# CS/ECE 374 A: Algorithms \& Models of Computation, Spring 2020 

## Graph Search

Lecture 17
March 24, 2020

## Part I

## Graph Basics

## Why Graphs?

(1) Graphs help model networks which are ubiquitous: transportation networks (rail, roads, airways), social networks (interpersonal relationships), information networks (web page links), and many problems that don't even look like graph problems.
(2) Fundamental objects in Computer Science, Optimization, Combinatorics
(3) Many important and useful optimization problems are graph problems
(9) Graph theory: elegant, fun and deep mathematics

## Graph

## Definition

An undirected (simple) graph $G=(V, E)$ is a 2-tuple:
(1) $V$ is a set of vertices (also referred to as nodes/points)
(2) $E$ is a set of edges where each edge $e \in E$ is a set of the form $\{u, v\}$ with $\boldsymbol{u}, \boldsymbol{v} \in \boldsymbol{V}$ and $\boldsymbol{u} \neq \boldsymbol{v}$.

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## Example

In figure, $G=(V, E)$ where $V=\{\mathbf{1 , 2 , 3 , 4 , 5 , 6 , 7 , 8 \}}$ and $E=\{\{1,2\},\{1,3\},\{2,3\},\{2,4\},\{2,5\},\{3,5\},\{3,7\}$, $\{3,8\},\{4,5\},\{5,6\},\{7,8\}\}$.

## Notation and Convention

## Notation

An edge in an undirected graphs is an unordered pair of nodes and hence it is a set. Conventionally we use $(u, v)$ for $\{u, v\}$ when it is clear from the context that the graph is undirected.
(1) $\boldsymbol{u}$ and $\boldsymbol{v}$ are the end points of an edge $\{\boldsymbol{u}, \boldsymbol{v}\}$
(2) Multi-graphs allow
(1) loops
(2) multi-edges

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(1) $\boldsymbol{u}$ and $\boldsymbol{v}$ are the end points of an edge $\{\boldsymbol{u}, \boldsymbol{v}\}$
(2) Multi-graphs allow
(1) loops
(2) multi-edges
(3) In this class we will assume that a graph is a simple graph unless explicitly stated otherwise.

## Graph Representation I

## Adjacency Matrix

Represent $\boldsymbol{G}=(\boldsymbol{V}, \boldsymbol{E})$ with $\boldsymbol{n}$ vertices and $\boldsymbol{m}$ edges using a $\boldsymbol{n} \times \boldsymbol{n}$ adjacency matrix $\boldsymbol{A}$ where
(1) $A[i, j]=A[j, i]=1$ if $\{i, j\} \in E$ and $A[i, j]=A[j, i]=0$ if $\{i, j\} \notin E$.
(2) Advantage: can check if $\{i, j\} \in E$ in $\boldsymbol{O}(\mathbf{1})$ time
(3) Disadvantage: needs $\Omega\left(\boldsymbol{n}^{2}\right)$ space even when $\boldsymbol{m} \ll \boldsymbol{n}^{2}$

## Graph Representation II

## Adjacency Lists

$G=(V, E)$ with $n$ vertices and $m$ edges:
(1) For each $u \in V$ store list $\operatorname{Adj}(u)=\{v \mid\{u, v\} \in E\}$, that is neighbors of $\boldsymbol{u}$.

- Sometimes store edges incident to $\boldsymbol{u}$ instead.


## Graph Representation II

## Adjacency Lists

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- Sometimes store edges incident to $\boldsymbol{u}$ instead.
(2) Advantage: space is $O(m+n)$
(0. Disadvantage: cannot check in $O(1)$ time if $\{i, j\} \in E$
- By sorting each list, one can achieve $O(\log n)$ time
© By hashing "appropriately", one can achieve $\boldsymbol{O}(\mathbf{1})$ time
Note: In this class we will assume that by default, graphs are represented using plain vanilla (unsorted) adjacency lists.


## A Concrete Representation

- Assume vertices are numbered as $\{1,2, \ldots, \boldsymbol{n}\}$, and edges as $\{1,2, \ldots, m\}$.
- Edges stored in an array/list of size $\boldsymbol{m} . \boldsymbol{E}[\boldsymbol{j}]$ is $\boldsymbol{j}$ 'th edge with info on end points which are integers in range $\mathbf{1}$ to $\boldsymbol{n}$.
- Array $\operatorname{Adj}$ of size $\boldsymbol{n}$ for adjacency lists. $\operatorname{Adj}[i]$ points to adjacency list of vertex $\boldsymbol{i}$. $\operatorname{Adj}[\boldsymbol{i}]$ is a list of edge indices in range $\mathbf{1}$ to $\boldsymbol{m}$.


## A Concrete Representation

Array of edges E


Array of adjacency lists


## A Concrete Representation: Advantages

- Edges are explicitly represented/numbered. Scanning/processing all edges easy to do.
- Representation easily supports multigraphs including self-loops.
- Explicit numbering of vertices and edges allows use of arrays: $O(1)$-time operations are easy to understand.
- Can also implement via pointer based lists for certain dynamic graph settings.


## Connectivity

Given a graph $\boldsymbol{G}=(\boldsymbol{V}, \boldsymbol{E})$ :
(1) Path: sequence of distinct vertices $\boldsymbol{v}_{\mathbf{1}}, \boldsymbol{v}_{\mathbf{2}}, \ldots, \boldsymbol{v}_{\boldsymbol{k}}$ such that $\left\{\boldsymbol{v}_{\boldsymbol{i}}, \boldsymbol{v}_{\boldsymbol{i}+\boldsymbol{1}}\right\} \in E$ for $\mathbf{1} \leq \boldsymbol{i} \leq \boldsymbol{k}-\mathbf{1}$.

- The path is from $\boldsymbol{v}_{\boldsymbol{1}}$ to $\boldsymbol{v}_{\boldsymbol{k}}$.
- Length of the path $=\#$ edges $=\boldsymbol{k}-\mathbf{1}$

- A single vertex $\boldsymbol{u}$ is a path of length $\mathbf{0}$.
(2) A vertex $\boldsymbol{u}$ is connected to $\boldsymbol{v}$ if there is a path from $\boldsymbol{u}$ to $\boldsymbol{v}$.
(3) Connected component of $\boldsymbol{u}, \operatorname{con}(\boldsymbol{u})$ : the set of all vertices connected to $\boldsymbol{u}$. Is $\boldsymbol{u} \in \operatorname{con}(\boldsymbol{u})$ ?


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(3) Connected component of $\boldsymbol{u}, \operatorname{con}(\boldsymbol{u})$ : the set of all vertices connected to $\boldsymbol{u}$. Is $\boldsymbol{u} \in \operatorname{con}(\boldsymbol{u})$ ?
(4) Cycle: in addition, $\left\{\boldsymbol{v}_{1}, \boldsymbol{v}_{k}\right\} \in E$. Note: Single vertex is not a cycle. Caveat: Some times people use the term cycle to also allow vertices to be repeated; we will use the term tour.


## Connectivity contd

Define a relation $C$ on $V \times V$ as $u C v$ if $\boldsymbol{u}$ is connected to $\boldsymbol{v}$
(1) In undirected graphs, connectivity is a reflexive, symmetric, and transitive relation. Connected components are the equivalence classes.
(2) Graph is connected if only one
 connected component.

## Connectivity Problems

## Algorithmic Problems

(1) Given graph $G$ and nodes $u$ and $v$, is $u$ connected to $v$ ?
(2) Given $\boldsymbol{G}$ and node $\boldsymbol{u}$, find all nodes that are connected to $\boldsymbol{u}$.
(3) Find all connected components of $G$.

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Can be accomplished in $O(m+n)$ time using Breadth-First Search (BFS) or Depth-First Search (DFS).
BFS and DFS are refinements of a basic search procedure which is good to understand on its own.

## Basic Graph Search in Undirected Graphs

Given $\boldsymbol{G}=(\boldsymbol{V}, \boldsymbol{E})$ and vertex $\boldsymbol{u} \in \boldsymbol{V}$. Let $\boldsymbol{n}=|\boldsymbol{V}|$.
Explore ( $\boldsymbol{G}, \boldsymbol{u}$ ) :
array Visited[1..n]
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if (Visited $[y]==$ FALSE $)$
Visited $[y]=$ TRUE
Add $y$ to ToExplore
Add $\boldsymbol{y}$ to $\boldsymbol{S}$
Output S

## Example



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## Proposition

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If $\boldsymbol{v} \in \boldsymbol{S}$ then $\boldsymbol{v} \in$ ToExplore at some point and every edge incident on $\boldsymbol{v}$ was explored $\Rightarrow$ no edges in $\boldsymbol{G}$ leave $\boldsymbol{S}$.

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If $\boldsymbol{v} \in \boldsymbol{S}$ then $\boldsymbol{v} \in$ ToExplore at some point and every edge incident on $\boldsymbol{v}$ was explored $\Rightarrow$ no edges in $\boldsymbol{G}$ leave $\boldsymbol{S}$. Hence no node in $\boldsymbol{V}-\boldsymbol{S}$ is in con(u).
Thus $S=\operatorname{con}(\boldsymbol{u})$ at termination.

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Explore $(G, u)$ terminates in $O(m+n)$ time.
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BFS and DFS are special case of BasicSearch.
(1) Breadth First Search (BFS): use queue data structure to implementing the list ToExplore
(2) Depth First Search (DFS): use stack data structure to implement the list ToExplore

## Search Tree

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List: ToExplore, S
Add $\boldsymbol{u}$ to ToExplore and to $S$, Visited $[u]=$ TRUE
Make tree $\boldsymbol{T}$ with root as $\boldsymbol{u}$
while (ToExplore is non-empty) do
Remove node $x$ from ToExplore for each edge $(x, y)$ in $\operatorname{Adj}(x)$ do if (Visited $[y]==$ FALSE $)$

Visited $[y]=$ TRUE
Add $y$ to ToExplore
Add $\boldsymbol{y}$ to $\boldsymbol{S}$
Add $\boldsymbol{y}$ to $\boldsymbol{T}$ with $\boldsymbol{x}$ as its parent
Output S
$\boldsymbol{T}$ is a spanning tree of con $(\boldsymbol{u})$ rooted at $\boldsymbol{u}$

## Finding all connected components

Exercise: Modify Basic Search to find all connected components of a given graph $G$ in $O(m+n)$ time.

## Part II

## Directed Graphs and Decomposition

## Directed Graphs

## Definition

A directed graph $G=(V, E)$ consists of
(1) set of vertices/nodes V and
(2) a set of edges/arcs

$$
E \subseteq V \times V
$$

An edge is an ordered pair of vertices. $(\boldsymbol{u}, \boldsymbol{v})$ different from $(\boldsymbol{v}, \boldsymbol{u})$.

## Examples of Directed Graphs

In many situations relationship between vertices is asymmetric:
(1) Road networks with one-way streets.
(2) Web-link graph: vertices are web-pages and there is an edge from page $\boldsymbol{p}$ to page $\boldsymbol{p}^{\prime}$ if $\boldsymbol{p}$ has a link to $\boldsymbol{p}^{\prime}$. Web graphs used by Google with PageRank algorithm to rank pages.
(3) Dependency graphs in variety of applications: link from $x$ to $y$ if $y$ depends on $x$. Make files for compiling programs.
(9) Program Analysis: functions/procedures are vertices and there is an edge from $x$ to $y$ if $x$ calls $y$.

## Directed Graph Representation

Graph $G=(V, E)$ with $n$ vertices and $m$ edges:
(1) Adjacency Matrix: $n \times n$ asymmetric matrix $A$. $A[u, v]=1$ if $(u, v) \in E$ and $A[u, v]=0$ if $(u, v) \notin E . A[u, v]$ is not same as $A[v, u]$.
(2) Adjacency Lists: for each node $\boldsymbol{u}, \operatorname{Out}(\boldsymbol{u})$ (also referred to as $\operatorname{Adj}(u))$ and $\operatorname{In}(u)$ store out-going edges and in-coming edges from $u$.

Default representation is adjacency lists.

## A Concrete Representation for Directed Graphs

Concrete representation discussed previously for undirected graphs easily extends to directed graphs.

Array of edges E


Array of adjacency lists


## Directed Connectivity

Given a graph $G=(V, E)$ :
(1) A (directed) path is a sequence of distinct vertices $\boldsymbol{v}_{\mathbf{1}}, \boldsymbol{v}_{2}, \ldots, \boldsymbol{v}_{\boldsymbol{k}}$ such that $\left(\boldsymbol{v}_{\boldsymbol{i}}, \boldsymbol{v}_{\boldsymbol{i}+\boldsymbol{1}}\right) \in \boldsymbol{E}$ for $\mathbf{1} \leq \boldsymbol{i} \leq \boldsymbol{k}-\mathbf{1}$. The length of the path is $\boldsymbol{k} \mathbf{- 1}$ and the path is from $\boldsymbol{v}_{1}$ to $\boldsymbol{v}_{\boldsymbol{k}}$.
By convention, a single node $\boldsymbol{u}$ is a path of length $\mathbf{0}$.
(2) A vertex $\boldsymbol{u}$ can reach $\boldsymbol{v}$ if there is a path from $\boldsymbol{u}$ to $\boldsymbol{v}$.
(3) Let $\operatorname{rch}(\boldsymbol{u})$ be the set of all vertices reachable from $\boldsymbol{u}$.

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(3) Let $\operatorname{rch}(\boldsymbol{u})$ be the set of all vertices reachable from $\boldsymbol{u}$.
(4) A cycle is a sequence of distinct vertices $v_{1}, v_{2}, \ldots, v_{k}$ such that $\left(\boldsymbol{v}_{i}, \boldsymbol{v}_{i+1}\right) \in \boldsymbol{E}$ for $\mathbf{1} \leq \boldsymbol{i} \leq \boldsymbol{k}-\mathbf{1}$ and $\left(\boldsymbol{v}_{\boldsymbol{k}}, \boldsymbol{v}_{1}\right) \in \boldsymbol{E}$. By convention, a single node $\boldsymbol{u}$ is not a cycle.

## Connectivity contd

Asymmetricity: $D$ can reach $B$ but $B$ cannot reach $D$


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## Questions:

(1) Is there a notion of connected components?
(2) How do we understand connectivity in directed graphs?

## Connectivity and Strong Connected Components

## Definition

Given a directed graph $\boldsymbol{G}, \boldsymbol{u}$ is strongly connected to $\boldsymbol{v}$ if $\boldsymbol{u}$ can reach $\boldsymbol{v}$ and $\boldsymbol{v}$ can reach $\boldsymbol{u}$. In other words $\boldsymbol{v} \in \operatorname{rch}(u)$ and $u \in \operatorname{rch}(v)$.

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Define relation $C$ where $\boldsymbol{u C v}$ if $\boldsymbol{u}$ is (strongly) connected to $\boldsymbol{v}$.

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## Proposition

$C$ is an equivalence relation, that is reflexive, symmetric and transitive.

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Equivalence classes of $C$ : strong connected components of $G$. They partition the vertices of $G$.
SCC( $\boldsymbol{u})$ : strongly connected component containing $\boldsymbol{u}$.

## Strongly Connected Components: Example



## Directed Graph Connectivity Problems

(1) Given $G$ and nodes $\boldsymbol{u}$ and $\boldsymbol{v}$, can $\boldsymbol{u}$ reach $\boldsymbol{v}$ ?
(2) Given $G$ and $\boldsymbol{u}$, compute $\operatorname{rch}(\boldsymbol{u})$.
(0) Given $G$ and $u$, compute all $v$ that can reach $u$, that is all $v$ such that $u \in \operatorname{rch}(v)$.
(0) Find the strongly connected component containing node $\boldsymbol{u}$, that is $\operatorname{SCC}(u)$.

- Is $G$ strongly connected (a single strong component)?
- Compute all strongly connected components of $G$.


## Exercise

Prove the following:

## Proposition

Let $S=r c h(u)$. There is no edge $(x, y) \in E$ where $x \in S$ and $y \notin S$.

Describe an example where $\operatorname{rch}(\boldsymbol{u}) \neq \boldsymbol{V}$ and there are edges from $V \backslash \operatorname{rch}(u)$ to $\operatorname{rch}(u)$.

## Basic Graph Search in Directed Graphs

Given $\boldsymbol{G}=(\boldsymbol{V}, \boldsymbol{E})$ a directed graph and vertex $\boldsymbol{u} \in \boldsymbol{V}$. Let $\boldsymbol{n}=|\boldsymbol{V}|$.

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Explore(G,u):
    array Visited[1..n]
    Initialize: Set Visited[i] = FALSE for 1\leqi\leqn
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    Add u}\mathrm{ to ToExplore and to S, Visited[u]= TRUE
    Make tree T with root as u
    while (ToExplore is non-empty) do
    Remove node x from ToExplore
        for each edge (x,y) in Adj(x) do (edge x}->\boldsymbol{y}
        if (Visited[y] == FALSE)
            Visited[y] = TRUE
                Add y to ToExplore
                Add y to S
                Add y to }\boldsymbol{T}\mathrm{ with edge (x,y)
    Output S
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## Example



## Properties of Basic Search

## Proposition

$\operatorname{Explore}(\boldsymbol{G}, \boldsymbol{u})$ terminates with $\boldsymbol{S}=\operatorname{rch}(\boldsymbol{u})$.

## Proof Sketch.

Termination and run-time:
A node is added to ToExplore only if Visited[v] is FALSE. After that Visited[v] is immediately set to TRUE and is never changed. Hence, it is explored at most once. Thus algorithm terminates in at most $\boldsymbol{n}$ iterations of while loop.

## Correctness:

By induction on iterations, can show $\boldsymbol{v} \in \boldsymbol{S} \Rightarrow \boldsymbol{v} \in \operatorname{rch}(\boldsymbol{u})$
If $\boldsymbol{v} \in \boldsymbol{S}$ then $\boldsymbol{v} \in$ ToExplore and every outgoing edge from $\boldsymbol{v}$ is explored $\Rightarrow$ no edge leaves $\boldsymbol{S}$.

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## Correctness:

By induction on iterations, can show $\boldsymbol{v} \in \boldsymbol{S} \Rightarrow \boldsymbol{v} \in \operatorname{rch}(\boldsymbol{u})$
If $\boldsymbol{v} \in \boldsymbol{S}$ then $\boldsymbol{v} \in$ ToExplore and every outgoing edge from $\boldsymbol{v}$ is explored $\Rightarrow$ no edge leaves $\boldsymbol{S}$. Hence no node in $\boldsymbol{V}-\boldsymbol{S}$ is in $\operatorname{rch}(\boldsymbol{u})$.

Caveat: In directed graphs edges can enter $\boldsymbol{S}$.
Thus $S=\operatorname{rch}(\boldsymbol{u})$ at termination.

## Properties of Basic Search

## Proposition

Explore $(G, u)$ terminates in $O(m+n)$ time.

## Proposition

$T$ is a search tree rooted at $\boldsymbol{u}$ containing $S$ with edges directed away from root to leaves.

Proof: easy exercises
BFS and DFS are special case of Basic Search.
(1) Breadth First Search (BFS): use queue data structure to implementing the list ToExplore
© Depth First Search (DFS): use stack data structure to implement the list ToExplore

## Directed Graph Connectivity Problems

(1) Given $G$ and nodes $\boldsymbol{u}$ and $\boldsymbol{v}$, can $\boldsymbol{u}$ reach $\boldsymbol{v}$ ?
(2) Given $G$ and $\boldsymbol{u}$, compute $\operatorname{rch}(\boldsymbol{u})$.
(0) Given $G$ and $u$, compute all $v$ that can reach $u$, that is all $v$ such that $u \in \operatorname{rch}(v)$.
(0) Find the strongly connected component containing node $\boldsymbol{u}$, that is $\operatorname{SCC}(u)$.

- Is $G$ strongly connected (a single strong component)?
- Compute all strongly connected components of $G$.


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First five problems can be solved in $O(n+m)$ time by via Basic Search (or BFS/DFS). The last one can also be done in linear time but requires a rather clever DFS based algorithm.

## Algorithms via Basic Search - I

(1) Given $G$ and nodes $u$ and $v$, can $u$ reach $v$ ?
(2) Given $G$ and $\boldsymbol{u}$, compute $\operatorname{rch}(u)$.

Use Explore $(G, u)$ to compute $\operatorname{rch}(u)$ in $O(n+m)$ time.

## Algorithms via Basic Search - II

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## Definition (Reverse graph.)

Given $G=(V, E), G^{r e v}$ is the graph with edge directions reversed $G^{r e v}=\left(V, E^{\prime}\right)$ where $E^{\prime}=\{(y, x) \mid(x, y) \in E\}$

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Compute rch(u) in $G^{\text {rev. }}$
(1) Correctness: exercise
(2) Running time: $O(n+m)$ to obtain $G^{\text {rev }}$ from $G$ and $O(n+m)$ time to compute rch(u) via Basic Search.
If both $\operatorname{Out}(\boldsymbol{v})$ and $\operatorname{In}(\boldsymbol{v})$ are available at each $\boldsymbol{v}$ then no need for $G^{r e v}$. Instead of $\operatorname{Adj}(v)=O u t(v)$, just use $\operatorname{In}(v)$.

## Algorithms via Basic Search - III

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Hence, $\operatorname{SCC}(\boldsymbol{G}, \boldsymbol{u})$ can be computed with Explore $(\mathbf{G}, \boldsymbol{u})$ and Explore $\left(G^{r e v}, u\right)$. Total $O(n+m)$ time.

Why can $\operatorname{rch}(\boldsymbol{G}, \boldsymbol{u}) \cap \operatorname{rch}\left(\boldsymbol{G}^{r e v}, \boldsymbol{u}\right)$ be done in $O(\boldsymbol{n})$ time?

## Algorithms via Basic Search - IV

(1) Is $G$ strongly connected?

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Pick arbitrary vertex $\boldsymbol{u}$. Check if $\operatorname{SCC}(\boldsymbol{G}, \boldsymbol{u})=\boldsymbol{V}$.

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While $\boldsymbol{G}$ is not empty do Pick arbitrary node $\boldsymbol{u}$ find $\boldsymbol{S}=\operatorname{SCC}(\boldsymbol{G}, \boldsymbol{u})$ Remove $\boldsymbol{S}$ from $\boldsymbol{G}$

## Algorithms via Basic Search - V

(1) Find all strongly connected components of $G$.

```
While G is not empty do
    Pick arbitrary node u
    find S = SCC(G,u)
    Remove S from G
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Question: Why doesn't removing one strong connected components affect the other strong connected components?

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Running time: $O(n(n+m))$.
Question: Can we do it in $O(n+m)$ time?

## Modeling Problems as Search

The following puzzle was invented by the infamous Mongolian puzzle-warrior Vidrach Itky Leda in the year 1473. The puzzle consists of an $n \times n$ grid of squares, where each square is labeled with a positive integer, and two tokens, one red and the other blue. The tokens always lie on distinct squares of the grid. The tokens start in the top left and bottom right corners of the grid; the goal of the puzzle is to swap the tokens.

In a single turn, you may move either token up, right, down, or left by a distance determined by the other token. For example, if the red token is on a square labeled 3, then you may move the blue token 3 steps up, 3 steps left, 3 steps right, or 3 steps down. However, you may not move a token off the grid or to the same square as the other token.


A five-move solution for a $4 \times 4$ Vidrach Itky Leda puzzle.

Describe and analyze an efficient algorithm that either returns the minimum number of moves required to solve a given Vidrach Itky Leda puzzle, or correctly reports that the puzzle has no solution. For example, given the puzzle above, your algorithm would return the number 5 .

## Undirected vs Directed Connectivity

Consider following problem.

- Given undirected graph $G=(V, E)$.
- Two subsets of nodes $R \subset V$ (red nodes) and $B \subset V$ (blue nodes). $R$ and $B$ non-empty.
- Describe linear-time algorithm to decide whether every red node can reach every blue node.


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How does the problem differ in directed graphs?


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Consider following problem.

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