

Dynamic Programming

Lecture 13

Friday, March 6, 2020

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Part I

Dynamic programming

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Question: Suppose we have a recursive program $foo(x)$ that takes an input x .

- On input of size n the number of *distinct* sub-problems that $foo(x)$ generates is at most $A(n)$
- $foo(x)$ spends at most $B(n)$ time *not counting* the time for its recursive calls.

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Assumption: Storing and retrieving solutions to pre-computed problems takes $O(1)$ time.

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Q: What is an upper bound on the running time of *memoized* version of $foo(x)$ if $|x| = n$? $O(A(n)B(n))$.

Part II

Checking if a string is in L^*

Problem

- Input** A string $w \in \Sigma^*$ and access to a language $L \subseteq \Sigma^*$ via function **IsStrInL**(*string* x) that decides whether x is in L
- Goal** Decide if $w \in L^*$ using **IsStrInL**(*string* x) as a black box sub-routine

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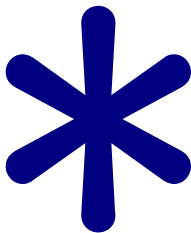
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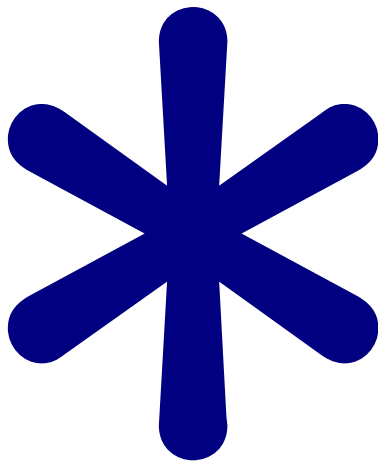
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Goal Decide if $w \in L^*$ using **IsStrInL**(string x) as a black box sub-routine

Example

Suppose L is *English* and we have a procedure to check whether a string/word is in the *English* dictionary.

- Is the string “isthisanenglishsentence” in *English*?
- Is “stampstamp” in *English*?
- Is “zibzzzad” in *English*?

Recursive Solution

When is $w \in L^*$?

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$w \in L^*$ if $w = \epsilon$ or $w \in L$ or if $w = uv$ where $u \in L$ and $v \in L^*$, $|u| \geq 1$

Recursive Solution

When is $w \in L^*$?

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Assume w is stored in array $A[1..n]$

```
IsStringInLstar( $A[1..n]$ ):
```

```
  If ( $n = 0$ ) Output YES
```

```
  If (IsStrInL( $A[1..n]$ ))
```

```
    Output YES
```

```
  Else
```

```
    For ( $i = 1$  to  $n - 1$ ) do
```

```
      If (IsStrInL( $A[1..i]$ ) and IsStrInLstar( $A[i + 1..n]$ ))
```

```
        Output YES
```

```
  Output NO
```

Recursive Solution

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  If ( $n = 0$ ) Output YES  
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    For ( $i = 1$  to  $n - 1$ ) do  
      If ( $\text{IsStrInL}(A[1..i])$  and  $\text{IsStrInLstar}(A[i + 1..n])$ )  
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```

Question: How many distinct sub-problems does $\text{IsStrInLstar}(A[1..n])$ generate?

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  Output NO
```

Question: How many distinct sub-problems does **IsStrInLstar**($A[1..n]$) generate? $O(n)$

Example

Consider string *samiam*

Naming subproblems and recursive equation

After seeing that number of subproblems is $O(n)$ we name them to help us understand the structure better.

ISL(i): a boolean which is **1** if $A[i..n]$ is in L^* , **0** otherwise

Base case: **ISL($n + 1$) = 1** interpreting $A[n + 1..n]$ as ϵ

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Recursive relation:

- **ISL(i) = 1** if
 $\exists i < j \leq n + 1$ s.t **ISL(j)** and **IsStrInL($A[i..(j - 1)]$)**
- **ISL(i) = 0** otherwise

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- **ISL(i) = 0** otherwise

Output: **ISL(1)**

Removing recursion to obtain iterative algorithm

Typically, after finding a dynamic programming recursion, we often convert the recursive algorithm into an *iterative* algorithm via *explicit memoization* and *bottom up* computation.

Why?

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How?

- First, allocate a data structure (usually an array or a multi-dimensional array that can hold values for each of the subproblems)
- Figure out a way to order the computation of the sub-problems starting from the base case.

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- Figure out a way to order the computation of the sub-problems starting from the base case.

Caveat: Dynamic programming is not about filling tables. It is about finding a smart recursion. First, find the correct recursion.

Iterative Algorithm

```
IsStringInLstar-Iterative( $A[1..n]$ ):  
  boolean ISL[ $1..(n + 1)$ ]  
  ISL[ $n + 1$ ] = TRUE  
  for ( $i = n$  down to 1)  
    ISL[ $i$ ] = FALSE  
    for ( $j = i + 1$  to  $n + 1$ )  
      If (ISL[ $j$ ] and IsStrInL( $A[i..j - 1]$ ))  
        ISL[ $i$ ] = TRUE  
        Break  
  
  If (ISL[1] = 1) Output YES  
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- Running time:

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- **Space:**

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- **Space:** $O(n)$

Example

Consider string *samiam*

Part III

Longest Increasing Subsequence

Sequences

Definition

Sequence: an ordered list a_1, a_2, \dots, a_n . **Length** of a sequence is number of elements in the list.

Definition

a_{i_1}, \dots, a_{i_k} is a **subsequence** of a_1, \dots, a_n if
 $1 \leq i_1 < i_2 < \dots < i_k \leq n$.

Definition

A sequence is **increasing** if $a_1 < a_2 < \dots < a_n$. It is **non-decreasing** if $a_1 \leq a_2 \leq \dots \leq a_n$. Similarly **decreasing** and **non-increasing**.

Sequences

Example...

Example

- 1 Sequence: **6, 3, 5, 2, 7, 8, 1, 9**
- 2 Subsequence of above sequence: **5, 2, 1**
- 3 Increasing sequence: **3, 5, 9, 17, 54**
- 4 Decreasing sequence: **34, 21, 7, 5, 1**
- 5 Increasing subsequence of the first sequence: **2, 7, 9**.

Longest Increasing Subsequence Problem

Input A sequence of numbers a_1, a_2, \dots, a_n

Goal Find an **increasing subsequence** $a_{i_1}, a_{i_2}, \dots, a_{i_k}$ of maximum length

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Example

- 1 Sequence: 6, 3, 5, 2, 7, 8, 1
- 2 Increasing subsequences: 6, 7, 8 and 3, 5, 7, 8 and 2, 7 etc
- 3 Longest increasing subsequence: 3, 5, 7, 8

Recursive Approach: Take 1

LIS: Longest increasing subsequence

Can we find a recursive algorithm for LIS?

LIS($A[1..n]$):

Recursive Approach: Take 1

LIS: Longest increasing subsequence

Can we find a recursive algorithm for LIS?

LIS($A[1..n]$):

- 1 **Case 1:** Does not contain $A[n]$ in which case $LIS(A[1..n]) = LIS(A[1..(n-1)])$
- 2 **Case 2:** contains $A[n]$ in which case LIS($A[1..n]$) is not so clear.

Observation

For second case we want to find a subsequence in $A[1..(n-1)]$ that is restricted to numbers less than $A[n]$. This suggests that a more general problem is LIS_smaller($A[1..n], x$) which gives the longest increasing subsequence in A where each number in the sequence is less than x .

Recursive Approach

$LIS(A[1..n])$: the length of longest increasing subsequence in A

$LIS_smaller(A[1..n], x)$: length of longest increasing subsequence in $A[1..n]$ with all numbers in subsequence less than x

```
LIS_smaller( $A[1..n], x$ ):  
  if ( $n = 0$ ) then return 0  
   $m = LIS\_smaller(A[1..(n - 1)], x)$   
  if ( $A[n] < x$ ) then  
     $m = \max(m, 1 + LIS\_smaller(A[1..(n - 1)], A[n]))$   
  Output  $m$ 
```

```
LIS( $A[1..n]$ ):  
  return LIS_smaller( $A[1..n], \infty$ )
```

Example

Sequence: $A[1..7] = 6, 3, 5, 2, 7, 8, 1$

Recursive Approach

```
LIS_smaller( $A[1..n]$ ,  $x$ ):  
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- How much space for memoization?

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- What is the running time if we memoize recursion? $O(n^2)$ since each call takes $O(1)$ time to assemble the answers from recursive calls and no other computation.
- How much space for memoization? $O(n^2)$

Naming subproblems and recursive equation

After seeing that number of subproblems is $O(n^2)$ we name them to help us understand the structure better. For notational ease we add ∞ at end of array (in position $n + 1$)

$LIS(i, j)$: length of longest increasing sequence in $A[1..i]$ among numbers less than $A[j]$ (defined only for $i < j$)

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Recursive relation:

- $LIS(i, j) = LIS(i - 1, j)$ if $A[i] > A[j]$
- $LIS(i, j) = \max\{LIS(i - 1, j), 1 + LIS(i - 1, i)\}$ if $A[i] \leq A[j]$

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Output: $LIS(n, n + 1)$

Iterative algorithm

LIS-Iterative($A[1..n]$):

$A[n + 1] = \infty$

int $LIS[0..n, 1..n + 1]$

for ($j = 1$ to $n + 1$) do

$LIS[0, j] = 0$

for ($i = 1$ to n) do

for ($j = i + 1$ to n)

If ($A[i] > A[j]$) $LIS[i, j] = LIS[i - 1, j]$

Else $LIS[i, j] = \max\{LIS[i - 1, j], 1 + LIS[i - 1, i]\}$

Return $LIS[n, n + 1]$

Running time: $O(n^2)$

Space: $O(n^2)$

How to order bottom up computation?

	1	2	3	4				n+1
0								
1								
2								
3								
n								

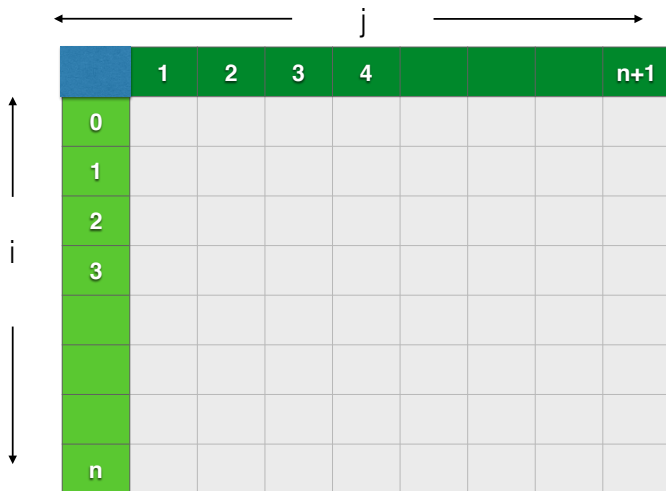
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How to order bottom up computation?

Sequence: $A[1..7] = 6, 3, 5, 2, 7, 8, 1$



Two comments

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Yes! See notes.

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Yes! See notes.

Question: Is there a faster algorithm for LIS? Yes! Using a different recursion and optimizing one can obtain an $O(n \log n)$ time and $O(n)$ space algorithm. $O(n \log n)$ time is not obvious. Depends on improving time by using data structures on top of dynamic programming.

Recursive Algorithm: Take 2

Definition

LISEnding($A[1..n]$): length of longest increasing sub-sequence that ends in $A[n]$.

Question: can we obtain a recursive expression?

Recursive Algorithm: Take 2

Definition

LISEnding($A[1..n]$): length of longest increasing sub-sequence that *ends* in $A[n]$.

Question: can we obtain a recursive expression?

$$\text{LISEnding}(A[1..n]) = \max_{i:A[i]<A[n]} \left(1 + \text{LISEnding}(A[1..i]) \right)$$

Example

Sequence: $A[1..8] = 6, 3, 5, 2, 7, 8, 1, 9$

Recursive Algorithm: Take 2

```
LIS_ending_alg( $A[1..n]$ ):  
  if ( $n = 0$ ) return 0  
   $m = 1$   
  for  $i = 1$  to  $n - 1$  do  
    if ( $A[i] < A[n]$ ) then  
       $m = \max(m, 1 + \text{LIS\_ending\_alg}(A[1..i]))$   
  return  $m$ 
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LIS( $A[1..n]$ ):  
  return  $\max_{i=1}^n \text{LIS\_ending\_alg}(A[1 \dots i])$ 
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- How much space for memoization? $O(n)$

Iterative Algorithm via Memoization

Compute the values $\text{LIS_ending_alg}(A[1..i])$ iteratively in a bottom up fashion.

```
LIS_ending_alg( $A[1..n]$ ):  
  Array  $L[1..n]$  (*  $L[i]$  = value of  $\text{LIS\_ending\_alg}(A[1..i])$  *)  
  for  $i = 1$  to  $n$  do  
     $L[i] = 1$   
    for  $j = 1$  to  $i - 1$  do  
      if ( $A[j] < A[i]$ ) do  
         $L[i] = \max(L[i], 1 + L[j])$   
  return  $L$ 
```

```
LIS( $A[1..n]$ ):  
   $L = \text{LIS\_ending\_alg}(A[1..n])$   
  return the maximum value in  $L$ 
```

Iterative Algorithm via Memoization

Simplifying:

```
LIS(A[1..n]):  
  Array L[1..n] (* L[i] stores the value LISEnding(A[1..i]) *)  
  m = 0  
  for i = 1 to n do  
    L[i] = 1  
    for j = 1 to i - 1 do  
      if (A[j] < A[i]) do  
        L[i] = max(L[i], 1 + L[j])  
    m = max(m, L[i])  
  return m
```


Iterative Algorithm via Memoization

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    m = max(m, L[i])  
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```

Correctness: Via induction following the recursion

Running time:

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Running time: $O(n^2)$

Space:

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Simplifying:

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$O(n \log n)$ run-time achievable via better data structures.

Example

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- 1 Sequence: 6, 3, 5, 2, 7, 8, 1
- 2 Longest increasing subsequence: 3, 5, 7, 8

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- 1 $L[i]$ is value of longest increasing subsequence ending in $A[i]$
- 2 Recursive algorithm computes $L[i]$ from $L[1]$ to $L[i - 1]$
- 3 Iterative algorithm builds up the values from $L[1]$ to $L[n]$

Dynamic Programming

- 1 Find a “smart” recursion for the problem in which the number of distinct subproblems is small; polynomial in the original problem size.
- 2 Estimate the number of subproblems, the time to evaluate each subproblem and the space needed to store the value. This gives an upper bound on the total running time if we use automatic memoization.
- 3 Eliminate recursion and find an iterative algorithm to compute the problems bottom up by storing the intermediate values in an appropriate data structure; need to find the right way or order the subproblem evaluation. This leads to an explicit algorithm.
- 4 Optimize the resulting algorithm further