \rangle ::= 1$
- $\langle \text{Sum} \rangle ::= \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$
- $\langle \text{Sum} \rangle ::= (\langle \text{Sum} \rangle)$



# BNF Grammars

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- Start with a set of characters, **a,b,c,...**
  - We call these *terminals*
- Add a set of different characters, **X,Y,Z,**  
...
  - We call these *nonterminals*
- One special nonterminal **S** called *start symbol*



# BNF Grammars

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- BNF rules (aka *productions*) have form

$$X ::= y$$

where  $X$  is any nonterminal and  $y$  is a string of terminals and nonterminals

- BNF *grammar* is a set of BNF rules such that every nonterminal appears on the left of some rule



# Sample Grammar

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- Terminals: 0 1 + ( )
- Nonterminals:  $\langle \text{Sum} \rangle$
- Start symbol =  $\langle \text{Sum} \rangle$
  
- $\langle \text{Sum} \rangle ::= 0$
- $\langle \text{Sum} \rangle ::= 1$
- $\langle \text{Sum} \rangle ::= \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$
- $\langle \text{Sum} \rangle ::= (\langle \text{Sum} \rangle)$
- Can be abbreviated as  
$$\langle \text{Sum} \rangle ::= 0 \mid 1$$
$$\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \mid ( )$$



# BNF Derivations

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- Given rules

$$\mathbf{X} ::= y\mathbf{Z}w \text{ and } \mathbf{Z} ::= v$$

we may replace  $\mathbf{Z}$  by  $v$  to say

$$\mathbf{X} \Rightarrow y\mathbf{Z}w \Rightarrow yvw$$

- Sequence of such replacements called *derivation*
- Derivation called *right-most* if always replace the right-most non-terminal



# BNF Derivations

---

- Start with the start symbol:

$\langle \text{Sum} \rangle \Rightarrow$





# BNF Derivations

---

- Pick a non-terminal

**<Sum>** =>



# BNF Derivations

---

- Pick a rule and substitute:

- $\langle \text{Sum} \rangle ::= \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$



# BNF Derivations

---

- Pick a non-terminal:

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$



# BNF Derivations

---

- Pick a rule and substitute:

- $\langle \text{Sum} \rangle ::= ( \langle \text{Sum} \rangle )$

$$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$$

$$\Rightarrow ( \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$$



# BNF Derivations

---

- Pick a non-terminal:

$$\begin{aligned}\langle \text{Sum} \rangle & \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \\ & \Rightarrow ( \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle\end{aligned}$$



# BNF Derivations

---

- Pick a rule and substitute:

- $\langle \text{Sum} \rangle ::= \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\Rightarrow ( \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$

$\Rightarrow ( \langle \text{Sum} \rangle + \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$



# BNF Derivations

---

- Pick a non-terminal:

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\Rightarrow ( \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$

$\Rightarrow ( \langle \text{Sum} \rangle + \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$



# BNF Derivations

---

- Pick a rule and substitute:

- $\langle \text{Sum} \rangle ::= 1$

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\Rightarrow ( \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$

$\Rightarrow ( \langle \text{Sum} \rangle + \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$

$\Rightarrow ( \langle \text{Sum} \rangle + 1 ) + \langle \text{Sum} \rangle$





# BNF Derivations

---

- Pick a non-terminal:

$$\begin{aligned}\langle \text{Sum} \rangle &\Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \\ &\Rightarrow ( \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle \\ &\Rightarrow ( \langle \text{Sum} \rangle + \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle \\ &\Rightarrow ( \langle \text{Sum} \rangle + 1 ) + \langle \text{Sum} \rangle\end{aligned}$$



# BNF Derivations

---

- Pick a rule and substitute:

- $\langle \text{Sum} \rangle ::= 0$

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\Rightarrow ( \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$

$\Rightarrow ( \langle \text{Sum} \rangle + \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$

$\Rightarrow ( \langle \text{Sum} \rangle + 1 ) + \langle \text{Sum} \rangle$

$\Rightarrow ( \langle \text{Sum} \rangle + 1 ) + 0$



# BNF Derivations

---

- Pick a non-terminal:

$$\begin{aligned}\langle \text{Sum} \rangle &\Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \\ &\Rightarrow ( \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle \\ &\Rightarrow ( \langle \text{Sum} \rangle + \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle \\ &\Rightarrow ( \langle \text{Sum} \rangle + 1 ) + \langle \text{Sum} \rangle \\ &\Rightarrow ( \langle \text{Sum} \rangle + 1 ) + 0\end{aligned}$$



# BNF Derivations

---

- Pick a rule and substitute

- $\langle \text{Sum} \rangle ::= 0$

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\Rightarrow ( \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$

$\Rightarrow ( \langle \text{Sum} \rangle + \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$

$\Rightarrow ( \langle \text{Sum} \rangle + 1 ) + \langle \text{Sum} \rangle$

$\Rightarrow ( \langle \text{Sum} \rangle + 1 ) 0$

$\Rightarrow ( 0 + 1 ) + 0$

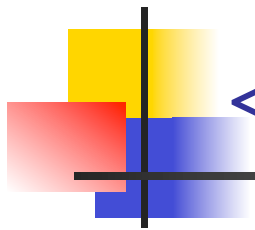


# BNF Derivations

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- $( 0 + 1 ) + 0$  is generated by grammar

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$   
 $\Rightarrow ( \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$   
 $\Rightarrow ( \langle \text{Sum} \rangle + \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$   
 $\Rightarrow ( \langle \text{Sum} \rangle + 1 ) + \langle \text{Sum} \rangle$   
 $\Rightarrow ( \langle \text{Sum} \rangle + 1 ) + 0$   
 $\Rightarrow ( 0 + 1 ) + 0$



$\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \mid (\langle \text{Sum} \rangle)$

---

$\langle \text{Sum} \rangle \Rightarrow$



## BNF Semantics

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- The meaning of a BNF grammar is the set of all strings consisting only of terminals that can be derived from the Start symbol



# Regular Grammars

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- Subclass of BNF
- Only rules of form  
 $\langle \text{nonterminal} \rangle ::= \langle \text{terminal} \rangle \langle \text{nonterminal} \rangle$  or  
 $\langle \text{nonterminal} \rangle ::= \langle \text{terminal} \rangle$  or  
 $\langle \text{nonterminal} \rangle ::= \varepsilon$
- Defines same class of languages as regular expressions
- Important for writing lexers (programs that convert strings of characters into strings of tokens)





## Example

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- Regular grammar:

$\langle \text{Balanced} \rangle ::= \varepsilon$

$\langle \text{Balanced} \rangle ::= 0 \langle \text{OneAndMore} \rangle$

$\langle \text{Balanced} \rangle ::= 1 \langle \text{ZeroAndMore} \rangle$

$\langle \text{OneAndMore} \rangle ::= 1 \langle \text{Balanced} \rangle$

$\langle \text{ZeroAndMore} \rangle ::= 0 \langle \text{Balanced} \rangle$

- Generates even length strings where every initial substring of even length has same number of 0's as 1's



# Extended BNF Grammars

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- Alternatives: allow rules of form  $X ::= y/z$ 
  - Abbreviates  $X ::= y, X ::= z$
- Options:  $X ::= y[v]z$ 
  - Abbreviates  $X ::= yvz, X ::= yz$
- Repetition:  $X ::= y\{v\}^*z$ 
  - Can be eliminated by adding new nonterminal  $V$  and rules  $X ::= yz, X ::= yVz, V ::= v, V ::= w$



# Parse Trees

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- Graphical representation of derivation
- Each node labeled with either non-terminal or terminal
- If node is labeled with a terminal, then it is a leaf (no sub-trees)
- If node is labeled with a non-terminal, then it has one branch for each character in the right-hand side of rule used to substitute for it



## Example

---

- Consider grammar:

$$\langle \text{exp} \rangle ::= \langle \text{factor} \rangle$$
$$\quad \quad \quad | \langle \text{factor} \rangle + \langle \text{factor} \rangle$$
$$\langle \text{factor} \rangle ::= \langle \text{bin} \rangle$$
$$\quad \quad \quad | \langle \text{bin} \rangle * \langle \text{exp} \rangle$$
$$\langle \text{bin} \rangle ::= 0 \quad | \quad 1$$

- Problem: Build parse tree for  $1 * 1 + 0$  as an  $\langle \text{exp} \rangle$



## Example cont.

---

- $1 * 1 + 0$ :  $\langle \text{exp} \rangle$

$\langle \text{exp} \rangle$  is the start symbol for this parse tree



## Example cont.

---

■  $1 * 1 + 0$ :  $\langle \text{exp} \rangle$   
|  
 $\langle \text{factor} \rangle$

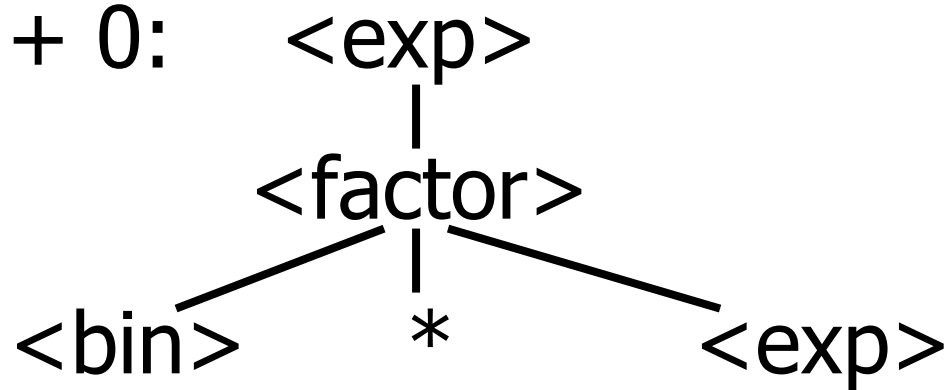
Use rule:  $\langle \text{exp} \rangle ::= \langle \text{factor} \rangle$



## Example cont.

---

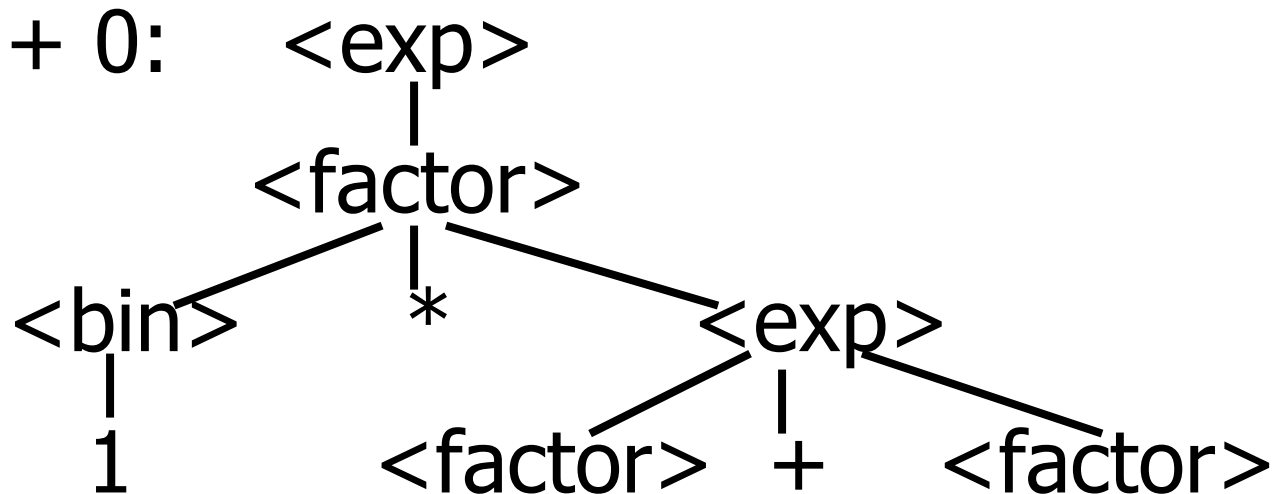
- 1 \* 1 + 0:



Use rule:  $\langle \text{factor} \rangle ::= \langle \text{bin} \rangle * \langle \text{exp} \rangle$

## Example cont.

- $1 * 1 + 0$ :



Use rules:  $\langle \text{bin} \rangle ::= 1$  and  
 $\langle \text{exp} \rangle ::= \langle \text{factor} \rangle + \langle \text{factor} \rangle$

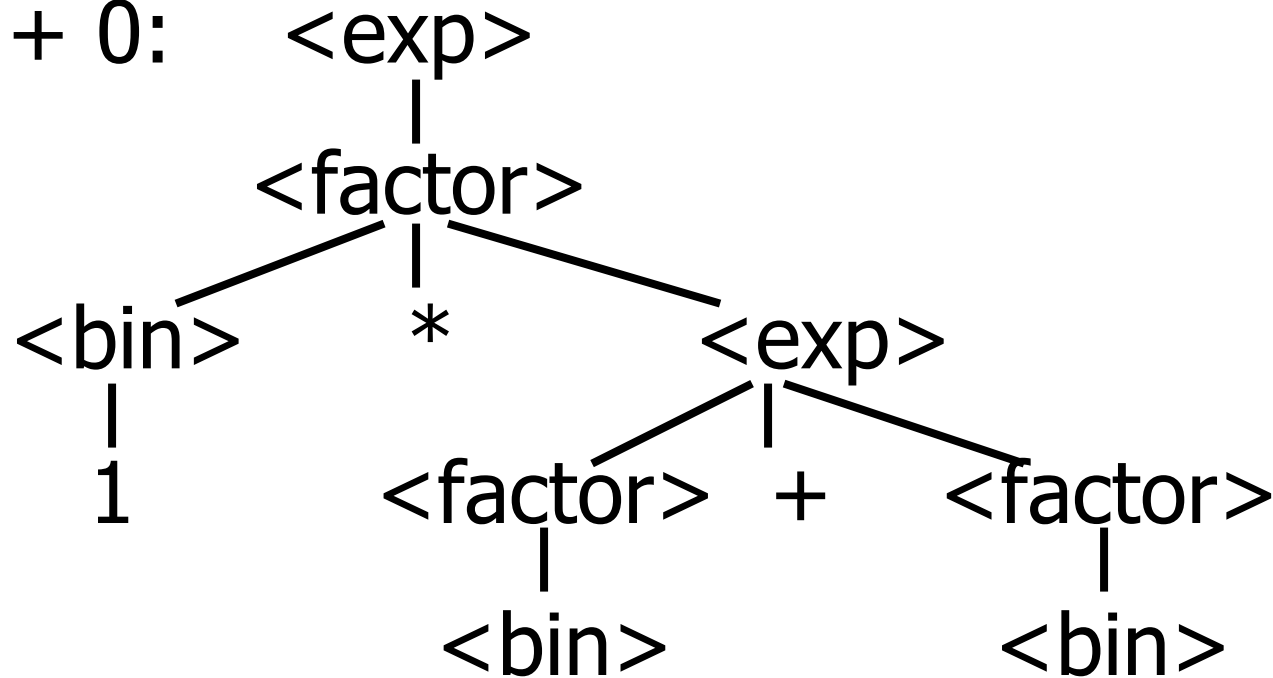




## Example cont.

---

- $1 * 1 + 0$ :



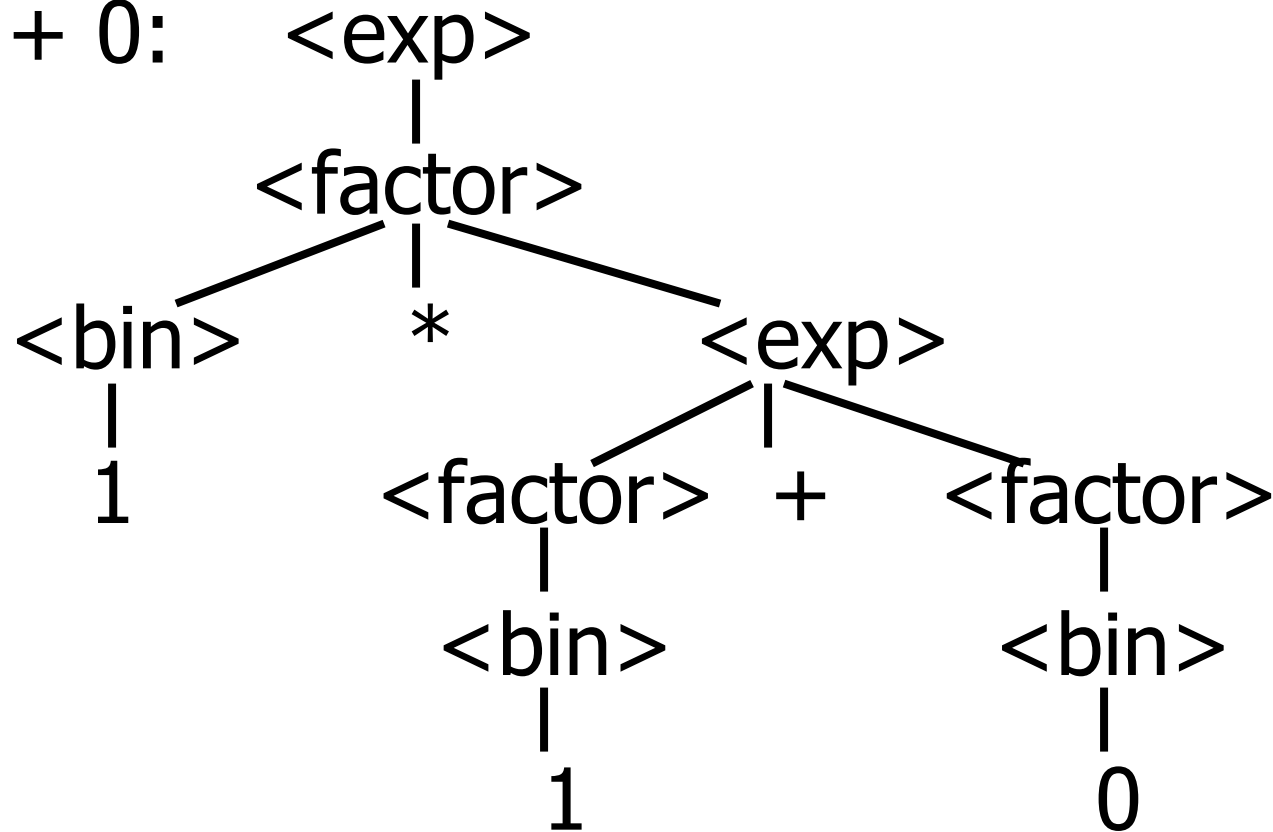
Use rule:  $\langle \text{factor} \rangle ::= \langle \text{bin} \rangle$



## Example cont.

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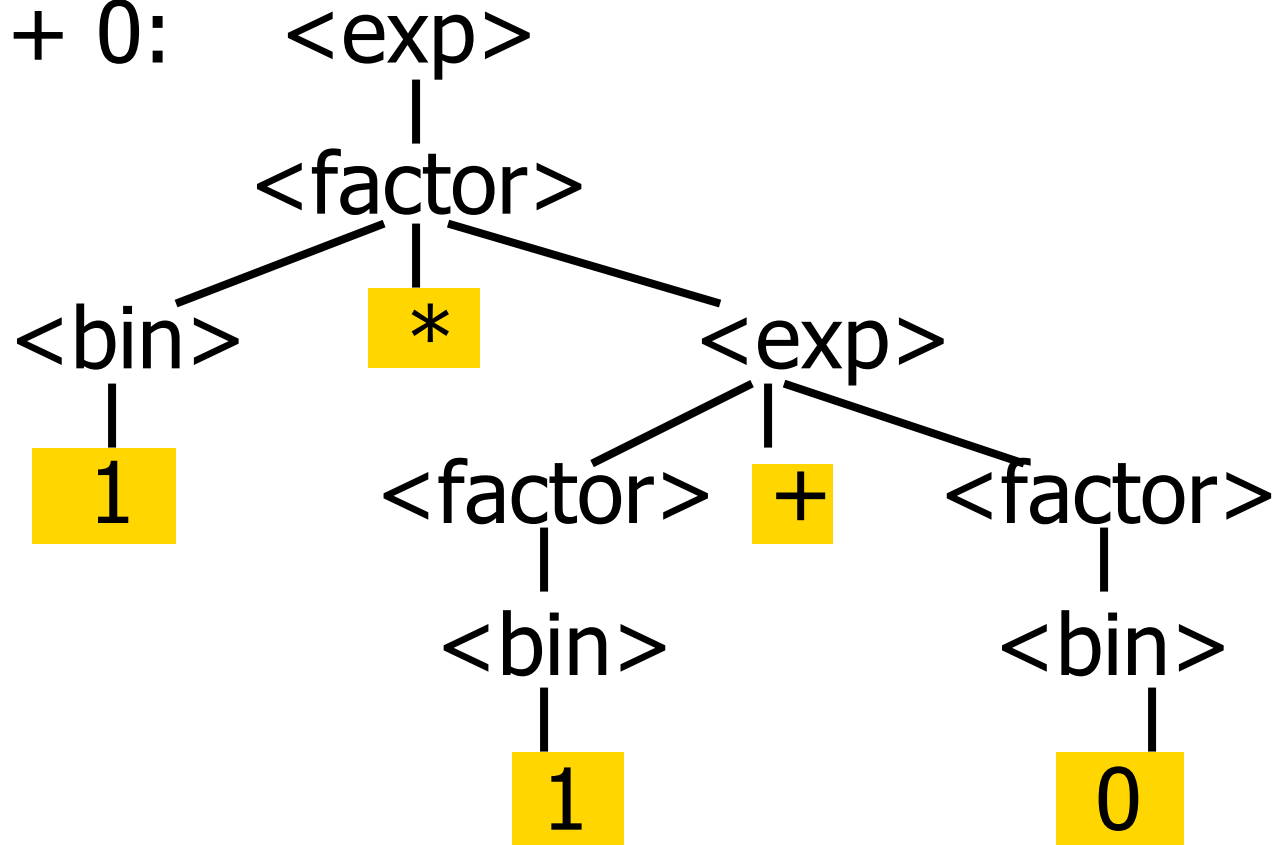
- 1 \* 1 + 0:



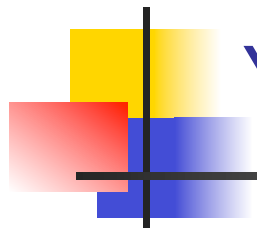
Use rules:  $\langle \text{bin} \rangle ::= 1 \mid 0$

## Example cont.

- 1 \* 1 + 0:



Fringe of tree is string generated by grammar



Your Turn:  $1 * 0 + 0 * 1$

---



# Parse Tree Data Structures

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- Parse trees may be represented by OCaml datatypes
- One datatype for each nonterminal
- One constructor for each rule
- Defined as mutually recursive collection of datatype declarations



## Example

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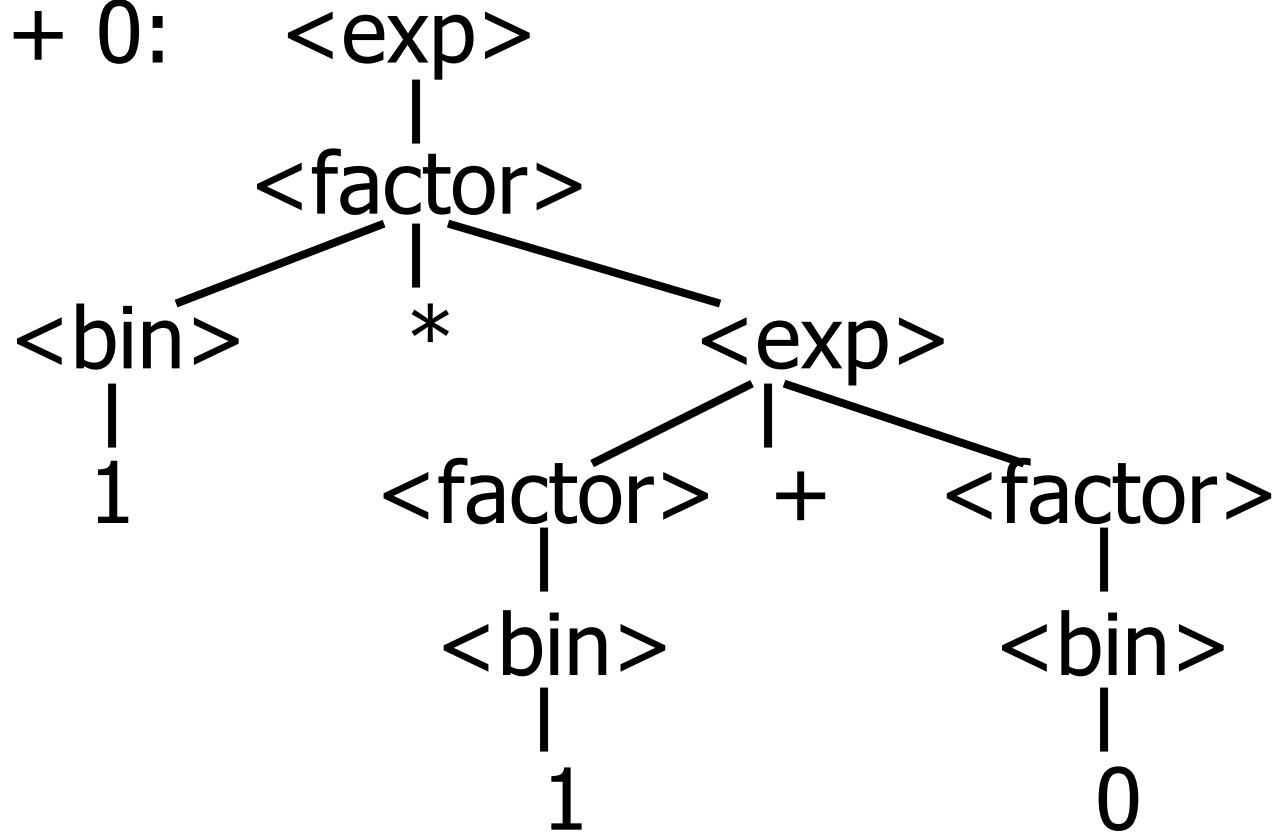
- Recall grammar:  
 $\langle \text{exp} \rangle ::= \langle \text{factor} \rangle \mid \langle \text{factor} \rangle + \langle \text{factor} \rangle$   
 $\langle \text{factor} \rangle ::= \langle \text{bin} \rangle \mid \langle \text{bin} \rangle * \langle \text{exp} \rangle$   
 $\langle \text{bin} \rangle ::= 0 \mid 1$
- type exp = Factor2Exp of factor  
                  | Plus of factor \* factor  
and factor = Bin2Factor of bin  
                  | Mult of bin \* exp  
and bin = Zero | One



## Example cont.

---

- $1 * 1 + 0$ :





## Example cont.

---

- Can be represented as

Factor2Exp

(Mult(One,

Plus(Bin2Factor One,

Bin2Factor Zero)))

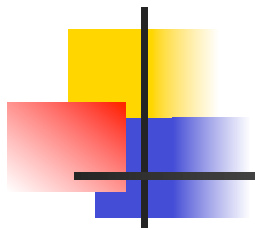




# Ambiguous Grammars and Languages

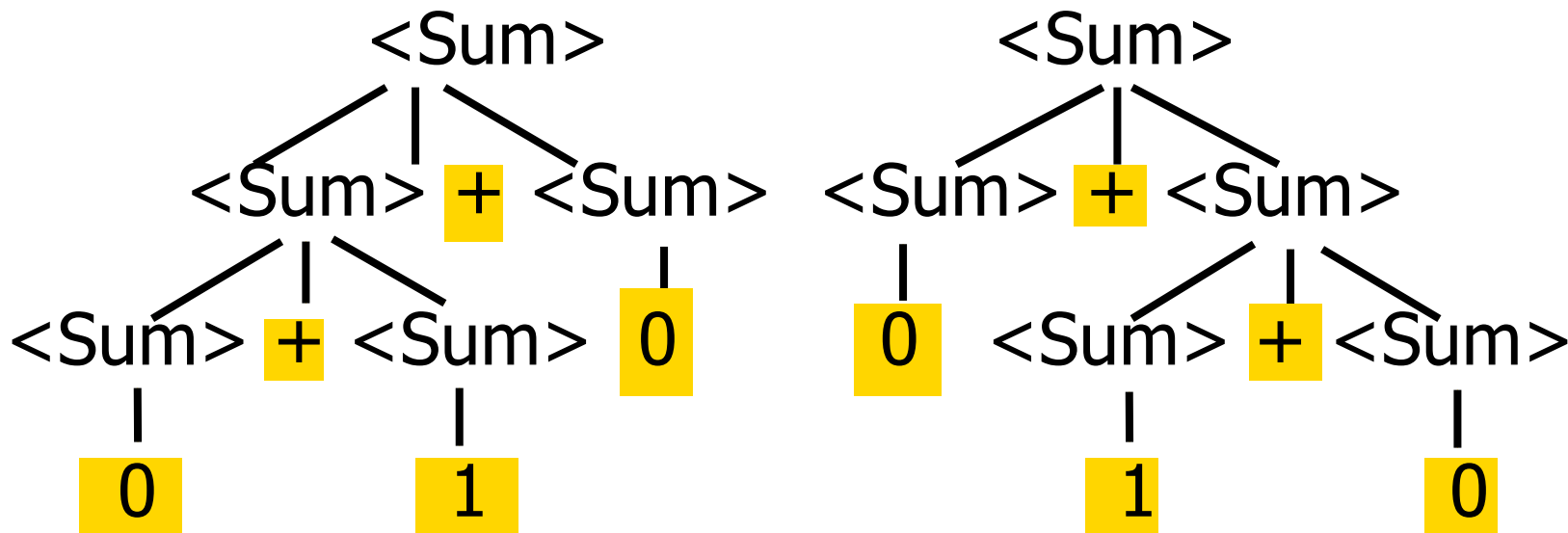
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- A BNF grammar is *ambiguous* if its language contains strings for which there is more than one parse tree
- If all BNF's for a language are ambiguous then the language is *inherently ambiguous*



# Example: Ambiguous Grammar

■  $0 + 1 + 0$





# Example

---

- What is the result for:

$$3 + 4 * 5 + 6$$



## Example

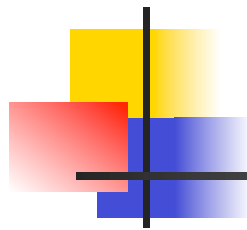
---

- What is the result for:

$$3 + 4 * 5 + 6$$

- Possible answers:

- $41 = ((3 + 4) * 5) + 6$
- $47 = 3 + (4 * (5 + 6))$
- $29 = (3 + (4 * 5)) + 6 = 3 + ((4 * 5) + 6)$
- $77 = (3 + 4) * (5 + 6)$



# Example

---

- What is the value of:

$$7 - 5 - 2$$



## Example

---

- What is the value of:

$$7 - 5 - 2$$

- Possible answers:
  - In Pascal, C++, SML assoc. left  
 $7 - 5 - 2 = (7 - 5) - 2 = 0$
  - In APL, associate to right  
 $7 - 5 - 2 = 7 - (5 - 2) = 4$



## Two Major Sources of Ambiguity

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- Lack of determination of operator precedence
- Lack of determination of operator associativity
- Not the only sources of ambiguity



# Disambiguating a Grammar

---

- Given ambiguous grammar  $G$ , with start symbol  $S$ , find a grammar  $G'$  with same start symbol, such that
$$\text{language of } G = \text{language of } G'$$
- Not always possible
- No algorithm in general





# Disambiguating a Grammar

---

- Idea: Each non-terminal represents all strings having some property
- Identify these properties (often in terms of things that can't happen)
- Use these properties to inductively guarantee every string in language has a unique parse



## Example

---

- Ambiguous grammar:

$$\langle \text{exp} \rangle ::= 0 \mid 1 \mid \langle \text{exp} \rangle + \langle \text{exp} \rangle \\ \mid \langle \text{exp} \rangle * \langle \text{exp} \rangle$$

- String with more than one parse:

$$0 + 1 + 0 \\ 1 * 1 + 1$$

- Source of ambiguity: associativity and precedence



## Two Major Sources of Ambiguity

---

- Lack of determination of operator precedence
- Lack of determination of operator associativity
- Not the only sources of ambiguity



# How to Enforce Associativity

---

- Have at most one recursive call per production
- When two or more recursive calls would be natural leave right-most one for right associativity, left-most one for left associativity



# Example

---

- $\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$   
 $\mid (\langle \text{Sum} \rangle)$

- Becomes

- $\langle \text{Sum} \rangle ::= \langle \text{Num} \rangle \mid \langle \text{Num} \rangle + \langle \text{Sum} \rangle$

- $\langle \text{Num} \rangle ::= 0 \mid 1 \mid (\langle \text{Sum} \rangle)$



# Operator Precedence

---

- Operators of highest precedence evaluated first (bind more tightly).
- Precedence for infix binary operators given in following table
- Needs to be reflected in grammar



# Precedence Table - Sample

	Fortran	Pascal	C/C++	Ada	SML
highest	**	*, /, div, mod	++, --	**	div, mod, / ,*
	*, /	+, -	*, /, %	*, /, mod	+, -, ^
	+, -		+, -	+, -	::



## First Example Again

---

- In any above language,  $3 + 4 * 5 + 6 = 29$
- In APL, all infix operators have same precedence
  - Thus we still don't know what the value is (handled by associativity)
- How do we handle precedence in grammar?





# Precedence in Grammar

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- Higher precedence translates to longer derivation chain

- Example:

$$\langle \text{exp} \rangle ::= 0 \mid 1 \mid \langle \text{exp} \rangle + \langle \text{exp} \rangle \\ \mid \langle \text{exp} \rangle * \langle \text{exp} \rangle$$

- Becomes

$$\langle \text{exp} \rangle ::= \langle \text{mult\_exp} \rangle \\ \mid \langle \text{exp} \rangle + \langle \text{mult\_exp} \rangle \\ \langle \text{mult\_exp} \rangle ::= \langle \text{id} \rangle \mid \langle \text{mult\_exp} \rangle * \langle \text{id} \rangle \\ \langle \text{id} \rangle ::= 0 \mid 1$$