

Describe recursive backtracking algorithms for the following problems. *Don't worry about running times.*

- 1** LONGEST INCREASING SUBSEQUENCE. Given an array  $A[1..n]$  of integers, compute the length of a *longest increasing subsequence*. A sequence  $B[1..l]$  is *increasing* if  $B[i] > B[i-1]$  for every index  $i \geq 2$ .

For example, given the array

$$\langle 3, \underline{1}, \underline{4}, 1, \underline{5}, 9, 2, \underline{6}, 5, 3, 5, \underline{8}, \underline{9}, 7, 9, 3, 2, 3, 8, 4, 6, 2, 7 \rangle$$

your algorithm should return the integer 6, because  $\langle 1, 4, 5, 6, 8, 9 \rangle$  is a longest increasing subsequence (one of many).

### Solution:

[#1 of  $\infty$ ] Add a sentinel value  $A[0] = -\infty$ . Let  $LIS(i, j)$  denote the length of the longest increasing subsequence of  $A[j..n]$  where every element is larger than  $A[i]$ . This function obeys the following recurrence:

$$LIS(i, j) = \begin{cases} 0 & \text{if } j > n \\ LIS(i, j+1) & \text{if } j \leq n \text{ and } A[i] \geq A[j] \\ \max \{LIS(i, j+1), 1 + LIS(j, j+1)\} & \text{otherwise} \end{cases}$$

We need to compute  $LIS(0, 1)$ .

### Solution:

[#2 of  $\infty$ ] Add a sentinel value  $A[n+1] = -\infty$ . Let  $LIS(i, j)$  denote the length of the longest increasing subsequence of  $A[1..j]$  where every element is smaller than  $A[j]$ . This function obeys the following recurrence:

$$LIS(i, j) = \begin{cases} 0 & \text{if } i < 1 \\ LIS(i-1, j) & \text{if } i \geq 1 \text{ and } A[i] \geq A[j] \\ \max \{LIS(i-1, j), 1 + LIS(i-1, i)\} & \text{otherwise} \end{cases}$$

We need to compute  $LIS(n, n+1)$ .

### Solution:

[#3 of  $\infty$ ] Let  $LIS(i)$  denote the length of the longest increasing subsequence of  $A[i..n]$  that begins with  $A[i]$ . This function obeys the following recurrence:

$$LIS(i) = \begin{cases} 1 & \text{if } A[j] \leq A[i] \text{ for all } j > i \\ 1 + \max \{LIS(j)\} & \text{if } j > i \text{ and } A[j] > A[i] \end{cases} \text{ otherwise}$$

(The first case is actually redundant if we define  $\max \emptyset = 0$ .) We need to compute  $\max_i LIS(i)$ .

## Solution:

[#4 of  $\infty$ ] Add a sentinel value  $A[0] = -\infty$ . Let  $LIS(i)$  denote the length of the longest increasing subsequence of  $A[i..n]$  that begins with  $A[i]$ . This function obeys the following recurrence:

$$LIS(i) = \begin{cases} 1 & \text{if } A[j] \leq A[i] \text{ for all } j > i \\ 1 + \max \{LIS(j)\} & j > i \text{ and } A[j] > A[i] \text{ otherwise} \end{cases}$$

(The first case is actually redundant if we define  $\max \emptyset = 0$ .) We need to compute  $LIS(0) - 1$ ; the  $-1$  removes the sentinel  $-\infty$  from the start of the subsequence.

## Solution:

[#5 of  $\infty$ ] Add sentinel values  $A[0] = -\infty$  and  $A[n+1] = \infty$ . Let  $LIS(j)$  denote the length of the longest increasing subsequence of  $A[1..j]$  that ends with  $A[j]$ . This function obeys the following recurrence:

$$LIS(j) = \begin{cases} 1 & \text{if } j = 0 \\ 1 + \max \{LIS(i)\} & i < j \text{ and } A[i] < A[j] \text{ otherwise} \end{cases}$$

We need to compute  $LIS(n+1) - 2$ ; the  $-2$  removes the sentinels  $-\infty$  and  $\infty$  from the subsequence.

**2** LONGEST DECREASING SUBSEQUENCE. Given an array  $A[1..n]$  of integers, compute the length of a *longest decreasing subsequence*. A sequence  $B[1..l]$  is **decreasing** if  $B[i] < B[i-1]$  for every index  $i \geq 2$ .

For example, given the array

$$\langle 3, 1, 4, 1, 5, \mathbf{9}, 2, \mathbf{6}, 5, 3, \mathbf{5}, 8, 9, 7, 9, 3, 2, 3, 8, \mathbf{4}, 6, \mathbf{2}, 7 \rangle$$

your algorithm should return the integer 5, because  $\langle 9, 6, 5, 4, 2 \rangle$  is a longest decreasing subsequence (one of many).

## Solution:

[one of many] Add a sentinel value  $A[0] = \infty$ . Let  $LDS(i, j)$  denote the length of the longest decreasing subsequence of  $A[j..n]$  where every element is smaller than  $A[i]$ . This function obeys the following recurrence:

$$LDS(i, j) = \begin{cases} 0 & \text{if } j > n \\ LDS(i, j+1) & \text{if } j \leq n \text{ and } A[i] \leq A[j] \\ \max \{LDS(i, j+1), 1 + LIS(j, j+1)\} & \text{otherwise} \end{cases}$$

We need to compute  $LDS(0, 1)$ .

## Solution:

[clever] Multiply every element of  $A$  by  $-1$ , and then compute the length of the longest increasing subsequence using the algorithm from problem 1.

### 3 LONGEST ALTERNATING SUBSEQUENCE.

Given an array  $A[1..n]$  of integers, compute the length of a *longest alternating subsequence*. A sequence  $B[1..l]$  is *alternating* if  $B[i] < B[i-1]$  for every even index  $i \geq 2$ , and  $B[i] > B[i-1]$  for every odd index  $i \geq 3$ .

For example, given the array

$$\langle \underline{3}, \underline{1}, \underline{4}, \underline{1}, \underline{5}, 9, \underline{2}, \underline{6}, \underline{5}, 3, 5, \underline{8}, 9, \underline{7}, \underline{9}, \underline{3}, 2, 3, \underline{8}, \underline{4}, \underline{6}, \underline{2}, \underline{7} \rangle,$$

your algorithm should return 17, because  $\langle 3, 1, 4, 1, 5, 2, 6, 5, 8, 7, 9, 3, 8, 4, 6, 2, 7 \rangle$  is a longest alternating subsequence (one of many).

#### Solution:

[one of many] We define two functions:

- Let  $LAS^+(i, j)$  denote the length of the longest alternating subsequence of  $A[j..n]$  whose first element (if any) is larger than  $A[i]$  and whose second element (if any) is smaller than its first.
- Let  $LAS^-(i, j)$  denote the length of the longest alternating subsequence of  $A[j..n]$  whose first element (if any) is smaller than  $A[i]$  and whose second element (if any) is larger than its first.

These two functions satisfy the following mutual recurrences:

$$LAS^+(i, j) = \begin{cases} 0 & \text{if } j > n \\ LAS^+(i, j+1) & \text{if } j \leq n \text{ and } A[j] \leq A[i] \\ \max \{ LAS^+(i, j+1), 1 + LAS^-(j, j+1) \} & \text{otherwise} \end{cases}$$
$$LAS^-(i, j) = \begin{cases} 0 & \text{if } j > n \\ LAS^-(i, j+1) & \text{if } j \leq n \text{ and } A[j] \geq A[i] \\ \max \{ LAS^-(i, j+1), 1 + LAS^+(j, j+1) \} & \text{otherwise} \end{cases}$$

To simplify computation, we consider two different sentinel values  $A[0]$ . First we set  $A[0] = -\infty$  and let  $\ell^+ = LAS^+(0, 1)$ . Then we set  $A[0] = +\infty$  and let  $\ell^- = LAS^-(0, 1)$ . Finally, the length of the longest alternating subsequence of  $A$  is  $\max \{ \ell^+, \ell^- \}$ .

#### Solution:

[one of many] We define two functions:

- Let  $LAS^+(i)$  denote the length of the longest alternating subsequence of  $A[i..n]$  that starts with  $A[i]$  and whose second element (if any) is larger than  $A[i]$ .
- Let  $LAS^-(i)$  denote the length of the longest alternating subsequence of  $A[i..n]$  that starts with  $A[i]$  and whose second element (if any) is smaller than  $A[i]$ .

These two functions satisfy the following mutual recurrences:

$$LAS^+(i) = \begin{cases} 1 & \text{if } A[j] \leq A[i] \text{ for all } j > i \\ 1 + \max \{ LAS^-(j) \} & j > i \text{ and } A[j] > A[i] \text{ otherwise} \end{cases}$$
$$LAS^-(i) = \begin{cases} 1 & \text{if } A[j] \geq A[i] \text{ for all } j > i \\ 1 + \max \{ LAS^+(j) \} & j > i \text{ and } A[j] < A[i] \text{ otherwise} \end{cases}$$

We need to compute  $\max_i \max \{ LAS^+(i), LAS^-(i) \}$ .

## To think about later:

- 1 Given an array  $A[1..n]$  of integers, compute the length of a longest **convex** subsequence of  $A$ .

### Solution:

Let  $LCS(i, j)$  denote the length of the longest convex subsequence of  $A[i..n]$  whose first two elements are  $A[i]$  and  $A[j]$ . This function obeys the following recurrence:

$$LCS(i, j) = 1 + \max \{LCS(j, k)\} \quad j < k \leq n \text{ and } A[i] + A[k] > 2A[j]$$

Here we define  $\max \emptyset = 0$ ; this gives us a working base case. The length of the longest convex subsequence is  $\max_{1 \leq i < j \leq n} LCS(i, j)$ .

### Solution:

[with sentinels] Assume without loss of generality that  $A[i] \geq 0$  for all  $i$ . (Otherwise, we can add  $|m|$  to each  $A[i]$ , where  $m$  is the smallest element of  $A[1..n]$ .) Add two sentinel values  $A[0] = 2M + 1$  and  $A[-1] = 4M + 3$ , where  $M$  is the largest element of  $A[1..n]$ .

Let  $LCS(i, j)$  denote the length of the longest convex subsequence of  $A[i..n]$  whose first two elements are  $A[i]$  and  $A[j]$ . This function obeys the following recurrence:

$$LCS(i, j) = 1 + \max \{LCS(j, k)\} \quad j < k \leq n \text{ and } A[i] + A[k] > 2A[j]$$

Here we define  $\max \emptyset = 0$ ; this gives us a working base case.

Finally, we claim that the length of the longest convex subsequence of  $A[1..n]$  is  $LCS(-1, 0) - 2$ .

*Proof:* First, consider any convex subsequence  $S$  of  $A[1..n]$ , and suppose its first element is  $A[i]$ . Then we have  $A[-1] - 2A[0] + A[i] = 4M + 3 - 2(2M + 1) + A[i] = A[i] + 1 > 0$ , which implies that  $A[-1] \cdot A[0] \cdot S$  is a convex subsequence of  $A[-1..n]$ . So the longest convex subsequence of  $A[1..n]$  has length at most  $LCS(-1, 0) - 2$ .

On the other hand, removing  $A[-1]$  and  $A[0]$  from any convex subsequence of  $A[-1..n]$  leaves a convex subsequence of  $A[1..n]$ . So the longest subsequence of  $A[1..n]$  has length at least  $LCS(-1, 0) - 2$ . ■

- 2 Given an array  $A[1..n]$ , compute the length of a longest **palindrome** subsequence of  $A$ .

### Solution:

[naive] Let  $LPS(i, j)$  denote the length of the longest palindrome subsequence of  $A[i..j]$ . This function obeys the following recurrence:

$$LPS(i, j) = \begin{cases} 0 & \text{if } i > j \\ 1 & \text{if } i = j \\ \max \left\{ \begin{array}{l} LPS(i+1, j) \\ LPS(i, j-1) \end{array} \right\} & \text{if } i < j \text{ and } A[i] \neq A[j] \\ \max \left\{ \begin{array}{l} 2 + LPS(i+1, j-1) \\ LPS(i+1, j) \\ LPS(i, j-1) \end{array} \right\} & \text{otherwise} \end{cases}$$

We need to compute  $LPS(1, n)$ .

## **Solution:**

[with greedy optimization] Let  $LPS(i, j)$  denote the length of the longest palindrome subsequence of  $A[i \dots j]$ . Before stating a recurrence for this function, we make the following useful observation.<sup>1</sup>

**Claim 0.1.** *If  $i < j$  and  $A[i] = A[j]$ , then  $LPS(i, j) = 2 + LPS(i + 1, j - 1)$ .*

*Proof:* Suppose  $i < j$  and  $A[i] = A[j]$ . Fix an arbitrary longest palindrome subsequence  $S$  of  $A[i \dots j]$ . There are four cases to consider.

- If  $S$  uses neither  $A[i]$  nor  $A[j]$ , then  $A[i] \bullet S \bullet A[j]$  is a palindrome subsequence of  $A[i \dots j]$  that is longer than  $S$ , which is impossible.
- Suppose  $S$  uses  $A[i]$  but not  $A[j]$ . Let  $A[k]$  be the last element of  $S$ . If  $k = i$ , then  $A[i] \bullet A[j]$  is a palindrome subsequence of  $A[i \dots j]$  that is longer than  $S$ , which is impossible. Otherwise, replacing  $A[k]$  with  $A[j]$  gives us a palindrome subsequence of  $A[i \dots j]$  with the same length as  $S$  that uses both  $A[i]$  and  $A[j]$ .
- Suppose  $S$  uses  $A[j]$  but not  $A[i]$ . Let  $A[h]$  be the first element of  $S$ . If  $h = j$ , then  $A[i] \bullet A[j]$  is a palindrome subsequence of  $A[i \dots j]$  that is longer than  $S$ , which is impossible. Otherwise, replacing  $A[h]$  with  $A[i]$  gives us a palindrome subsequence of  $A[i \dots j]$  with the same length as  $S$  that uses both  $A[i]$  and  $A[j]$ .
- Finally,  $S$  might include both  $A[i]$  and  $A[j]$ .

In all cases, we find either a contradiction or a longest palindrome subsequence of  $A[i \dots j]$  that uses both  $A[i]$  and  $A[j]$ . ■

Claim 1 implies that the function  $LPS$  satisfies the following recurrence:

$$LPS(i, j) = \begin{cases} 0 & \text{if } i > j \\ 1 & \text{if } i = j \\ \max \{ LPS(i + 1, j), LPS(i, j - 1) \} & \text{if } i < j \text{ and } A[i] \neq A[j] \\ 2 + LPS(i + 1, j - 1) & \text{otherwise} \end{cases}$$

We need to compute  $LPS(1, n)$ .