

NFAs continued, Closure Properties of Regular Languages

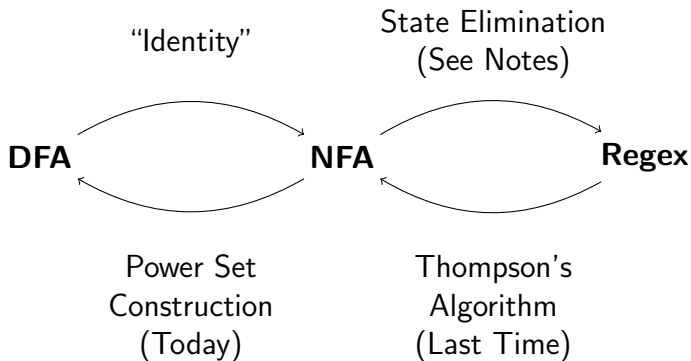
Lecture 5

February 04, 2025

Part I

Equivalence of DFAs, NFAs, and Regular Expressions

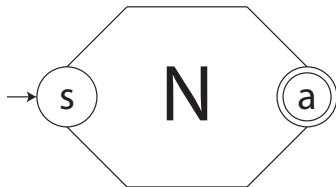
Roadmap



Last Time on CS374

Theorem

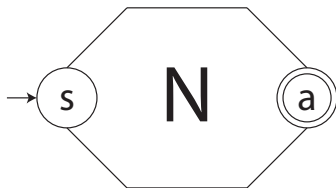
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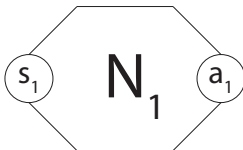
Last time, dealt with base cases (\emptyset , ϵ , single character).

Thompson's Algorithm: Union

Theorem

For every regular expression r , there is a normal form NFA N such that $L(N) = L(r)$.

$$r = r_1 + r_2$$



Thompson's Algorithm: Concatenation

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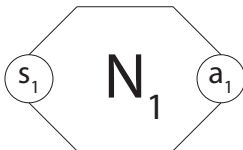


Thompson's Algorithm: Kleene Star

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For every regular expression r , there is a normal form NFA N such that $L(N) = L(r)$.

$$r = r_1^*$$

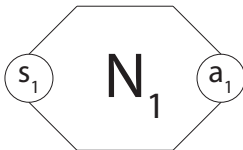


Thompson's Algorithm: Kleene Star (Attempt 2)

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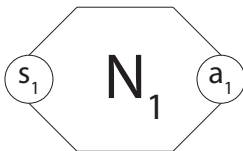


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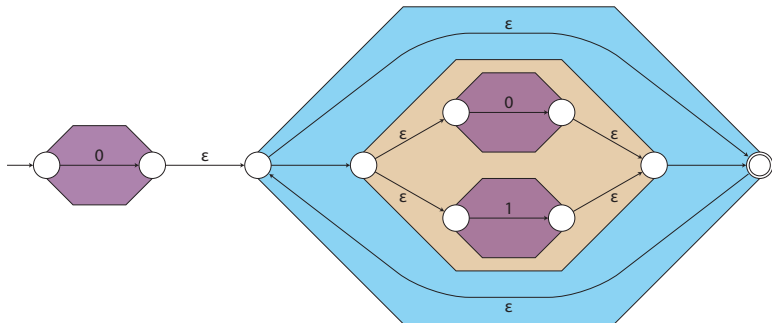


Thompson's Algorithm: Example

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Powerset Construction: Intuition

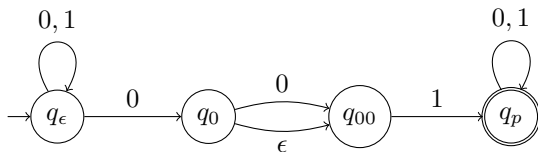
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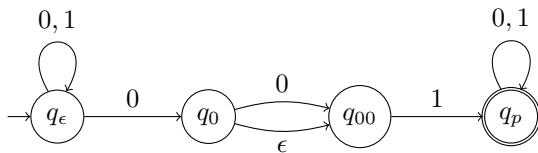


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Is there an accepting path for **1001**?

What about for **1100**?

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For $N = (Q_N, \Sigma, s_N, \delta_N, A_N)$, create $M = (Q_M, \Sigma, \delta_M, s_M, A_M)$:

- $Q_M =$
- $s_M =$
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- $A_M =$

Intuition: track “set of possible states”.

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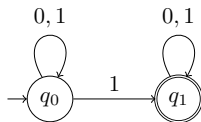
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For $N = (Q_N, \Sigma, s_N, \delta_N, A_N)$, create $M = (Q_M, \Sigma, \delta_M, s_M, A_M)$:

- $Q_M = \mathcal{P}(Q_N)$
- $s_M = \epsilon\text{reach}(s_N)$
- $\delta_M(q_M, a) = \bigcup_{q_N \in q_M} \bigcup_{r_N \in \delta_N(q_N, a)} \epsilon\text{reach}(r_N)$
- $A_M = \{q_M \mid q_M \cap A_N \neq \emptyset\}$

Intuition: track “set of possible states”.

PowerSet Construction: Example



Proof of Correctness: Lemma Statement

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Let N be an NFA and M be the DFA obtained by applying the powerset construction to N . For all w , $\delta_N^*(s_N, w) = \delta_M^*(s_M, w)$.

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- So this lemma says N accepts w if and only if M does!

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Note: Base case ($w = \epsilon$) remains the same.

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$|x| < |w|$, so by the IH $\delta_N^*(s_N, x) = \delta_M^*(s_M, x)$!

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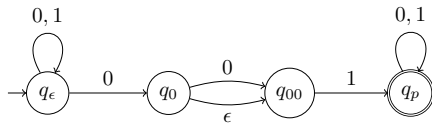
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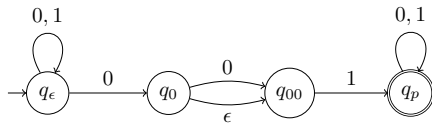
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- However, for many NFAs, applying the powerset construction will result in states that can't be reached from the start state, and so can be ignored.

When applying the powerset construction by hand, we can simply add states “as needed” to avoid having too many.

Incremental Construction: Example



Incremental Construction: Tabular



q'	in A' ?	$\bigcup_{q \in q'} \delta(q, 0)$	$\delta'(q', 0)$	$\bigcup_{q \in q'} \delta(q, 1)$	$\delta'(q', 1)$

Part II

Closure Properties of Regular Languages

Properties of Regular Languages

Regular languages have three equivalent characterizations:

- Languages defined by regular expressions
- Languages accepted by DFAs
- Languages accepted by NFAs

We can use any of these three to prove properties about regular languages.

- The complement of a regular language is regular: take a DFA and invert the accepting states.
- Boolean combinations of regular languages (union, intersection, difference, ...) are regular: take a DFA for each and apply the product construction
- Concatenation, Kleene star via NFAs or regular expressions
- ...

Generic Closure Property

In general, closure properties will look something like the following:

Theorem

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Generic Closure Property

In general, closure properties will look something like the following:

Theorem

*Let L be a regular language and L' be [some modification of L].
Then L' is also a regular language.*

How would we go about proving a statement like this?

- To show that L' is regular, we just have to argue that it has a regular expression/DFA/ NFA.
- We know L is regular, so it has a regular expression/DFA/NFA.
- Try to modify or use the regular expression/DFA/NFA for L in order to build what we want for L'

Example 1: PREFIX

Let L be a language over Σ .

Definition

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- Want to know if it's possible to extend our string to get something in L .
- Phrased in terms of a DFA for L : is it still possible for us to reach an accepting state by reading additional characters?

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If L is regular then $PREFIX(L)$ is regular.

Let $M = (Q, \delta, s, A)$ be a DFA for L . Construct a DFA $M' = (Q', \delta', s', A')$ for $PREFIX(L)$ as:

- $Q' =$
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- $Q' = Q$
- $\delta'(q', a) = \delta(q', a)$
- $s' = s$
- $A' = \{q \in Q \mid \text{in } M, q \text{ can reach a state in } A\}$

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Intuitive idea:

- Want to know if it's possible to have read something *before* our string to get something in L .
- Phrased in terms of a DFA for L : could we run for some time before reading w and end up in an accepting state?
- How do we know what state to go to before starting to read w ?
Guess!

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Let $M = (Q, \delta, s, A)$ be a DFA for L . Construct an NFA $N = (Q', \delta', s', A')$ for $SUFFIX(L)$ as:

- $Q' = Q \cup \{s_{new}\}$
- $\delta'(q', a) = \begin{cases} \{\delta(q', a)\} & q' \in Q, a \in \Sigma \\ \{q \in Q \mid s \text{ can reach } q \text{ in } M\} & q' = s_{new}, a = \epsilon \\ \emptyset & \text{otherwise} \end{cases}$
- $s' = s_{new}$
- $A' = A$

Example 3: SUFFIX, Again

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Alternative proof: inductively show that we can convert a regular expression r for L to a regular expression r' for $SUFFIX(L)$.

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Base Cases	Inductive Cases
$r = \emptyset$ $r' =$	$r = r_1 + r_2$ $r' =$
$r = \epsilon$ $r' =$	$r = r_1 r_2$ $r' =$
$r = a$ $r' =$	$r = (r_1)^*$ $r' =$

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If L is regular then $SUFFIX(L)$ is regular.

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$r' = \emptyset$	$r' = r'_1 + r'_2$
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$r = a$	$r = (r_1)^*$
$r' = \epsilon + a$	$r' = r'_1 (r_1)^*$

Exercises

Given language L , let $MID(L) = \{w \mid \exists x, y \in \Sigma^*, xwy \in L\}$.

Theorem

If L is regular then $MID(L)$ is regular.

Given L let $L^R = \{w^R \mid w \in L\}$ be the reverses of all strings in L

Theorem

If L is regular then L^R is regular.