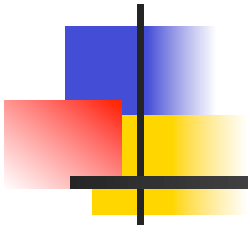


Programming Languages and Compilers (CS 421)

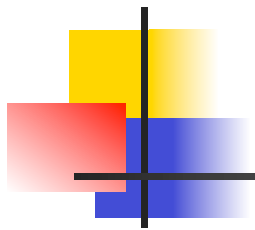


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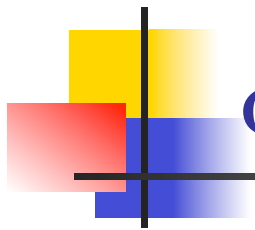
<http://courses.engr.illinois.edu/cs421>

Based in part on slides by Mattox Beckman, as updated by Vikram Adve and Gul Agha



α Conversion

- α -conversion:
$$\lambda x. \text{exp} \xrightarrow{\alpha} \lambda y. (\text{exp} [y/x])$$
- Provided that
 1. y is not free in exp
 2. No free occurrence of x in exp becomes bound in exp when replaced by y



α Conversion Non-Examples

1. Error: y is not free in termsecond

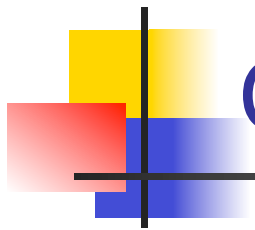
$$\lambda x. x y \not\rightarrow_{\alpha} \lambda y. y y$$

2. Error: free occurrence of x becomes bound in wrong way when replaced by y

$$\lambda x. \underbrace{\lambda y. x y}_{\text{exp}} \not\rightarrow_{\alpha} \lambda y. \underbrace{\lambda y. y y}_{\text{exp}[y/x]}$$

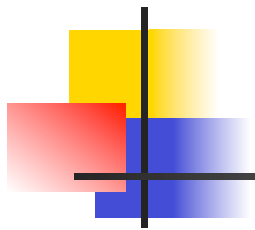
But $\lambda x. (\lambda y. y) x \rightarrow_{\alpha} \lambda y. (\lambda y. y) y$

And $\lambda y. (\lambda y. y) y \rightarrow_{\alpha} \lambda x. (\lambda y. y) x$



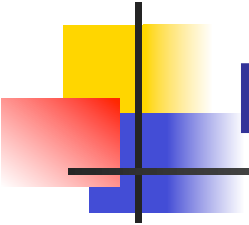
Congruence

- Let $\sim$ be a relation on lambda terms. $\sim$ is a **congruence** if
- it is an equivalence relation
- If $e_1 \sim e_2$ then
 - $(e e_1) \sim (e e_2)$ and $(e_1 e) \sim (e_2 e)$
 - $\lambda x. e_1 \sim \lambda x. e_2$



α Equivalence

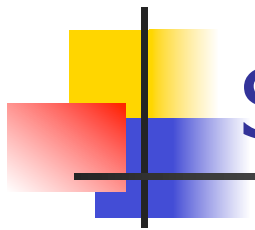
- α equivalence is the smallest congruence containing α conversion
- One usually treats α -equivalent terms as equal - i.e. use α equivalence classes of terms



Example

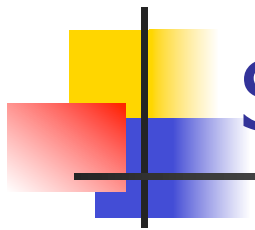
Show: $\lambda x. (\lambda y. y x) x \sim_{\alpha} \lambda y. (\lambda x. x y) y$

- $\lambda x. (\lambda y. y x) x \rightarrow_{\alpha} \lambda z. (\lambda y. y z) z$ so
 $\lambda x. (\lambda y. y x) x \sim_{\alpha} \lambda z. (\lambda y. y z) z$
- $(\lambda y. y z) \rightarrow_{\alpha} (\lambda x. x z)$ so
 $(\lambda y. y z) \sim_{\alpha} (\lambda x. x z)$ so
 $\lambda z. (\lambda y. y z) z \sim_{\alpha} \lambda z. (\lambda x. x z) z$
- $\lambda z. (\lambda x. x z) z \rightarrow_{\alpha} \lambda y. (\lambda x. x y) y$ so
 $\lambda z. (\lambda x. x z) z \sim_{\alpha} \lambda y. (\lambda x. x y) y$
- $\lambda x. (\lambda y. y x) x \sim_{\alpha} \lambda y. (\lambda x. x y) y$



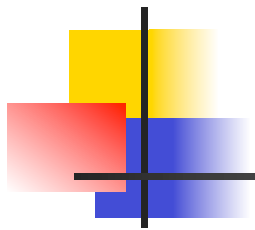
Substitution

- Defined on α -equivalence classes of terms
- $P [N / x]$ means replace every free occurrence of x in P by N
 - P called *redex*; N called *residue*
- Provided that no variable free in P becomes bound in $P [N / x]$
 - Rename bound variables in P to avoid capturing free variables of N



Substitution

- $x [N / x] = N$
- $y [N / x] = y$ if $y \neq x$
- $(e_1 e_2) [N / x] = ((e_1 [N / x]) (e_2 [N / x]))$
- $(\lambda x. e) [N / x] = (\lambda x. e)$
- $(\lambda y. e) [N / x] = \lambda y. (e [N / x])$
provided $y \neq x$ and y not free in N
 - Rename y in redex if necessary



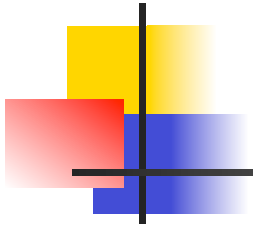
Example

$$(\lambda y. y z) [(\lambda x. x y) / z] = ?$$

- Problems?

- z in redex in scope of y binding
- y free in the residue

- $(\lambda y. y z) [(\lambda x. x y) / z] \xrightarrow{\alpha} (\lambda w. w z) [(\lambda x. x y) / z] = \lambda w. w (\lambda x. x y)$

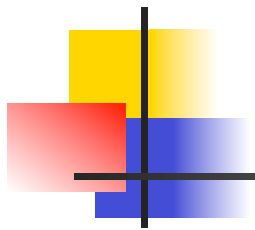


Example

- Only replace free occurrences
- $(\lambda y. y z (\lambda z. z)) [(\lambda x. x) / z] =$
 $\lambda y. y (\lambda x. x) (\lambda z. z)$

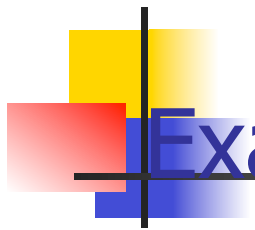
Not

$$\lambda y. y (\lambda x. x) (\lambda z. (\lambda x. x))$$



β reduction

- β Rule: $(\lambda x. P) N \rightarrow_{\beta} P [N / x]$
- Essence of computation in the lambda calculus
- Usually defined on α -equivalence classes of terms



Example

- $(\lambda z. (\lambda x. x y) z) (\lambda y. y z)$

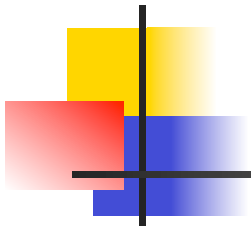
$$\rightarrow_{\beta} (\lambda x. x y) (\lambda y. y z)$$

$$\rightarrow_{\beta} (\lambda y. y z) y \rightarrow_{\beta} y z$$

- $(\lambda x. x x) (\lambda x. x x)$

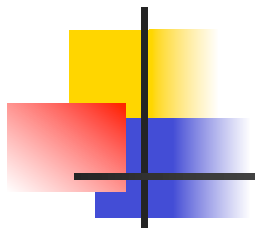
$$\rightarrow_{\beta} (\lambda x. x x) (\lambda x. x x)$$

$$\rightarrow_{\beta} (\lambda x. x x) (\lambda x. x x) \rightarrow_{\beta} \dots$$



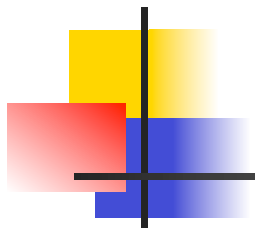
α β Equivalence

- α β equivalence is the smallest congruence containing α equivalence and β reduction
- A term is in *normal form* if no subterm is α equivalent to a term that can be β reduced
- Hard fact (Church-Rosser): if e_1 and e_2 are $\alpha\beta$ -equivalent and both are normal forms, then they are α equivalent



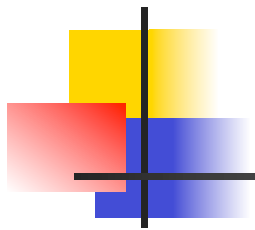
Order of Evaluation

- Not all terms reduce to normal forms
- Not all reduction strategies will produce a normal form if one exists



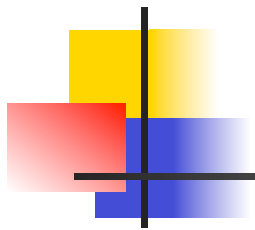
Lazy evaluation:

- Always reduce the left-most application in a top-most series of applications (i.e. Do not perform reduction inside an abstraction)
- Stop when term is not an application, or left-most application is not an application of an abstraction to a term



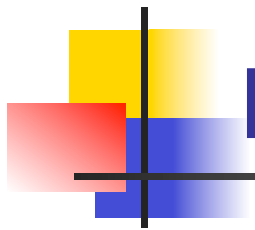
Example 1

- $(\lambda z. (\lambda x. x)) ((\lambda y. y y) (\lambda y. y y))$
- Lazy evaluation:
- Reduce the left-most application:
- $(\lambda z. (\lambda x. x)) ((\lambda y. y y) (\lambda y. y y))$
 $\rightarrow (\lambda x. x)$



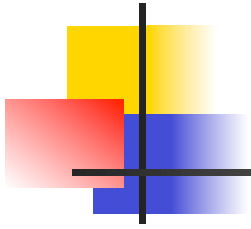
Eager evaluation

- (Eagerly) reduce left of top application to an abstraction
- Then (eagerly) reduce argument
- Then β -reduce the application



Example 1

- $(\lambda z. (\lambda x. x))((\lambda y. y y) (\lambda y. y y))$
- Eager evaluation:
- Reduce the rator of the top-most application to an abstraction: Done.
- Reduce the argument:
- $(\lambda z. (\lambda x. x))((\lambda y. y y) (\lambda y. y y))$
- β--> $(\lambda z. (\lambda x. x))((\lambda y. y y) (\lambda y. y y))$
- β--> $(\lambda z. (\lambda x. x))((\lambda y. y y) (\lambda y. y y))...$

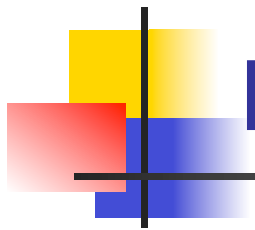


Example 2

- $(\lambda x. x x)((\lambda y. y y) (\lambda z. z))$

- Lazy evaluation:

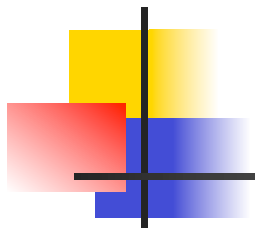
$(\lambda x. x x)((\lambda y. y y) (\lambda z. z)) \rightarrow_{\beta} \dots$



Example 2

- $(\lambda x. x x)((\lambda y. y y) (\lambda z. z))$
- Lazy evaluation:

$(\lambda x. \boxed{x} \boxed{x}) (\underline{((\lambda y. y y) (\lambda z. z))}) \rightarrow_{\beta} \dots$



Example 2

- $(\lambda x. x x)((\lambda y. y y) (\lambda z. z))$
- Lazy evaluation:

$(\lambda x. \boxed{x} \boxed{x})((\lambda y. y y) (\lambda z. z)) \xrightarrow{\beta}$

$\boxed{((\lambda y. y y) (\lambda z. z))} \boxed{((\lambda y. y y) (\lambda z. z))}$

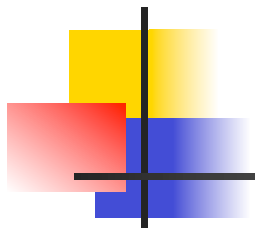


Example 2

- $(\lambda x. x x)((\lambda y. y y) (\lambda z. z))$
- Lazy evaluation:

$(\lambda x. x x)((\lambda y. y y) (\lambda z. z)) \rightarrow_{\beta}$

$((\lambda y. y y) (\lambda z. z)) ((\lambda y. y y) (\lambda z. z))$



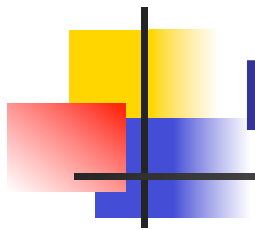
Example 2

- $(\lambda x. x x)((\lambda y. y y) (\lambda z. z))$

- Lazy evaluation:

$(\lambda x. x x)((\lambda y. y y) (\lambda z. z)) \xrightarrow{\beta} >$

$((\lambda y. \boxed{y} \boxed{y}) \underline{(\lambda z. z)}) ((\lambda y. y y) (\lambda z. z))$



Example 2

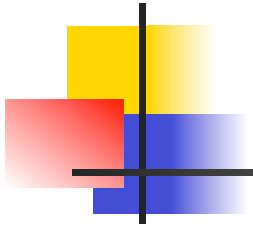
- $(\lambda x. x x)((\lambda y. y y) (\lambda z. z))$

- Lazy evaluation:

$(\lambda x. x x)((\lambda y. y y) (\lambda z. z)) \rightarrow_{\beta}$

$((\lambda y. \boxed{y} \boxed{y}) \underline{(\lambda z. z)}) ((\lambda y. y y) (\lambda z. z))$

$\rightarrow_{\beta} (\boxed{(\lambda z. z)} \boxed{(\lambda z. z)}) ((\lambda y. y y) (\lambda z. z))$



Example 2

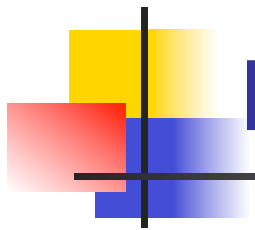
- $(\lambda x. x x)((\lambda y. y y) (\lambda z. z))$

- Lazy evaluation:

$(\lambda x. x x)((\lambda y. y y) (\lambda z. z)) \rightarrow_{\beta}$

$((\lambda y. y y) (\lambda z. z)) ((\lambda y. y y) (\lambda z. z))$

$\rightarrow_{\beta} ((\lambda z. z) (\lambda z. z)) ((\lambda y. y y) (\lambda z. z))$



Example 2

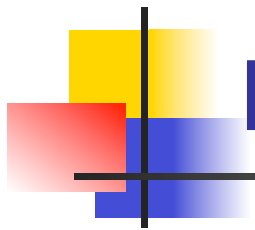
- $(\lambda x. x x)((\lambda y. y y) (\lambda z. z))$

- Lazy evaluation:

$(\lambda x. x x)((\lambda y. y y) (\lambda z. z)) \rightarrow_{\beta}$

$((\lambda y. y y) (\lambda z. z)) ((\lambda y. y y) (\lambda z. z))$

$\rightarrow_{\beta} ((\lambda z. \boxed{z}) \underline{(\lambda z. z)})((\lambda y. y y) (\lambda z. z))$



Example 2

- $(\lambda x. x x)((\lambda y. y y) (\lambda z. z))$

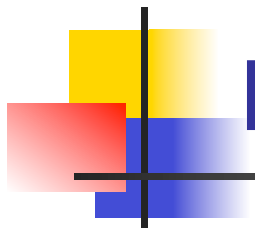
- Lazy evaluation:

$(\lambda x. x x)((\lambda y. y y) (\lambda z. z)) \rightarrow_{\beta}$

$((\lambda y. y y) (\lambda z. z)) ((\lambda y. y y) (\lambda z. z))$

$\rightarrow_{\beta} ((\lambda z. \boxed{z}) (\lambda z. z)) ((\lambda y. y y) (\lambda z. z))$

$\rightarrow_{\beta} (\boxed{\lambda z. z}) ((\lambda y. y y) (\lambda z. z))$



Example 2

- $(\lambda x. x x)((\lambda y. y y) (\lambda z. z))$

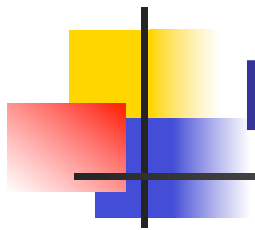
- Lazy evaluation:

$(\lambda x. x x)((\lambda y. y y) (\lambda z. z)) \rightarrow_{\beta}$

$((\lambda y. y y) (\lambda z. z)) ((\lambda y. y y) (\lambda z. z))$

$\rightarrow_{\beta} ((\lambda z. z) (\lambda z. z)) ((\lambda y. y y) (\lambda z. z))$

$\rightarrow_{\beta} (\lambda z. \boxed{z}) \underline{((\lambda y. y y) (\lambda z. z))} \rightarrow_{\beta}$
 $(\lambda y. y y) (\lambda z. z)$



Example 2

- $(\lambda x. x x)((\lambda y. y y) (\lambda z. z))$

- Lazy evaluation:

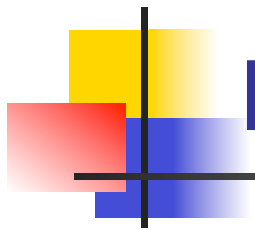
$(\lambda x. x x)((\lambda y. y y) (\lambda z. z)) \rightarrow_{\beta}$

$((\lambda y. y y) (\lambda z. z)) ((\lambda y. y y) (\lambda z. z))$

$\rightarrow_{\beta} ((\lambda z. z) (\lambda z. z)) ((\lambda y. y y) (\lambda z. z))$

$\rightarrow_{\beta} (\lambda z. z) ((\lambda y. y y) (\lambda z. z)) \rightarrow_{\beta}$

$(\lambda y. y y) (\lambda z. z)$



Example 2

- $(\lambda x. x x)((\lambda y. y y) (\lambda z. z))$

- Lazy evaluation:

$(\lambda x. x x)((\lambda y. y y) (\lambda z. z)) \text{ --}\beta\text{--}>$

$((\lambda y. y y) (\lambda z. z)) ((\lambda y. y y) (\lambda z. z))$

$\text{--}\beta\text{--}> ((\lambda z. z) (\lambda z. z)) ((\lambda y. y y) (\lambda z. z))$

$\text{--}\beta\text{--}> (\lambda z. z) ((\lambda y. y y) (\lambda z. z)) \text{ --}\beta\text{--}>$

$(\lambda y. y y) (\lambda z. z) \sim\beta\sim \lambda z. z$



Example 2

- $(\lambda x. x x)((\lambda y. y y) (\lambda z. z))$

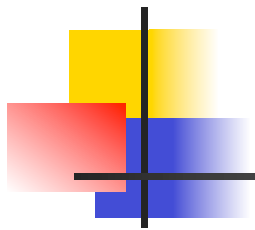
- Eager evaluation:

$(\lambda x. x x) ((\lambda y. y y) (\lambda z. z)) \xrightarrow{\beta}$

$(\lambda x. x x) ((\lambda z. z) (\lambda z. z)) \xrightarrow{\beta}$

$(\lambda x. x x) (\lambda z. z) \xrightarrow{\beta}$

$(\lambda z. z) (\lambda z. z) \xrightarrow{\beta} \lambda z. z$



η (Eta) Reduction

- η Rule: $\lambda x. f\ x \dashrightarrow_{\eta} f$ if x not free in f
 - Can be useful in each direction
 - Not valid in Ocaml
 - recall lambda-lifting and side effects
 - Not equivalent to $(\lambda x. f)\ x \rightarrow f$ (inst of β)
- Example: $\lambda x. (\lambda y. y)\ x \dashrightarrow_{\eta} \lambda y. y$