## Intro. Algorithms & Models of Computation

CS/ECE 374A, Fall 2024

# **Unweighted Bipartite Matchings**

Lecture 22 Thursday, November 14, 2024

LATEXed: December 12, 2024 20:43

## Intro. Algorithms & Models of Computation

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# **22.1** Matchings

## 22.1.1: Definitions

## Matching, perfect, maximal

#### Definition 22.1.

For a graph G a set  $M \subseteq E$  is a <u>matching</u> if no pair of edges of M has a common vertex.

#### **Definition 22.2.**

A matching is <u>perfect</u> if it covers all the vertices of G. For a weight function w, which assigns real weight to the edges of G, a matching M is a <u>maximal weight matching</u>, if M is a matching and  $w(M) = \sum_{e \in M} w(e)$  is maximal.

#### Definition 22.3.

If there is no weight on the edges, we consider the weight of every edge to be one, and in this case, we are trying to compute a **maximum size matching**.

## The problem

#### Problem 22.4.

Given a graph G and a weight function on the edges, compute the maximum weight matching in G.

# 22.2.2: Matchings and alternating paths

- 1. M: matching
- 2.  $e \in M$  is a **matching edge**matching!matching edge.
- 3.  $e' \in E(G) \setminus M$  is free.
- 4.  $v \in V(G)$  matched  $\iff$  adjacent to edge in M.
- 5. unmatched vertex v' is **free**.
- 6. **alternating path**: a simple path edges alternating between matched and free edges.
- 7. alternating cycle...
- 8. **length** of a path/cycle is the number of edges in it.

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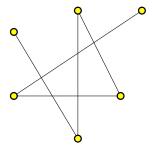
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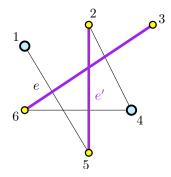
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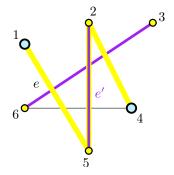
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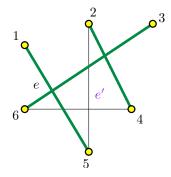
(A) The input graph.



(B) A maximal matching in G. The edge e is free, and vertices 1 and 4 are free.



(C) An alternating path.



(D) The resulting matching from applying the augmenting path.

#### **Definition 22.1.**

- 1.  $\pi$  is simple,
- 2. for all i,  $e_i = v_i v_{i+1} \in E(G)$ ,
- 3.  $v_1$  and  $v_{2k+2}$  are free vertices for M,
- 4.  $e_1, e_3, \dots, e_{2k+1} \notin M$ , and
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#### **Definition 22.1.**

Path  $\pi = v_1 v_2, \dots, v_{2k+2}$  is **augmenting** path for matching M (for graph G):

- 1.  $\pi$  is simple,
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After applying both augmenting path, we end up with maximum matching here.

## Augmenting paths improve things

#### Lemma 22.2.

M: matching.  $\pi$ : augmenting path relative to M. Then

$$M' = M \oplus \pi = \{e \in E \mid e \in (M \setminus \pi) \cup (\pi \setminus M)\}$$

is a matching of size |M| + 1.

- 1. Remove  $\pi$  from graph.
- 2. Leftover matching:  $|M| |M \cap \pi|$ .
- 3. Add back  $\pi$ . Add free edges of  $\pi$  to matching.
- 4. M': New set of edges... a matching
- 5.  $|M'| = |M| |M \cap \pi| + |\pi \setminus M| = |M| + 1$ .

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#### Lemma 22.3.

M: matching. T: maximum matching. k = |T| - |M|.

Then **M** has **k** vertex **disjoint** augmenting paths.

- 1.  $E' = M \oplus T$ . H = (V, E').
  - $2. \ \forall v \in \forall (H): \ a(v) \leq 2.$
  - 3. **H**: collection of alternating paths and cycles.
- 5. k more edges of T in  $M \oplus T$  than of M.
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- 7. For a path  $\pi \in H$ :  $|\pi \cap M| < |\pi \cap T| < |\pi \cap M| + 1$
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- 9.  $\implies$  Must be k augmenting paths in H.

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#### Lemma 22.4.

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At least one augmenting path for M of length  $\leq u/k-1$ , where u=2(|T|+|M|).

- 1.  $E' = M \oplus T$ . H = (V, E').
- 2.  $u = |V(H)| \le 2(|T| + |M|)$ .
- 3. By previous lemma: There are k augmenting paths in H.
- 4. If all augmenting paths were of length  $\geq u/k$
- 5.  $\implies$  total number of vertices in  $H \ge (u/k + 1)u > u$
- 6. ... since a path of length  $\ell$  has  $\ell + 1$  vertices. A contradiction.

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## No augmenting path, no cry

Or: Having a maximum matching.

## Corollary 22.5.

A matching M is maximum  $\iff$  there is no augmenting path for M.

# 22.3: Unweighted matching in bipartite graph

# $22.3.1: \ \, \mathsf{The} \, \mathsf{slow} \, \mathsf{algorithm}$

- 1.  $G = (L \cup R, E)$ : bipartite graph.
- 2. Task: Compute maximum size matching in G.
- 3.  $M_0 = \emptyset$  empty matching.
- 4. In *i*th iteration of algSlowMatch:
  - 4.1  $L_i \subseteq L$  and  $R_i \subseteq R$ : set of free vertices for matching  $M_{i-1}$ .
  - 4.2 Graph  $J_i$ : Orient all edges of  $E \setminus M_{i-1}$  from left to the right.
  - 4.3  $\forall lr \in M_{i-1}$  oriented from the right to left, as the new directed edge  $(r \to l)$ .
  - 4.4 **BFS**: compute shortest path  $\pi_i$  from a vertex of  $L_i$  to a vertex of  $R_i$ .
  - 4.5 If no such path  $\implies$  no augmenting path  $\implies$  stop
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  - 4.2 Graph  $J_i$ : Orient all edges of  $E \setminus M_{i-1}$  from left to the right.
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- 1. After at most *n* iterations...
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# 22.3.2: The Hopcroft-Karp algorithm

## 22.3.2.1: Some more structural observations

- 1. If we augmenting along a shortest path, then the next augmenting path must be longer (or at least not shorter).
- 2. If always augment along shortest paths, then the augmenting paths get longer as the algorithm progress.
- 3. All the augmenting paths of the same length used by the algorithm are vertex-disjoint (!).
- 4. Main idea of the faster algorithm: compute this block of vertex-disjoint paths of the same length in one go, thus getting the improved running time.

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## Shortest augmenting paths get longer...

#### Lemma 22.2.

Let M be a matching, and  $\pi$  be the shortest augmenting path for M, and let  $\pi'$  be any augmenting path for  $M' = M \oplus \pi$ . Then  $|\pi'| \ge |\pi|$ . Specifically, we have  $|\pi'| \ge |\pi| + 2 |\pi \cap \pi'|$ .

- 1. Consider the matching  $N = M \oplus \pi \oplus \pi'$ .
- 2. |N| = |M| + 2.
- 3.  $M \oplus N$  contains two augmenting paths, say  $\sigma_1$  and  $\sigma_2$  (relative to M).
- 4.  $M \oplus N = \pi \oplus \pi'$ , and  $|\pi \oplus \pi'| = |M \oplus N| \ge |\sigma_1| + |\sigma_2|$ .
- 5.  $\pi$ : shortest augmenting path  $(M) \implies |\sigma_1| \ge |\pi|$  and  $|\sigma_2| \ge |\pi|$ .
- 6.  $\implies |\pi \oplus \pi'| \ge |\sigma_1| + |\sigma_2| \ge |\pi| + |\pi| = 2 |\pi|$ .
- 7. By definition:  $|\pi \oplus \pi'| = |\pi| + |\pi'| 2|\pi \cap \pi'|$ .
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$$|\pi| + |\pi'| - 2 |\pi \cap \pi'| \ge 2 |\pi| \ \Longrightarrow |\pi'| \ge |\pi| + 2 |\pi \cap \pi'|.$$

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$$|\pi|+|\pi'|-2\,|\pi\cap\pi'|\geq 2\,|\pi| \ \Longrightarrow |\pi'|\geq |\pi|+2\,|\pi\cap\pi'|\,.$$

# Corollary

#### Corollary 22.3.

.For sequence of augmenting paths used algorithm (always augment the matching along the shortest augmenting path). We have:  $|\pi_1| \leq |\pi_2| \leq \ldots \leq |\pi_t|$ .

t: number of augmenting paths computed by the algorithm.

 $\pi_1, \pi_2, \dots, \pi_t$ : sequence augmenting paths used by algorithm.

# Augmenting paths of same length are disjoint

#### Lemma 22.4.

For all i and j, such that  $|\pi_i| = \cdots = |\pi_j|$ , we have that the paths  $\pi_i$  and  $\pi_j$  are vertex disjoint.

- 1. Assume for contradiction:  $|\pi_i| = |\pi_j|$ , i < j,  $\pi_i$  and  $\pi_j$  are not vertex disjoint j i is minimal.
- 2.  $\forall k, i < k < j$ :  $\pi_k$  is disjoint from  $\pi_i$  and  $\pi_j$ .
- 3.  $M_i$ : matching after  $\pi_i$  was applied.
- 4.  $\pi_j$  not using any of the edges of  $\pi_{i+1}, \ldots, \pi_{j-1}$ .
- 5.  $\pi_j$  is an augmenting path for  $M_i$ .
- 6.  $\pi_j$  and  $\pi_i$  share vertices.
  - 6.1 can not be the two endpoints of  $\pi_i$  (since they are free)
  - 6.2 must be some interval vertex of  $\pi_i$ .
  - 6.3  $\implies \pi_i$  and  $\pi_j$  must share an edge.
- 7.  $|\pi_i \cap \pi_j| \geq 1$ .
- 8. By lemma:  $|\pi_i| \ge |\pi_i| + 2|\pi_i \cap \pi_i| > |\pi_i|$ .
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- A contradiction.

22.3.2.2:Improved algorithm for bipartite maximum size matching

- 1. extract all possible augmenting shortest paths of a certain length in one iteration.
- 2. Assume: given a matching can exact all augmenting paths of length k for M in G in O(m) time, for  $k = 1, 3, 5, \ldots$
- 3. Apply this extraction algorithm, till  $k = 1 + 2\lceil \sqrt{n} \rceil$ .
- 4. Take  $O(km) = O(\sqrt{nm})$  time.
- 5. T: maximum matching.
- 6. By the end of this process, matching is of size  $|T| \Omega(\sqrt{n})$ . (See below why.)
- 7. Resume regular algorithm that augments one augmenting path at a time.
- 8. After  $O(\sqrt{n})$  regular iterations we would be done.

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# Analysis...

#### Lemma 22.5.

Consider the iterative algorithm that applies shortest path augmenting path to the current matching, and let M be the first matching such that the shortest path augmenting path for it is of length  $\geq \sqrt{n}$ , where n is the number of vertices in the input graph G. Let T be the maximum matching. Then  $|T| \leq |M| + O(\sqrt{n})$ .

#### Proof.

- 1. Shortest augmenting path for the current matching M is of length at  $\geq \sqrt{n}$ .
- 2. T: the maximum matching.
- 3. We proved:  $\exists$  augmenting path of length  $\leq 2n/(|T|-|M|)+1$ .
- 4. Together:

$$\sqrt{n} \leq \frac{2n}{|T| - |M|} + 1,$$

5.  $\Longrightarrow |T| - |M| \le 3\sqrt{n}$ , for  $n \ge 4$ .

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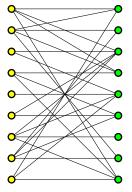
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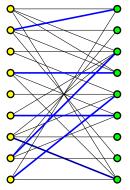
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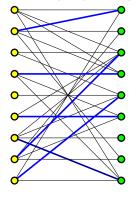
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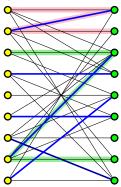


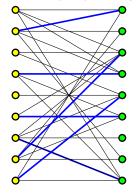
# 22.3.2.3: Extracting many augmenting paths

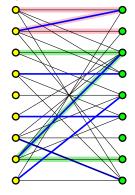


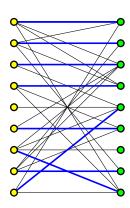


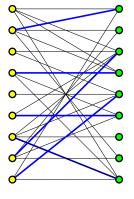


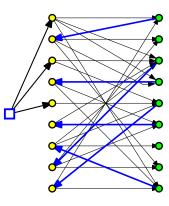


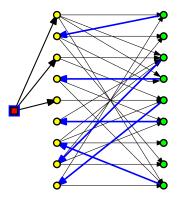


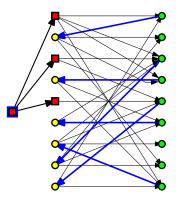


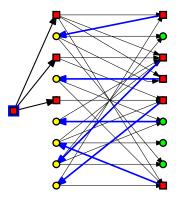


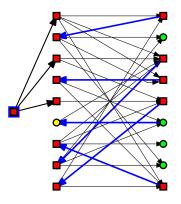


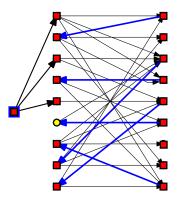


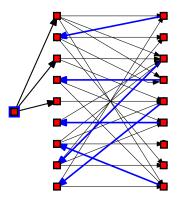


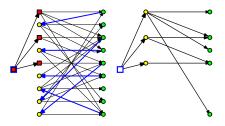


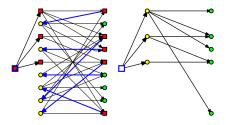


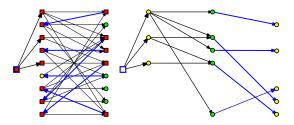


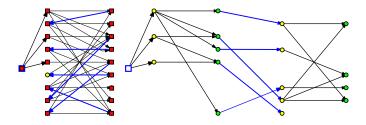


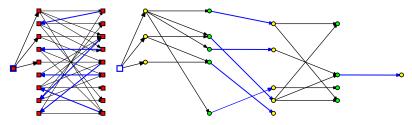


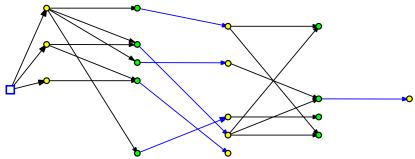


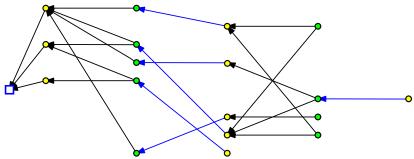


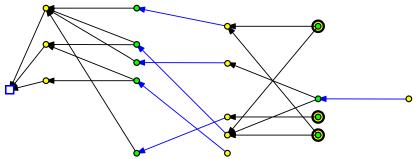


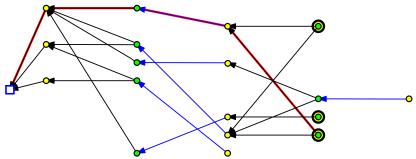


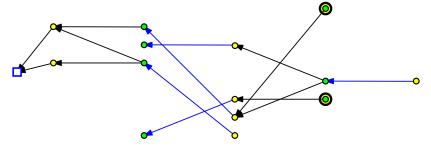


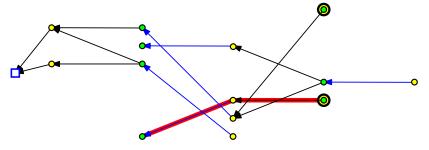


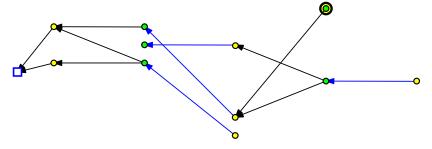


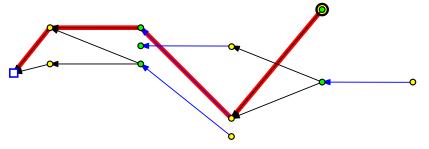


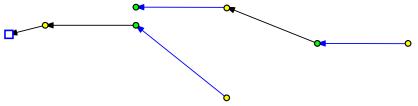


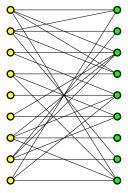


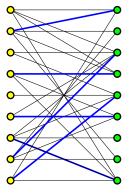


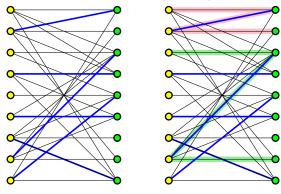


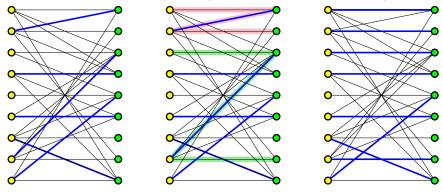












- 1. Idea: build data-structure that is similar to BFS tree.
- 2. Input: G, a matching M, and a parameter k, where k odd integer.
- 3. Assumption: Length shortest augmenting path for M is k.
- 4. Task: Extract as many augmenting paths as possible. Vertex disjoint. Of length k
- 5. F: set of free vertices in G.
- 6. Build directed graph
  - 6.1 s: source vertex connected to all vertices of  $L_1 = L \cap F$ .
  - 6.2 direct edges of G from left to right, and matching edges from right to left.
  - 6.3 J: resulting graph.
- 7. Compute **BFS** on the graph J starting at s, and let T be the resulting tree.
- 8.  $L_1$ ,  $R_1$ ,  $L_2$ ,  $R_2$ ,  $L_3$ , ... be the layers of the **BFS**.

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- 2. Charge running time of the second stage to the edges and vertices visited.
- 3. Any vertex visited by any **DFS** is never going to be visited again...
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# Maximal set of disjoint augmenting paths

#### Lemma 22.6.

The set  $P_k$  is a maximal set of vertex-disjoint augmenting paths of length k for M.

- 1. M' be the result of augmenting M with the paths of  $P_k$ .
- 2. Assume for sake of contradiction:  $P_k$  is not maximal
- 3. That is:  $\exists$  augmenting path  $\sigma$  of length k disjoint from paths of  $P_k$ .
- 4. Algorithm could traverse  $\sigma$  in H,
- 5. ... would go through unused vertices.
- 6. Indeed, if any vertices of  $\sigma$  were used by any of the back **DFS**,
- 7.  $\implies$  resulted in a path that goes to a free vertex in  $L_1$ .
- 8.  $\Longrightarrow$  a contradiction:  $\sigma$  is supposedly disjoint from the paths of  $P_k$ .

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# 22.3.2.4:The result

#### The result

#### Theorem 22.7.

Given a bipartite unweighted graph G with n vertices and m edges, one can compute maximum matching in G in  $O(\sqrt{nm})$  time.

## The proof...

The **algMatching**<sub>HK</sub> algorithm was described, and the running time analysis was also done.

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- 1. interpret execution of algorithm as simulating the slower and simpler algorithm.
- 2. **algMatching**<sub>HK</sub>: computes sequence of sets of augmenting paths  $P_1, P_3, P_5, \ldots$
- 3. order augmenting paths in an arbitrary order inside each such set
- 4. Results: in sequence of augmenting paths that are shortest augmenting paths for the current matching.
- 5. By lemma: each  $P_k$  maximal set of vertex-disjoint augmenting paths of length k.
- 6. Other lemma: all aug. paths of len k computed: vertex disjoint.
- 7. Now by induction: argue that if  $\operatorname{algMatching}_{HK}$  simulates correctly  $\operatorname{algSlowMatch}$ , for the augmenting paths in  $P_1 \cup P_3 \cup \ldots P_i$ , then it simulates it correctly for  $P_1 \cup P_3 \cup \ldots P_i \cup P_{i+1}$ . Done.

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- 3. order augmenting paths in an arbitrary order inside each such set.
- 4. Results: in sequence of augmenting paths that are shortest augmenting paths for the current matching.
- 5. By lemma: each  $P_k$  maximal set of vertex-disjoint augmenting paths of length k.
- 6. Other lemma: all aug. paths of len k computed: vertex disjoint.
- 7. Now by induction: argue that if  $\operatorname{algMatching}_{HK}$  simulates correctly  $\operatorname{algSlowMatch}$ , for the augmenting paths in  $P_1 \cup P_3 \cup \ldots P_i$ , then it simulates it correctly for  $P_1 \cup P_3 \cup \ldots P_i \cup P_{i+1}$ . Done.

# Bibliographical notes

The description here follows the original and reasonably well written paper of Hopcroft and Karp ?.

Both won the Turing award.