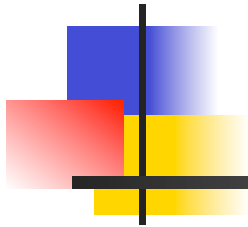


Programming Languages and Compilers (CS 421)

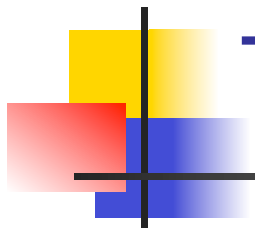


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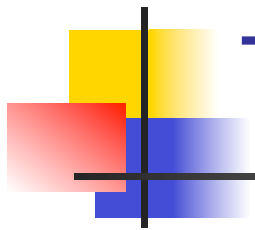
Based in part on slides by Mattox Beckman, as updated
by Vikram Adve and Gul Agha



Type Inference Algorithm

Let $\text{infer}(\Gamma, e, \tau) = \sigma$

- Γ is a typing environment (giving polymorphic types to expression variables)
- e is an expression
- τ is a type (with type variables),
- σ is a substitution of types for type variables
- Idea: σ is the constraints on type variables necessary for $\Gamma \vdash e : \tau$
- Should have $\sigma(\Gamma) \vdash e : \sigma(\tau)$

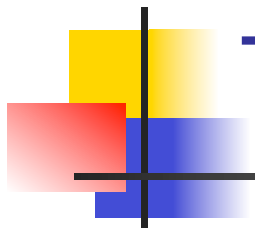


Type Inference Algorithm

$\text{infer}(\Gamma, \text{exp}, \tau) =$

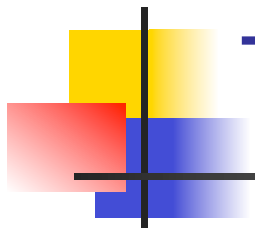
- Case exp of

- Var $v \rightarrow$ return $\text{Unify}\{\tau \equiv \text{freshInstance}(\Gamma(v))\}$
 - Replace all quantified type vars by fresh ones
- Const $c \rightarrow$ return $\text{Unify}\{\tau \equiv \text{freshInstance } \varphi\}$
where $\Gamma \vdash c : \varphi$ by the constant rules
- fun $x \rightarrow e \rightarrow$
 - Let α, β be fresh variables
 - Let $\sigma = \text{infer}([x: \alpha] + \Gamma, e, \beta)$
 - Return $\text{Unify}(\{\sigma(\tau) \equiv \sigma(\alpha \rightarrow \beta)\}) \circ \sigma$



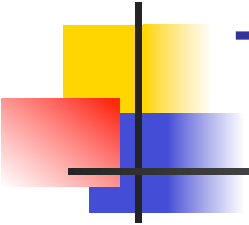
Type Inference Algorithm (cont)

- Case *exp* of
 - App (e_1 e_2) $\rightarrow$
 - Let α be a fresh variable
 - Let $\sigma_1 = \text{infer}(\Gamma, e_1, \alpha \rightarrow \tau)$
 - Let $\sigma_2 = \text{infer}(\sigma_1(\Gamma), e_2, \sigma_1(\alpha))$
 - Return $\sigma_2 \circ \sigma_1$



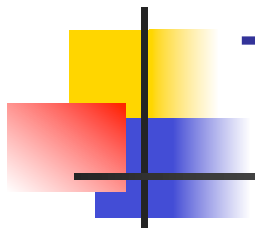
Type Inference Algorithm (cont)

- Case *exp* of
 - If e_1 then e_2 else $e_3 \rightarrow$
 - Let $\sigma_1 = \text{infer}(\Gamma, e_1, \text{bool})$
 - Let $\sigma_2 = \text{infer}(\sigma_1\Gamma, e_2, \sigma_1(\tau))$
 - Let $\sigma_3 = \text{infer}(\sigma_2 \circ \sigma_1(\Gamma), e_3, \sigma_2 \circ \sigma_1(\tau))$
 - Return $\sigma_3 \circ \sigma_2 \circ \sigma_1$



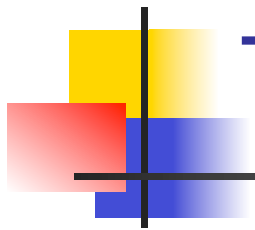
Type Inference Algorithm (cont)

- Case *exp* of
 - let $x = e_1$ in $e_2 \rightarrow$
 - Let α be a fresh variable
 - Let $\sigma_1 = \text{infer}(\Gamma, e_1, \alpha)$
 - Let $\sigma_2 =$
 $\text{infer}([x:\text{GEN}(\sigma_1(\Gamma), \sigma_1(\alpha))] + \sigma_1(\Gamma),$
 $e_2, \sigma_1(\tau))$
 - Return $\sigma_2 \circ \sigma_1$



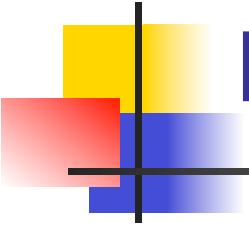
Type Inference Algorithm (cont)

- Case *exp* of
 - let rec $x = e_1$ in $e_2 \rightarrow$
 - Let α be a fresh variable
 - Let $\sigma_1 = \text{infer}([x: \alpha] + \Gamma, e_1, \alpha)$
 - Let $\sigma_2 = \text{infer}([x: \text{GEN}(\sigma_1(\Gamma), \sigma_1(\alpha))]
+ \sigma_1(\Gamma), e_2, \sigma_1(\tau))$
 - Return $\sigma_2 \circ \sigma_1$



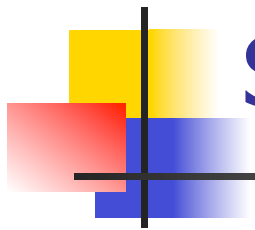
Type Inference Algorithm (cont)

- To infer a type, introduce `type_of`
- Let α be a fresh variable
- `type_of` (Γ, e) =
 - Let $\sigma = \text{infer}(\Gamma, e, \alpha)$
 - Return $\sigma(\alpha)$
- Need an algorithm for Unif



Background for Unification

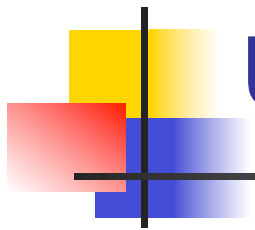
- **Terms** made from **constructors** and **variables** (for the simple first order case)
- Constructors may be applied to arguments (other terms) to make new terms
- Variables and constructors with no arguments are base cases
- Constructors applied to different number of arguments (arity) considered different
- **Substitution** of terms for variables



Simple Implementation Background

```
type term = Variable of string  
          | Const of (string * term list)
```

```
let rec subst var_name residue term =  
  match term with Variable name ->  
    if var_name = name then residue else term  
  | Const (c, tys) ->  
    Const (c, List.map (subst var_name residue)  
                    tys);;
```



Unification Problem

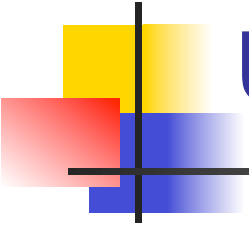
Given a set of pairs of terms (“equations”)

$$\{(s_1, t_1), (s_2, t_2), \dots, (s_n, t_n)\}$$

(the *unification problem*) does there exist
a substitution σ (the *unification solution*)
of terms for variables such that

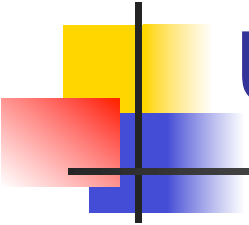
$$\sigma(s_i) = \sigma(t_i),$$

for all $i = 1, \dots, n$?



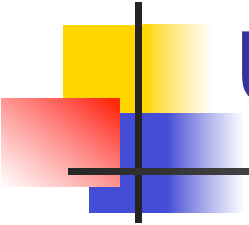
Uses for Unification

- Type Inference and type checking
- Pattern matching as in OCAML
 - Can use a simplified version of algorithm
- Logic Programming - Prolog
- Simple parsing



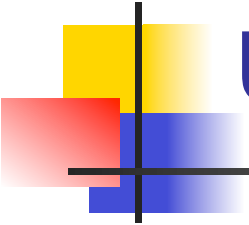
Unification Algorithm

- Let $S = \{(s_1, t_1), (s_2, t_2), \dots, (s_n, t_n)\}$ be a unification problem.
- Case $S = \{ \}$: $\text{Unif}(S) = \text{Identity function}$ (i.e., no substitution)
- Case $S = \{(s, t)\} \cup S'$: Four main steps



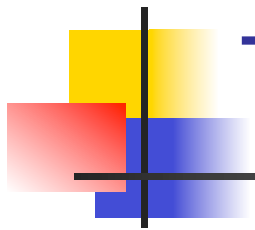
Unification Algorithm

- **Delete:** if $s = t$ (they are the same term) then $\text{Unif}(S) = \text{Unif}(S')$
- **Decompose:** if $s = f(q_1, \dots, q_m)$ and $t = f(r_1, \dots, r_m)$ (same f , same m !), then $\text{Unif}(S) = \text{Unif}(\{(q_1, r_1), \dots, (q_m, r_m)\} \cup S')$
- **Orient:** if $t = x$ is a variable, and s is not a variable, $\text{Unif}(S) = \text{Unif}(\{(x, s)\} \cup S')$



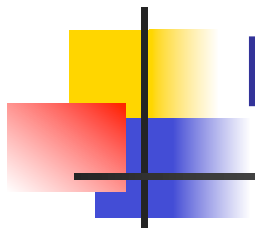
Unification Algorithm

- **Eliminate:** if $s = x$ is a variable, and x does not occur in t (the occurs check), then
 - Let $\varphi = x \mapsto t$
 - Let $\psi = \text{Unif}(\varphi(S'))$
 - $\text{Unif}(S) = \{x \mapsto \psi(t)\} \circ \psi$
 - Note: $\{x \mapsto a\} \circ \{y \mapsto b\} = \{y \mapsto (\{x \mapsto a\}(b))\} \circ \{x \mapsto a\}$ if y not in a



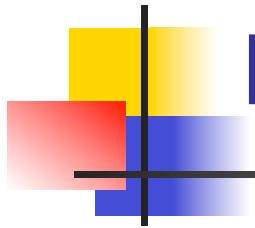
Tricks for Efficient Unification

- Don't return substitution, rather do it incrementally
- Make substitution be constant time
 - Requires implementation of terms to use mutable structures (or possibly lazy structures)
 - We won't discuss these



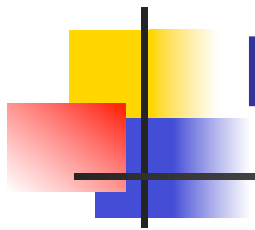
Example

- x, y, z variables, f, g constructors
- $S = \{(f(x), f(g(y, z))), (g(y, f(y)), x)\}$



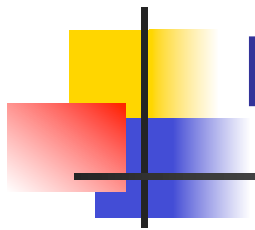
Example

- x, y, z variables, f, g constructors
- S is nonempty
- $S = \{(f(x), f(g(y, z))), (g(y, f(y)), x)\}$



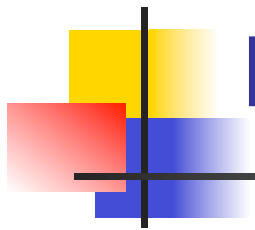
Example

- x, y, z variables, f, g constructors
- Pick a pair: $(g(y, f(y)), x)$
- $S = \{(f(x), f(g(y, z))), (g(y, f(y)), x)\}$



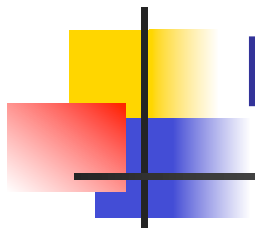
Example

- x, y, z variables, f, g constructors
- Pick a pair: $(g(y, f(y))), x)$
- Orient: $(x, g(y, f(y)))$
- $S = \{(f(x), f(g(y, z))), (g(y, f(y)), x)\}$
- $\rightarrow \{(f(x), f(g(y, z))), (x, g(y, f(y)))\}$



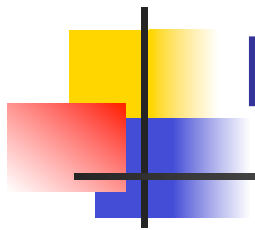
Example

- x, y, z variables, f, g constructors
- $S \rightarrow \{(f(x), f(g(y, z))), (x, g(y, f(y)))\}$



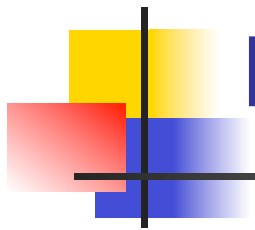
Example

- x, y, z variables, f, g constructors
- Pick a pair: $(f(x), f(g(y, z)))$
- $S \rightarrow \{(f(x), f(g(y, z))), (x, g(y, f(y)))\}$



Example

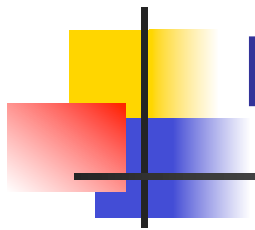
- x, y, z variables, f, g constructors
- Pick a pair: $(f(x), f(g(y, z)))$
- Decompose: $(x, g(y, z))$
- $S \rightarrow \{(f(x), f(g(y, z))), (x, g(y, f(y)))\}$
- $\rightarrow \{(x, g(y, z)), (x, g(y, f(y)))\}$



Example

- x, y, z variables, f, g constructors
- Pick a pair: $(x, g(y, f(y)))$
- Substitute: $\{x \mapsto g(y, f(y))\}$
- $S \mapsto \{(x, g(y, z)), (x, g(y, f(y)))\}$
- $\mapsto \{(g(y, f(y)), g(y, z))\}$

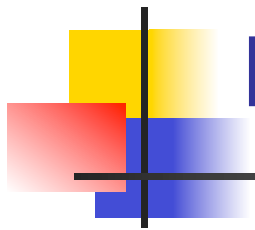
- With $\{x \mapsto g(y, f(y))\}$



Example

- x, y, z variables, f, g constructors
- Pick a pair: $(g(y, f(y)), g(y, z))$
- $S \rightarrow \{(g(y, f(y)), g(y, z))\}$

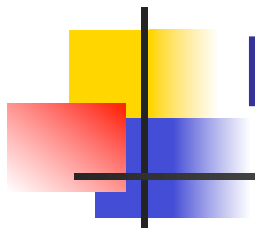
With $\{x \mapsto g(y, f(y))\}$



Example

- x, y, z variables, f, g constructors
- Pick a pair: $(g(y, f(y)), g(y, z))$
- Decompose: (y, y) and $(f(y), z)$
- $S \rightarrow \{(g(y, f(y)), g(y, z))\}$
- $\rightarrow \{(y, y), (f(y), z)\}$

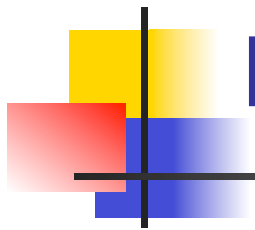
With $\{x \mapsto g(y, f(y))\}$



Example

- x, y, z variables, f, g constructors
- Pick a pair: (y, y)
- $S \rightarrow \{(y, y), (f(y), z)\}$

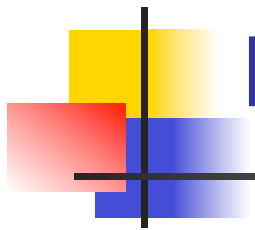
With $\{x \mapsto g(y, f(y))\}$



Example

- x, y, z variables, f, g constructors
- Pick a pair: (y, y)
- Delete
- $S \rightarrow \{(y, y), (f(y), z)\}$
- $\rightarrow \{(f(y), z)\}$

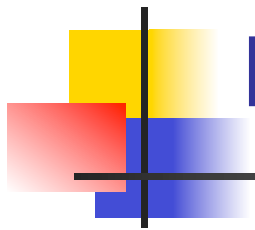
With $\{x \mapsto g(y, f(y))\}$



Example

- x, y, z variables, f, g constructors
- Pick a pair: $(f(y), z)$
- $S \rightarrow \{(f(y), z)\}$

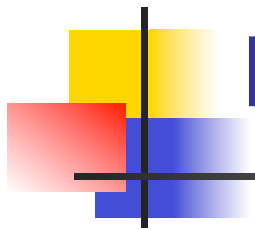
With $\{x \mapsto g(y, f(y))\}$



Example

- x, y, z variables, f, g constructors
- Pick a pair: $(f(y), z)$
- Orient: $(z, f(y))$
- $S \rightarrow \{(f(y), z)\}$
- $\rightarrow \{(z, f(y))\}$

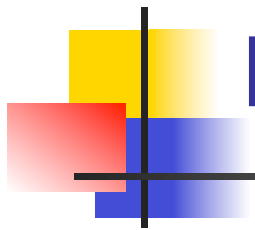
With $\{x \mapsto g(y, f(y))\}$



Example

- x, y, z variables, f, g constructors
- Pick a pair: $(z, f(y))$
- $S \rightarrow \{(z, f(y))\}$

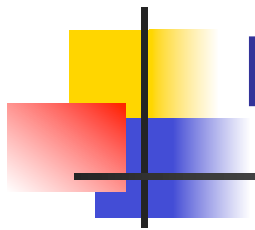
With $\{x \mapsto g(y, f(y))\}$



Example

- x, y, z variables, f, g constructors
- Pick a pair: $(z, f(y))$
- Eliminate: $\{z \mapsto f(y)\}$
- $S \mapsto \{(z, f(y))\}$
- $\mapsto \{ \}$

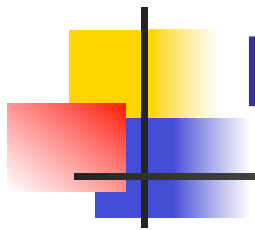
With $\{x \mapsto \{z \mapsto f(y)\} (g(y, f(y))) \}$
o $\{z \mapsto f(y)\}$



Example

- x, y, z variables, f, g constructors
- Pick a pair: $(z, f(y))$
- Eliminate: $\{z \mapsto f(y)\}$
- $S \mapsto \{(z, f(y))\}$
- $\mapsto \{ \}$

With $\{x \mapsto g(y, f(y))\} \circ \{(z \mapsto f(y))\}$



Example

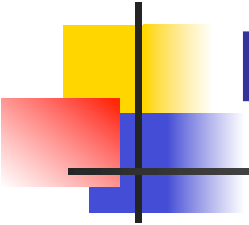
$$S = \{(f(x), f(g(y,z))), (g(y,f(y)), x)\}$$

Solved by $\{x \mapsto g(y, f(y))\} \circ \{(z \mapsto f(y))\}$

$$\underbrace{f(g(y, f(y)))}_x = f(g(y, \underbrace{f(y)}_z))$$

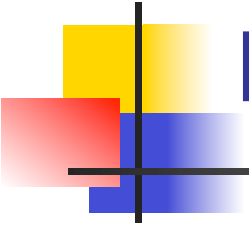
and

$$g(y, f(y)) = \underbrace{g(y, f(y))}_x$$



Example of Failure: Decompose

- $S = \{(f(x, g(y)), f(h(y), x))\}$
- Decompose: $(f(x, g(y)), f(h(y), x))$
- $S \rightarrow \{(x, h(y)), (g(y), x)\}$
- Orient: $(g(y), x)$
- $S \rightarrow \{(x, h(y)), (x, g(y))\}$
- Eliminate: $(x, h(y))$
- $S \rightarrow \{(h(y), g(y))\}$ with $\{x \mapsto h(y)\}$
- No rule to apply! Decompose fails!



Example of Failure: Occurs Check

- $S = \{(f(x, g(x)), f(h(x), x))\}$
- Decompose: $(f(x, g(x)), f(h(x), x))$
- $S \rightarrow \{(x, h(x)), (g(x), x)\}$
- Orient: $(g(y), x)$
- $S \rightarrow \{(x, h(x)), (x, g(x))\}$
- No rules apply.